101st Congress, 1st Session - - - - - - - - - - - House Document 101-75

FINANCIAL DISCLOSURE
REPORTS
OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES OF THE 100TH CONGRESS

FROM

January 1, 1988, to December 31, 1988

SUBMITTED TO THE CLERK OF THE HOUSE

PURSUANT TO 2 U.S.C. § 703

(Volume 2 of 2)

JUNE 21, 1989.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

U.S. GOVERNMENT PRINTING OFFICE
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H740-2

Donnald K. Anderson
Clerk

Patricia S. [illegible], Director
Office of Records and Registration

[illegible]
HDOC 101-75

Standards of
Official Conduct
[illegible] print

Office of the Clerk
U.S. House of Representatives
Washington, DC 20515-6601

June 21, 1989

Honorable Thomas S. Foley
The Speaker
U.S. House of Representatives
Washington, DC 20515

Dear Mr. Speaker:

I hereby submit the annual compilation of personal financial disclosure statements filed with the Clerk of the House of Representatives pursuant to the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 *et seq.*), and House Rule XLIV.

This compilation contains the personal financial disclosure statements and amendments thereto required to be filed by Members of the House pursuant to the Act and House Rule XLIV by May 15, 1989, and includes the financial transactions as reported therein which occurred between January 1, 1988 and December 31, 1988.

House Rule XLIV provides that the annual compilation of reports filed with the Clerk be printed as a House document by July 1 and contain all such reports sent to him by Members within the period beginning January 1 and ending May 15 of each year. This compilation is submitted accordingly.

With great respect, I am

Sincerely yours,

Donnald K. Anderson

DONNALD K. ANDERSON, Clerk
U.S. House of Representatives

Enclosure

ALPHABETICAL COMPILATION OF FINANCIAL DISCLOSURE REPORTS FILED BY MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES PURSUANT TO HOUSE RULE XLIV

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Atlanta University (Spouse's employer)	salary		
(see continuation sheet)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Old City Development Corporation, Inc.	interest	D
(I receive payments from this firm pursuant to a		
mortgage note which I hold as a result of my sale of a		
two-family dwelling in Washington, D.C. The outstanding		
mortgage balance is approximately $63,000.)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
not applicable	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
not applicable		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
not applicable	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
not applicable	

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988*

SOURCE	BRIEF DESCRIPTION	VALUE
not applicable		

893772/3153.

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
University of North Carolina	reimbursement for airfare
American Medical Association	"
Case Western Reserve University	"
Loyola Marymount University	"
Cong. William Lehman's Campaign Committee	"
Black Side, Inc.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	Martin Luther King Center for Social Change, Inc.
Board of Directors	Friends of VISTA, Inc.
Board of Directors	Robert F. Kennedy Memorial, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
Not applicable		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John Lewis	April 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Lewis
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II - Income			
A. Earned Income			
	University of North Carolina	honoraria	$1,500
	American Public Transit Assn.	"	$1,000
	Impact Educational Fund, Inc.	"	$200
	Ga. State Assn. of Letter Carriers	"	$500
	University of Alabama in Birmingham	"	$1,000
	Washington Times	"	$100
	Castleton State College	"	$2,000
	MLK Memorial Celebration	"	$780
	Siemens Capital Corp.	"	$1,000
	American Medical Assn.	"	$2,000
	The Tobacco Institute	"	$1,000
	Case Western Reserve University	"	$1,500
		"	
	Goucher College	"	$2,000
	Delaware State College	"	$2,000
	Black Side, Inc.	"	$200
	Druid Hills High School		$100
	Freedom, Inc.		$1,000
	Connell Rice & Sugar Co.		$2,000
	MLK Center for Social Change of Santa Clara		$500
	Marlboro College		$2,000

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Lewis
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII - Reimbursements		
	Center for Community Change	reimbursement for airfare
	St. James-Paseo United Methodist Church	"
	Congressman David Price Campaign Committee	"
	Williams College	"
	Cong. Frank McCloskey Campaign Committee	"
	First Baptist Church, Capitol Hill, Tennessee	"
	Cong. Barbara Kennelly Campaign Committee	"
	MLK Center for Social Change of Santa Clara Valley	"
	Marlboro College	

HAND DELIVERED [illegible]

1989 MAY 10 9 25

JOHN LEWIS
5th DISTRICT, GEORGIA

COMMITTEES:
PUBLIC WORKS AND TRANSPORTATION
SUBCOMMITTEES:
ECONOMIC DEVELOPMENT
PUBLIC BUILDINGS AND GROUNDS
WATER RESOURCES

INTERIOR AND INSULAR AFFAIRS
SUBCOMMITTEES:
NATIONAL PARKS AND
PUBLIC LANDS
INSULAR AFFAIRS

Congreſs of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
501 Cannon House Office Building
Washington, DC 20515
(202) 225-3801

DISTRICT OFFICE
The Equitable Building
100 Peachtree Street, N.W.
Suite #750
Atlanta, Georgia 30303
(404) 659-0116

May 9, 1989

Mr. Donnald Anderson
Clerk, U.S. House of Representatives
1036 Longworth House Office Building
Washington, D.C. 20515

✓
MC

Dear Mr. Anderson:

I have received a letter from the Committee on Standards of Official Conduct apprising me of apparent deficiencies in Parts III and VII of my financial disclosure document. Please amend that document as follows:

Part III

My holdings include the mortgage note referenced in Part II. I hold this mortgage as a result of my sale of a two-family dwelling in Washington, D.C. The note is held for Old City Development Corporation, Inc. The outstanding mortgage balance is approximately $63,000. This holding would fall under category D for purposes of Part III's "categories of value."

Part VIII

The only reimbursements I have received have been for airfare to and from the place of the event which I attended or at which I gave an address.

The details of those reimbursements are as follows:

University of North Carolina	$364.00 roundtrip airfare Atl./Greensboro	January 15, 1988
American Medical Association	$696.08 roundtrip airfare Atl./Boca Raton	April 8, 1988
Case Western Reserve University	$308.00 roundtrip airfare Atl./Cleveland	April 16, 1988
Loyola Marymount University	$390.00	April 23, 1988

			roundtrip airfare Atl./Los Angeles
Cong. Wm. Lehman's Campaign Committee	$266.00	May 14, 1988	roundtrip airfare Atl./Miami
Black Side, Inc.	$291.00	June 1, 1988	roundtrip airfare D.C./Boston
Center for Community Change, Inc.	$300.00	June 17, 1988	roundtrip airfare Atl./L.A.
St. James - Paseo United Methodist Church	$313.00	June 26, 1988	roundtrip airfare Atl./Kansas City
Cong. David Price Campaign Committee	$400.00	July 11, 1988	roundtrip airfare Atl./Raleigh
Williams College	$417.00	Sept. 9, 1988	roundtrip airfare Wash./Boston
Cong. Frank McCloskey Campaign Committee	$445.00	Sept. 25, 1988	roundtrip airfare Atl./Indianapolis
First Baptist Church	$652.00	Sept. 30, 1988	roundtrip airfare Wash./Nashville
Cong. Barbara Kennelly Campaign Committee	$460.00	Oct. 2, 1988	roundtrip airfare Atl./Hartford
MLK Center for Social Change of Santa Clara Valley	$468.00	Oct. 8, 1988	roundtrip airfare Atl./San Jose
Cong. Jim Moody Campaign Committee	$218.00	Nov. 1, 1988	roundtrip airfare Atl./Madison*
Marlboro College	$412.00	Nov. 28, 1988	roundtrip airfare Atl./Marlboro

*reimbursement not received until May 2, 1989

Sincerely,

John Lewis

John Lewis
Member of Congress

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

1989 MAY 15 PM 4:43

FORM A—For use by Members, officers, and employees

Thomas F. (Tom) Lewis
(Full Name)

11246 Riverwood Place
(Mailing Address)

North Palm Beach, FL

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 12 State Florida

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and accounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Schedule attached - Ref II-A Items 2-17			N/A

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Schedule attached - Ref II-B		N/A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(f)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Schedule attached Ref. III	
Balance in IRA Account	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Contribution to Civil Service Retirement Account	1988	B
Sale of 50% interest in Commercial Prop. Hobe Sound FL.	1988	G
Received Final Installment on Real Estate Sale to M/Mrs.	1988	E
J. Bradshaw (Transactions 2 & 3 included in schedules)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Schedule Attached Ref. V	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attached.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Vice President	Marian V. Lewis, Inc.
Executive Committee	Republican Party of Florida

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Tom Lewis	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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THOMAS F. (TOM) LEWIS
11246 Riverwood Place
North Palm Beach, Florida 33408

Source	Type	Date	II-A Items 2-14 Below Gross Income 1988	Net Annual Income (Loss) 1988	Category II-B Income	Category III Holdings	Category V Liabilities
1. U.S. House of Representatives	Salary as Member of Congress	1988	$ 89,500	$ 89,500	N/A	-	-
2. Connecticut General Life Ins.	Pension	1988	2,002	2,002	N/A	-	-
3. Contract Services, Inc.	Honorarium	1988	1,000	1,000	N/A	-	-
4. Edison Electric Institute	Honorarium	1988	500	500	N/A	-	-
5. McDonnell Douglas	Honorarium	1988	1,000	1,000	N/A	-	-
6. Rockwell International	Honorarium	1988	1,000	1,000	N/A	-	-
7. Sugarbeet Symposium	Honorarium	1988	1,000	1,000	N/A	-	-
8. Martin Marietta Washington Execs	Honorarium	1988	1,000	1,000	N/A	-	-
9. Tobacco Institute	Honorarium	1988	1,000	1,000	N/A	-	-
10. Palm Beach Community College	Honorarium	1988	500	500	N/A	-	-
11. American Meat Institute	Honorarium	1988	1,000	1,000	N/A	-	-
12. Florida Sugar Cane League	Honorarium	1988	1,000	1,000	N/A	-	-
13. Southern Bell	Honorarium	1988	1,000	1,000	N/A	-	-
Earned by Spouse:							
15. Marian V. Lewis, Inc.	Salary				N/A	N/A	-
16. State of Florida	Salary				N/A	N/A	-

THOMAS F. (TOM) LEWIS
11246 Riverwood Place
North Palm Beach, Florida 33408

Source	Type	Date	II-A Gross Income 1988	Net Annual Income (Loss) 1988	Category II-B Income	Category III Holdings	Category V Liabilities
17. Flagler Bank	Advisory Board Fee				N/A	N/A	-
Prudential Insurance	Interest	1988	20	20	-	-	-
Great Western Bank	Interest	1988	32	32	-	-	-
Mr. & Mrs. John Bradshaw Mortgage	Mortgage Interest Capital Gain	1988 1988	2,190 48,075	2,190 29,055	B E	- -	- -
Shearson Lehman Cash Reserve	Cash Resv. Funds General Account	1988	5,171	5,171	D	E	-
Congressional Federal Credit Union	Interest	1988	659	659	A	D	-
Flagler National Bank	Interest	1988	389	389	B	C	-
Office/Personnel Management Civil Service Retirement	Interest	1988	4,364	4,364	C	-	-
Eliseo and Josephine Caputo Mortgage on Property Sold	Mortgage Interest Capital Gain	1988 1988	1,523 1,280	1,523 1,280	B B	- C	- -
First American Bank of Palm Beach County, Stock owned by Spouse - 1,072 Shares	Dividends	1988	-0-	-0-	-	A	-
Thru E.F. Hutton: 10,000 Face Alaska Pipline - 8%	Bond Interest	1988	800 (Tx Free)	800	A	B	-
5,000 Face Pueblo, CO. - 7%	Bond Interest	1986	350 (Tx Free)	350	A	A	-
200 Shares Flagler National Bank	Dividend	1988	315	315	A	A	-
20 Units Hutton/Apache 1982 Energy INCM FD LTD CL	Interest Partnership	1988 1988	2 <65>	2 <65>	- -	- A	- -

THOMAS F. (TOM) LEWIS
11246 Riverwood Place
North Palm Beach, Florida 33408

Source	Type	Date	II-A Gross Income 1988	Net Annual Income (Loss) 1988	Category II-B Income	Category III Holdings	Category V Liabilities
500 Shares Palm Beach Lakes Bank - Stock owned by Spouse	-	-	-	-	A	A	-
1 Unit, Silver Screen Partners, II, LP, NY,NY - Owned by Spouse	Interest Partnership	1988 1988	44 300	44 300	- A	- B	- -
60 Units Indian Wells 1983	Partnership	1988	1,673	887	A	B	-
500 Shares Marian V. Lewis, Inc. Real Estate Corp. - No Dividend Pd. Stock owned by Spouse					-	D	-
Rental Properties - Jointly Owned With Spouse:							
1061 Ocean Drive., Juno Beach, FL. First Nat'l Bank of Palm Beach Gardens, Florida	Rental House	1988	6,900	1,840	B	D	B
1081 Ocean Drive, Juno Beach, FL. 1st American Bank, North Palm Beach, Florida	Rental House	1988	6,841	<1,087>	-	D	C
Condo Offices - 721 U.S. Highway One, North Palm Beach, Florida	Rental	1988	28,371	6,505	D	F	
Fidelity Federal Savings & Loan					-	-	C
Home Federal Savings & Loan					-	-	C
Community Federal S & L					-	-	C
4 Plex - Redbank Rd, Juno, FL.	Rental	1988	21,762	7,030	D	-	
Fidelity Federal Savings & Loan						E	C
50% Interest - Community Property 11961 U.S. Hwy 1, Juno, Florida, 1st Federal S&L, Lk Worth, FL.	Rental	1988	16,640	771	A	D	D

THOMAS F. (TOM) LEWIS
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North Palm Beach, Florida 33408

Source	Type	Date	II-A Gross Income 1988	Net Annual Income (Loss) 1988	Category II-B Income	Category III Holdings	Category V Liabilities
1631 Congress Avenue Lake Worth, Florida	Rental	1988	8,011	<2,055>	-		
Mrs. M. Barrett, 572 Santa Fe Road, West Palm Beach, FL.						D	C
50% Interest - Military Trail Commercial Prop, Lk. Worth, FL.	Rental	1988	5,773	4,636	C		
Barnett Bank, Lk Worth, FL.						D	B
50% Interest - Commercial Prop. U.S. 1, Hobe Sound, Florida	Rental	1988	1,249	175	A	-	-
	Capital Gain on Sale	1988	161,500	148,776	G		
2 Apartments - Forest Hills North Carolina	Rental	1988	1,509	<3,151>	-	D	
Jackson County Savings & Loan							C
Triplex - 1316-18-20 Rumba Lane Tallahassee, Florida	Rental	1988	10,640	1,381	B	D	
Sun Federal Savings & Loan, Tallahassee, Florida							D
Mr. F. Langston, P.O. Box 12189 Tallahassee, Florida							C
Units 19 & 20, Chez Sois - Condo Tallahassee, Florida	Rental	1988	12,000	<13,306>	-	E	
Sun Federal Savings & Loan, Tallahassee, Florida							E
Real Estate Lot - Residential No. Palm Beach, FL. - Owned by Spouse	Investment	1988	N/A	N/A	-	E	-
First Federal Savings & Loan, Lake Worth, Florida					-	-	A
Townhouse, Tallahassee, Florida	Rental	1988	-0-	1,485	B	D	-
1 Lot - Residential	Investment	1988	N/A	N/A	-	B	-

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THOMAS F. (TOM) LEWIS
11246 Riverwood Place
North Palm Beach, Florida 33408

Source	Type	Date	II-A Gross Income 1988	Net Annual Income (Loss) 1988	Category		
					II-B Income	III Holdings	V Liabilities
15 Acres, Suwanee County, Florida	Investment	1988	N/A	N/A	-	A	-

Attachment - Page 4
VII - Reimbursements

Sugarbeet Association	Air travel to Fargo, N.D. and return to Washington, D.C.; hotel accomodation.
American Meat Institute	Air travel to Hilton Head, S.C. and return to Washington, D.C.; rental car; hotel accomodation.
Orlando Chamber of Commerce	Air travel to Orlando, Fl. and return to WPB, Fl; hotel accomodation

9372273231

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Jim Ross Lightfoot
(Full Name)

Rural Route 2, Box 225B
(Mailing Address)

Shenandoah, IA 51601

MC (Office Use Only)

[illegible] MAY -9 PM 2 05

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State IOWA

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached Page 1 of 1			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Congressional Credit Union - Washington, DC	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Joint Ownership of Ross's Zesto Shop, Shenandoah, Iowa	C
Joint Ownership of Merri Widow Stores, Shenandoah/Atlantic, Iowa	E
Stock in Apple Computer	A
Stock in Baker International	A
Individual Retirement Account, Treynor State Bank, Treynor, Iowa	A
Savings Account, Congressional Credit Union, Washington, DC	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Security Trust & Savings Bank, Shenandoah, IA, Bus. Operating Loan	D
Robert Briggs Estate, Shenandoah, IA, Business Purchase Contract	B
Whitney Bank & Trust, Atlantic, IA, Business Operating Loan	A
Congressional Credit Union, Washington, DC, Personal Loan	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Max Peeler, Rockport, MO	Walnut Wagon	$600

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
American Soybean Association, January 16, 1988	Speaking engagement
R/T airfare from Shenandoah, Iowa to	
St. Louis, Missouri.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Ross's Zesto Shop, Shenandoah, Iowa
Partner	Merri Widow Stores, Shenandoah/Atlantic, Iowa
Representative	Iowa's Fifth District, U.S. House of Representatives

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-3-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Jim Ross Lightfoot
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II A	Farm Service Cooperative, 1/14/88
	Honoraria
	$100
	Disposition: NONE
II A	American Soybean Association, 1/16/88
	Honoraria
	$500
	Disposition: NONE
II A	Tobacco Institute, 4/13/88
	Honoraria
	$1,000
	Disposition: NONE
II A	Chicago Mercantile Company, 8/29/88
	Honoraria
	$500
	Dispostion: NONE
II A	Chicago Board of Trade, 8/29/88
	Honoraria
	$500
	Disposition: NONE
II A	ConAgra, Inc., 10/31/88
	Honoraria
	$2,000
	Disposition: NONE
II A	Merri Widow Stores - Spouse Business Income

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

MAY 15 PM 1:20

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

William O. Lipinski
(Full Name)

6242 West 59th Street
(Mailing Address)

Chicago, Illinois 60638

Mc (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State Illinois

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,086, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts: such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Crain's Chicago Bussiness	8/1/88	Honorarium	175.00	none
Chicago Board of Trade	8/26/88	Honorarium	1,000.00	none
Chicago Mercantile Exchange	8/26/88	Honorarium	1,000.00	none
23rd Ward - Committeeman		Compensation	8,000.00	none
Spouse - Rose Marie Partners, Cook County Chief Judge		Office Salary		none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rose Marie Partners Building - 5838 Archer Avenue	Income from building	D
Standard Federal S&L Assoc. - Checking &Savings	Interest	B
Lyons Federal S&L - Savings	Interest	B
Polish National Alliance	Interest	A
Archer Heights Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Rose Marie Partners Building - 5838 Archer Avenue	E
Standard Federal Savings & Loan	B
IRA	C
Lyons Federal Savings & Loan	B
Chicago Park District Retirement Fund	B
Polish National Alliance	A
Archer Heights Credit Union	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Argo Savings & Loan Association - Holds mortgage on 5838 Archer Avenue	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William O Lipinski	May 16, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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WILLIAM O. LIPINSKI
5TH DISTRICT, ILLINOIS

COMMITTEES:
PUBLIC WORKS AND TRANSPORTATION
MERCHANT MARINE AND FISHERIES

Congress of the United States
House of Representatives
Washington, DC 20515

OFFICES:
1032 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON DC 20515
(202) 225-5701

5832 ARCHER AVENUE
CHICAGO, IL 60638
(312) 886-0481

HAND DELIVERED

May 22, 1989

89 MAY 22 AM 10:21
U.S. [illegible] REPRESENTATIVES

MC ✓

Mr. Donnald K. Anderson
Clerk
U.S. House of Representatives
Washington, D.C. 20515

Dear Mr. Anderson:

In accordance with House Rules, I am amending Section III.HOLDINGS of my 1988 Financial Disclosure. The following information regarding the Rose Marie Partners Building at 5838 Archer Avenue should be added as an amendment:

location: Cook County, State of Illinois

The category for this holding is correct as stated in the original disclosure.

Thank you for your prompt attention in making this change. With kind regards, I am

Sincerely,

William O Lipinski
WILLIAM O. LIPINSKI
Member of Congress

Enclosures

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Robert L. Livingston
(Full Name)

2412 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
1989 MAY 12 PM 2:05

Check the appropriate box and fill in the blanks.

☐ Member of the U.S. House of Representatives—District ______ State ______________

☐ Officer or Employee—Employing Office ______________________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,028, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
General Dynamics, 3/4/88	Speech fee	$2,000	
LTV, 3/4/88	Speech fee	2,000	
Brookings Institute, 3/7/88	Speech fee	300	
Ocean Spray, 3/29/88	Speech fee	1,000	
Westinghouse, 4/22/88	Speech fee	1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wife's Raceland (Lake Bankshares)	Dividend	A
Wife's mineral interest - Amoco, Pt. Coupee, LA	Royalty	B
Wife's mineral interest - Chevron (Gulf)	Royalty	A
Wife's mineral interest - Philips Petroleum	Royalty	A
Wife's farm income, Raceland, LA, sugar cane	Royalty	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
2 personal homes (excluded)	
IRA - Waters Parkerson (Merrill Lynch)	C
1/3 interest in lot in Lacombe, LA	B
LA Bankshares (Raceland Bank) - wife	A
Wife's 1/5 mineral interest, 3/4 acre, Pointe Coupee, LA	B
Wife's 6 acres farmland, Raceland, LA	C
Trust for children from wife's properties noted above (II-B)	A
Comarco Stock	B
Kehoe Account (Mutual funds)	B

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Bought COMARCO stock	2/9/88	A
Bought COMARCO stock	5/10/88	A
Bought MFS mutual fund - Kehoe Plan	4/8/88	A
Bought stock option - Jackley Co./Fried chicken franchise	9/4/88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
2 mortgages (one in Louisiana, one in Virginia)	Excluded
Whitney Bank	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
LTV	Travel to Dallas, speaking
Ocean Spray	Travel to Kansas City, speaking
Textron	Travel to Stratford, CT, speaking
General Electric	Travel to Bangor, ME, speaking
Boeing	Travel to Seattle & lodging, speaking

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date 5-10-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert L. Livingston
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II - Income			
	Textron, 4/25/88	Speech fee	$1,000.00
	General Electric, 5/6/88	Speech fee	2,000.00
	Boeing, 6/13/88	Speech fee	2,000.00
	Connell Rice & Sugar, 7/15/88	Speech fee	2,000.00
	Shipbuilders Council of America, 9/9/88	Speech fee	1,000.00
	Rochester Institute of Technology, 11/2/88	Speech fee	1,000.00
	E-Systems, 11/14/88	Speech fee	2,000.00
	Textron, 11/14/88	Speech fee	1,000.00
	Textron, 11/14/88	Speech fee	1,000.00
	Bryan Wagner, 12/19/88	Speech fee	1,000.00
	Charles Dunbar, 12/19/88	Speech fee	500.00
	Lockheed, 12/20/88	Speech fee	1,000.00
	Rockwell, 12/20/88	Speech fee	2,000.00

VII - Reimbursements

Congressional Institute, Roundrip air transportation from Washington to Houston, food, lodging (spouse)
Connell Rice and Sugar, travel to Newark, New Jersey, speaking engagement, lodging (spouse)
Shipbuilders Council of America, travel and lodging to The Greenbriar (spouse) speaking engagement
Rochester Institute of Technology, travel, speaking engagement
Textron, travel to Dallas, speaking engagement
Rockwell, Travel to Atlanta, speaking engagement
Thomson-CSF, Roundrip air transporation from Washington to Paris, food lodging, (spouse), speaking engagement
Pat Canulette Campaign fundraiser, travel, food lodging in Texas

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 MAY 15 PM 2 16

FORM A—For use by Members, officers, and employees

Marilyn L. Lloyd
(Full Name)

2266 Rayborn Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State Tennessee

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print-outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attachment A			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A--not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
4435 Lilac Lane, Chattanooga, TN	Rent	Gross:D; Net: "0"
4700 Murray Lake Lane, Chattanooga, TN	Rent	Gross:D; Net: A
Keystone Tax Free Fund	Dividends	A
Merrill Lynch	Div/Int/C. Gains	D
Metropolitan Life Insurance Co.	Interest	B
Wright Patman FCU (IRA)	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
4435 Lilac Lane, Chattanooga, TN	C
4700 Murray Lake Lane, Chattanooga, TN	D
Wright Patman FCU (IRA)	B
Stocks and Bonds (See Attachment B)	B
Metropolitan Life Insurance Annuity Contract	C
Thrift Savings Plan	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Attachment C		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Interfed S & L, Chattanooga, TN (Mortgage)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attachment D	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Marilyn L. Lloyd	May 12,

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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MARILYN L. LLOYD

(Attachment A)

FINANCIAL DISCLOSURE STATEMENT FOR 1988

PART II (A) - EARNED INCOME

Source	Amount	Disposition
American Nuclear Energy Council 410 First Street, S.E. Washington, D.C. 20003 (5-19-88)	$ 1,000	None
American Nuclear Energy Council 410 First Street, S.E. Washington, D.C. 20003 (6-6-88)	300	None
Edison Electric Institute 111 Nineteenth Street, N.W. Washington, D.C. 20036-3691 (7-21-88)	250	None
Carolina Power and Light Company P.O. Box 1551 Raleigh, North Carolina 27602 (11-18-88)	500	None
TOTAL	$ 2,050	

[illegible]

MARILYN L. LLOYD

FINANCIAL DISCLOSURE STATEMENT FOR 1988

PART III HOLDING

Attachment B

IDENTITY	CATEGORY
Stocks -	
Warner Communications	B
Pacific Gas and Electric	C
Provident Life and Accident	C
Union Pacific Corp.	B
Keystone Custodian Fund	C
Merrill Lynch Retirement Benefit Program	B
Montana Power Co.	A
Bonds -	
Browning Ferris Industries	B
Holly Farms Co.	B
Savings Account -	
Merrill Lynch	B
United Savings Association of Houston Texas C.D.	A

R93/1232391

MARILYN L. LLOYD

FINANCIAL DISCLOSURE STATEMENT FOR 1988

PART IV TRANSACTIONS

Attachment C

BRIEF DESCRIPTION	DATE	CATEGORY
Purchases -		
80 Shares Montana Power Co.	8/1/88	A
Merrill Lynch Retirement Benefit Program	Various	C
Holly Farms Convertible Debentures	3/11/88	B
200 Shares Warner Communications	3/28/88	B
Browning Ferris Industries Convertible Debentures	6/2/88	B
1,000 Shares Pacific Gas and Electric	9/19/88	C
300 Shares Union Pacific Corp.	9/19/88	B
200 Shares Provident Life & Accident	10/7/88	A
Sales -		
Merrill Lynch Retirement Benefit Program	Various	D

MARILYN L. LLOYD

(Attachment D)

Financial Disclosure Statement for 1988

Part VII: REIMBURSEMENTS

Source	Brief Description
Morton Thiokol (Tour)	Round Trip Air Fare Chattanooga-Chicago (8-1-88)
Carolina Power and Light Company (Speech)	Round Trip Air Fare Chattanooga-Columbia (11-18-88)

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 MAY 15 PM 2 16

FORM A—*For use by Members, officers, and employees*

Marilyn L. Lloyd
(Full Name)

2266 Rayburn Office Building
(Mailing Address)

Washington, D.C. 20515

✓
MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State Tennessee

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,039, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

8 3 0 7 II. INCOME 5 7 2

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF EIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary ceive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of nitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other ned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attachment A			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
4435 Lilac Lane, Chattanooga, TN	Rent	Gross:D; Net: "0"
4700 Murray Lake Lane, Chattanooga, TN	Rent	Gross:D; Net: A
Keystone Tax Free Fund	Dividends	A
Merrill Lynch	Div/Int/C. Gains	D
Metropolitan Life Insurance Co.	Interest	B
Wright Patman FCU (IRA)	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
4435 Lilac Lane, Chattanooga, TN	C
4700 Murray Lake Lane, Chattanooga, TN	D
Wright Patman FCU (IRA)	B
Stocks and Bonds (See Attachment B)	F
Metropolitan Life Insurance Annuity Contract	C
Thrift Savings Plan	B

IV. TRANSACTIONS

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GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Attachment C		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Interfed S & L, Chattanooga, TN (Mortgage)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attachment D	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Marilyn L. Lloyd	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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MARILYN L. LLOYD

(Attachment A)

FINANCIAL DISCLOSURE STATEMENT FOR 1988

PART II (A) - EARNED INCOME

Source	Amount	Disposition
American Nuclear Energy Council 410 First Street, S.E. Washington, D.C. 20003 (5-19-88)	$ 1,000	None
American Nuclear Energy Council 410 First Street, S.E. Washington, D.C. 20003 (6-6-88)	300	None
Edison Electric Institute 111 Nineteenth Street, N.W. Washington, D.C. 20036-3691 (7-21-88)	250	None
Carolina Power and Light Company P.O. Box 1551 Raleigh, North Carolina 27602 (11-18-88)	500	None
TOTAL	$ 2,050	

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MARILYN L. LLOYD

FINANCIAL DISCLOSURE STATEMENT FOR 1988

PART III HOLDING

Attachment 5

IDENTITY	CATEGORY
Stocks -	
Warner Communications	B
Pacific Gas and Electric	C
Provident Life and Accident	C
Union Pacific Corp.	B
Keystone Custodian Fund	C
Merrill Lynch Retirement Benefit Program	E
Montana Power Co.	A
Bonds -	
Browning Ferris Industries	B
Holly Farms Co.	B
Savings Account -	
Merrill Lynch	B
United Savings Association of Houston Texas C.D.	A

MARILYN L. LLOYD

FINANCIAL DISCLOSURE STATEMENT FOR 1988

PART IV TRANSACTIONS

Attachment C

BRIEF DESCRIPTION	DATE	CATEGORY
Purchases -		
50 Shares Montana Power Co.	8/1/88	A
Merrill Lynch Retirement Benefit Program	Various	C
Holly Farms Convertible Debentures	3/11/88	B
200 Shares Warner Communications	3/28/88	B
Browning Ferris Industries Convertible Debentures	6/2/88	B
1,000 Shares Pacific Gas and Electric	9/19/88	C
200 Shares Union Pacific Corp.	9/19/88	B
200 Shares Provident Life & Accident	10/7/88	A
Sales -		
Merrill Lynch Retirement Benefit Program	Various	D

MARILYN L. LLOYD

(Attachment D)

Financial Disclosure Statement for 1988

Part VII: REIMBURSEMENTS

Source	Brief Description
Morton Thiokol (Tour)	Round Trip Air Fare Chattanooga-Chicago (8-1-88)
Carolina Power and Light Company (Speech)	Round Trip Air Fare Chattanooga-Columbia (11-18-88)

89070291579

HAND DELIVERED

MAY 23 PM 4:36

MARILYN LLOYD
3D DISTRICT, TENNESSEE

COMMITTEES:
ARMED SERVICES
SCIENCE, SPACE, AND TECHNOLOGY
SELECT COMMITTEE ON AGING
CHAIRMAN:
ENERGY RESEARCH AND
DEVELOPMENT SUBCOMMITTEE

Congress of the United States
House of Representatives
Washington, DC 20515

2266 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
TELEPHONE (202) 225-3271

253 JOEL SOLOMON FEDERAL OFFICE BUILDING
CHATTANOOGA, TN 37401
TELEPHONE (615) 267-9108

1211 JOE L. EVINS FEDERAL OFFICE BUILDING
OAK RIDGE, TN 37830
TELEPHONE (615) 576-1977

May 23, 1989

Office of Records and Registration
U.S. House of Representatives
1036 Longworth HOB
Washington, D.C. 20515

MC ✓

To Whom It May Concern:

I am writing you pertaining two amendments I am making to my 1988 Financial Disclosure Statement.

The first concerns an omission that you pointed out which I have corrected. I had failed to mark the Spouse and Dependent Disclosure Exemption box at the bottom of Page 1. It has now been amended by marking the box "no."

The other amended portion of my statement is on Page 2 under Section 111. Holdings - Stocks and Bonds. The category should have been marked "F" instead of "B". It was a mistake caused by a typographical error and has now been corrected on my amended form.

Thank you for your time and attention to this matter.

Sincerely,

Marilyn Lloyd

MARILYN LLOYD
Member of Congress

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MAY 15 [illegible]

RECEIVED

1989 MAY 23 AM 7:40

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Jill Lynette Long
(Full Name)

Rural Route 1, Box 95
(Mailing Address)

Larwill, Indiana 46764

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State IN

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-15, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Valparaiso University	Salary	$27,000	
Purdue University	Salary	$ 1,200	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Farm	Cash Rent	B
Teachers Insurance and Annuity Ass'n	Pension	
College Retirement Equities Fund	Repurchase	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA x

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
80-Acre Farm: NE QTR. NW QTR. SEC 28, TWP 33, RNG 8, 40A	E
NW QTR. NW QTR. SEC 28, TWP 33, RNG 8, 40A	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Trustcorp (Farm Mortgage)	C
Gainer Bank (Farm Mortgage)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Not a Member of Congress in 1988	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Not a Member of Congress in 1988		

89070231583

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Not a Member of Congress in 1988	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Adjunct Assistant Professor	Indiana University

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jill Lynette Long	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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MAY 15 1989

RECEIVED
1989 MAY 16 AM 8 01
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

William David Lowery
(Full Name)

2433 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 41 State California

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Direct Communication (spouse)	Salary		
Washington Wives (spouse)	Honorarium	$500.00	
(SEE ATTACHED SHEET)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
San Diego Trust & Savings Bank	Interest	A
Wright Patman Credit Union	Interest	A
First American Bank of Virginia	Interest	A
San Diego Condominium (13754 #314 Mango Dr)	Rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
San Diego Trust & Savings Bank-Money Market Account	A
Wright Patman Federal Credit Union-Savings Account	B
San Diego Trust & Savings-IRA (William D. Lowery)	B
San Diego Trust & Savings-IRA (Kathleen B. Lowery)	A
First American Bank of Virginia - KEOGH	B
WesTerra Equities Plan I- Limited Partnership	C
WesTerra Equities Plan II- Limited Partnership	B
Warfield Building, LTD. Limited Partnership	B
SEGS II Private Placement Security (Solar)	D
San Diego Condominium (13754 # 314 Mango Drive)	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
San Diego Condominium (13754 # 314 Mango Drive)	01/06/88	Purchase

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of Commerce	C
Citicorp Savings & Loan New York, NY (13754 # 314 Mango Dr., Mortgage)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(SEE ATTACHED SHEET)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bill Lowery	MAY 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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Page 5 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

WILLIAM DAVID LOWERY
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II A	Oklahoma Business Development Council	Honorarium	02/01/88	$1,000
	William F. Beuck	Honorarium	02/01	1,000
	Bell Helicopter	Honorarium	02/02	1,000
	LTV Aerospace & Defense Company	Honorarium	02/02	1,000
	General Dynamics Forth Worth Division	Honorarium	03/21	1,000
	Flour Technology, Inc.	Honorarium	03/25	1,000
	The Irvine Company	Honorarium	04/05	1,500
	Long Island Aeropac Business Association:			
	Ellanef Manufacturing	Honorarium	04/22	1,000
	H.S.M. Machine Works, Inc.	Honorarium	04/22	500
	Fraccaro Industries, Inc.	Honorarium	04/22	500
	Connell Rice & Sugar Co., Inc.	Honorarium	04/25	2,000
	Wine Institute	Honorarium	05/24	1,000
	Morton Thiokol, Inc.	Honorarium	07/21	1,000
	Recycling Institute	Honorarium	08/27	1,000
	Textron Avco Systems	Honorarium	10/01	2,000
	Santa Fe Engineering	Honorarium	10/25	2,500 (500 charity)
	Atlantic Dry Dock Corp.	Honorarium	11/16	2,000
	Heron, Burchette, Ruckert & Rothwell	Honorarium	11/29	1,000
	Northrop	Honorarium	12/08	2,000
	Marquardt Co.	Honorarium	12/09	2,000
	Hercules Inc.	Honorarium	12/16	1,000
	Total	Honoraria		$26,500 (plus 500 ~ charity)

Part	Source	Brief Description
VII Reimbursements	Bell Helicopter	1 night lodging/food
	LTV Aerospace & Defense Company	food
	National Forest Products Association	Air transportation, Sacramento to DC, 1 night lodging/food
	Flour Technology	Ground transportation/food
	The Irvine Company	1 night lodging/food; spouse
	Norfolk Ship Building	Roundtrip Air transportation, DC to Norfolk, VA 1 night lodging/food
	Long Island Aeropac/Business Association	Air transportation, DC to Long Island, NY, Ground transportation, Long Island to NY City
	Connell Rice & Sugar Co,	Air transportation NY to DC, train transportation for spouse DC to NY, 3 nights lodging/food; spouse
	San Francisco Ship Repair	Roundtrip Air transportation, DC to San Francisco CA, 2 nightslodging/ food; spouse
	Morton Thiokol, Inc.	1 night lodging/ food; spouse
	Recycling Institute	food
	Textron Avco Systems	Roundtrip Air transportation, NY to Boston, MA 3 nights lodging/ food; spouse
	Santa Fe Engineering	Roundtrip Air transportation, SD to LA, LA to DC 1 night lodging/ food; spouse
	Atlantic Dry Dock Corp.	Roundtrip Air transportation, DC to Jacksonville, FL, 1 night lodging/food; spouse
	Northrop	ground transportation/food
	Marquardt Co.	Roundtrip Air transportation SD to LA, LA to DC 1 night lodging/food

GPO: 1987 78-048 (m)

Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

WILLIAM DAVID LOWERY
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
Reimbursements Cont.		
	Hercules Incorporated	food
	Heron, Burchette, Ruckert & Rothwell	food
	Woods Hole Oceanographic Institute	Roundtrip Air transportation, SD to Hyannispor MA, MA to DC, ground transportation, 1 night lodging/food
	Robert Mondavi Winery	1 night lodging/food; spouse

INSIDE MAIL 7 0221581

BILL LOWERY
41ST DISTRICT, CALIFORNIA
COMMITTEE ON APPROPRIATIONS
SUBCOMMITTEES
[illegible] CONSTRUCTION
[illegible]
TREASURY, POSTAL SERVICE—
GENERAL GOVERNMENT

CONGRESS OF THE UNITED STATES
HOUSE OF REPRESENTATIVES

WASHINGTON OFFICE
132 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-3201

DISTRICT OFFICE
[illegible]
SAN DIEGO, CA [illegible]
(619) 231-0957

OFFICE OF RECORDS [illegible]
1989 MAY 24 AM 7:33
U.S. HOUSE OF REPRESENTATIVES

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MC

May 23, 1989

The Honorable Donnald K. Anderson
Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Dear Mr. Anderson:

This letter is to amend my Financial Disclosure Statement in the following sections:

Part V. Liabilities ---

1) Type of indebtedness relating to Bank of Commerce entry is a loan.

2) Citicorp Savings & Loan, New York, NY should read Citicorp Savings & Loan, Oakland, CA

Part VII. Reimbursements ---

1) New entry:
Source: Congressional Institute (seminar particpant)
Description: Roundtrip airfare, D.C. to Houston, TX; two days food/lodging

2) typographical error: Flour Technology, Inc. should read Fluor Technology, Inc.

Thank you for your attention to these corrections.

Sincerely,

Bill Lowery
BILL LOWERY
Member of Congress

BL:bh

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MAY 1 1 1989

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OFFICE OF RECORDS
1989 MAY 18 AM 10: 29
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

NITA M. LOWEY
(Full Name)

3 Beverly Road
(Mailing Address)

Rye, New York 10580

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 20 State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of New York	Accrued Vacation Pay	$3227	
Lowey, Dannenberg & Knapp, P.C. (spouse)	Salary and Bonus		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Dreyfus Tax-Exempt Money Market	Dividends	E
Citibank Landmark Fund	Dividends	E
Citibank Brokerage	Interest	D
Citibank	Interest	C
Gilder, Gagnon & Co.	Capital gains & Dividends	E
Tweedy, Brown & Co.	Capital gains & Dividends	E

SEE CONTINUATION SHEET

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Lowey, Dannenberg & Knapp, P.C.	F
Citibank Landmark Fund	F
Dreyfus Tax-Exempt Money Market	F
Gilder, Gagnon & Co.	E*
Tweedy, Brown & Co.	E*

* See continuation sheet.

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Continuation Sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

0 0 0 7 0 2 9 0 3 5 2

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	West. Cmty. Opportunity Program
Director	Legal Awareness for Women
Director	West. Jewish Community Services
Director	American Jewish Committee

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Nita M. Lowey	5/7/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NITA M. LOWEY
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II - B	Interim Fund	Capital Gains	B
	R.J. Scheuer & Co.	Capital gains and interest	E
	Sperber Adams	Dividends	A
	Merrill Lynch	Dividends	A
	Bear Stearns & Co.	Capital gains and dividends	C
	Prudential Bache	Capital gains and dividends	A
	Brandon Drilling	Interest	A
III	Gilder, Gagnon & Co.	Managed portfolio of equity securities which changes frequently, consisting of approximately 30 positions. Manager has complete discretion to select, buy and sell securities	
	Tweedy, Brown & Co.	Managed portfolio of equity securities which changes frequently, consisting of approximately 50 positions. Manager has complete discretion to select, buy and sell securities.	
	R.J. Scheuer & Co.	D	
	EM New York Properties	E	
	Interim Fund	D	
	Brandon Drilling	C	
	Federal National MOrtgage Association	C	
	Municipal Assistance Corp. NY	C	
	Nassau County NY Gen. Impt.	C	
	Triborough Bridge and Tunnel	D	
	Multimedia	E	
	Electronucleonics	B	
	Jefferson NB	B	
	Fastenal	B	
	Wendy's	A	
	MCO Resources Sub. Notes	E	
	Lomas Financial	B	
	Woodstream Corp.	B	
	Whitman Corp.	B	
	Langly	B	
	Industrial Acoustics	A	
	Clark Consolidated	B	
	Public Service New Mexico	A	
	Applied DNA	A	
	Cleveland Cliffs	A	
IV	Purchases and sales in normal course in the Tweedy, Brown and Gilder Gagnon accounts		

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

THOMAS A. LUKEN
(Full Name)

2368 Rayburn HOB
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State OH

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria	speech	$54,600	$28,759 (to Charity)
SEE PAGE 5&6 for details			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Centennial S&L	Interest	B
Pan Am World Airways	Stock	A
Southwest Bell	Bond	A
Ameritrust	Stock	A
Gradison EST Grouth Fund	Mutual Fund	A
Fidelity Securities Fund Inc.	Bond Fund	A
USFN&G PAC Holding Fund	Bond Fund	A
Holy Trinity-St. Nicholas Greek Orthodox Ch. Raffle (automobile)		E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Centennial S&L	C
Pan Am World Airland	B
Southwest Bell	C
Gradison EST Grouth Fund	C
Fidelity Securities Fund Inc.	C
USFN & G Pac Holding Fund	C
	D
Keystone Provident Insurance(single premiun)	B
Assets- U.S. Government Bonds	
Condo-644 Mass. Ave., NE	D

8 9 0 7 0 2 3 3 0 1 0

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Holy Trinity-St Nicholas Raffle (automobile)wife	2/88	E
Keystone Provident Insurance (single premium)purchase	6/88	D
USFM &GPAC Holding Fund -purchased	12/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED SCHEDULE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Tom Luken	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

page of II
INCOME
(pages)

Ethics in Government Act-Financial Disclosure Statement

Thomas A. Luken
1988 Honorarium

DATE OF SPEECH	GROUP	AMOUNT
10/5/87-	THE FERTILIZER INSTITUTE	$2,000 1/88
10/21/87-	SAFE BUILDING ALLIENCE	2,000-1/88
11/16/87	AMER. SOCIETY OF CORPRATE SEC.	2,000-1/88
12/10/87	NAT'L SOLID WASTE MANAGEMENT ASSOC.	2,000-1/88
12/16/87	AMER. TRUCKING ASSOC.	1,500-1/88
1/12-	NABISCO BRANDS ---------	500-4/88
1/19-	DREXEL BURNHAM LAMBERT INC.	$1,000-2/88
1/30-	CHEMFIX CORP.	$2,000 1/88
2/5-	BROWNING-FERRIS INDUSTRIES -	$2,000- 2/88
2/5-	NATIONAL ASSOC. OF REALTORS -	1,000- 2/88
2/18-	MOTOR VEHICLE MFG. ASSOC.	1,000-2/88
2/29-	CHICAGO BOARD OF TRADE	500-3/88
2/29	MERCANTILE EXCHANGE -	500-3/88
3/9-	BMWE	2,000- 3/88
3/16-	AMERICAN ADVERTISING FEDERATION	2,000-4/88
3/19-	UNION PACIFIC	2,000-4/88
3/21-	PRECISION LENS CRAFTERS-	500-3/88
4/6-	AMER. SOC.OF MECHANICAL ENGINEERS-	500 - 4/88
4/8-	AMERICAN MEAT INSTITUTE -	500-4/88
4-10	NAB -	1,500-5/88
4/22	DOW CHEMICAL-	2,000-5/88
5/16-	NAT'L ASSO. OF RETAIL DRUGGEST-	$2,000-6/88
5/17-	NAT'L TANK TRUCK CARRIERS, INC.-	2,000-12/88
5/17-	NAT'L JYPSON ASSOC.-	2,000-5/88
5/20-	DAMON CORPORATIONS----	1,000-5/88
5/24-	PROCTER & GAMBLE CO. -	$2,000-6/88
6/1-	HIGH SPEED RAIL ASSOC. -	$2,000-10/88

page of II
INCOME
(page 6)

THOMAS A. LUKEN
1988 HONORARIUM

DATE OF SPEECH	GROUP	AMOUNT
6/7	BRISTAL MYERS	$1,000-6/88
7/7-	GROCERY MFG. ASSOC. -	$2,000-6/88
7/25	PFIZER----	1,000-8/88
7/27	The Washington Times- Editorial	100-7/88
8/5	BOMBARDIER CORP. -	1,500-11/88
8/24	ITEL	$1,000-10/88
8/25	THE COOPERSTOWN CONFERENCE	$1,000-12/88
10/5	NAT'L SCHOOL BOARDS ASSOC.-	$500-11/88
11/15	PUBLIC RELATIONS SOCIETY OF AMER.	$1,000-12/88
11/17	ASSOC. OF AMER. RAILROADS-	$1,000-12/88
11/18-	UNITED STATES TELEPHONE ASSOC.-	$1,000-12/88
11/21-	WESTERN RAILROAD ASSOC. -	$1,500-11/88
12/5-	ASBESTOS ABATEMENT COUNCIL (AWCI)-	$1,000-12/88
12/14-	GUNDLE LINING SYSTEMS INC. -	$1,000-12/88
	BRAMKAMP PRINTING CO.- SPOUSE SALARY	$11,106.55

page of VII
reimbursements
(page 7)

Ethics in Government Act-Financial Disclosure Statement for 1988

Source	Description
The Fertilizer Institute	trip to Chicago, IL for speech
American Society of Corporate Sec.	trip to New York for speech
Drexel Burnham Lambert Inc.	trip to New York for speech
Chemfix Corp.	trip to New Orleans speech
Browning-Ferris Industries	trip to Houston, TX for speech
Nat'l Assn of Realtors	trip to San Antonio, TX speech
Chicago Board of Trade/Mercantile Exchange	trip to Chicago, IL for speech
Union Pacific	trip to Omaha, Neb for speech
NAB	trip to Las Vegas for speech (includes Mrs. Luken)
Dow Chemical	trip to Midland, MI for speech
Nat'l Tank Truck Carriers, Inc.	trip to New Orleans speech
Damon Corp.	trip to Boston, MA for speech
Pfizer	trip to New York speech
Bombardier Corp.	trip to Auburn, NY speech
ITEL	trip to San Francisco, CA speech
The Cooperstown Conference	trip to Greenbrier, WV speech
U.S. Telephone Assn	trip to Boca Raton, FL speech
Western Railroad Assn	trip to Chicago, IL speech
Gundle Lining Sustems Inc.	trip to Houston, TX speech
ROC-USA Econ. Council	trip to Taipei, Taiwan (included Mrs. Luken-Fact finding mission)
NEDA/CAP	trip to Washington-Mrs. Luken attend Thomas A. Young Distinguished Service Award Service Award - (Thomas A. Luken)

1978

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(Page 81

RBC Assn. Inc.	trip to Amelia Island
Amer. Society of Mechanical Engineers	trip to Washington

1979

8 9 0 7 0 2 1 2 7 9 5

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RECEIVED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct 1989 JUN 13 AM 8 24

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

THOMAS A. LUKEN
(Full Name)

2368 Rayburn HOB
(Mailing Address)

Washington, D. C. 20515

✓ HC
(Office Use Only)

RECEIVED
1989 JUN 13 AM 8 24
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State Ohio

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement.

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I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria	Speech	$54,600	$28,759
			(to charity)
See page 5 & 6 for details			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Centennial S & L	Interest	[illegible]
Pan Am World Airways	Stock	[illegible]
Southwest Bell	Bond	A
Ameritrust	Stock	A
Gradison EST Growth Fund	Mutual Fund	A
Fidelity Securities Fund Inc.	Bond Fund	A
USFN&G PAC Holding Fund	Bond Fund	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

Holy Trinity-St. Nicholas Greek Orthodox Church Raffle (automobile) E

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO _xx_ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Centennial S & L	C
Pan Am World Airways	B
Southwest Bell	C
Gradison EST Grouth Fund	C
Fidelity Securities Fund Inc.	C
USFN&G Pac Holding Fund	C
Keystone Provident Insurance (single Premium)	D
Assets-U.S. Government Bonds	B
Condo -- 644 Mass Ave., N.E.	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
(sold) Holy Trinity-St. Nicholas Raffle (automobile) wife	2/88	C
Keystone Provident Insurance (single premium) purchase	6/88	D
USF&G PAC Holding Fund purchase	12/88	C
Gradison EST Growth Fund purchase	3/88	B
Assets -- U.S. Government Bonds purchase	10/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

1982

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED SCHEDULE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Thomas A Luken	6/10/89

AMENDED

WHERE TO FILE:

**RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:**

**The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515**

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

page / of II
INCOME

Ethics in Government Act-Financial Disclosure Statement

Thomas A. Luken
1988 Honorarium

DATE OF SPEECH	GROUP	AMOUNT
10/5/87-	THE FERTILIZER INSTITUTE	$2,000 1/88
10/21/87-	SAFE BUILDING ALLIENCE	2,000-1/88
11/16/87	AMER. SOCIETY OF CORPRATE SEC.	2,000-1/88
12/10/87	NAT'L SOLID WASTE MANAGEMENT ASSOC.	2,000-1/88
12/16/87	AMER. TRUCKING ASSOC.	1,500-1/88
1/12-	NABISCO BRANDS ---------	500-4/88
1/19-	DREXEL BURNHAM LAMBERT INC.	$1,000-2/88
1/30-	CHEMFIX CORP.	$2,000 1/88
2/5-	BROWNING-FERRIS INDUSTRIES -	$2,000- 2/88
2/5-	NATIONAL ASSOC. OF REALTORS -	1,000- 2/88
2/18-	MOTOR VEHICLE MFG. ASSOC.	1,000-2/88
2/29-	CHICAGO BOARD OF TRADE	500-3/88
2/29	MERCANTILE EXCHANGE -	500-3/88
3/9-	BMWE	2,000- 3/88
3/16-	AMERICAN ADVERTISING FEDERATION	2,000-4/88
3/19-	UNION PACIFIC	2,000-4/88
3/21-	PRECISION LENS CRAFTERS-	500-3/88
4/6-	AMER. SOC.OF MECHANICAL ENGINEERS-	500 - 4/88
4/8-	AMERICAN MEAT INSTITUTE -	500-4/88
4-10	NAB -	1,500-5/88
4/22	DOW CHEMICAL-	2,000-5/88
5/16-	NAT'L ASSO. OF RETAIL DRUGGEST-	$2,000-6/88
5/17-	NAT'L TANK TRUCK CARRIERS, INC.-	2,000-12/88
5/17-	NAT'L JYPSON ASSOC.-	2,000-5/88
5/20-	DAMON CORPORATIONS----	1,000-5/88
5/24-	PROCTER & GAMBLE CO. -	$2,000-6/88
6/1-	HIGH SPEED RAIL ASSOC. -	$2,000-10/88

page A of II
INCOME

THOMAS A. LUKEN
1988 HONORARIUM

DATE OF SPEECH	GROUP	AMOUNT
6/7	BRISTAL MYERS	$1,000-6/88
7/7-	GROCERY MFG. ASSOC. -	$2,000-6/88
7/25	PFIZER----	1,000-8/88
7/27	The Washington Times- Editorial	100-7/88
8/5	BOMBARDIER CORP. -	1,500-11/88
8/24	ITEL	$1,000-10/88
8/25	THE COOPERSTOWN CONFERENCE	$1,000-12/88
10/5	NAT'L SCHOOL BOARDS ASSOC.-	$500-11/88
11/15	PUBLIC RELATIONS SOCIETY OF AMER.	$1,000-12/88
11/17	ASSOC. OF AMER. RAILROADS-	$1,000-12/88
11/18-	UNITED STATES TELEPHONE ASSOC.-	$1,000-12/88
11/21-	WESTERN RAILROAD ASSOC. -	$1,500-11/88
12/5-	ASBESTOS ABATEMENT COUNCIL (AWCI)-	$1,000-12/88
12/14-	GUNDLE LINING SYSTEMS INC. -	$1,000-12/88
	BRAMKAMP PRINTING CO.- SPOUSE SALARY	$11,106.55

1985

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Donald E. Lukens, MC
(Full Name)

117 Cannon HOB, Washington, D.C.
(Mailing Address)

MC (Office Use Only)

[illegible] 15 [illegible] 11:23

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8th State Ohio

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

1986

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
EDITH LUKENS	RENT (HOME)	B
TODD FAIRCHILD	RENT (LAND)	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
FAMILY FARM, HARVEYSBURG, OHIO	E

1987

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
BANK ONE, COLUMBUS, OHIO (MORTGAGE)	D
FIRST NATIONAL BANK, HAMILTON, OHIO	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

1988

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
CHAIRMAN	ACTION FUND (501-C-3) EDUCATION FOUNDATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature D E Lukens	Date May 11, 89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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Page 5 of 6

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

DONALD E. LUKENS
(Name)

Continuation Sheet

Part II EARNED INCOME — *Source, Type, Amount, Category, Description or Value (As Applicable)*

HONORARIA	$1000.00 WORLD ANTI-COMMUNIST LEAGUE - 1-29-88
"	$ 300.00 AMERICAN ASSOCIATION OF CHRISTIAN SCHOOLS 2-23-88
"	$ 750.00 OHIO BELL 3-4-88
"	$2000.00 INTERNATIONAL BROTHERHOOD OF TEAMSTERS 3-15-88
"	$1000.00 ASSOC. OF WALL & CEILING INDUSTRIES 4-14-88
"	$ 750.00 ADCO 4-17-88
"	$ 500.00 MIKE PUSKARICH 4-21-88
"	$1000.00 RICHARD RICHARDS TRUST ACCOUNT 4-26-88
"	$1000.00 TIMMONS & CO. 5-17-88
"	$ 250.00 FIRST PRESBYTERIAN CHURCH 5-19-88
"	$1000.00 ARMCO 5-20-88
"	$1000.00 COUNCIL FOR INTER-AMERICAN SECURITIES 5-26-88
"	$1000.00 ANDERSON, HIBEY, NAUHEIM, BLAIR 5-31-88
"	$ 350.00 LANDMARK CHRISTIAN CHURCH 6-17-88
"	$1000.00 AMERICAN TRUCKING ASSOCIATION 7-7-88
"	$1000.00 SYNERGISTICS 8-8-88
"	$1000.00 THOMPSON & ASSOCIATES 8-12-88
"	$1000.00 WORLD ANTI-COMMUNIST LEAGUE - 8-16-88
"	$ 500.00 CHICAGO BOARD OF TRADE 8-26-88
"	$ 500.00 CHICAGO MERCANTILE EXCHANGE 8-26-88
"	$1000.00 THOMPSON & ASSOCIATES 9-16-88
"	$ 800.00 HOUSE REPUBLICAN CAMPAIGN COMMITTEE 10-7-88
"	$2000.00 INTERNATIONAL BROTHERHOOD OF TEAMSTERS 12-12-88
"	$ 500.00 ARMCO 12-28-88
"	$1000.00 OHIO MINING & RECLAMATION ASSOC. 12-28-88
"	$2000.00 ANDERSON, HIBEY, NAUHEIM, BLAIR 12-30-88
"	$1000.00 KEY DATA SYSTEMS 10-24-88
"	$1000.00 INTERSTATE RESOURCES INC. 11-10-88

1990

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Page 6 of 6

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

DONALD E. LUKENS
(Name)

Continuation Sheet

Part VII REIMBURSEMENTS

Source, Type, Amount, Category, Description or Value (As Applicable)

ACTION FUND-501C3 (FACT FINDING TRIP)
ROUNDTRIP AIRFARE: DCA TO CENTRAL AMERICA; 2 DAYS FOOD/LODGING

REPUBLICAN EXECUTIVE COMMITTEE OF MANATEE CO.FL (SPEECH)
ROUNDTRIP AIRFARE: DCA TO TAMPA, FL; 1 DAY FOOD/LODGING

FOUNDATION FOR AFRICA'S FUTURE, (FACT FINDING TRIP)
ROUNDTRIP AIRFARE: DCA TO JOHANNESBURG, S.AFRICA; 13 DAYS FOOD/LODGING

CONGRESSIONAL INSTITUTE INC. (CONFERENCE)
ROUNDTRIP AIRFARE: DCA TO HOUSTON, TX; 3 DAYS FOOD/LODGING

COUNCIL FOR INTER-AMERICAN SECURITIES (FACT FINDING TRIP)
ROUNDTRIP AIRFARE: DCA TO CENTRAL AMERICA 3 DAYS FOOD/LODGING

COUNCIL FOR INTER-AMERICAN SECURITIES (SPEECH/CONF)
ROUNDTRIP AIRFARE: DCA TO MAIMI, FL; 4 DAYS FOOD/LODGING

WORLD ANTI-COMMUNIST LEAGUE (SPEECH/CONF)
ROUNDTRIP AIRFARE: DCA TO TAIPEI, ROC; 5 DAYS FOOD/LODGING

HOUSE REPUBLICAN CAMPAIGN COMMITTEE (SPEECH)
ROUNDTRIP AIRFARE: DCA TO LEXINGTON, KY; 1 DAY FOOD/LODGING

100th CLASS RETREAT (CONFERENCE)
ROUNDTRIP TRANSPORTATION FROM DCA TO EASTON, MD; 2 DAYS FOOD/LODGING

33070232265

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Donald E. Lukens, MC
(Full Name)

117 Cannon HOB, Washington, D.C.
(Mailing Address)

✓
MC (Office Use Only)

OFFICE OF RECORDS & REGISTRATION
1989 JUN -5 PM 4:14

1989 JUN 23
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8th State Ohio

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, [illegible] reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

1992

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
EDITH LUKENS	RENT (HOME)	B
TODD FAIRCHILD	RENT (LAND)	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not [illegible]ed. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
FAMILY FARM, HARVEYSBURG, OHIO	E

1993

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
BANK ONE, COLUMBUS, OHIO (MORTGAGE)	D
FIRST NATIONAL BANK, HAMILTON, OHIO	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

1994

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
CHAIRMAN	ACTION FUND (501-C-3) EDUCATION FOUNDATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and w[illegible] fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. [illegible] and 18 U.S.C. §1001).

Signature	Date
D E Jenkins	May 11, 89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

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U.S. GOVERNMENT PRINTING OFFICE

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Page 5 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

DONALD E. LUKENS
(Name)

Continuation Sheet

Part II EARNED INCOME

Source, Type, Amount, Category, Description or Value (As Applicable)

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"	$ 750.00 OHIO BELL 3-4-88
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GPO: 1987 [illegible]

Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

DONALD E. LUKENS
(Name)

Continuation Sheet

Part VII REIMBURSEMENTS — *Source, Type, Amount, Category, Description or Value (As Applicable)*

ACTION FUND-501C3 (FACT FINDING TRIP)
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100th CLASS RETREAT (CONFERENCE)
ROUNDTRIP TRANSPORTATION FROM DCA TO EASTON, MD: 2 DAYS FOOD/LODGING

1997

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DONALD E. LUKENS
8TH DISTRICT, OHIO

COMMITTEE ON FOREIGN AFFAIRS

COMMITTEE ON GOVERNMENT OPERATIONS

Congress of the United States
House of Representatives
Washington, DC 20515

117 Cannon House Office Building
Washington, DC 20515
(202) 225-6205

DISTRICT OFFICES:

846 High Street
Hamilton, OH 45011
(513) 895-5656

1346 Central Avenue
Middletown, OH 45044
(513) 423-2100

United States Post Office
Greenville, OH 45331
(513) 548-6817

HAND DELIVERED

RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 JUN -5 PM 4:14
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

June 5, 1989

Clerk of the House
U.S. House of Representatives
Office of Records and Registration
1036 LHOB
Washington, D.C. 20515

Dear Clerk of House,

In response to your letter on the Financial Disclosure Statement, I am correcting Part VI B, All Other Gifts (value of $100.00 or more). I have not received any gifts valued at $100.00 or more. This has been corrected on my Financial Disclosure Statement and the word "none" appears in section B.

If you have any other problems or questions please feel free to call me.

Sincerely,

Donald E. Lukens
Member of Congress

DEL/rjs

1998

UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

ALFRED A. McCANDLESS
(Full Name)

435 Cannon HOB
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 37 State CA

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

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In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NONE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
FIDELITY SAVINGS & LOAN ASSOCIATION	INTEREST	D
1ST TRUST DEED RESIDENTIAL LOT	INTEREST	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES __X__ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
FIDELITY SAVINGS & LOAN ASSOCIATION	E
1ST TRUST DEED INDIAN WELLS RESIDENTIAL LOT	D
(1st Trust Deed satisfied during 1988)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
FIRST NATIONAL BANK IN COACHELLA (personal line of credit)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

H 9 7 7 7 2 / 3 1 7 1

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
ALLIED-SIGNAL AEROSPACE CO., GARRETT ENGINE DIV.	May 19-20--Transportation to Phoenix, AZ
(fact-finding/educational tour)	to Thermal, CA, & lodging & meals to
	study ATF3 engine for Coast Guard--
	Gov't. Ops. Committee related
(See attached for additional item.)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Alfred A. McCandless	April 20, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

A 9 3 / 1 2 / 3 1 7 2

AL McCANDLESS
37th District, California

COMMITTEES
[illegible] FINANCE [illegible] URBAN A[illegible]

GOVERNMENT OPERATIONS

Congress of the United States
House of Representatives
Washington, DC 20515

[illegible] Cannon House Office Building
Washington, DC 20515
(202) [illegible]

District Offices
[illegible] Avenue
Suite 160
Riverside, CA [illegible]
(714) [illegible]

[illegible]
Post Office Box [illegible]
Palm Desert, CA [illegible]
(619) [illegible]

FINANCIAL DISCLOSURE STATEMENT FOR 1988:

VII. REIMBURSEMENTS (Continued)

SOURCE	BRIEF DESCRIPTION
Pancyprian Association of America, Inc. and The Hellenic Foundation for Defense & Foreign Policy (fact-finding trip)	Nov. 26-Dec. 4--Round-trip transportation to Cyprus & Greece & return, & lodging & meals for roundtable discussions re: foreign policy

Alfred A. McCandless
ALFRED A. McCANDLESS, M.C.

April 20, 1989

A 9 3 7 0 2 9 1 3 0 8

AL McCANDLESS
37th District, California

Committees
Banking, Finance
and
Urban Affairs

Government Operations

Congress of the United States
House of Representatives
Washington, DC 20515

430 Cannon House Office Building
Washington, DC 20515
(202) 225-5330

District Offices
[illegible] Riverside Avenue
Suite 100
Riverside, CA [illegible]
(714) [illegible]-7127

74-075 El Paseo
Post Office Box [illegible]
Palm Desert, CA 92261
(619) [illegible]

OFFICE OF RECORDS & [illegible]
1989 MAY 22 AM 8 42
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

May 18, 1989

✓
MC

The Honorable Julian C. Dixon
Chairman, Committee on Standards
of Official Conduct
HT-2, U.S. Capitol
Washington, DC 20515

Dear Mr. Chairman:

With regard to my Financial Disclosure Statement for 1988, the SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION box in Part I on page 1 was overlooked when the statement was being prepared, and should have been marked "yes".

Thank you for your assistance in this matter.

Sincerely,

Al McCandless

AL McCANDLESS
Member of Congress

AAM:Jg
cc: Office of Records and ✓
Registration

39070212272

AL McCANDLESS
37TH DISTRICT, CALIFORNIA

COMMITTEES

BANKING, FINANCE
AND
URBAN AFFAIRS

GOVERNMENT OPERATIONS

Congreſs of the United States
House of Representatives
Washington, DC 20515

436 CANNON HOUSE OFFICE BUILDING
WASHINGTON DC 20515
(202) 225-5330

DISTRICT OFFICES

6529 RIVERSIDE AVENUE
SUITE 183
RIVERSIDE CA 92506
(714) 682-7127

74-075 EL PASEO
POST OFFICE BOX 1466
PALM DESERT, CA 92261
(619) 340-2900

OFFICE OF RECORDS & REGISTRATION
1989 JUN -2 PM 4 38
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

HAND DELIVERED June 1, 1989

The Honorable Julian C. Dixon
Chairman, Committee on Standards
of Official Conduct
HT-2, U.S. Capitol
Washington, DC 20515

Dear Mr. Chairman:

This letter is to serve as an amendment to my Financial Disclosure Statement forms, Section II. INCOME--A. EARNED INCOME, for the years listed below. I receive pension income from the Riverside County Retirement Fund through the Public Employment Retirement System of California, on which I pay Federal and state income tax. The years to be amended are as follows:

1983 -- $5,573.29
1984 -- 6,133.92
1985 -- 6,215.68
1986 -- 6,340.00
1987 -- 6,466.76
1988 -- 6,596.00

Thank you for your assistance in this matter.

Sincerely,

Al McCandless

AL McCANDLESS
Member of Congress

AAM:jg
cc: Office of Records and Registration ✓

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Frank McCloskey
(Full Name)

P.O. Box 7
(Mailing Address)

Smithville, Indiana 47458

MC
(Office Use Only)

[illegible] MAY 10 [illegible] 11:37

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8th State Indiana

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Brookings Institution 3/18/88	honorarium	$300	
LTV Corporation 5/26/88	honorarium	$2000	
Bell Helicopter 5/26/88	honorarium	$1000	
Natl. Assoc. Postmasters of U.S. 6/17/88	honorarium	$ 500	
American Postal Workers Union 8/1/88	honorarium	$2000	
		See continuation sheet	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bloomington National Bank (Mark McCloskey-son)	Interest	A
Wright Patman Congressional Federal Credit Union	Interest	A
" " " " " "	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(8) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
None	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
LTV Corporation	Airfare between Washington, DC-Dallas-Indianapolis & 1 night food & lodging
American Postal Workers Union	Airfare between Evansville-Chicago-Indianapolis & 1 night food & lodging
Natl. Assoc. Letter Carriers	Airfare between Washington, DC-Portland,Oregon-Indianapolis & 1 night food & lodging.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Frank McCloskey	5-9-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Frank McCloskey
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II. A	National Assoc. of Letter Carriers 9/11/88 honorarium $1500
	AT&T 8/24/88 honorarium $1000
	Bloomington Developmental Learning Center salary
	(Spouse)

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Bill McCollum a/k/a
Ira William McCollum, Jr.
(Full Name)

600 Thistlewood Court
(Mailing Address)

Longwood, Florida 32779

MC
(Office Use Only)

MAY 10 2:57

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State Florida

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary you receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of this limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED CONTINUATION SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE ATTACHED CONTINUATION SHEET		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE ATTACHED CONTINUATION SHEET	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SEE ATTACHED CONTINUATION SHEET		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None.	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Thomas R. and Thelma Dawson	Lodging - Family stayed in friends' summer home in Highlands, N.C. for several days in July.

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
	SEE ATTACHED CONTINUATION SHEET

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bill McCollum	May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bill McCollum a/k/a
Ira William McCollum, Inc.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
	SOURCE	DATE	AMOUNT
II-A EARNED INCOME	National Association of Federal Credit Unions	1/28/88	$ 300.00
	Los Angeles Times	2/5/88	250.00
	Securities Industry Association, Inc.	4/4/88	2,000.00
	Florida Bankers Association	4/14/88	500.00
	The Chicago Board Options Exchange	4/18/88	1,000.00
	Lazard Freres and Company	4/21/88	2,000.00
	Board of Trade of City of Chicago	5/5/88	500.00
	Chicago Mercantile Exchange	5/6/88	500.00
	The Secura Group	5/10/88	1,000.00
	National Association of Securities Dealers, Inc., District No. 7	5/12/88	1,500.00
	League of IBM Credit Unions	5/14/88	1,000.00
	Akin, Gump, Strauss, Hauer & Feld	5/20/88	2,000.00
	Florida Informanagement Services, Inc	6/6/88	1,700.00
	American Bankers Association	6/21/88	2,000.00
	Coalition for Regional Banks	7/12/88	1,000.00
	Health and Life Organization	7/12/88	500.00
	South Carolina League of Savings Institutions Convention Account	9/12/88	1,000.00
	United States League of Savings Institutions	9/12/88	1,000.00
	Florida League of Financial Institutions	10/3/88	2,000.00
	Pfizer, Inc.	10/7/88	1,000.00
	Credit Union National Association, Inc.	10/28/88	2,000.00
	National Association of State Credit Union Supervisors	11/1/88	2,000.00
	American Association for Respiratory Care	11/7/88	300.00
	TYPE: ALL OF THE ABOVE ARE HONORARIA		
	DISPOSITION: $200.00 donated to charity.		

Page 2 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bill McCollum a/k/a
Ira William McCollum, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B UNEARNED INCOME	SOURCE	TYPE	CATEGORY
	Barnett Bank of Central Florida	interest	A
	Cash Equivalent Fund	dividend	A
	1000 sh Micropolis Corporation	capital gain	B
	10 Stratus Nov 20 Call Options	capital gain	A
	10 Stratus Dec 20 Call Options	capital gain	C
	200 sh Costco Wholesale Corporation	capital gain	A
	Casualty gain from settlement of claim for damages to driveway of residence		B

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Bill McCollum a/k/a
Ira William McCollum, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III-HOLDINGS	IDENTITY	CATEGORY
	REAL ESTATE	
	40 Acres real property Hernando County, Florida (60% interest - good faith estimate)	D
	MONEY MARKET FUNDS	
	Barnett Bank Money Market Checking Account	C
	Alliance Capital Reserve	A
	STOCKS HELD 12/31/88	
	Archive Corporation 1000 sh	B
	Oak Industries, Inc. 8000 sh	B
	Costco Wholesale Corporation 400 sh	B
	Greenwich Pharmaceutical, Inc. 500 sh	A
	Biosonics, Inc. 8000 sh	A
	Stratus Computers, Inc. 1000 sh	C
	10 Tandem Computer Jan 15 Call Options	A
	20 Amdahl Corporation Jan 20 Call Options	A
	10 Valero Energy Feb 7.5 Call Options	A
	STOCKS SOLD 1988	
	1000 sh Stratus Computers, Inc.	C
	1000 sh Micropolis Corporation	C
	10 Stratus Nov 20 Calls	A
	10 Stratus Dec 20 Calls	A
	1000 sh Elcor Corporation	B
	200 sh Costco Wholesale Corporation	A
	DEPENDENTS' STOCK 1988	
	Douglas McCollum - 60 sh SunTrust	A
	Justin McCollum - 60 sh SunTrust	A
	Andrew McCollum - 60 sh SunTrust	A

8 9 0 7 0 2 7 4 1 9 1

Page 4 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bill McCollum a/k/a
Ira William McCollum, Jr.
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

IV
TRANSACTIONS

DATE	DESCRIPTION	CATEGORY
1/5/88	Purchase: 1000 sh Stratus Computers, Inc.	C
1/21/88	Sale: 1000 sh Elcor Corporation	B
1/22/88	Purchase: 1000 sh Archive Corporation	B
2/22/88	Sale: 1000 sh Stratus Computers, Inc.	C
3/29/88	Purchase: 1000 sh Micropolis Corporation	C
4/14/88	Sale: 1000 sh Micropolis Corporation	C
4/19/88	Purchase: 1000 sh Stratus Computers, Inc.	C
7/7/88	Sale: 200 sh Costco Wholesale Corporation	A
11/8/88	Purchase: 10 Stratus Nov 20 Calls	A
11/18/88	Sale: 10 Stratus Nov 20 Calls	A
11/25/88	Purchase: 10 Stratus Dec 20 Calls	A
12/1/88	Sale: 10 Stratus Dec 20 Calls	A
12/9/88	Purchase: 500 sh Greenwich Pharmaceutical, Inc.	A
12/28/88	Purchase: 10 Tandem Computer Jan 15 Calls	A
12/30/88	Purchase: 20 Amdahl Corporation Jan 20 Calls	A
12/30/88	Purchase: 10 Valero Energy Feb 7.5 Calls	A

Page 5 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bill McCollum a/k/a
Ira William McCollum, Jr.
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

VII - REIMBURSEMENTS

DATE	SOURCE	BRIEF DESCRIPTION
1/11/88	Securities Industry Association, Inc. (meeting, speaking engagement)	Transportation and food for member and aide.
3/11/88	The Loggerhead Club, Inc. of Delray Beach, Florida (monthly meeting and speaking engagement)	Transportation and food for member and aide.
3/18-19/88	The Chicago Board Options Exchange (fact finding and tour of facilities)	Transportation, lodging and food for member and aide.
5/5-7/88	Board of Trade of City of Chicago meeting, speaking engagement and tour of facilities)	Transportation for member and aide.
5/5-7/88	Chicago Mercantile Exchange (meeting, speaking engagement and tour of facilities)	Food and lodging for member and aide.
5/13-14/88	League of IBM Employee Credit Unions (conference, speaking engagement)	Transportation, lodging and food for member.
4/24/88	Florida Infomanagement Services, Inc. (seminar, speaking engagement)	Transportation for member.
5/12/88	National Association of Securities Dealers, Inc. (seminar, speaking engagement)	Transportation, lodging and food for member and aide.
9/10-12/88	South Carolina League of Savings Institutions (convention, speaking engagement.	Transportation, lodging and food for member and spouse.
9/18-19/88	National Association of State Credit Union Supervisors (conference, speaking engagement)	Transportation, lodging and food for member.
9/19-20/88	Pfizer, Inc. (meeting, speaking engagement and tour of facilities)	Transportation, lodging and food for member.
10/4/88	Credit Union National Association (convention, speaking engagement)	Transportation for member.

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Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bill McCollum a/k/a
Ira William McCollum, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VIII POSITIONS	
POSITION	NAME OF ORGANIZATION
President	Bill McCollum Intern Program, Inc. (non profit for high school students)
President	Committee on Limiting Terms, Inc. (non profit educational)
President	Committee on Limiting Terms Lobby, Inc. (non profit educational)
Board Member	Education Foundation of State Bank Supervisors Division of Conference of State Bank Supervisors
Executive Committee Member	Republican Study Committee

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

89 MAY 12 PM 3:47

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

James Otis McCrery, III
(Full Name)

120 Chimney Stone Drive
(Mailing Address)

Shreveport, LA 71115

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District LA State 04

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO XX NA _____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
* Georgia Pacific Corporation	salary & bonus	$12,453.00	
Georgia Pacific Corporation	pension cash out	$5577.00	
American Nuclear Eng. Council	Honor.	$300	

*salary earned in 1987, paid in 1988, prior to special election in April, 1988.

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental of primary residence	rent	B
State of LA	income tax return	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __xx__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
None	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

	SOURCE	BRIEF DESCRIPTION
#1	Houston Jewish Fed. and Shreveport Jewish (airfare and travel expenses)	Fed., Fact Finding mission to Israel
#2	Cong. Charity golf Tourn. (travel and lodging paid)	participant in event
#3	Morton Thiokol Corp. (airfare paid)	speaking engagement, Amun. Plant

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

HAND DELIVERED

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1989 MAY 23 AM 11:04

JIM McCRERY
4TH DISTRICT, LOUISIANA

BUDGET COMMITTEE
TASK FORCES:
DEFENSE, FOREIGN POLICY AND SPACE
URGENT FISCAL ISSUES
ARMED SERVICES
SUBCOMMITTEES:
INVESTIGATIONS
MILITARY PERSONNEL AND COMPENSATION

Congreſs of the United States
House of Representatives
Washington, DC 20515

1721 LONGWORTH BUILDING
WASHINGTON, DC 20515
(202) 225-2777

DISTRICT OFFICES:
621 EDWARDS STREET
SHREVEPORT, LA 71101
(318) 226-5080

110 EAST LULA STREET
LEESVILLE, LA 71446
(318) 238-4880

May 23, 1989

✓

HC

The Honorable Julian C. Dixon
Chairman
Committee on Standards of
Official Conduct
Room HT-2
U.S. Capitol
Washington, DC 20515

Dear Congressman Dixon:

Please allow this letter to serve as an amendment to my Financial Disclosure Statement for 1988 (FORM A) filed with the Committee on May 12, 1989.

The amendment corrects information excluded from "Section II. Income" under "A. Source." The entry stating an income source of $300 from the American Nuclear Energy Council as an honorarium should also include under "disposition" $300 to charity. That is, I donated the entire $300 honorarium to charity.

Thank you for your kind assistance in amending my statement to reflect the above change.

With kindest regards and best wishes, I am

Sincerely yours,

Jim McCrery

Jim McCrery
Member of Congress

JOM:lc

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INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

David Keith McCurdy
(Full Name)

2344 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
1989 MAY 12 PM 2:04

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State Oklahoma

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a *Financial Disclosure Statement* on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,098, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Private medical practice (spouse)	fees		
Pratt & Whitney - received 1/27/88	honorarium	1,000	
U. of New Mexico - received 1/26/88	honorarium	1,000	
Magnavox - received 1/20/88	honorarium	1,500	
Los Angeles Times - received 1/11/88	royalty	250	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
None		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
None	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Diplomat Hotel, Hallandale, FL	2 nights' lodging - self & spouse

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Pratt & Whitney (speech; expenses for self & spouse)	Airfare: Miami, Fl - DC; 1 night's lodging
U. of New Mexico (speaker at symposium on Space Nuclear Power Systems; expenses for self)	Rnd trip airfare: DC - Albuquerque, NM; 1 day's food & lodging
American Institute of Aeronautics and Astronautics (participant in DOD/AIAA conference; expenses for self)	Rnd trip airfare: DC - SanDiego, CA

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Dave McCurdy	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

David Keith McCurdy
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II-A	SOURCE	TYPE	AMOUNT	DISPOSITION
	Northrop Corporation - Received 2/15/88	Honorarium	2,000	
	ISC-Marquardt Corp. - Received 2/1/88	Honorarium	2,000	
	Rockwell - Received 2/19/88	Honorarium	2,000	
	National Assn. of Secondary School Principals - Received 2/24/88	Honorarium	250	
	General Electric - Received3/21/88	Honorarium	2,000	
	Raytheon - Received 3/21/88	Honorarium	1,000	
	Sikorsky - Received 3/18/88	Honorarium	2,000	
	Suppliers of Advanced Composite Materials Assn. - Received 5/12/88	Honorarium	1,000	
	New York Times - Received 5/25/88	Royalty	150	
	Copeland, Wickersham, Wiley & Co. - Received 6/13/88	Honorarium	2,000	
	President's Executive Exchange Alumni Assn. - Received 7/6/88	Honorarium	1,000	
	Electronics Industries Assn. - Received 7/6/88	Honorarium	1,500	
	Institute for Foreign Policy Analysis - Received 9/14/88	Honorarium	250	
	Boston University - Received 10/6/88	Honorarium	500	Charity
	U. of Oklahoma Foundation - Received 12/2/88	Honorarium	2,000	Charity

Part		
✓ VII	SOURCE	BRIEF DESCRIPTION
	Lockheed Missile Systems Division (conference participant; expenses for self)	2 nights' lodging
	Electronics Industries Assn. (speech; expenses for self & spouse)	Rnd trip airplane flight - DC - Irvington, VA; 2 nights' food & lodging
	Chrysler Corp. (speech; expenses for self)	Rnd trip airplane flight - DC-Okla. City
	Atlantic Council (panel participant; expenses for self & spouse)	2 nights' food & lodging
	Thompson CSF (economic development; expenses for self & spouse)	Rnd trip airplane flight - DC -Paris, France; 5 nights' food & lodging
	4th District Economic Development Task Force (economic development; expenses for self)	Rnd trip airplance flight - DC - San Jose, CA; 3 nights lodging
	Northrop Corp. (speech; expenses for self)	1 night's lodging

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Joseph M. McDade
(Full Name)

2370 Rayburn Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

89 . . 15 PM 4:52

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10th State PA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
House of Representatives (D.C.) Spouse	Salary		
See attached	Honoraria		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union	Interest	D
T. Rowe Price Prime Reserve Fund	Dividend	D
MONY Insurance	Dividend	A
Paris Business Forms	Dividend	A
Patrick Media Group	Rents	D
Nichols Realty	Rents	C
Shearson	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Paris Business Forms	Common stock	B
Shearson Lehman	Money Market Fund	B
T.Rowe Price Prime Reserve	Mutual Fund	E
Cong. Federal Credit Union	Credit Union	D
Home - Clarks Summit, PA ½ rented		C
Undeveloped land - Luzerne County, PA		F

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Congressional Federal Credit Union (co-signed note)	B
Northeastern Bank of Penna., Scranton, PA Mortgage on property (½ rented)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Mr. & Mrs. Frank Collins	Waterford crystal ginger jar	$130.
Dr. & Mrs. Joseph Cesare	Silver dish and tray	240.
Mr. & Mrs. Michael Stewart	Wedgewood vegetable dish	130.

The above are wedding gifts and are based on estimates

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Kennedy Center for the Performing Arts
"	Keystone Jr. College
"	University of Scranton
"	Ford's Theatre
"	Robert Packer Hospital
Board of Regents	Wilson Center for Scholars

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-15-[illegible]

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page ___ of ___

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Joseph M. McDade, M.C.
(Name)

Continuation Sheet

Part II

Source, Type, Amount, Category, Description or Value (As Applicable)

	SOURCE HONORARIA	
1-5-88	Tobacco Institute	$1,000
1-20-88	Cubic Corporation	1,000
1-22-88	Teledyne	1,000
1-18-88	General Dynamics	2,000
1-22-88	Air Products & Chemicals	1,500
2-3-88	TRW	2,000
1-28-88	Aerojet General	2,000
3-8-88	Nat.Assn. of Small Bus.Investment Cos.	1,250
3-10-88	National Steel & Shipbuilding	2,000
4-6-88	Shipbuilders Council of America	1,000
4-8-88	Harris Corporation	1,000
4-28-88	National Assn. of Development Companies	500
5-6-88	Price Waterhouse	2,000
5-4-88	Raytheon	2,000
5-19-88	Penn State University	1,000
7-13-88	Tobacco Institute	1,000
7-7-88	General Dynamics	2,000
7-15-88	SPD Technologies	2,000
8-11-88	Boeing	2,000
8-16-88	Tulane	1,000
10-7-88	Avondale Industries	2,000
10-20-88	Textron	1,000
10-31-88	AAI	1,500
10-31-88	Westinghouse	2,000
12-6-88	Inst. of Makers of Explosives	2,000

	DISPOSITION	
	Friends of the Poor	500
	St. Francis of Assisi Kitchen	1,000
	St. Joseph's Center	1,000
	Marywood College	1,000
	Little Sisters of the Poor	1,000
	Our Lady of Fatima Center	1,000
	United Neighborhood Centers	500
	Burnley Workshop of the Poconos	1,000
	Special Olympics Program	1,000
	Abuse and Rape Crises Center	1,000
	Lackawanna Assn. of Retarded Citizens	500
	Bread Basket of Northeastern Penna.	500
	Catherine McAuley House	500
	American Red Cross	400

VII REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION
CHRYSLER CORPORATION	Jan. 12, 1988 Transportation from Washington, D. C. to Palm Springs, CA and return on Jan. 24, 1988 to attend charitable golf tournaments. See below
EQUICOR-Equitable HCA CORP.	Jan. 18, 1988 (Charitable Golf Tournament)
HOWARD COSELL-Day With the All-Americans	Jan. 19, 1988 (Charitable Golf Tournament)
TOBACCO INSTITUTE	Jan. 12 Food and lodging Honorarium
GENERAL DYNAMICS AND CUBIC CORPORATION	Jan. 20, 1988 Honoraria. Food, lodging and transportation from Palm Springs to San Diego
TRW	Jan. 21, 1988 Food, lodging and transportation from San Diego to Palm Springs on Jan. 23rd. Honorarium
SINGER	Feb. 19, 1988 Transportation from Washington, D. C. to Binghamton, NY to Scranton, PA Honorarium
PRICE WATERHOUSE	May 5, 1988 Lodging and Transportation from Washington, D. C. to Providence, R.I. Honorarium
RAYTHEON	May 6, 1988 Transportation from Boston to Washington, D. C. Honorarium
UNIVERSITY OF SCRANTON	June 6, 1988 Transportation from Washington, D. C. to Scranton, PA and return. (Speech/Press Conference)

SOURCE	DESCRIPTION
JERRY FORD INVITATIONAL	July 28, 1988 Transportation from Denver to Vail with wife. Food and lodging. Transp. from Vail to Denver August 2, 1988 (Charitable Golf Tournament)
BOEING	Aug. 5, 1988 Food and transportation from Washington, D. C. to Wilmington, Del. and return via Metroliner. Honorarium
MARTIN MARIETTA	Aug. 9, 1988 Transportation from Sun Valley to Washington, D. C. (Charitable Golf Tournament)
TAMARES	Sept. 6, 1988 Transportation from Washington, D. C. to London and return. Honorarium
WESTINGHOUSE	Oct. 28, 1988 Transportation from Towanda, PA to BWI. Food and lodging. Transp. from Baltimore to Scranton. Honorarium.

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JOSEPH M. McDADE
10th District, Pennsylvania

Committees:
APPROPRIATIONS
SMALL BUSINESS

Congress of the United States
House of Representatives
Washington, DC 20515

Reply to:
Washington Office:
☐ 2370 Rayburn Office Building
Washington, DC 20515
Area Code (202) 225-3731

District Office:
☐ 514 Scranton Life Building
Scranton, PA 18503
(717) 346-3834

HAND DELIVERED

June 5, 1989

OFFICE OF RECORDS & REGISTRATION
1989 JUN -8 PM 2:12
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

✓ HC

Clerk of the House
Office of Records and Registration
Washington, D. C. 20515

Dear Sir:

In compliance with Chairman Dixon's letter of May 17, 1989, noting certain deficiencies in my Financial Disclosure Statement, I wish to make the following corrections:

1. Under PART II INCOME: NICHOLS REALTY is the agent who collects the rent on home in Clarks Summit, PA, ½ rented, listed under PART III HOLDINGS.
2. Under PART II INCOME: PATRICK MEDIA GROUP. Income produced is from leased advertising billboards on property listed under PART III - HOLDINGS, Undeveloped land in Luzerne County, PA. Patrick Media Group owns the billboards.
3. Under PART III - HOLDINGS
Undeveloped land in Luzerne County, PA, Change Category F to Category C
(Due to typographical error)
4. Under PART VI GIFTS
Part A: Add none.

Sincerely,

Joseph M. McDade, M.C.

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 MAY 15 AM 9 13

U.S. HOUSE OF REPRESENTATIVES
OFFICE OF THE CLERK

FORM A—For use by Members, officers, and employees

JAMES A. McDERMOTT
(Full Name)

1650 22nd Avenue East
(Mailing Address)

Seattle, Washington 98112

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7 State Washington

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, *and amounts paid or incurred for any agent's fees or commissions*; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SPOUSE: The Northwest School, Seattle, Washington	Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Residential property	Rent	C
Residential property	Rent	E
Residential property	Rent	B
Residential property	Rent	C
Security Pacific Bank	Interest	A
State Department Federal Credit Union	Interest	A

PLEASE SEE ATTACHED SHEET

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Real property (residence: 1650 22nd Avenue East, Seattle, Wa.)	E
Real property (residence: 1810 East Lynn Street, Seattle, Wa.)	D
Real property (farm: 40 acres + house, Lopez Island, Wa.)	E
Fidelity Cash Reserve Fund (money market account)	A
Fidelity Select Money Market Fund (money market account)	B
Mutual Shares Mutual Fund	C

PLEASE SEE ATTACHED SHEET

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of real property (residential), 1/2 interest,	9-23-88	C
location: 318 East 19th, Olympia, Washington		
Sale of Fidelity Equity Income Fund mutual fund shares	1-8-88	A
Sale of 20th Century Cash Reserve Fund	10-31-88	C
Sale of Cascade Fund mutual fund shares	12-31-88	D

PLEASE SEE ATTACHED SHEET

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
Washington Mutual Savings Bank	Mortgage	B
Washington Mutual Savings Bank	Mortgage	C
Security Pacific Bank of Washington	Mortgage	C
Sea-First Real Estate Group	Mortgage	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jim McDermott	12 May 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

JAMES A. McDERMOTT
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B	Carlyle Limited Real Estate Partnership XIII	Interest	A
	Fidelity Equity Income Fund	Capital gains	A
	Mutual Shares Corporation	Capital gains	A
	Mutual Shares Corporation	Dividends	A
	Penn Mutual Fund	Capital gains	A
	Penn Mutual Fund	Dividends	A
	Hutton Technical Partners Limited	Partnership income	A
	State of Washington Deferred Compensation Program	Interest	A
III	Mellon Partners Limited Partnership (stock)		A
	Penn Mutual Fund		A
	20th Century Select Mutual Fund		A
	Schwab Money Market Fund		A
	Carlyle Limited Real Estate Partnership XII		B
	Carlyle Limited Real Estate Partnership XIII		C
	Manhattan Fund (mutual fund)		A
	Evergreen Total Return (mutual fund)		A
	Hutton PRC Tech Partners		B
	American Pace Fund (mutual fund)		B
	Boston Capital Appreciation (mutual fund)		A
	Nicholas Fund, Inc. (mutual fund)		A
	Nicholas II, Inc. (mutual fund) (DEPENDENT)		A
	State of Washington Deferred Compensation Program (savings)		B
IV	Sale of Nicholas Fund, Inc. mutual shares (DEPENDENT)	10-31-88	A
	Purchase of US Treasury obligations (bonds) (3 purchases)	10-31-88	C (total)

HAND DELIVERED

8 9 0 7 0 2 9 0 3 9 0

JIM McDERMOTT
7TH DISTRICT, WASHINGTON

COMMITTEES:
BANKING, FINANCE AND URBAN AFFAIRS
INTERIOR AND INSULAR AFFAIRS

Congreſs of the United States
House of Representatives
Washington, DC 20515

1107 LONGWORTH BUILDING
WASHINGTON, DC 20515-4707
(202) 225-3106

1212 TOWER BUILDING
1809 7TH AVENUE
SEATTLE, WA 98101-1399
(206) 442-7170

15 May 1989

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Dear Sir:

✓
MC

By this letter, I amend my Financial Disclosure Statement for 1988, previously submitted for filing to the Office of Records and Registration, to report earned income in Part II-A as follows:

SOURCE	TYPE	AMOUNT
U.S. Department of State	Salary	$25027.00

This information was unintentionally omitted from my original filing.

Thank you.

Sincerely,

Jim McDermott

JIM McDERMOTT

8 9 0 7 0 2 7 2 4 5 9

JIM McDERMOTT
7TH DISTRICT, WASHINGTON

COMMITTEES:
BANKING, FINANCE AND URBAN AFFAIRS
INTERIOR AND INSULAR AFFAIRS

Congreſs of the United States
House of Representatives
Washington, DC 20515

OFFICES:
1107 LONGWORTH BUILDING
WASHINGTON, DC 20515-4707
(202) 225-3106

1212 TOWER BUILDING
1809 7TH AVENUE
SEATTLE, WA 98101-1399
(206) 442-7170

INSIDE MAIL

RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 JUN -8 AM 7:49
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

✓ MC

30 May 1989

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Dear Sir:

By this letter, I amend my Financial Disclosure Statement for 1988 to provide additional information about a holding of real property reported for 1987 but not included in the 1988 Statement. The property in question is a 150-acre farm located in Gilmer County, West Virginia; I held one-half ownership of the property. This farm was sold in July, 1987, but I did not receive the proceeds due me from that transaction until 28 December 1988. The income from the sale was erroneously omitted from my Financial Disclosure Statement for 1988 and is herewith reported as follows:

IV. TRANSACTIONS

Description	Date	Category
Sale of real property	7-15-87	B
Receipt of sale proceeds	12-28-88	B

Farm, 150 acres, Gilmer County, West Virginia, 1/2 ownership

Failure to report this transaction was inadvertent. Thank you for calling it to my attention.

Sincerely,

Jim McDermott

JIM McDERMOTT

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

HON. BOB McEWEN
(Full Name)

2431 Rayburn Office Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

89 MAY 15 AM 11:37

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State OH

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
House of Representatives	Salary	89,500	
(attached)	Honorarium	17,150	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
N/A		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA X____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
314-316 Ida Circle	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First Security Bank - mortgage	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

89777231391

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Congressional Institute	Roundtrip airfare -DCA-Houston 3 days food/lodging
Associated General Contractors	Roundtrip airfare-DCA-Jackson,WY 4 days food/lodging
(see attached)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
N/A	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

HONORARIUM - 88

1/28	Associated General Contractors-OH	300.00
2/9	Associated General Contractors-WY	300.00
3/17	Merck & Co.	2,000.00
6/9	International Energy Security	2,000.00
5/13	Heritage Foundation	300.00
6/21	American Trucking Association	1,000.00
8/16/88	National Restaurant Assn	1,000.00
9/22/88	National Stone Assn.	500.00
10/4/88	Boeing Company	2,000.00
10/05/88	Associated General Contractors	2,000.00
9/21/88	Ohio Bell	1,000.00
10/11/88	Edison Electric Institute	250.00
10/20/88	Assoc. Builders/Contractors	1,000.00
11/7/88	PACCAR	2,000.00
11/9/88	American Consulting Engineers	1,000.00
12/8/88	National Stone Association	500.00

VII. REIMBURSEMENTS

(cont'd)

Associated General Contractors	Roundtrip airfare DCA-Seattle 4 days food/lodging
Bush/Quayle	Roundtrip airfare DCA-Cleveland

89 0/0291032
INSIDE MAIL
1989 MAY 19 AM 10:48
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

BOB MC EWEN
6TH DISTRICT, OHIO

SELECT COMMITTEE ON
INTELLIGENCE
SUBCOMMITTEE ON LEGISLATION
VICE CHAIRMAN

PUBLIC WORKS AND
TRANSPORTATION COMMITTEE
SUBCOMMITTEES:
INVESTIGATIONS AND OVERSIGHT
SURFACE TRANSPORTATION
ECONOMIC DEVELOPMENT
RANKING MEMBER

VETERANS' AFFAIRS
SUBCOMMITTEES:
COMPENSATION, PENSION, AND INSURANCE
RANKING MEMBER
HOSPITALS AND HEALTH CARE

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE:
31 RAYBURN HOUSE BUILDING
202: 225-5705

DISTRICT OFFICES:
POST OFFICE BUILDING
HILLSBORO, OH 45133
513: 393-4223

POST OFFICE BUILDING
PORTSMOUTH, OH 45662
614: 353-5171

COUNTIES:
ADAMS
ATHENS
BROWN
CLERMONT
CLINTON
FAYETTE
HIGHLAND
HOCKING
JACKSON
MONTGOMERY
PIKE
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SCIOTO
VINTON
WARREN

May 18, 1989

MC ✓

Mr. Donnald Anderson
Clerk of the House
The Capitol

Dear Mr. Anderson:

In reply to the letter from the Committee on Standards of Offical Conduct pertaining to my Financial Disclosure Statement, under Part III, HOLDINGS, please add 'Lynchburg, Ohio' following 314-316 Ida Circle.

Your attention to this matter will be greatly appreciated.

Thank you very much.

Sincerely,

Bob McEwen
Member of Congress

BM/mm

AGRICULTURAL TASK FORCE
ECONOMIC TASK FORCE
HIGH TECHNOLOGY TASK FORCE
CONGRESSIONAL RURAL CAUCUS
HUMAN RIGHTS CAUCUS
STEEL CAUCUS

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Raymond J. McGrath
(Full Name)

139 Buscher Avenue
(Mailing Address)

Valley Stream, New York 11580

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State N.Y.

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. ***Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.***

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria (please see attached list.)	Honoraria	$26,700	
(Wife) American Financial Services Assn.	Salary		
(Wife) Circle E Leaseways	Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Short term rental of condominim pending sale	rent	B
Savings institutions	interest	C
Life Insurance Company	interest	A
Investment fund	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Wright Patman Congressional Federal Credit Union	E
Putnam Investment Fund	A
First American Bank of Virginia	B
Condominium 1250 4th St. SW, Washington, DC	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Paid off demand note from Bank of New York	8/30/88	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of New York	E
Paine Webber Mortgage Finance Co. (mortgage on condominium)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Please see attached list.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	South Nassau Communities Hospital

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Raymond J. McGrath	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

SECTION II-INCOME

1988 Honoraria Summary

1/6/88	Beneficial Finance	$2000
1/13/88	Tobacco Institute	$1000
2/1/88	United States Telephone Assn.	$1000
2/4/88	Mutual of New York	$2000
2/4/88	American Assn. of Equipment Lessors	$1200
2/5/88	American Society of Association Executives	$1000
2/6/88	American Maritime Officers Assn.	$2000
2/10/88	Phillip Morris Corporation	$2000
2/12/88	National Venture Capital Assn.	$1000
2/22/88	Morgan Guaranty Trust	$1000
2/23/88	National Apartment Assn.	$2000
3/1/88	Ryder Corporation	$1000
3/7/88	National Assn. of Life Underwriters	$2000
3/9/88	Charles Walker Associates	$1000
3/15/88	Metropolitan Life Insurance	$1000
4/27/88	Mathis Belew and Assocites	$2000
4/27/88	The ATA Foundation	$2000
5/9/88	National Restaurant Assn.	$1000
6/13/88	Edison Electric Institute	$ 500
TOTAL HONORARIA FOR 1988		$26,700

VII-REIMBURSEMENTS

Reimbursements:

1/6/88	Beneficial Finance-One way air fare DC to Newark, NJ
1/13-17/88	Tobacco Institute and Mutual of N.Y. Round trip air fare DC-Palm Springs
1/13-1/15/88	Tobacco Institute-Two nights lodging-Palm Springs, CA
1/15-17/88	Mutual of N.Y. Two nights lodging and use of rental car LaCosta, CA
1/28-29/88	U.S. Telephone Assn.-Round trip air fare DC to Palm Springs/one night lodging
1/29-2/1/88	Mathis-Belew and Associates-Three nights lodging, Newport Beach, CA.
2/4-7/88	American Maritime Officers Assn. Member and wife-Round trip air fare DC to Florida and 3 nights lodging and use of rental car
2/8-10/88	American Financial Services Assn. 2 nights lodging Naples, Florida
3/1-2/88	Ryder Corporation-Round trip air fare DC to Miami and 1 night lodging
4/4-8/88	Electronics Industry Assn.-Round trip air fare DC to Captiva, Florida, 4 nights lodging
4/28-30/88	American Trucking Assn.-Round trip air fare DC to Key Largo, Florida, two nights lodging
5/20-22/88	Chicago Board Options Exchange-Round trip air fare DC to Chicago-two nights lodging
5/24-25/88	Corning Glass-Round trip air fare DC to Corning
8/9-12/88	Carrier Corporation-three nights lodging Syracuse, NY.
8/21-22/88	U.S. Tobacco Assn.-Round trip air fare DC-Atlantic City, one night lodging
8/23-28/88	Danny Thomas Golf Tournament-Round trip air fare DC to Sun Valley, Idaho, five nights lodging

REIMBURSEMENTS continued...

9/7-8/88	American Council of Life Insurance-Round trip air fare and one night lodging, White Sulfer Springs, West VA
10/5/88	Public Securities Assn.-round trip air fare DC to NYC
10/4/88	Natl. Assn. of Industrial and Office Parks-One night lodging New York City
11/30/88	Beneficial Finance-round trip air fare DC to Newark NJ, one night lodging

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

'89 MAY -3 PM 4:18

Matthew Francis McHugh
(Full Name)

2335 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 28 State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Christian Science Monitor--Payment for	Article	$ 100	
Divi Hotels, N.V.--Fees for service as Member of			
Board of Directors in 1987	Dir.'s Fees	$5,000	
Divi Hotels, N.V.--For service on Board of			
Directors in 1988	Dir.'s Fees	$7,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Providence Savings & Loan Assn.	Interest	A
Dominion Federal Savings & Loan Assn.	Interest	A
Shearson L.H. Daily Dividend Fund	Dividends	B
Vanguard Money Market Prime Fund	Dividends	B
Fidelity Cash Reserves Fund	Dividends	A
Evergreen Total Return Fund	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Shearson L.H. Daily Dividend Fund--Money Market Account	C
Triborough Bridge & Tunnel Authority Bond	B
Dreyfus New York Municipal Money Market Fund	B
Vanguard Money Market Prime Fund	C
Fidelity Cash Reserves--Money Market Fund	A
Neuberger Berman Energy Fund	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchased 5% interest in Douglas and Chamberlain Corp.	08/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
½ liability on a mortgage on real property at 983 Taughannock Blvd.,	
Ithaca, New York, held by First Federal Savings & Loan Assn. of	
Rochester, New York	C
½ liability on mortgage on 2 units of real property at 5211 & 5213	
Lake Village, The Meadows, Sarasota, Florida, held by Crossland	
Savings Bank of Bradenton, Florida	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

[illegible] 9 1 7 1 2 7 3 [illegible] 1 9

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Aspen Institute	Provision of travel, lodging and meals for member and spouse for participation in one conference in Jamaica relating to U.S.-Soviet relations

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Divi Hotels, N.V.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Matthew F. McHugh	May 8, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Matthew Francis McHugh
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II B	Neuberger Berman Energy Fund--Dividends--A
II B	Vanguard World Fund--Dividends--A
II B	Neuberger Berman Energy Fund--Capital gains--A
II B	Vanguard World Fund--Capital gains--A
II B	New York City Municipal Bond--Interest--A
II B	Triborough Bridge Authority Bond--Interest--A
II B	Dreyfus Money Market Fund--Interest--A
II B	Real property at 983 Taughannock Blvd., Ithaca, New York--rents--B
II B	Real property at 5211 & 5213 Village Lake, The Meadows, Sarasota, Florida--rents--B
III	Evergreen Total Return Fund--A
III	Fidelity Select American Gold Fund--A
III	Fidelity Select Telecommunications Fund--A
III	Vanguard World Fund--A
III	½ interest in real property located at 983 Taughannock Blvd., Ithaca, New York, including lot & 3 units--total price when purchased in April 1981 was $118,500, and thus ½ interest is $59,250--D
III	½ interest in real property located at 5211 & 5213 Village Lake, The Meadows, Sarasota, Florida, including 2 units--total price when purchased in June 1981 was $125,550, and thus ½ interest is $62,775--D
III	Interest in American Bar Assn. Retirement Fund including a certificate of deposit and shares in the Aggressive Equity Mutual Fund--B
III	Interest in IRA accounts for husband and wife, including U.S. Treasury zero coupon bonds and shares in the Shearson Appreciation Mutual Fund--C
III	Interest in U.S. Government Retirement Fund, including shares in U.S. Government Savings Fund--C
III	5 percent of equity interest in the Douglas and Chamberlain Corp., whose assets consisted of contributions from the shareholders. The sole purpose of the Corporation was to purchase one piece of real property. The property was not acquired during 1988--C
III	New York City Municipal bond--matured in 1988--A
VII	Electronics Industries Association--provision of travel, lodging and meals for member and spouse for participation in conference in Florida related to Congressional business
VII	Anti-Defamation League--provision of travel, lodging and meals for participation in meetings and events in Israel relating to Congressional business
VII	Divi Hotels, N.V.--provision of travel, lodging and meals for two meetings (including wife) in connection with member's service on Board of Directors of Corporation. Reimbursement was also paid for an additional meeting which wife did not attend. Not official business.

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

John Alexander McMillan III
(Full Name)

401 Cannon
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 9 State N.C.

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ [✓ NO] NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
The Carlisle Collection	Salary	—	(Spouse)
The Tobacco Institute	Honorarium	$1,000	
National American Wholesale Grocers Assn.	"	1,000	
Association of Equipment Distributors	"	500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Continuation Sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Continuation Sheet	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Continuation Sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
See Continuation Sheet		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Darden School Sponsors, Univ. of Virginia
Member	Board of Visitors, Davidson College
Member	Board of Visitors, U.N.C. Charlotte
Member	Board of Visitors, Johnson C. Smith Univ.
Director	Center for Public Television, Univ. of North Carolina
President	McMillan Company

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
J. Alex McMillan III	5/10/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Page 1 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Alexander McMillan III
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

II Unearned Income

Source	Type	Category	
Southeastern Savings Bank	Dividend	A	
First Union Corp.	"	A	
Ruddick Corp.	"	A	
Trust u/A 1st Union Nat. Bk. - Common Stock Fund	"	B	
Trust u/A 1st Union Nat. Bk. - Common Stock Fund	Interest	A	
Trust u/A 1st Union Nat. Bk. - Salem Mon. Mkt. Fund	"	A	
Trust u/A 1st Union Nat. Bk. - Nuveen Tax Exempt Fund	"	A	
Trust u/A 1st Union Nat. Bk. - Tax Exempt Bond Fund	"	D	
Trust u/A 1st Union Nat. Bk. - Common Stock Fund	Capital Gain	C	
Trust u/A 1st Union Nat. Bk. - Tax Exempt Bond Fund	" <Loss>	<A>	
Southeastern Savings Bank	" <Loss>	<C>	
McMillan Co.	Sub-S Corp.	<A>	
Hutton Timber Partners, Ltd.: (Timber land)			
	Interest	A	
	Dividend	A	
	Operating	<A>	
	Cap. Gain <Loss>	<A>	
Justis Oil-Gas (?) Partnership (Oil-Gas Prod)	Operating	<A>	
IAS Associates: (Computer Software)		—	
Southeastern Savings Bank	Dividend	A	(Spouse)
Ruddick Corp.	"	A	"
Lullwater Syndicate (Real Estate)		—	"
Trust u/A 1st Union Nat. Bk. - Common Stock Fund	"	C	"
Trust u/A 1st Union Nat. Bk. - Common Stock Fund	Interest	A	"
Trust u/A 1st Union Nat. Bk. - Nuveen Tax Exempt Fund	"	A	"
Trust u/A 1st Union Nat. Bk. - Tax Exempt Bond Fund	"	D	"
N.C. Housing Finance Agency Bonds	"	A	"
N.C. Dept. of Trans Bonds	"	A	"
Southeastern Savings Bank	"	A	"
Cong. Fed. Credit Union	"	A	"
Trust u/A 1st Union Nat. Bk. - Tax Exempt Bond Fund	Capital ([illegible])	<A>	"
Trust u/A 1st Union Nat. Bk. - Common Stock Fund	Cap. Gain	C	"
Health Care Properties, Ltd.: (Nursing Homes)			
	Interest	A	"
	Operating	<B>	"
	Capital Gain	D	"
	Other Gain	B	"
Furman Company: (25% Interest Rental Property).	Net Rental Inc.	D	"
Liberty Life Trust: (25% Interest in Irrevocable Trust).	Dividend	D	"
Boyce Avenue Trust: (25% Interest in Irrevocable Trust)			
Exxon Com. Stk.	Dividend	A	"
Merrill Lynch Ready Assets	"	A	"
CBT	Interest	A	"
Merrill Lynch Ready Assets	"	A	"
R.E. Houston Residuary Trust (26% Interest Irrevocable Trust)			
S.C.N.B. Common Stk. Fund	Dividend	A	"
S.C.N.B. Bond Fund	Interest	A	"
McMillan Co.	Sub-S Corp	<A>	"

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Alexander McMillan III
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

III Holdings

Identity	Type	Category	
Trust U/A 1st Union Nat. Bk.	Cash	⟨A⟩ (2)	
Trust U/A 1st Union Nat. Bk.	1100 Units. Sentem Mon. Mkt. Fund	A (2)	
Trust U/A 1st Union Nat. Bk.	638 Tax Exempt Bond Fund	E (2)	
Trust U/A 1st Union Nat. Bk.	4500 Units. Common Stk Fund	D (2)	
First Union Corp.	Common Stock	B (2)	
Ruddick Corp.	Common Stock	C (2)	
Southeastern Savings Bank	Common Stock	B (2)	
Hutton Timber Partners, Ltd.	Partnership	A (1)	
McMillan Co.	Sub-S Corp.	A (1)	
Lot #8 Figure Eight Island	66% Interest Residential Lot	E (2)	
Justice Oil-Gas Ltd.	Partnership	A (1)	
JAS Assoc. Ltd.	Partnership	A (1)	
Silver Bullion	600 oz.	A (2)	
Lullwater Syndicate	Common Stock	B (1)	(Spouse)
Silver Bullion	600 oz.	A (2)	"
McMillan Co.	Sub-S Corp.	A (1)	"
Trust U/A 1st Union Nat. Bk.	Nuveen Tax Exempt Fund	A (2)	"
Trust U/A - 1st Union Nat. Bk.	Tax Exempt Bond Fund	C (2)	"
Trust U/A - 1st Union Nat. Bk.	Common Stock Fund	C (2)	"
Ruddick Corp.	Common Stock	B (2)	"
Southeastern Savings Bank	Common Stock	A (2)	"
N.C. Housing Fin. Agency	Bond	B (2)	"
N.C. Dept. of Trans.	Bond	B (2)	"
Judson T. Minyard Property	25% Interest	C (3)	"
Smugglers Inlet Property	25% Interest	D (3)	"
Lot #8 Figure Eight Island	34% Interest Residential Lot	D (2)	"
Health Care Properties, Ltd.	Partnership	A (1)	"
Pendleton St. Note	Installment Note	A (1)	"
Liberty Life Trust	- 25% Life Income Interest - Irrevocable Trust in Liberty Corp. Common Stock	F (2)	"
R.E. Houston Residuary Trust	- 25% Interest:		
	SCNB Money Mkt. Fund	A (2)	"
	S.C.N.B. Com. Stk. Fund	B (2)	"
	S.C.N.B. Bond Fund.	B (2)	"
Boyce Ave. Trust.	- 25% Interest:		
	Exxon Com. Stk.	B (2)	"
	Merrill Lynch Ready Assets	B (2)	"
	Cash	A (2)	"

(1) Valued at net asset value

(2) Valued at Market

(3) Valued at cost, no known mkt. val.

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Page 3 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

John Alexander McMillan III
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
IV	Transactions Description	Date	Category	
Sales + Proceeds				
Trust U/A -	1st Union Nat. Bk.:			
	Nuveen Tax Exempt Mon. Mkt. Fd.	1/4/88	D	
	Salem Money Mkt. Fd.	various	C	
	Tax Free Bond	various	A	
	Common Stk Fund	various	A	
	Southeastern Savings Bank	9/1/88	A	
	Nuveen Tax Exempt Mon Mkt. Fd	various	C	(Spouse)
	Tax Exempt Bond Fund	10/7/88	A	"
Purchases				
Trust U/A -	1st Union Nat. Bk.:			
	Salem Money Mkt. Fd	various	C	
	Common Stk Fund	1/11/88	D	
	Nuveen Tax Exempt Mon Mkt. Fd	various	C	(Spouse)

8 9 0 7 0 2 8 2 5 1 8

Page 4 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VI Gifts	

GIFTS, 1988

America in Prophecy, IBE, Inc., Alabama, $4.95

1999: Victory Without War, Simon & Schuster, $19.95

The Springs Story: Our First Hundred Years

2 tickets, World 600, Unocal, $200 each

1988 Season Pass, Grandfather Mountain

Politics '88, spiral guide, LTV

Anchor of Liberty, The Supreme Council Scottish Rite Masons, $3.00

2 '88 Congressional Handbooks, US Chamber of Commerce

Homeless in America, Triangle Industries, Nelson Peltz, Chairman/CEO and Peter May, President, $29.95

Irish Cream Mints, National Association of Tobacco Distributors, $4.95

VHS Cassette, Directors Guild of America, Inc.

Ballygowan Irish Mineral Water, Congressman Brian Donnelly

2 Green Bagels, Congressman Bruce Morrison

1988 Physicians Desk Reference, Sandoz, $36.95

LIFE Magazine, Special Edition honoring Martin Luther King, Jr., $2.95

Jean Rankin book

1999: Richard Nixon, Simon & Schuster, $49.95

Tape, Marie Rowe's 80th Birthday

Tape, Gold Bond, "Locked out of the American Dream"

Goodie Basket, Southeast Tourism

Global Competitiveness, Getting The US Back In Touch, The American Assembly

Goober Fudge, Peanut Butter and Fudge Spread, Smuckers, 3 six packs, 12 oz.

Box of 24 "Cheese on Wheat", Lance Company

Winning The Brain Race, Institute of Contemporary Studies, $16.95

North Carolina Manual:1987-1988, Secretary of State Thad Eure

The 1988 Media Guide, Repap Enterprises Corp., Inc., $17.95

Jukebox lapel pin, Amusement and Music Operators Association

4 Piedmont Beverage Coupons from constituent

The Plan To Restore The Constitution and Help Us All Get Out Of Dept, Paul Fisher, Fisher Space Pen Company, $10.00

Listen To Our Voices, National Citizen's Action network, David Balsiger

Washington, DC Visitor's Guide, C&P Telephone, $4.95

The Third Century, Crown Publishers, Inc., uncorrected proof, $19.95

C Span's America's Town Hall, $19.95

golf cap, red golf shirt, ceramic coffee mug, poster, "America Clicks" pin, American Coalition for Traffic Safety

T-shirt, Charlotte Mecklenburg American Indians, Rosa Winfree's group

Box of products and book, Creating Jobs Changing Lives, National Industries For The Blind, $24.45

The Crash of 1987 by Gordon Hall, Johnson Co. Publishers, Kansas City, R.E. "Bud" Brown, Pres., $25.00

Blue leather personalized Congressional binder, birthday gift from George Koch, GMA

1988 World Holiday and Time Guide, Corey Strupp, JP Morgan

We The People, Stanford University, Hoover Institute, $2.00

The People, Press & Politics, Times Mirror in California, free to all elected US officials and selected others

Gold Toe, 3 pairs over the calf, $13.50

Mrs. Field's Cookies, 2 dozen, 8 cookies per pound at $6.99 per pound, received a box both in spring and summer, Congressman Wayne Owens, $21.00

2 cups Marinos Real Italian Ices, Congressman Gary Ackerman

1 package coffee, Abrams Coffee Time, coffee service (did not sign up), .69

Gourmet Travel Bag with McMillan insignia, NYNEX, under $35.00

Tote Bag with GOP insignia, Food Marketing Institute

Thinking About America, The US in the 1990's, Hoover Institution, Hoover Press, $24.95

National Football League calendar, Champion International Corporation

Eveready Battery 1st alert fire detector, Congressional Fire Services Caucus, Congressman Weldon, unit price $16-$18

The Deficit by Helen P. Rogers, autographed copy, must have sent copies to all banking committee members, $17.95

Pictionary word game, Congressman Les Aspin, $19.95

Six Months Off by Lamar Alexander, $18.95

Artesia Water (Mineral Water from Texas), Restaurant Association, $1.50

"Gold Metal", red, white and blue ribbon, sent to JAM, missed presentation of metal for "Clean Air Champion"

Brass/Leather Coaster, NC Pork Producers emblem

Chabad House, Lubavitch Calendar

The One Year Bible, Robert Pittenger

6 Mrs. Fields Muffins, Congressman Wayne Owens, $1.96 each

Maple Syrup, Canadian Coalition on Acid Rain

Woodcarving, constituents Campos and Flores

2 packages Oatmeal Creme Pies, Little Debbie Co., Congresswoman Marilyn Lloyd, $2.18 (total of 2 packages)

Star-Spangled Banner, Scottish Rite Freemasonry, paperback copy

2 12 ounce cans of Poppycock, 1 package of assorted patented medication

Honest Graft, Brooks Jackson, $19.95

4 paperback books, Midnight Economist Broadcast Essays, ICS, San Francisco, California

"Giving is true loving" calendar, National Philanthropy Day, President John J. Schwartz

UNICEF holiday cards, Sheraton Carlton, in celebration of reopening

The New American, Tom and Earlene Bean

1989 pocket calendar with JAM imprint, Norfolk Southern

Mexico and the United States: Managing the Relationship, Riordan Roett, John Hopkins University, quality paperback, $25.00

Hell Bent for the White House, Champion International Corporation

Tartan tie, The Celtic Trader, Charlotte, Regis R. Malady

pocket calendar, Tom Lankford

1989 calendar, Darden school

green wreath, International Paper Company

The Henry Luce Foundation, compliments of Henry Luce, III president

Angels of the World 1988 ornament, Congressman Tom Lewis

White Pine Christmas Tree, NC Christmas Tree Association, $30.00 retail

13 pound Golden Star turkey, ConAgra, Inc., $13.00

RJR Nabisco Christmas boxes, $25.00

Kimberly-Clark Bex products, under $10.00

Can of peanuts, NC Association of Electrical Cooperatives Inc., $9.02

1989 Calendar from Austria

1989 Calendar (includes healthful hints), National Association of Pharmacists

"tote bag" of cookies, General Mills, Bob Bird, $20.00

Harvey & David Chocolate Truffles, Consumer Bankers Association, $18.95

1989 Shell Desk Calendar

Brass ornament, Yellowstone National Park, $9.95

one pound tub of Aunt Ruby's Salted Peanuts, NC Peanut Growers Association, Inc., $6.00

3 golf balls, tees, towel, McDowell Douglas

golf balls, Chicago Board of Trustees

Helene Curtis shampoo set, Rostenkowski

tote bag, Federal Express

jelly beans, Vic Fazio

A 9 0 7 0 2 8 2 5 1 6

Umbrella from Marshall Field, Billy Lee Evans

Armitron digital watch, Eugen Gluck, (Dick Schultz)

Old Spice, Sil Conte

Timex, Beryl Anthony

lump of coal, Nick Rahall

Georgia On My Mind towel, Roy Rowland

Whitman's Candy Sampler, Gary Lee, Whitman Corporation

wheel of cheddar cheese, Bob Barker

pecans, The Tobacco Institute

white paper desk pad, Cox Broadcasters

World Holiday and Time Guide 1989, J.P. Morgan

snack mix, American Medical Association, Jayne Hart

gallon "Duck" container of popcorn, UNOCAL

Federal Republic of Germany 1989 Calendar and pocket calendar

Stadler's Country Ham, Ralph Vinovich

Kron cashew chocolate bar, The Williams Company

Setting Course: Congressional Management Guide, American University

1989 Family Membership, Science Museums, Nature Museums, Discovery Place

6 chocolate bars, Ghirardelle Chocolate Company

Avon bag of goodies, Avon

6 bottles of wine in triangular holder, Donna McGhee

gift certificate for turkey, Winn Dixie

year subscription, Reader's Digest

coal candy licorice, National Coal Association

First Union pocket calendar 1989

3 copies, Guide to 101st Congress, LTV

89070282517

red, white and blue golfing umbrella, American Textile Manufacturers Institute

necktie, NC Association of Electric Coops, Inc.

Flowers of NC 1989 Calendar, IBM Charlotte

2 tickets, National Capital Area, International Auto Show, Automotive Trade Association

tape, "Senator Sam", New Atlantic Productions

poinsetta plant, Humpy Wheeler

can of pecans, Southern Real Estate, Jocelyn and Louis

Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

John Alexander McMillan III
(Name)

Continuation Sheet

Part — Source, Type, Amount, Category, Description or Value (As Applicable)

VII Reimbursements

Date	Source	Description	Value
1/5-14/88	Anti-Defamation League	Round-trip via Air-Wash./Tel Aviv, Hotel, Board, Local Transp. meeting key Israeli officials & institutions	$ 2,500 $ 2,500 (Spouse)
3/24-26/88	Congressional Institute, Inc.	Round-trip via air-Wash./Houston, Hotel, Board, Local Transp. Republican Members Seminar.	426 $ ~~[illegible]~~ 426 $ ~~[illegible]~~ (Spouse)
6/11-13/88	Grocery Manufacturers of America	Round-trip via bus. Wash./Greenbrier, Hotel, Board, Charity Golf Tournament	$ 398 $ 398 (Spouse)
4/29/88	United Carolina Bank	Repayment of Speakers Travel via air Wash/Charlotte paid for by JAM - Child Care Conference.	$ 376

HAND DELIVERED 8 9 0 7 0 2 9 1 5 9 4

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

John Alexander McMillan III
(Full Name)

401 Cannon
(Mailing Address)

Washington, D.C. 20515

✓
MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 9 State N.C.

☐ Officer or Employee—Employing Office ____________

☑ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES: 8 9 0 7 0 2 9 1 5 9 5

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
The Carlisle Collection	Salary	—	(Spouse)
The Tobacco Institute	Honorarium	$1,000	
National American Wholesale Grocers Assn.	"	1,000	
Association of Equipment Distributors	"	500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Continuation Sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ [✓ NO] NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Continuation Sheet	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Continuation Sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
See Continuation Sheet		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Darden School Sponsors, Univ. of Virginia
Member	Board of Visitors, Davidson College
Member	Board of Visitors, U.N.C. Charlotte
Member	Board of Visitors, Johnson C. Smith Univ.
Director	Center for Public Television, Univ. of North Carolina
President	McMillan Company

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
J. Alex McMillan III	5/10/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

8907029 1598 Page ___ of ___

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Alexander McMillan III
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II	Unearned Income			
	Source	Type	Category	
	Southeastern Savings Bank	Dividend	A	
	First Union Corp.	"	A	
	Ruddick Corp.	"	A	
	Trust U/A 1st Union Nat. Bk. - Common Stock Fund	"	B	
	Trust U/A 1st Union Nat. Bk. - Common Stock Fund	Interest	A	
	Trust U/A 1st Union Nat. Bk. - Salem Mon. Mkt. Fund	"	A	
	Trust U/A 1st Union Nat. Bk. - Nuveen Tax Exempt Fund	"	A	
	Trust U/A 1st Union Nat. Bk. - Tax Exempt Bond Fund	"	D	
	Trust U/A 1st Union Nat. Bk. - Common Stock Fund	Capital Gain	C	
	Trust U/A 1st Union Nat. Bk. - Tax Exempt Bond Fund	" <Loss>	<A>	
	Southeastern Savings Bank	" <Loss>	<C>	
	McMillan Co.	Sub-S Corp.	<A>	
	Hutton Timber Partners, Ltd.; (Timber land)			
		Interest	A	
		Dividend	A	
		Operating	<A>	
		Capital (Loss)	<A>	
	Justis Oil-Gas Ltd. Partnership; (Oil-Gas Prod)	Operating	<A>	
	IAS Associates: (Computer Software)		—	
	Southeastern Savings Bank	Dividend	A	(Spouse)
	Ruddick Corp.	"	A	"
	Lullwater Syndicate (Real Estate)		—	"
	Trust U/A - 1st Union Nat. Bk. - Common Stock Fund	"	C	"
	Trust U/A - 1st Union Nat. Bk. - Common Stock Fund	Interest	A	"
	Trust U/A - 1st Union Nat. Bk. - Nuveen Tax Exempt Fund	"	A	"
	Trust U/A - 1st Union Nat. Bk. - Tax Exempt Bond Fund	"	D	"
	N.C. Housing Finance Agency Bonds	"	A	"
	N.C. Dept. of Trans. Bonds	"	A	"
	Southeastern Savings Bank	"	A	"
	Cong. Fed. Credit Union	"	A	"
	Trust U/A - 1st Union Nat. Bk. - Tax Exempt Bond Fund	Capital ([illegible])	<A>	"
	Trust U/A - 1st Union Nat. Bk. - Common Stock Fund	Capital Gain	C	"
	Health Care Properties, Ltd.; (Nursing Homes)			
		Interest	A	"
		Operating	<B>	"
		Capital Gain	D	"
		Other Gain	B	"
	Furman Company: (25% Interest Rental Property).	Net Rental Inc.	D	"
	Liberty Life Trust: (25% Interest in Irrevocable Trust).	Dividend	D	"
	Hayes Avenue Trust: (25% Interest in Irrevocable Trust)			
	Exxon Com. Stk.	Dividend	A	"
	Merrill Lynch Ready Assets	"	A	"
	CBT	Interest	A	"
	Merrill Lynch Ready Assets	"	A	"
	R.E. Houston Residuary Trust (25% Interest Irrevocable Trust)			
	S.C.N.B. Common Stk. Fund	Dividend	A	"
	S.C.N.B. Bond Fund	Interest	A	"
	McMillan Co.	Sub-S Corp	<A>	"

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Page __ of __

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Alexander McMillan III
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value
(As Applicable)

III Holdings

Identity	Type	Category		
Trust U/A 1st Union Nat. Bk.	- Cash	⟨A⟩	(2)	
Trust U/A 1st Union Nat. Bk.	1100 Units. System Mon. Mkt. Fund	A	(2)	
Trust U/A 1st Union Nat. Bk.	1638 Tax Exempt Bond Fund	E	(2)	
Trust U/A 1st Union Nat. Bk.	4500 Units. Common Stk Fund	D	(2)	
First Union Corp.	Common Stock	B	(2)	
Ruddick Corp.	Common Stock	C	(2)	
Southeastern Savings Bank	Common Stock	B	(2)	
Hutton Timber Partners Ltd.	Partnership	A	(1)	
McMillan Co.	Sub-S Corp.	A	(1)	
Lot #8 Figure Eight Island	66% Interest Residential Lot	E	(3)	
Justice Oil-Gas Ltd.	Partnership	A	(1)	
IAS Mason ., Ltd.	Partnership	A	(1)	
Silver Bullion	600 oz.	A	(2)	
Lullwater Syndicate	Common Stock	B	(1)	(Spouse)
Silver Bullion	600 oz.	A	(2)	"
McMillan Co.	Sub-S Corp.	A	(1)	"
Trust U/A 1st Union Nat. Bk.	Nuveen Tax Exempt Fund	A	(2)	"
Trust U/A - 1st Union Nat. Bk.	Tax Exempt Bond Fund	E	(2)	"
Trust U/A - 1st Union Nat. Bk.	Common Stock Fund	C	(2)	"
Ruddick Corp.	Common Stock	B	(2)	"
Southeastern Savings Bank	Common Stock	A	(2)	"
N.C. Housing Fin. Agency	Bond	B	(2)	"
N.C. Dept. of Trans.	Bond	B	(2)	"
Judson T. Minyard Property	25% Interest	C	(3)	"
Smugglers Inlet Property	25% Interest	D	(3)	"
Lot #8 Figure Eight Island	34% Interest Residential Lot	D	(3)	"
Health Care Properties Ltd.	Partnership	A	(1)	"
Pendleton St. Note	Installment Note	A	(1)	"
Liberty Life Trust	- 25% Life Income Interest - Irrevocable Trust in Liberty Corp. Common Stock	F	(2)	"
R.E. Houston Residuary Trust	- 25% Interest:			
	SCNB Money Mkt. Fund	A	(2)	"
	S.C.N.B. Com. Stk. Fund	G	(2)	"
	S.C.N.B. Bond Fund.	G	(2)	"
Boyce Ave. Trust.	- 25% Interest:			
	Exxon Com. Stk.	B	(2)	"
	Merrill Lynch Ready Assets	B	(2)	"
	Cash	A	(2)	"

(1) Valued at net asset value

(2) Valued at Market

(3) Valued at cost, no known mkt. val.

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Page ___ of ___

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Alexander McMillan III
(Name)

Continuation Sheet

Part: IV

Source, Type, Amount, Category, Description or Value (As Applicable)

TRANSACTIONS

Description	Date	Category
Sales - Proceeds		
Trust U/A - 1st Union Nat. Bk.:		
Nuveen Tax Exempt Mon. Mkt. Fd.	1/4/88	D
Salem Money Mkt. Fd.	various	C
Tax Free Bond	various	A
Common Stk Fund	various	A
Southeastern Savings Bank	9/1/88	A
Nuveen Tax Exempt Mon. Mkt. Fd.	various	C (Spouse)
Tax Exempt Bond Fund	10/7/88	A "
Purchases		
Trust U/A - 1st Union Nat. Bk.:		
Salem Money Mkt. Fd	various	C
Common Stk Fund	1/11/88	D
Nuveen Tax Exempt Mon. Mkt. Fd	various	C (Spouse)

C. THOMAS MCMILLEN, 1988 FILING 0 2 3 2 0 9 3

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

CHARLES THOMAS MCMILLEN
(Full Name)

2135 Defense Highway, #9 Crofton Depot
(Mailing Address)

Crofton, Maryland 21114

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☐ Member of the U.S. House of Representatives—District 4 State Maryland

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED SCHEDULE A			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE ATTACHED SCHEDULE B		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE ATTACHED SCHEDULE C	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SEE ATTACHED SCHEDULE D		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
SEE ATTACHED SCHEDULE E	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED SCHEDULE F	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
SEE ATTACHED SCHEDULE F		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED SCHEDULE G	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	SEE ATTACHED SCHEDULE H

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	SEE ATTACHED SCHEDULE I	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
C. Thomas McMillen	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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C. THOMAS MCMILLEN 1988

SCHEDULE A - II. INCOME

SOURCE EARNED INCOME 1988	TYPE	AMOUNT	DISPOSITION
CAPITOL FORUM/INDEP INSRS ASSN -2/22	HONORARIA	500.00	
FRIENDLY SONS OF ST PATRICK -4/6	HONORARIA	2000.00	
NATL SOLID WASTE ASSOC - 4/6	HONORARIA	2000.00	
DREXEL BURNHAM LAMBERT - 4/11	HONORARIA	1000.00	
NATL RESTAURANT ASSN - 4/11	HONORARIA	750.00	
GOLDMAN SACHS COMPANY - 4/26	HONORARIA	2000.00	
CHICAGO BOARD OF TRADE - 4/26	HONORARIA	500.00	
AMERICAN INSURANCE ASSN INC - 4/11	HONORARIA	500.00	
CHICAGO MERCHANTILE EXCHANGE -4/27	HONORARIA	500.00	
NATL ASSN OF HOME BUILDERS - 5/11	HONORARIA	2000.00	
DREXEL BURNHAM LAMBERT -5/23	HONORARIA	1000.00	
MCCORMICK & CO, INC - 6/10	HONORARIA	1000.00	CHARITY
BIG BROTHERS			450.00
JEWISH NATIONAL FUND			150.00
WALTERS ART GALLERY			100.00
PEOPLE AGAINST CHILD ABUSE			75.00
MD COMMITTEE FOR CHILDREN INC			50.00
ROTARY CLUB OF PR. GEO. CO.			75.00
EPILEPSY ASSN OF MD INC			25.00
MAYORS BALL FOR THE ARTS			75.00
PENNSYLVANIA BANKERS ASSN -6/22	HONORARIA	1000.00	
PHARMACETUICAL MFGRS ASSN - 6/27	HONORARIA	1000.00	
COUNTY NATWEST - 7/11	HONORARIA	1000.00	
FREDDIE MAC -7/11	HONORARIA	2000.00	
TIMMONS & COMPANY - 7/26	HONORARIA	1000.00	
NATL COLLEGE ATHLETIC ASSN - 9/10	HONORARIA	2000.00	
FINANCIAL INNOVATIONS - 10/3	HONORARIA	2000.00	
BETHLEHEM STEEL -10/12	HONORARIA	1000.00	CHARITY
PG CO CANCER SOCIETY			500.00
AA CO CANCER SOCIETY			500.00
T ROWE PRICE -11/18	HONORARIA	2000.00	CHARITY
MD UNIV PRESIDENTS CLUB			2000.00
WOMEN IN INSURANCE -12/30	HONORARIA	500.00	CHARITY
COMMUNITY ACTION AGENCY			500.00
D EVANS ASSOC - 12/30	HONORARIA	2000.00	CHARITY
A.A. GENERAL HOSPITAL			750.00
NORTH ARUNDEL GENERAL HOSPITAL			750.00
COMMUNITY ACTION AGENCY			500.00

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C. THOMAS MCMILLEN 1988
SCHEDULE B II. INCOME

SOURCE - UNEARNED INCOME	TYPE	CATEGORY
WASHINGTON BULLETS(1/88)(SEE SCH I)	DEF COMP	E
ATLANTA HAWKS (1/88)(SEE SCH I)	DEF COMP	E
N.B.A. (6/88) (SEE SCH I)	SEVERENCE PMTS	D
STAR CHANNEL-SETTLEMENT RELATING TO COMMISSIONS EARNED IN PRIOR YEARS. (1988)	COMMISSIONS	A
OIL & GAS PARTNERSHIPS (1988 DISTRIBUTIONS)		
UNION DRILLING-1974 PROGRAM	DISTRIBUTION	A
UNION DRILLING-1975 PROGRAM	DISTRIBUTION	B
UNION DRILLING-1976 PROGRAM	DISTRIBUTION	A
UNION DRILLING-1978-A PROGRAM	DISTRIBUTION	B
UNION DRILLING-1978-B PROGRAM	DISTRIBUTION	B
UNION DRILLING-1979-A PROGRAM	DISTRIBUTION	B
UNION DRILLING-1979-B PROGRAM	DISTRIBUTION	B
UNION DRILLING-1981 PROGRAM	DISTRIBUTION	A
UNION DRILLING-1980-B PROGRAM	DISTRIBUTION	A
D C DRILLING	DISTRIBUTION	B
D C ENERGY	DISTRIBUTION	A
REAL ESTATE- LIMITED PARTNERSHIPS (1988 DISTRIBUTIONS)		
ABRAMS SQUARE FMV (LIQUIDATED 11/30/88)	LIQUIDATED	A
KBA ASSOCIATES NCV	DISTRIBUTION	A
MAIN PARK B (LIQUIDATED 10/87) (1/88)	FINAL DISTRB	A
PLAZA VANTAGE NCV	DISTRIBUTION	D
POT HSNG/BELL HAVEN NCV	DISTRIBUTION	A
SPRINGDALE MALL NCV (SOLD 1988)	SALE	G
SRF LONGMONT NCV	DISTRIBUTION	A
S W INVESTORS FMV	DISTRIBUTION	B
T V INTESTORS (4/3/87 INVSTED) NCV	DISTRIBUTION	A
V S INVESTORS FMV	DISTRIBUTION	A
OTHER INVESTMENTS - 1988 INCOME		
POTOMAC VALLEY CELLULAR	DISTRIBUTION	A
INTEREST INCOME 1988		
AMERICAN MOBILPHONE (NOTE)	INTEREST	B
FLEET (COLLATERAL NOTE)	INTEREST	E
MCMILLEN COMMUNICATIONS (NOTE)	INTEREST	E
SOVRAN/DC NATL (SAVINGS ACCT)	INTEREST	A
UNITED BANK (SAVINGS ACCTS)	INTEREST	B
AMERICAN SECURITY BANK (CD,MM)	INTEREST	B
JAND, INC. (NOTE)	INTEREST	A
AMERICAN BEEPER ASSOC. (NOTE)	INTEREST	A
A. BROWN & SON (STOCK)	ESCROW INT	A
2ND NATL B&L (MONEY MARKET)	INTEREST	A
RENTAL INCOME		
MCMILLEN FOR CONGRESS/EQUIP RENTAL	RENTS	C
MCMILLEN FOR CONGRESS/OFFICE SPACE	RENTS	C

C. THOMAS MCMILLEN 1988
SCHEDULE C - III. HOLDINGS

IDENTITY		CATEGORY
REAL ESTATE - LIMITED PARTNERSHIP HOLDINGS		
ABRAMS SQUARE FMV	LMTD PRTNRSHIP	E
KBA ASSOCIATES FMV	LMTD PRTNRSHIP	C
PLAZA VANTAGE FMV	LMTD PRTNRSHIP	C
PDT HSNG/BELL HAVEN FMV	LMTD PRTNRSHIP	C
SRF LONGMONT FMV	LMTD PRTNRSHIP	B
S W INVESTORS FMV	LMTD PRTNRSHIP	C
T V INTESTORS FMV	LMTD PRTNRSHIP	C
V S INVESTORS FMV	LMTD PRTNRSHIP	E
AMERICAN BEEPER ASSN	LMTD PRTNRSHIP	E
SPRINGDALE MALL/SOLD 11/88- SEE INCOME	LMTD PRTNRSHIP	N/A
OIL & GAS INVESTMENT HOLDINGS		
UNION DRILLING-1974 PROGRAM (FMV)	PARTNERSHIP	A
UNION DRILLING-1975 PROGRAM (FMV)	PARTNERSHIP	B
UNION DRILLING-1976 PROGRAM (FMV)	PARTNERSHIP	B
UNION DRILLING-1978-A PROGRAM (FMV)	PARTNERSHIP	C
UNION DRILLING-1978-B PROGRAM (FMV)	PARTNERSHIP	A
UNION DRILLING-1979-A PROGRAM (FMV)	PARTNERSHIP	A
UNION DRILLING-1979-B PROGRAM (FMV)	PARTNERSHIP	A
UNION DRILLING-1980-B PROGRAM (FMV)	PARTNERSHIP	A
UNION DRILLING-1981 PROGRAM (FMV)	PARTNERSHIP	A
D C DRILLING	PARTNERSHIP	A
D C ENERGY	PARTNERSHIP	A
OTHER HOLDINGS		
MCMILLEN COMMUNICATIONS	STOCK	B
COMPUTER TECH	STOCK	C
LEADVILLE MINING	STOCK	B
SHORE CELLULAR	STOCK	A
POTOMAC VALLEY CELLULAR	STOCK	A
SUMMIT CAPITAL CORPORATION	STOCK	A
GM DEVELOPMENT, LTD.	STOCK/NOTE	B
PIERRE ISLAND	STOCK	B
BARNES & CO. - COMMODITIES	COMMODITIES	C
GMS PARTNERSHIP	NOTE	B
MCMILLEN COMMUNICATIONS	NOTE	D
N. A.A. CO CHAMBER OF COMMERCE	NOTE	A
JAND INC.	NOTE	A
I R A ACCOUNTS	IRA'S	B
U S CONGRESS RETIREMENT FUND	RETIREMT FND	A
UNITED BANK	SAVINGS	D
SOVRAN/DC NATL	SAVINGS	A
FLEET NATL BANK- COLLATERAL FUNDS	SAV	E
AMERICAN SECURITY BANK	CD/MM	E

C. THOMAS MCMILLEN 1988
SCHEDULE D - IV. TRANSACTIONS

SOURCE / DESCRIPTION	DATE	CATEGORY
PIERRE ISLAND / INVESTMENT	6/88	B
PIERRE ISLAND / CONVERSION TO STOCK	11/88	B
FREDERICK CELLULAR /LIQUIDATED	12/88	A
BARNES & CO/COMMOITIES/ADDL INV	3/88	B
MARQUIP/STOCK/LIQUIDATED	12/88	A
TRANSPACE CARRIER/STK/NOTES LIQUIDATED	12/88	A
AMERICAN MOBILPHONE/NOTE/PAID	1/88	E
SPRINGDALE MALL/LMTD PSH/SOLD	11/88	E

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C. THOMAS MCMILLEN 1988
SCHEDULE E - V. LIABILITIES

IDENTITY	CATEGORY
SOVRAN/DC NATL-WASH, D.C. / COMMERCIAL NOTE-SECURED	E
1ST ATLANTA- ATLANTA, GA. / DEMAND NOTE-SECURED	D
NATL BANK OF WASH, WASH, D.C. /LINE OF CREDIT -SECURED	D
1ST AMERICAN BANK, WASH, D.C./DEMAND NOTE-SECURED	D

C. THOMAS MCMILLEN 1988
SCHEDULE F - VI. GIFTS * ANYTHING OF VALUE UNLESS CONSIDERATION
OF EQUAL OR GREATER VALUE IS RECEIVED BY DONOR

SOURCE A: OVER $250.00 BRIEF DESCRIPTION
OF: TRANS/LODGNG/FOOD/ENTERTNMT

SOURCE B: OVER $100.00	DESCRIPTION	VALUE

C. THOMAS MCMILLEN 1988
SCHEDULE G - VII. REIMBURSEMENTS

SOURCE	DESCRIPTION
GOLDMAN SACHS, NEW YORK	AIR FARE DC-NY-DC/ 1 NIGHTS LODGING/ FOOD/ SPKNG ENGMT
CHICAGO MERCANTILE EXCH & BOARD OF TRADE CITY OF CHICAGO	AIR FARE, DC-CHICAGO-DC / 2 NIGHTS LODGING / FOOD AND GROUND TRANS/ SPKNG ENGMT & TOUR
DREXEL BURNHAM	1 NIGHTS LODGING /GROUND TRANS /CALIF SPKNG ENGMT
HOOP-DE-DOO CHARITY AIR FARE: AMERICAN AIRLINES	AIR FARE, DC-DALLAS TX- DC, CHARITY PARTICIPANT
NATIONAL COLLEGIATE ATHLETIC COUNCIL	AIR FARE/ 1 NIGHTS LODGING/GROUND TRANS/FOOD DC-ORLANDO FLA-DC / SPKNG ENGMT
MCINTOSH HAMSON	AIR FARE /DC-NY-DC /GROUND TRANS/FOOD & LODGING (1 NIGHT)/ SPKNG ENGMT
SECURITIES INDUSTRIES ASSN.	AIR FARE/DC-WEST PALM BEACH, FLA-DC /GROUND TRANS & 2 NIGHTS LODGING/FOOD/ SPKNG ENGMT
VOLVO OF NORTH AMERICA	3 NIGHTS LODGNG/AIR FARE, DC-PROVIDENCE, NEW PORT, RI-DC/ & FOOD/GROUND TRANS PARTICIPANT CHARITY TENNIS TOURNAMENT
G T E	GTE AWARDS CEREMONY-AIR FARE, DC-NY-DC FOR 1 GROUND TRANS /1 NIGHT LODNG/ FOOD/ SPK & RECEIVE AWARD
DEMOCRATIC LEADERSHIP CONFERENCE	PRIVATE PLANE FROM GREENBRIAR W.VA TO [illegible], VA FOR DEMOCRATIC LEADERSHIP CONFERENCE/ PANEL PARTICIPANT
FRIENDLY SONS OF ST PATRICK	PRIVATE PLANE TO/FR SCRANTON, PA. SPKNG ENGMT
D. EVANS & ASSOC	AIR FARE, DC-PHOENIX-DC/ 2 NIGHTS LODGING/FOOD GROUND TRANS/ SPKNG ENGMT

C. THOMAS MCMILLEN 1988
SCHEDULE H - VIII. POSITIONS
POSITION NAME OF ORGANIZATION

MEMBER - BOARD OF DIRECTORS - MCMILLEN COMMUNICATIONS CORP.

C. THOMAS MCMILLEN 1988
SCHEDULE I - II. AGREEMENTS
DATE/ PARTIES TO / TERMS OF AGREEMENT

WASHINGTON BULLETS BASKETBALL ASSN - LANDOVER, MARYLAND
CONTRACT - DEFERRED INCOME

CONTRACT DATE	DEFERRED INCOME AMOUNT	DUE DATE
1983	15000.00	1/88
1983	15000.00	1/89
1984	15000.00	1/88
1984	15000.00	1/89
1984	15000.00	1/90
1984	15000.00	1/91
1985	20000.00	1/89
1985	20000.00	1/90
1985	20000.00	1/91
1985	25000.00	1/92

ATLANTA HAWKS BASKETBALL ASSN - ATLANTA, GA
CONTRACT - DEFERRED INCOME

CONTRACT DATE	DEFERRED INCOME AMOUNT	DUE DATE
1980	15000.00	1/88
1980	15000.00	1/89

NATIONAL BASKETBALL PLAYERS ASSN - NEW YORK, N.Y.
CONTRACT - SUBJECT TO CHANGE BY VOTE OF PLAYERS ASSOCIATION
CURRENT CONTRACT DISTRIBUTION DETAILS:
SEVERANCE PAYMENTS:

AMOUNT DUE	DUE DATE
$6600	4/15/88
$6600	4/15/89
$6600	4/15/90
$6600	4/14/91

LICENSING PAYMENTS:

AMOUNT DUE	DUE DATE
$11000.00	1997
$11000.00	1998
$11000.00	1999
$11000.00	2000
$11000.00	2001

PENSION FUND:

AMOUNT DUE	DUE DATE
$16500	AGE 50, PAYABLE YEARLY UNTIL DEATH

STAR CHANNEL - APRIL 12, 1982 - CHARLOTTE, N.C.
CONTRACT - IN EXCHANGE FOR MARKETING EFFORTS BY C. THOMAS MCMILLEN ON BEHALF OF STAR CHANNEL, CONGRESSMAN MCMILLEN IS ENTITLED TO RECEIVE A PERCENTAGE OF SALES AND RENEWAL OF INCOME FROM SUBSCRIBERS OBTAINED THROUGH HIS EFFORTS

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Michael R. McNulty
(Full Name)

86 West Street
(Mailing Address)

Green Island, New York 12183

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 23 State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
New York State Assembly	Salary	43,000	
New York State Assembly	Travel All.	7,730	
Green Island Insurance	Comm.	4,997	
State of New York (January to October)	Spouses Salary	11,670	
Fleet/Norstar Financial Group	Directors	Fee 975	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
N.Y. State Emplyees Federal Credit Union	Interest	A
Troy Savings Bank	Interest	A
Fleet/Norstar Financial Group	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Green Island Insurance	C
Fleet/Norstar Financial Group-Stock	A

8 9 0 7 0 2 3 2 4 2 0

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
New York State Employees Federal Credit Union	B
Personal Line of Credit	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NA-Not a member in 1988	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NA-Not a member in 1988		

8 9 1 7 1 2 8 2 4 2 1

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NA	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Owner	Green Island Insurance
Board of Directors	Capital Region Technology Development Council
Advisory Board	Fleet/Norstar Financial Group-Troy N.Y.Office-Resigned January 1989

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Ronald K. Machtley
(Full Name)

62 Sigourney Road
(Mailing Address)

Portsmouth, Rhode Island 02871

MC (Office Use Only)

U.S. [illegible]
1989 MAY 11 [illegible] 20

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State R.I.

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE. AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
*Ronald K. Machtley Associates-Law Firm (Self)	Leg. Treas.	$225,346.65	
Abstract & Title Services, Inc. (Wife)	Salary	4,200.00	
Abstract & Title Services, Inc. (Self)		16,[illegible]66.00	
Atlantic View, Inc.		-0-	

* Gross income for 2 Lawyers, support staff and overhead.

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
114 Touro Street, Newport, R.I. (½ ownership)	Rent	Gross - E
313, 315, 317 Wolcott Ave., Middletown, R.I. (100%)	Rent	Gross - D
119 Wampanoag Dr., Portsmouth, R.I. (½ ownership)	Rent	Gross - D
Navy Federal Credit Union (Daughter)	Interest	A
Advest Inc. (Daughter)	Dividend	A
Sherson Lehman Hutton (Self) Cash money market acct.	Interest	B
15 Parker Ave. Warren, R.I. 2nd mortgage	Interest	A
Help II Loan	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
114 Touro St. Newport, RI 3 story Office Bldg.-partnership (½ ownership)	E = ½ value
313, 315, 317 Wolcott Ave., Middletown, R.I.-Rental Property, 3 units. partnership K&R Associates (Self & Wife 100%)	E
119 Wampanoag Dr., Portsmouth, R.I.-partnership(½ ownership)single family	D = ½ value
Atlantic View, Inc. 20 shares (100 authorized) owner of 5 acres with office bldg., Silvia Lane AP 115 Lot No. 42, Middletown, R.I.	F
50 shares Abstract and Title Services, Inc.	B
Law Office Equipment	C

See Continuation Sheet

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Help Associates - Loan paid off (1/3 value)	9/88	B = 1/3 value
(See continuation sheet)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage - 114 Touro St. Newport, R.I. Bank of Newport (½ current bal.)	D = ½ value
Mortgage - 313, 315, 317 Wolcott Ave. Middletown, R.I. Bank of Newport	D
Mortgage - 119 Wampanoag Dr. Portsmouth, R.I. Bank of Newport	D
College loan - R.I. Hospital Trust Loan	A
Personal Loan - Bank of Newport	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE - As new Congressman see Page 1	
"Reporting Period"	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE - As new Congressman see Page 1		
"Reporting Period"		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE - As new Congressman see NOTE Page 1	
"Reporting Period"	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Financial Advisor	Townsend Fund for the Aged - Newport, R.I. (Resigned Jan. 1989)
Former Board of Director	Newport Hospital (Resigned 20 January 1989)
Board of Directors	Armed Services YMCA - Newport, R.I. (Resigned Jan. 1989)
Secretary	Atlantic View Inc. (Resigned Jan. 1989)
Pres./Sec'y./Treas.	Abstract & Title Services, Inc. (Resigned Jan. 1989)
Secretary	Fahri Corporation (Resigned Jan. 1989)
Secretary	Daleswell Inc. (Resigned Jan. 1989)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
Jan. 1 1989	R.K. Machtley-Sayer & Regan	Sale of Law practice

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ronald K. Machtley	May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

Page 5 of 6

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Ronald K. Machtley
(Name)

Continuation Sheet

Part III.HOLDINGS — Source, Type, Amount, Category, Description or Value (As Applicable)

Help Associates, partnership (1/3 interest) 2nd mortgage	(Self)	B = 1/3 value
2nd Mortgage, Parker Avenue, Warren, R.I.	(Self)	B
Personal Loan - Machtley for Congress Committee	(Self)	C
Navy Federal Credit Union	(Self)	A
New England Fund Money Market	(Self)	A
Advest Money Market Account	(Self)	A
Shearson Lehman Hutton Cash Money Market Accounts	(Self)	C
New England Cash Money Market Account	(Self)	A
Certain Teed Corp. - Common Stock	(Self)	A
Lucky Stores - Common Stock	(Self)	B
Glen Fed Inc. - Common Stock	(Self)	A
Hancock Fabrics Inc. - Common Stock	(Self)	A
Nashua Corp. - Common Stock	(Self)	A
Genuine Parts Inc. - Common Stock	(Self)	A
Long's Drug's Stores - Common Stock	(Self)	A
Pacific Telesis Group Inc. - Common Stock	(Self)	A
Tandy Corp. - Common Stock	(Self)	A
United Gov't. Securities Inc. - GNMA Board	(Daughter)	A
Figgie In't. Inc. - Common Stock	(Daughter)	B
NCH, Inc. - Common Stock	(Daughter)	A
Vavlen, Inc. - Common Stock	(Daughter)	A
Whitman Corp. (formerly IC Industries) - Common Stock	(Daughter)	A
Advest Inc. - Money Market Fund	(Daughter)	A
Navy Federal Credit Union - Savings Account	(Daughter)	A
Morrison Knudson Inc. - Common Stock	(Son)	A
British Petroleum - Common Stock	(Son)	A
Federal Signal - Common Stock	(Son)	A
Alcon Aluminum Ltd. - Common Stock	(Son)	A
Bowl America Ltd. - Common Stock	(Son)	A
Crompton Knowles Corp. - Common Stock	(Son)	A
Advest Inc. - Money Market Fund	(Son)	A

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Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Ronald K. Machtley
(Name)

Continuation Sheet

Part IV. TRANSACTIONS

Source, Type, Amount, Category, Description or Value (As Applicable)

Daughter	Valen Corp.	Purchase	100 shares	10/13/88	A
Son	Morrison Knudson Corp.	Sold	60 shares	1/26/88	A
Son	British Petroleum Corp.	Sold	9 shares	1/26/88	A
Son	Federal Signal Corp.	Purchase	100 shares	1/26/88	A
Self	Certain - Teed Corp.	Sold	60 shares	2/25/88	A
Self	Lucky Stores Corp.	Sold	98 shares	5/12/88	B
Self	Glen Fed Inc.	Sold	75 shares	9/15/88	A
Self	Genuine Parts Inc.	Purchase	100 shares	3/24/88	A
Self	Long's Drug Stores Inc.	Purchase	100 shares	5/13/88	A
Self	Pacific Telesis Group Inc.	Purchase	100 shares	5/13/88	A
Self	Tandy Corp.	Purchase	50 shares	9/15/88	A
Self	Old Colony Cooperative	Savings Account	Closed Out	8/00/88	B
Self	New England Life	Savings	Closed Out	88	
Self	Nortek Inc.	Sold		8/00/81	A

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Edward R. Madigan
(Full Name)

2109 Rayburn Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

MAY 15 2 10

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 15 State IL

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,297, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In [illegible]rting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself [illegible] spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF [illegible]T must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary [illegible]ceive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of t[illegible] mitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other e[illegible]ned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Amer. Soybean Assn's '87 Leadership Conf. (1/15)	Honor	750	
Phar. Ind. Wash. Adv. Comm. (4/28)	Honor	2000	
Amer. Soc. of Anesthes. (1/7)	Honor	2000	
Chem. Specialties Mfg. Assn. (1/27)	Honor	500	
Amer. Assn. of Crop Insurers (4/1)	Honor	2000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Cong. Fed. Credit Union - Savings	Interest	B
Magna Bank - Savings	Interest	B
Sovran Bank - Checking/Savings	Interest	A
Construction Equip. Fed. Credit Union - Savings	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Cong. Fed. Credit Union - Savings	C
Magna Bank - Savings	C
Sovran Bank - Checking/Savings	B
Construction Equipment Federal Credit Union - Savings	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Natl. Food Processors	Air Fare & Lodging (including Mrs. Madigan) in conjunc. w/ speech (Orlando)
Amer. Agrisurance	Air Fare & Lodging (including Mrs. Madigan) in conjunc. w/ speech (Kauai)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member, Bd. of Directors	Olympic Federal Savings & Loan

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Edward R. Madigan	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Edward R. Madigan
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

II. INCOME

SOURCE	TYPE	AMOUNT	DISPOSITION
Amer. Medical Assn.	Honor.	1000	
Natl. Futures Ind. Conf.	Honor.	1000	
Staley Continental	Honor.	2000	
IL Bell	Honor.	2000	
U. S. Telephone Assn.	Honor.	2000	
Grocery Mfg. of Amer.	Honor.	2000	
Tobacco Institute	Honor.	2000	
Dexter Corporation	Honor.	2000	
Assn. of Amer. Railroads	Honor.	1000	
Natl. Issues Forum	Honor.	1000	
Camp. Dresser, McKee	Honor.	2000	
Nabisco Brands	Honor.	500	
Sun Diamond Bd. of Dir.	Honor.	2000	$900 to Charity
Amer. Agrisurance	Honor.	2000	Charity
Medical Group Mgt. Assn.	Honor.	2000	Charity
Public Securities Assn.	Honor.	1000	Charity
College of Amer. Pathologists	Honor.	1500	Charity
Amer. Farm Bureau Natl. Affairs Conf.	Honor.	500	Charity

III. REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION
Public Securities Assn.	One way Air Fare and One Way Train Fare in conjunc. w/speech (N.Y.)
Natl. Cable TV Assn.	*Air Fare & Lodging (including Mrs. Madigan) in conjunc. w/ speech (Los Angeles)
The Proprietary Assn.	*Air Fare & Lodging (including Mrs. Madigan) in conjunc. w/ speech (White Sulphur Springs, W.VA)
Chicago Board Options Exchange	*Air Fare in conjunc. w/speech (Chi.)
Grocery Mfg. of America	One Way Air Fare & Lodging (includ. Mrs. Madigan) in conjunction w/ speech (White Sulphur Springs, W.VA)
Pfizer (in participation w/ Grocery Mfg. of America)	One Way Air Fare (including Mrs. Madigan) in conjunc. w/speech (White Sulphur Springs, W. VA)
Amer. Swiss Assn.	*Air Fare & Lodging (including Mrs. Madigan) in conjunc. w/ fact-finding mission (Switzerland)

*Note - Unless otherwise specified - Round Trip Airfare

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Edward R. Madigan
(Full Name)

2109 Rayburn Building
(Mailing Address)

Washington, D. C. 20515

✓ MC (Office Use Only)

MAY 22 11:57

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 15 State IL

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Amer. Soybean Assn's '87 Leadership Conf. (1/15)	Honor.	750	
Phar. Ind. Wash. Adv. Comm. (4/28)	Honor.	2000	
Amer. Soc. of Anesthes. (1/17)	Honor.	2000	
Chem. Specialties Mfg. Assn. (1/27)	Honor.	500	
Amer. Assn. of Crop Insurers (4/1)	Honor.	2000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Cong. Fed. Credit Union -Savings	Interest	B
Magna Bank - Savings	Interest	B
Sovran Bank - Checking/Savings	Interest	A
Construction Equip. Fed. Credit Union - Savings	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Cong. Fed. Credit Union - Savings	C
Magna Bank - Savings	C
Sovran Bank - Checking/Savings	B
Construction Equipment Federal Credit Union - Savings	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Natl. Food Processors	Air Fare & Lodging (including Mrs. Madigan) in conjunc. w/ speech (Orlando)
Amer. Agrisurance	Air Fare & Lodging (including Mrs. Madigan) in conjunc. w/ speech (Kauai)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member, Bd. of Directors	Olympic Federal Savings & Loan

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Edward R. Madigan	May 22, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Edward R. Madigan
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

II. INCOME

SOURCE	TYPE	AMOUNT	DISPOSITION
Amer. Medical Assn. (2/29)	Honor.	1000	
Natl. Futures Ind. Conf. (1/12)	Honor.	1000	
Staley Continental (1/4)	Honor.	2000	
IL Bell (2/1)	Honor.	2000	
U. S. Telephone Assn. (1/14)	Honor.	2000	
Grocery Mfg. of Amer. (4/7)	Honor.	2000	
Tobacco Institute (2/24)	Honor.	2000	
Dexter Corporation (4/8)	Honor.	2000	
Assn. of Amer. Railroads (1/19)	Honor.	1000	
Natl. Issues Forum (1/19)	Honor.	1000	
Camp. Dresser, McKee (3/3)	Honor.	2000	
Nabisco Brands (1/12)	Honor.	500	
Sun Diamond Bd. of Dir (1/28)	Honor.	2000	$900 to Charity
Amer. Agrisurance (3/31)	Honor.	2000	Charity
Medical Group Mgt. Assn. (4/15)	Honor.	2000	Charity
Public Securities Assn (4/22)	Honor.	1000	Charity
College of Amer. Pathol. (5/4)	Honor.	1500	Charity
Amer. Farm Bureau Natl. Affairs Conf. (5/17)	Honor.	500	Charity

III. REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION
Public Securities Assn.	One way Air Fare and One Way Train Fare in conjunc. w/speech (NY)
Natl. Cable TV Assn.	*Air Fare & Lodging (including Mrs. Madigan) in conjunc. w/ speech (Los Angeles)
The Proprietary Assn.	*Air Fare & Lodging (including Mrs. Madigan) in conjunc. w/ speech (White Sulphur Springs, W VA)
Chicago Board Options Exchange	*Air Fare in conjunc. w/speech (Chi)
Grocery Mfg. of America	One Way Air Fare & Lodging (includ. Mrs. Madigan) in conjunction w/ speech (White Sulphur Springs, W VA)
Pfizer (in participation w/ Grocery Mfg. of America)	One Way Air Fare (including Mrs. Madigan) in conjunc. w/speech (White Sulphur Springs, W VA)
Amer. Swiss Assn.	*Air Fare & Lodging (including Mrs. Madigan) in conjunc. w/ fact-finding mission (Switzerland)

*Note - Unless otherwise specified - Round Trip Airfare

GPO: 1987 73-046 [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

MAY 15 1989

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Thomas J. Manton
(Full Name)

46-12 Queens Boulevard
(Mailing Address)

Sunnyside, NY 11104

MC (Office Use Only)

RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 MAY 22 PM 2:20
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9th State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Thomas J. Manton, P.C.		Salary	$10,000.00	
Petroleum Institute	01/15/88	Honorarium	500.00	
Amoco Corp.	01/15/88	"	500.00	
Ironworkers International	02/16/88	"	2,000.00	
N.Y.S. Bankers Assoc.	02/25/88	"	2,000.00	
(continued)				

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
~~D. Manton Trust (spouse)~~	Interest	A
~~D. Manton Trust (spouse)~~	Dividends	D
~~Premises 47-17 43rd Avenue, Sunnyside, NY~~	Rent	C
~~N.Y.C. Employees Retirement System~~	Pension	D
Premises 47-17 43rd Avenue, Sunnyside NY (sale of ½ interest)	Capitol Gains	F

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Thomas J. Manton, P.C. - Stock in professional law corporation	C
Berkeley Coop. Towers, Sec. III Corp. - Stock in coop apartment	E
47-17 43rd Avenue, Sunnyside, NY - 2-family house (½ interest)	E
19-14 21st Road, Astoria, NY - 1 family house	E
Thomas J. Manton, P.C. - Pension Plan	C
Thomas J. Manton, P.C. - Profit Sharing Plan	C
(continued)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of one-half interest in premises 47-17 43rd		
Avenue, Sunnyside, NY - 2-family house	05/20/88	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Citibank, N.A. - Coop Apartment - Mortgage Loan	B
Long Island Savings Bank - 2-family Home Mortgages - ½ interest	D
Dime Savings Bank of New York - 1-family Home Mortgage	E
Wright Patman F.C.U. - Personal Loan	B
Thomas J. Manton, P.C. - Personal Loan	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Sole Stockholder, Director & Officer	Thomas J. Manton, P.C.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Thomas J. Manton	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Thomas J. Manton
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II A.	Income Source (continued)			
	Chicago Mercantile Ex.	04/22/88	Honorarium	$ 500.00
	Chicago Board of Trade	04/22/88	"	500.00
	Pfizer Inc.	05/09/88	"	1,000.00
	Sandoz Corp.	06/17/88	"	750.00
	American Petroleum Institute	07/05/88	"	1,000.00
	Securities Industry Assoc.	07/12/88	"	2,000.00
	Phillips Petroleum	09/08/88	"	1,000.00
	Securities Industry Assoc.	11/30/88	"	2,000.00
	U.S. Telephone Assoc.	12/07/99	"	1,000.00
III.	Holdings (continued)			
	Home Savings Bank (spouse) - IRA			A
	D. Manton Trust (spouse) - Intervivos Trust			E
	an intervivos trust established in 1959 with Citibank, N.A. as trustee with the corpus being 5481 units of CITIBANK BALANCED FUND, a fund consisting of treasury securities, common stocks, bonds, convertible preferred stocks and convertible bonds.			

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HAND DELIVERED

HOUSE OF REPRESENTATIVES
WASHINGTON, D. C. 20515

THOMAS J. MANTON
9TH DISTRICT, NEW YORK

May 17, 1989

✓
MC

MAY 17 [illegible] 12:24

Clerk of the House
Office of Records and Registration
1036 Longworth HOB
Washington, D.C. 20515

Re: Ethics in Government Act - Financial Disclosure Statement for 1988

Dear Sirs:

This letter will serve to amend the above captioned statement which was filed and post marked as of May 15, 1989.

The amendment is to II Income-Earned Income, as follows:

Please add as follows: Spouse's earned income as a registered nurse doing private duty nursing through Greater New York Nursing Service, P.C.

Very truly yours,

Thomas J. Manton

Thomas J. Manton
Member of Congress
46-12 Queens Boulevard
Sunnyside, New York 11104

TJM:R

NOT PRINTED AT GOVERNMENT EXPENSE

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Edward J. Markey
(Full Name)

2133 Rayburn HOB
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

15 52

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 07 State Mass.

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SCHEDULE #1 ATTACHED			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
MALDEN BANK FOR SAVINGS	INTEREST	B
OFFICE OF PERSONNEL MANAGEMENT	INTEREST	C
BASEMENT APARTMENT - E STREET, SE	RENT	D
DOMINION BANK	INTEREST	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Residence on E Street, SE, Washington, DC; of which one-third (basement apartment) is used as a rental unit	E
Malden Bank for Savings	C
SPOUSE	
Dominion Bank	C

89070232579

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First Federal of So. Carolina - Mortgage - One-third of residence used as a rental unit	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
SCHEDULE #2 ATTACHED	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Edward J Markey	5-15-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

EDWARD J. MARKEY
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II.AINCOME EARNED	SOURCE	DATE	TYPE	AMOUNT
	World Expo/IDG Publications	01/27/88	Honorarium	2,000.
	Massachusetts Institute of Technology	01/28/88	Honorarium	2,000.
	New York Times	02/20/88	Editorial	35.
	Atlanta Art Papers Journal	03/01/88	Editorial	30.
	Brookings Institution	03/09/88	Honorarium	300.
	Gannett Co., Inc.	04/01/88	Editorial	125.
	National Technological Univ.	04/14/88	Honorarium	1,000.
	Scarsdale, NY Nuclear Freeze	04/24/88	Honorarium	1,000.
	University of Minnesota	05/03/88	Honorarium	2,000.
	Mergers & Acquisitions Mag. MLR Publishing	05/06/88	Honorarium	2,000.
	New York University	05/20/88	Honorarium	1,000.
	Univ. of California, L.A.	05/28/88	Honorarium	750.
	Natural Gas Journal	06/13/88	Honorarium	1,000.
	Merit Communications	06/21/88	Honorarium	500.
	1st United Methodist Church Alexandria, LA	09/26/88	Honorarium	2,000.
	Brookings Institution	10/05/88	Honorarium	400.
	George Washington Univ.	12/10/88	Honorarium	2,000.
	New York University	12/09/88	Honorarium	1,000.

II.A INCOME EARNED - SPOUSE	SOURCE	TYPE
	Lectures	Fee
	Publications	Fees
	Consulting	Fee
	Private Practice of Medicine	Fees
	National Institute of Mental Health	Salary

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

EDWARD J. MARKEY
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
IV. REIMBURSEMENTS	SOURCE	BRIEF DESCRIPTION	
	Physicians for Social Respon.	Air fare/Transp.	NV (1-88)
	Scarsdale, NY, Nuclear Freeze	Air fare/Transp.	NY (4-88)
	University of Minnesota	Air fare	MN (5-88)
	Mergers & Acquisitions Magazine MLR Publishing	Air fare	NY (5-88)
	New York University	Air fare/Lodging	NY (5-88)

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

MAY 15 P 4:54

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Ronald C. Marlenee
(Full Name)

2465 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

Mc (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Montana

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Marlenee Big Sky Farms & Ranch		Partnership	4,150.00	
The Fertilizer Institute	1-26-88	Honorarium	1,000.00	
Information Systems & Networks Corp.	4-14-88	Honorarium	1,000.00	
ConAgra Inc.	10-31-88	Honorarium	2,000.00	
Burson-Marsteller	11-22-88	Honorarium	500.00	
Intermountain Forest Industries	12-12-88	Honorarium	1,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Marlenee Big Sky Farms & Ranch	Rents	E
1) Long-term gain	Capital Gains	C
Montana National Bank	Interest	B
New York Life Insurance	Interest	A
Bozeman Lot	Capital Gains	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Marlenee Big Sky Farms & Ranch - Partnership	F
Cabin & Lot 15, Bridger Pines; Bozeman, Montana	E
Lot 8 Subdivision, Paseo Montana; Tuscon, Arizona	C
Montana National Bank - C.D.	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Bridger Pines/Lot 14 Bozeman, Montana Sold	3-1-88	C
Paseo Montana/Lot 8 Tuscon, Arizona Purchased	7-18-88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Federal Land Bank - Sidney, Montana	E
Montana National Bank - Plentywood, Montana	D
Pete Hagfeldt - Scobey, Montana	C
Valley National Bank - Arizona	C
First Security Bank - Bozeman, Montana	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Harry Sanford	.22 Magnum Pistol	$250.00
Harvey Rattey	Small Bronze	$150.00

89070282597

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Intermountain Forest Ind. - Coeur D'Alene,ID(speech)	transportation, room & meal--one nigh
Safari Club International - Las Vegas(speech & meetings)	transportation, room & meals-- 2 days, 3 nights; self & spouse.
NRA-Convention - Orlando, FL (speech & meetings)	transportation, room & meals-- 2 days; self & spouse

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner & Owner	Marlenee Big Sky Farms & Ranch
Safari Club International	Director at Large

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ron Marlenee	5/14/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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RON MARLENEE
MONTANA

WASHINGTON OFFICE:
2465 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-1555

Congress of the United States
House of Representatives
Washington, DC 20515

MONTANA OFFICES:
312 9TH STREET, SOUTH
GREAT FALLS, MT 59405
(406) 453-3264

103 NORTH BROADWAY STREET
BILLINGS, MT 59101
(406) 657-6753
TOLL FREE
800-332-3986

INSIDE MAIL

OFFICE OF RECORDS
1989 MAY 23 PM 2:09
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

May 23, 1989

Chairman Julian Dixon
Committee On Standards
of Official Conduct
1036 Longworth
Washington D.C. 20515

✓
MC

Dear Mr. Chairman:

Pursuant to your letter on May 17, 1989 which identified a deficiency on my financial disclosure statement, I am providing clarification of the liability listed as Pete Hagfeldt, Scobey, Montana.

The type of indebtedness is a contract for deed for farmland real estate. You should be aware that I have listed this loan in this way for the last several years. This is the first year that the Ethics Committee has called this matter to my attention.

I hope that this provides you with the information requested.

Sincerely,

Ron

Ron Marlenee

COUNTIES
BIG HORN BLAINE CARBON CARTER CASCADE CHOUTEAU CUSTER DANIELS DAWSON FALLON FERGUS GARFIELD GOLDEN VALLEY HILL JUDITH BASIN
LIBERTY McCONE MEAGHER MUSSELSHELL PETROLEUM PHILLIPS PONDERA POWDER RIVER PRAIRIE RICHLAND ROOSEVELT ROSEBUD
SHERIDAN STILLWATER SWEET GRASS TETON TOOLE TREASURE VALLEY WHEATLAND WIBAUX YELLOWSTONE

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Ron Marlenee
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
V	Pete Hopfeldt - Scobey, Mt. Farmland Real Estate Contract for Deed

GPO: 1980 [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

David O'B. Martin
(Full Name)

Box 188, Star Route
(Mailing Address)

Canton, New York 13617

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 26 State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Wife - Canton Central School and St. Lawrence-Lewis	Salary		
Board of Cooperative Educational Service,			
part-time teacher			
* See also Continuation Sheet Attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Key Corp.	Stock Dividend	A
Key Bank of Central New York - Savings Accounts		A
and Key Advantage Accounts		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Key Corp. - common stock	A
Key Bank of Central New York - Savings account and	A
Key Advantage Accounts	
Crestar Bank Account	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Plattsburgh Air Base Liaison Committee	Leather attache case and pen set	Estimated
	presented as momento of	$350.00 value
	testimonial dinner 5/26/88	

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member of Board of Directors	Key Bank of Central New York
Trustee	Barry M. Goldwater Scholarship and Excellence in Education Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
David O'B Martin	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Rep. David O'B. Martin
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II	EARNED INCOME	TYPE	AMOUNT	DISPOSITION
	American Sugar Cane League of the U.S.A., Inc. - 12/15/88	Honoraria	$1,000.00	
	International Military Club Executives Association - 6/7/88	Honoraria	$2,000.00	
	New York State Movers & Warehousemen's Association, Inc. - 9/16/88	Honoraria	$1,000.00	
	Long Island Aeropac/Business Association - 4/22/88	Honoraria	$2,000.00	
	Monitor Aerospace Corporation-$1,000.00			
	ALJO Precision Products, Inc.-$500.00			
	Aero Hardware & Parts Co., Inc.-$500.00			
	The Tobacco Institute-3/29/88	Honoraria	$1,000.00	
	Key Bank of Central New York-Director's fees	Director's fees	$1,450.00	

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Rep. David O'B. Martin
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII	REIMBURSEMENTS	
	American Sugar Cane League of the U.S.A., Inc.	Travel, round-trip Washington, D.C.-Baton Rouge, LA. in connection with tour of processing facilities and speech to sugar cane farmers and processors, 12/15/88
	International Military Club Executives Association	Travel, Washington, D.C.-Chicago, IL.-Syracuse, N.Y., together with food and lodging in connection with address to annual conference, 5/19/88-5/20/88

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

1989 MAY 11 PM 4:58

FORM A—For use by Members, officers, and employees

Lynn Martin
(Full Name)

1214 Longworth HOB
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 16 State IL

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
spouse - see attached			
honoraria - see attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rent on 2 apartments at 127 4th St. SE	Rent	E
First Federal Savings & Loan	Interest	A
Fund for Government Investors (money mkt)	Dividends	A
Rockford University Women Investment Club	Dividends	A
Wright Patman Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES *X NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Half of building at 127 4th St used as rental units	E
First Federal Savings and Loan	A
Fund for Government Investors	A
Rockford University Women Investment Club	A
Wright Patman Credit Union	A

* my daughters, ages 27 and 19, each have a trust fund set up by their father from whom I am divorced. I have no knowledge of the components of the funds, nor do I derive benefit from them.

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of holdings in Rockford University Women's	12/88	A
Investment		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
AMCORE Bank NA Rockford, IL	E
(portion of mortgage applied to rental property in	
III above)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Secretary	Board of Directors, Institute for Illinois

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Lynn Martin	5/9/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lynn Morley Martin
(Name)

Continuation Sheet

Source, Type, Amount, Category, Description or Value (As Applicable)

Part	Source	Date	Type	Amount	Disp.
II	Paine Webber	1/26/88	H	$1500	None
	Illinois Bell	1/20/88	H	$2000	None
	Chicago Mercantile Exchange	2/5/88	H	$1000	None
	Chicago Board of Trade	2/5/88	H	$1000	None
	Equitable Insurance Corporation	2/8/88	H	$1500	None
	Tobacco Institute	2/9/88	H	$2000	None
	Dow Chemical	1/28/88	H	$2000	None
	Olsten Personnel	1/29/88	H	$1500	None
	Lockheed Corporation	2/26/88	H	$1000	None
	California Cotton	2/26/88	H	$1000	None
	College of American Pathologists	3/2/88	H	$1000	None
	AM Tel & Tel	3/21/88	H	$1000	None
	Paine Webber	3/16/88	H	$1500	None
	American Mining Congress	4/25/88	H	$1500	None
	Paine Webber	5/13/88	H	$2000	None
	Textron	5/19/88	H	$2000	None
	National Restaurant Ass'n	5/20/88	H	$1000	None
	Am. Council for Capital Formation	6/16/88	H	$2000	None
	Grocery Manufacturers of America	8/10/88	H	$2000	charity
	National American Wholesale Grocers	6/30/88	H	$1000	charity
	Chicago Research & Trading Group	8/26/88	H	$2000	charity
	Nat'l Retail Merchants Ass'n	9/7/88	H	$2000	charity
	Ensearch Corporation	9/15/88	H	$1000	charity
	IBM	9/26/88	H	$2000	charity
	Paine Webber	9/28/88	H	$2000	charity
	Westview Press	3/9/88	Royalty	$54.79	

CHARITABLE CONTRIBUTIONS FROM HONORARIA RECEIVED

Crusader Clinic	$500
YWCA	$150
Martin House	$500
Goldie Floberg Home	$500
Rockford Literacy Council	$250
United Way	$1000
Illinois Issues	$110
University of Illinois	$500
First Step Day Care	$500
Keen Age Center	$500
Boone Salvation Army	$500
Winning Wheels	$500
Whiteside Cy. Salvation Army	$500
Blackhawk Hills Resource Conservation and Development	$200
Coatsworth Center	$400
Jo Daviess Cy. Workshop	$400
Galena Foundation	$400
Village of Progress - Ogle Cy.	$250
Yellow Bird Senior Center	$250
Rochelle Christian Food Pantry	$250
HOPE	$250
HOSPICE	$250
Salvation Army - Stephenson Cy	$300
Stephenson Cy. Senior Ctr.	$300
United Way of Freeport, IL	$300
Martin Luther King Center	$300
Lee Cy. Hospice	$300
Paramedics - Dixon Fire Dept.	$300

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lynn Morley Martin
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
	CHARITABLE CONTRIBUTIONS FROM HONORARIA RECEIVED (CONT)
	Kreider Center $300
	Leydig Memorial Center $300
	Red Cross - Carroll County $300
	Savanna Food Pantry $400
	Carroll County Good Samaritan Center $300
VII	Chicago Mercantile Exchange Travel to Chicago for speech speech in Chicago
	Anti-Defamation League, 7 days, Transportation, food, & lodging in Israel, self and sister (seminar participant)
	Aspen Institute, 5 days, Transportation, food, & lodging in Jamaica, self and spouse (seminar participant)
	Electronics Industry Ass'n, 3 days, Transportation, food, & lodging in Florida, self and daughter (seminar participant)
	Congressional Institute, Inc., 3 days, Transportation, food, & lodging in Houston, self and daughter (seminar participant)
	Congressional Institute, Inc., 2 days, Transportation, food, & lodging in St. Louis (seminar participant)
	Warner Lambert, 7 days, Transportation, food, & lodging in Peurto Rico, self and daughter (seminar participant)
	Morton Thiokol, Travel to Salt Lake City, UT for speech

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AO-10
Rev. 1/89

FINANCIAL DISCLOSURE REPORT

Annual Report Due by May 15 from Judicial Officers and certain Judicial Employees (28 USCA App. I, §§ 301-09)

Person Reporting (Last name, first, middle initial) LEINENWEBER, HARRY D.	Court or Organization U. S. DISTRICT COURT	Date of Report 05/10/89
Title U. S. DISTRICT COURT JUDGE	Date of Entry/Nomination/Termination (only if initial or final report)	Reporting Period (Calendar year, or inclusive dates) 1988
Home or office address 219 South Dearborn Street, #1778 Chicago, IL 60604		

IMPORTANT NOTES: *Please read the instructions accompanying this form. The report should include information pertaining to your spouse and dependent children, if any. Attach additional sheets if needed, identifying each attachment by showing your name, the date of the report, and the section(s) being completed.* Complete all sections, checking the NONE box for each section where you have no reportable information. *Compare and reconcile this report with last year's and list items in the same order as last year. Type or print clearly. Sign on last page.*

I. POSITIONS. (Reporting individual only; see pp. 15-17 of Instructions.)

☐ NONE (No reportable positions)

POSITION	NAME OF ORGANIZATION/ENTITY
COMMISSIONER	National Conference of Commissioners on Uniform State Laws
MEMBER, BOARD OF DIRECTORS	Good Shepherd Manor
TRUSTEE	Testamentary Trust Under the Will of Emily Lennon Leinenweber- beneficiary John Charles Leinenweber (brother)

II. AGREEMENTS. (Reporting individual only; see p. 17 of Instructions.)

☐ NONE (No reportable agreements)

DATE	PARTIES AND TERMS
01/17/86	Dunn & Martin, Ltd. (formerly Dunn, Leinenweber & Dunn, Ltd.) and Harry D. Leinenweber--I am receiving a percentage of fees from certain litigation pending at the time of my termination that is payable to me over a 3-year period

III. NON-INVESTMENT INCOME. (Partial disclosure for spouse; see pp. 18-20 of Instructions.)

☐ NONE (No reportable non-investment income)

	DATE (Honoraria only)	SOURCE AND TYPE		GROSS INCOME (yours, not spouse's)
1		Administrative Office United States Courts	Salary	$ 89,500
2		Dunn & Martin, Ltd.	Contract payment	$ 2,750
3		U.S. House of Representatives	(S)	$
4		See attached list of honoria payers	(S)	$
5				$

FINANCIAL DISCLOSURE REPORT

NAME: LEINENWEBER, HARRY D. DATE: May 10, 1988

III. NON-INVESTMENT INCOME (continued from page one)

HONORARIA (S)

01/26/88	Paine Webber	$1500.00
	Illinois Bell	$2000.00
01/28/88	Dow Chemical Company	$2000.00
01/29/88	Olsten (Am Svc Personnel Admn.)	$1500.00
02/05/88	Chicago Mercantile Exchange	$1000.00
	Board of Trade	$1000.00
02/08/88	Equitable Insurance Company	$1500.00
02/09/88	Tobacco Institute	$2000.00
02/26/88	Lockheed	$1000.00
	Calcot (California Cotton)	$1000.00
03/02/88	College of American Pathologists	$1000.00
03/16/88	Paine Webber	$1500.00
03/21/88	AT&T	$1000.00
04/25/88	American Mining Congress	$1500.00
05/13/88	Paine Webber	$2000.00
05/19/88	Textron	$2000.00
05/20/88	National Restaurant Association	$1000.00
06/16/88	American Council for Capital Formation	$2000.00
06/30/88	National American Wholesale Grocers Association	$1000.00
08/10/88	Grocery Manufacturers of America	$2000.00
08/26/88	Chicago Research & Training Group	$2000.00
	Westview Press (Royalties)	$ 54.79
09/07/88	National Retail Merchants Association	$2000.00
09/15/88	Enserch Corporation	$1000.00
09/26/88	IBM Corporation	$2000.00
09/28/88	Paine Webber	$2000.00

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FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting: HARRY D. LEINENWEBER

Date of Report: 05/10/89

IV. REIMBURSEMENTS and GIFTS--transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 20-22 of Instructions.)

☐ NONE (No such reportable reimbursements or gifts)

	SOURCE	DESCRIPTION
1	National Conference of Commissioners on Uniform State Laws	Travel, lodging & meals for occasional drafting meetings by NCCUSL
2	State of Illinois	Registration fee, travel, lodging and meals for annual NCCUSL meeting
3	Aspen Institute	Travel, lodging and meals for conference in Jamaica
4		
5		
6		
7		
8		

V. OTHER GIFTS.

(Includes those to spouse and dependent children; see pp. 20-22 of Instructions.)

☒ NONE (No such reportable gifts)

	SOURCE	DESCRIPTION	VALUE
1			$
2			$
3			$
4			$

VI. LIABILITIES.

(Includes those of spouse and dependent children; see pp. 22-24 of Instructions.)

☐ NONE (No reportable liabilities)

	CREDITOR	DESCRIPTION	VALUE CODE*
1	1st National Bank of Joliet, IL	Loan	M
2	Amcore Bank, Rockford, IL	Mortgage	O
3			
4			
5			
6			
7			

* VALUE CODES: J = $0 to $1,000; K = $1,001 to $5,000; L = $5,001 to $15,000; M = $15,001 to $50,000; N = $50,001 to $100,000; O = $100,001 to $250,000; P = over $250,000

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FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting	Date of Report
HARRY D. LEINENWEBER	05/10/89

VII. INVESTMENTS and TRUSTS--income, value, transactions.

(Includes those of spouse: partial disclosure for dependent children; see pp. 24-35 of Instructions.)

A. Description of Assets (including trust assets)	B. Income during period		C. Gross value at end of period		D. Transactions during period (Reporting individual and spouse)				
						If not exempt from disclosure			
Place "(X)" after each asset exempt from prior disclosure.	Amt. Code¹ (A-H)	Type (e.g., div.)	Value Code² (J-P)	Value Method Code³ (Q-W)	Type (e.g., sold)	Date: Month-Day	Value Code² (J-P)	Gain Code¹ (A-H)	Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
Brunswick Corp. -- stock	A	div			sold	12/88		B	
Squibb Corp. -- stock	B	div	T	T					
Olin Corp. -- stock	A	div		.	sold	12/88		C	
Internorth -- stock	B	div	T	T					
1st Colony--annuity	E	annuity	M	W					
Safeco -- annuity	E	annuity	M	W					
Amoco Corp. -- stock	B	div			sold	12/88		A	
Questar -- stock	B	div	T	T					
Cyprus Oil -- stock	A	div	T	T					
Cities Service Corp. "O" Coupon Note	A	int	T	T					
Commonwealth Edison Co. 1st Mortgage Bond Note	A	int	T	T					
AT&T Debenture Note	A	int	T	T					
Rooney Finance Company Promissory Note	A	int			redeemed	5/88		K	
Amerifed Savings & Loan Certificate of Deposit	A	int			redeemed	10/8A		C	
Home Savings & Loan Assn. Passbook Savings	A	int			redeemed	9/87		A	
127 - 4th Street, S.E. ashington, D.C. (S)	P	rent	P	W					
1st Federal Savings ockford, IL (S)	B	int	K	T					
Fund for overnment Investments (S)	B	div	K	T					
Rockford University omen's Club (S)	B	div	K	T					
Congressional Federated redit Union (S)	B	int	K	T					

¹Income/Gain Codes:	A = exempt ($0 to $100) E = $5,001 to $15,000	B = $101 to $1,000 F = $15,001 to $50,000	C = $1,001 to $2,500 G = $50,001 to $100,000	D = $2,501 to $5,000 H = over $100,000
²Value Codes:	J = exempt ($0 to $1,000) N = $50,001 to $100,000	K = $1,001 to $5,000 O = $100,001 to $250,000	L = $5,001 to $15,000 P = over $250,000	M = $15,001 to $50,000
³Value Method Codes:	Q = Appraised U = Book value	R = Cost (real estate only) V = Other	S = Assessed value W = Estimated	T = Cash/market

nadvertently carried over on last year's report

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FINANCIAL DISCLOSURE REPORT (cont'd)	Name of Person Reporting	Date of Report
	HARRY D. LEINENWEBER	05/10/89

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate section of Report.)

☒ Check to affirm that differences in investments from those reported in prior year are exempt from disclosure.

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

Signature ______________________ Date May 10, 1989

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (28 U.S.C.A. APP. I, § 304, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

1. Mail signed original and 3 additional copies to:

   Judicial Ethics Committee
   Administrative Office of the
   United States Courts
   Washington, DC 20544

2. Deliver one copy to the Clerk of the Court on which you sit or serve. (Judicial employees not associated with a specific court, such as employees of the Administrative Office and the Federal Judicial Center, need not file a copy with any court.)

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Matthew G. Martinez
(Full Name)

240 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

MAY 10 [illegible] 9 10

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 30 State CA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attachment			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Property 3514 Beverly Blvd., Montebello, CA	Rent	D
1st Trust Deed 444 Garvey, Monterey Park, CA	Interest	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Rental Property - 3514 Beverly Blvd., Montebello, CA 90640	F
House & Property - 439 S. New Ave., Monterey Park, CA 91754	F

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Monterey Park Savings & Loan - Mortgage	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	None

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Matthew G. Martinez	5/8/[illegible]

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Matthew G. Martinez
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II A	
$1000.00	Mexican American Grocers Association 405 N. San Fernando Road, Los Angeles, CA 90031 Federal # 95-3390249
$1000.00	National Association of Personal Consultants 1432 Duke St., Alexandria, VA 22312 Federal # Unknown
$2000.00	American Federation of State, County, and Municipal Employees 1625 L Street, NW, Washington, D.C. 20036 Federal # 53-0237789
$1000.00	California State University at Long Beach - FDN 1250 Bellflower Blvd., Long Beach, CA 90840 Federal # 98-6106694
$1000.00	National Association of Secondary School Principals 1904 Association Drive, Reston, VA 22091 Federal # 52-6006937

GPO: 1987 [illegible]

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Matthew G. Martinez
(Full Name)

240 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC ✓
(Office Use Only)

1989 MAY 24 PM 3:47
U.S. H[illegible] REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 30 State CA

☐ Officer or Employee—Employing Office

☑ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,086, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attachment - Page 5			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Property 3514 Beverly Blvd., Montebello CA	Rent	D
1st Trust Deed 444 Garvey, Monterey Park, CA	Interest	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Rental Property - 3514 Beverly Blvd., Montebello, CA 90640	F
Rental - House & Property - 439 S. New Avenue Monterey Park, CA 91754	F

IV. TRANSACTIONS

GENERAL GUIDELINES: 8 9 0 7 0 2 9 1 5 8 9

A brief description, the date, and category of value of any **PURCHASE, SALE, OR EXCHANGE** during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. **INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Monterey Park Savings & Loan - Mortgage	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

89070291590

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National Association of Secondary School Principals	Air Fare - $376.00
Iowa State University	Air Fare - $458.00

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	None

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Matthew G. Martinez	5-24-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Matthew G. Martinez
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II A		
$1,000.00	Mexican American Grocers Association	
	405 N. San Fernando Road, Los Angeles, CA 90031	
	Federal #95-3390249	3-22-88
$1,000.00	National Association of Personal Consultants	
	1432 Duke St., Alexandria, VA 22312	
	Federal #Unknown	5-05-88
$2,000.00	American Federation of State, County and Municipal Employees	
	1625 L Street, N.W., Washington, D.C. 20036	
	Federal #53-0237789	6-18-88
$1,000.00	California State University at Long Beach - FDN	
	1250 Bellflower Blvd., Long Beach, CA 90840	
	Federal #98-6106694	5-27-88
$1,000.00	National Association of Secondary School Principals	
	1904 Association Drive, Reston, VA 22091	
	Federal #52-6006937	3-06-88
$1,000.00	LULAC National Association	
	342 Wilkins, San Antonio, TX 72816	
	Federal #Unknown	7-08-88
$ 500.00	Iowa State University	
	Ames, Iowa 50011	
	Federal #Unknown	2-26-88

[illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Robert T. Matsui
(Full Name)

2419 Rayburn HOB
(Mailing Address)

Washington, DC 20515

HC
(Office Use Only)

[illegible] 10 [illegible] 12

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State CA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Virginia Heart Associates-spouse's earned income	fee for service		
See continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Federal Credit Union	interest	A
Sears Bank Account in the name of Yasuji Matsui, as trustee for Brian R. Matsui	interest	E
Wright Patman Federal Credit Union held in trust for Brian R. Matsui	interest	A
First Interstate Bank held in trust for Brian R. Matsui	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

PROPERTY	CATEGORY
Wright Patman Federal Credit Union held in trust for Brian R. Matsui	B
Martrox, Inc. (stock held jointly with Yasuji Matsui)	C
Sears Bank Account in the name of Yasuji Matsui, as trustee for Brian R. Matsui	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Arlen Opper's airplane (King Air F-90N17TS)	transportation to district office after presidential debate
Arlen Opper's airplane (King Air F-90N17TS)	transportation from district to Bakersfield, CA for presidential rally

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page 5 of 8

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Robert T. Matsui
(Name)

Continuation Sheet

Part
II. INCOME

Source, Type, Amount, Category, Description or Value (As Applicable)

A. Source	date received	type	amount
Franchise Tax Board	1-29-88	honorarium	$2000.00
* National Assn. of Home Builders	1-7-88	honorarium	[illegible]
Sun-Diamond	1-20-88	honorarium	
* National Committee on Quality Health Care	2-1-88	honorarium	[illegible]
* National Venture Capital Assn.	2-4-88	honorarium	$1000.00
Palo Alto Medical Foundation	2-22-88	honorarium	$2000.00
* BellSouth	2-26-88	honorarium	$2000.00
Grocery Manufacturers of America	3-8-88	honorarium	$1000.00
* Foreign Trade Assn. of Southern California	3-11-88	honorarium	$2000.00
Columbia Institute for Political Research	3-14-88	honorarium	$1000.00
* The New England	3-10-88	honorarium	$2000.00
* Jones, Day, Reavis & Pogue	3-31-88	honorarium	$2000.00
University of Southern California	3-31-88	honorarium	$1000.00
** Arco	4-5-88	honorarium	$1000.00
American Academy of Otolaryngology	4-13-88	honorarium	$1500.00
National Assn. of Medical Directors of Respiratory Care	4-10-86	honorarium	$1000.00
* Drexel Burnham Lambert	4-18-88	honorarium	$1000.00
Committee for Farmworker Programs	4-26-88	honorarium	$500.00
Public Securities Assn.	4-27-88	honorarium	$1000.00
Mutual of Omaha	5-5-88	honorarium	$2000.00
* Gas Distributors Exchange	4-29-88	honorarium	$1000.00
Arizona State Univ.	5-16-88	honorarium	$500.00
* Industry Council for Tangible Assets	5-2-88	honorarium	$2000.00
CA Society of Anesthesiologists	6-13-88	honorarium	$2000.00
* Mitsubishi Electronics	6-29-88	honorarium	$2000.00
Los Angeles County Bar Assn.	7-6-88	honorarium	$2000.00
* National Assn. of Real Estate Investment Trusts	7-11-88	honorarium	$2000.00
Washington Caucus	7-26-88	honorarium	$500.00
* Northern CA Power Agency	9-19-88	honorarium	$2000.00
The Management Exchange	10-4-88	honorarium	$1000.00
National Customs Brokers and Forwarders Assn.	10-3-88	honorarium	$500.00
* National Assn. of Manufacturers	10-27-88	honorarium	$1000.00
Century 21	11-3-88	honorarium	$1000.00
Security Pacific Bank	12-14-88	honorarium	$1500.00
CA Assn. of Hospitals and Health Systems	12-7-88	honorarium	$2000.00

Page 6 of 8

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert T. Matsui
(Name)

Continuation Sheet

Part II. INCOME

Source, Type, Amount, Category, Description or Value (As Applicable)

A. Source	date received	type	amount
* Suzuki	11-28-88	honorarium	$2000.00
Fresno JACL	12-12-88	honorarium	$500.00

* $26,000 assigned to charity

** $650 of $1,000 assigned to charity

[illegible]

Page 7 of 8

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert T. Matsui
(Name)

Continuation Sheet

Part
VII. REIMBURSEMENTS

Source, Type, Amount, Category, Description or Value (As Applicable)

Source	Brief Description
Franchise Tax Board (speaker)	airfare; Dulles-San Francisco-Sacramento-San Francisco-NYC
New York JACL (speaker)	airfare; NYC-Dulles
National Assn. of Homebuilders (speaker)	airfare; Sacramento-Dallas-Dulles
Palo Alto Medical Foundation (speaker)	airfare; Washington-National-Chicago-San Francisco-Washington Dulles. One night lodging & meals.
BellSouth (speaker)	airfare; Washington-National-Memphis Birmingham-Memphis-Washington-National
Foreign Trade Assn. of Southern California (speaker)	airfare; Washington-Dulles-Los Angeles-Washington-Dulles. Two nights lodging and meals.
Columbia Institute for Political Research (speaker)	airfare; Washington-National-Hartford-Washington-National
Arco (speaker)	airfare; Washington-Dulles-Los Angeles-Sacramento-Denver-Washington-Dulles. One night lodging and meals.
National Assn. of Medical Directors of Respiratory Care (speaker)	airfare; Washington-Dulles-Los Angeles-San Diego-Sacramento-San Francisco-Washington-Dulles. One night lodging and meals.
Mutual of Omaha (speaker)	airfare; Washington-National-Omaha-Chicago-Washington-National
Arizona State University (speaker)	airfare; Washington-Dulles-Dallas-Phoenix-Denver-Washington-Dulles
CA Society of Anesthesiologists (speaker)	airfare; Washington-Dulles-Los Angeles-Sacramento-San Francisco-Washington-Dulles
Los Angeles County Bar Assn. (speaker)	airfare; Washington-National-Chicago-Los Angeles-Washington-Dulle One night lodging and meals.
JACL-Legislative Education Committee (speaker)	airfare; Washington-Dulles-Seattle-Washington-Dulles
Seattle National JACL	airfare; Washington-Dulles-Seattle-Washington-Dulles (for spouse)
Council on Foreign Relations (speaker)	airfare; Washington-Dulles-San Francisco-Maui-Los Angeles-Washington-Dulles. Lodging and meals provided August 21-24. (self and spouse)

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Page 8 of 8

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Robert T. Matsui
(Name)

Continuation Sheet

Part VII. REIMBURSEMENTS

Source, Type, Amount*, Category, Description or Value (As Applicable)

Source	Brief Description
Northern CA Power Agency (speaker)	airfare; Washington-Dulles-Denver-Reno-Sacramento-Denver-Washington-Dulles
National Assn. of Manufacturers (speaker)	airfare; Washington-Dulles-San Francisco-Washington-Dulles. One night lodging and meals.
Security Pacific Bank (speaker)	airfare; Los Angeles-San Francisco
CA Assn. of Hospitals and Health Systems (speaker)	airfare; Sacramento-Los Angeles-Palm Springs
Fresno JACL (speaker)	airfare; Sacramento-Fresno-Sacramento
Democratic National Committee	airfare; Washington-National-Los Angeles

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Hon. Nicholas Mavroules
(Full Name)

2432 Rayburn Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State Massachusetts

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED SHEET OF HONORARIUMS			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001–$2,500; C—$2,501–$5,000; D—$5,001–$15,000; E—$15,001–$50,000; F—$50,001–$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
N/A		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001–$15,000; C—$15,001–$50,000; D—$50,001–$100,000; E—$100,001–$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY

8 9 9 7 1 2 7 1 5 1 3

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of New England	B
Bank of New England	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attached Sheet of Reimbursements	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	626 Lynnway Associates

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
✓	✓ 5-10-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HON. NICHOLAS MAVROULES
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

FINANCIAL DISCLOSURE STATEMENT II. INCOME

Mavroules For Congress Committee

1988 Honorariums

CORPORATIONS	DATE	AMOUNT
W. Grace Co.	January 11, 1988	$ 1,000.00
Rockwell	January 18, 1988	2,000.00
McDonnell Douglas	January 19, 1988	2,000.00
Northrop	January 19, 1988	2,000.00
Lockheed	January 20, 1988	1,000.00
NEASTA	February 5, 1988	500.00
Raytheon	February 8, 1988	2,000.00
Oklahoma Business Development Council	February 11, 1988	2,000.00
United Technologies (Sikorsky)	February 15, 1988	1,000.00
Postmasters	February 17, 1988	1,000.00
Magnavox	March 3, 1988	1,500.00
Yale University	April 9, 1988	300.00
Aerospace Industries of America	April 11, 1988	1,500.00
Ammunition Manufacturers	April 14, 1988	1,000.00
GEO Centers	June 20, 1988	2,000.00
Boston Safe Deposit & Trust Co.	July 25, 1988	2,000.00
TOTAL HONORARIUMS 1988		$ 22,800.00

1988 Reimbursements VII. REIMBURSEMENTS

January, 1988	Air Transportation Boston/Los Angeles/Boston by Northrop Corporation for briefings
February, 1988	Air Transportation W. Palm Beach/Boston by United Technologies for briefings

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

ROMANO LOUIS MAZZOLI
(Full Name)

1030 ANDERSON STREET
(Mailing Address)

ALEXANDRIA, VA 22312

MC
(Office Use Only)

[illegible] -8 [illegible] 11: 15

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State KY

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate eq[illegible] or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for [illegible]iod in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed, identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED SCHEDULE, MARKED II A			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE ATTACHED SCHEDULE, MARKED II B		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE ATTACHED SCHEDULE, MARKED III	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
MRS. BRAD L. VALLA MORTGAGEE 929 PARKWAY DRIVE	C
3774 ILLINOIS AVE LOUISVILLE, KY 40217	
LOUISVILLE, KY 40213	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	NOT APPLICABLE

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE	NOT APPLICABLE	

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED SCHEDULE, MARKED VII	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	NOT APPLICABLE

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	NOT APPLICABLE

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Romano L Mazzoli	5-8-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

IIA EARNED INCOME

SOURCE	TYPE	AMOUNT
ALEXANDRIA DRAFTING COMPANY	SPOUSE'S SALARY	$13,577.28
MASSACHUSETTS MUTUAL LIFE INS. CO.	SPOUSE'S DISTRIBUTION FROM CANCELLATION OF RETIREMENT PLAN.	3,314.35
2-8-88 THE BROOKINGS INSTITUTION	HONORARIUM	300.00
3-17-88 THE BROOKINGS INSTITUTION	"	300.00
5-9-88 DISTILLED SPIRITS COUNCIL OF U.S.	"	1,000.00
6-24-88 THE BROOKINGS INSTITUTION	"	300.00
10-3-88 THE BROOKINGS INSTITUTION	"	400.00
11-22-88 AMERICAN IMMIGRATION LAWYERS ASSOCIATION	"	2,000.00

IIB UNEARNED INCOME

SOURCE	TYPE	AMOUNT
AMERICAN LIFE INSURANCE (SELF & SPOUSE)	INTEREST	A
CONGRESSIONAL FEDERAL CREDIT UNION (SELF & SPOUSE)	"	A
REPUBLIC BANK AND TRUST CO. (SPOUSE)	"	A
MERITOR SAVINGS BANK (SELF & SPOUSE)	"	A
LIBERTY NATIONAL BANK & TRUST (SPOUSE)	"	A
U.S. TREASURY FEDERAL RETIREMENT SYSTEM (401K) (SELF)	"	A
FIRST NATIONAL BANK (SELF & SPOUSE)	"	A
U.S. TREASURY BILLS (SPOUSE)	"	B
LIBERTY NATIONAL BANK & TRUST (SPOUSE IRA)	"	B
LIBERTY NATIONAL BANK & TRUST (SELF IRA)	"	A
MASSACHUSETTS MUTUAL LIFE INS. CO. (SPOUSE)	"	B
PROPERTY: 939 PARKWAY DR., LOUISVILLE, KENTUCKY 40217	RENT	C

[illegible]

III. HOLDINGS

SOURCE	CATEGORY
SINGLE FAMILY, BRICK DWELLING, 929 PARKWAY DRIVE, LOUISVILLE, KY 40217 (RENTAL PROPERTY)	C
AMERICAN UNITED LIFE INSURANCE CO. #16-011729-0 (SELF)	B
AMERICAN UNITED LIFE INSURANCE CO. #16-1116312-0 (SPOUSE)	A
CONGRESSIONAL FEDERAL CREDIT UNION #84720 (SPOUSE)	B
CONGRESSIONAL FEDERAL CREDIT UNION #62976 (SELF)	B
REPUBLIC BANK AND TRUST CO. #20-556-7 (SPOUSE)	A
MERITOR SAVINGS BANK #1433-01-674 (SELF AND SPOUSE)	A
LIBERTY NATIONAL BANK & TRUST #01-527329 (SPOUSE IRA)	C
LIBERTY NATIONAL BANK & TRUST #29-5081232 (SELF IRA)	B
FIRST NATIONWIDE BANK #0401564814 (SELF IRA)	B
FIRST NATIONAL BANK #427-5518-4 (SELF AND SPOUSE)	A
LIBERTY NATIONAL BANK & TRUST CO. #010090063046 (SPOUSE)	A
U.S. TREASURY BILL #LV4-2-400-40-3938-01 (SPOUSE)	B
U.S. TREASURY BILL #MK7-2-400-40-3938-01 (SPOUSE)	B
U.S. TREASURY BILL #MX9-2-400-40-3938-01 (SPOUSE)	B
SERGEANT AT ARMS, U.S. HOUSE OF REPRESENTATIVES #5348 (SELF)	A
CIVIL SERVICE RETIREMENT SYSTEM (SELF)	C
FEDERAL EMPLOYEE RETIREMENT SYSTEM, THRIFT SAVINGS PLAN (SELF)	B

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III. HOLDINGS (CONTINUED)

SOURCE	CATEGORY
U.S. TREASURY BONDS SERIES E (SELF)	A

VII. REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION
11-10-88 AMERICAN IMMIGRATION LAWYERS ASSOCIATION. KEYNOTE SPEAKER AT LUNCHEON OF THE ASSOCIATION'S SPECIAL CONFERENCE OF BUSINESS IMMIGRATION.	ROUNDTRIP TRANSPORTATTON (AIR): WASHINGTON, D.C. TO NEW YORK CITY; FOOD/LOCAL TRANSPORTATION

272291121

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—*For use by Members, officers, and employees*

JANIS L. MEYERS
(Full Name)

8408 W. 90th Street
(Mailing Address)

Overland Park, Kansas 66212

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State Kansas

☐ Officer or Employee—Employing Office ______________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a *Financial Disclosure* Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,098, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
KCTV Louis Meyers	Salary		
Capital Federal - Louis Meyers	Talent Fee		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Capital Federal	Interest	A
Metcalf Bank	Interest	A
Meredith Credit Union	Interest	A
Bankamerica Realty	Dividend	A
American Capital Enterprises	Dividend A	Capital Gains A
Wyoming Land	Grazing Fee	A

(SEE ATTACHED CONTINUATION SHEET - PAGE 2A)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(SEE ATTACHED CONTINUATION SHEET) Page 2A	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Johnson County Water District Bonds purchased	March 22, 1988	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE — BRIEF DESCRIPTION — VALUE

Contributions for two tickets to American Cancer Society Gala — $150.00

Contributors: Mr. and Mrs. John C. Hoffman

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jan Meyers	May 24, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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Page 2A of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JANIS L. MEYERS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	TYPE	CATEGORY
II	UNEARNED INCOME		
Nueces County Texas Pollution Control Revenue Bonds		Interest	A
Gulf Coast Texas Pollution Control Revenue Bonds		"	A
Jefferson County Kentucky Pollution Control Revenue Bonds		"	A
Southeastern Oklahoma Pollution Control Revenue Bonds		"	A
Baxter Springs, KS Pollution Control Revenue Bonds		"	A
Walmart		Dividend	A
Johnson County Water Bonds		Interest	A
Koch Oil Company		Royalty	A
Congressional Credit Union		Interest	A

Part		CATEGORY
III	HOLDINGS	
Nueces County Texas Pollution Control Revenue Bonds		B
Gulf Coast Texas Pollution Control Revenue Bonds		B
Jefferson County Kentucky Pollution Control Revenue Bonds		B
Southeastern Oklahoma Pollution Control Revenue Bonds		B
Baxter Springs, KS Pollution Control Revenue Bonds		B
Marian Labs		A
Walmart		A
Bankamerica Realty		A
America Capital Enterprises		A
Personal Savings-Capital Federal, Metcalf Bank, Meredith Credit Union, Congressional Credit Union		B
Wyoming Land		A
Johnson County Water Bonds		B

GPO [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

MAY 15 2 03

FORM A—For use by Members, officers, and employees

Kweisi Mfume
(Full Name)

128 Cannon H.O.B.
(Mailing Address)

Washington, DC. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 7th State Maryland

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria (See Attatchment)			
Stipend (See Attatchment)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
N/A		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Property - 2 Story Frame Duplex 3403 N. Hilton Road Baltimore, MD.	(D)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
N/A	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Air-Fare & Lodging (See Attatchment)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board Member	Big Brothers & Big Sisters of Maryland

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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Kweisi Mfume, Maryland

HONORARIA RECEIVED IN CALENDAR YEAR 1988

Date of Engagement or Check	From Whom	Amounts
January 4, 1988	The Brotherhood of St. Andrew St.James Episcopal Church - Baltimore, MD.	$ 100.00
February 21, 1988	College of Notre Dame Black Student Union	$ 30.00
April 11, 1988	Alpha Kappa Alpha Sorority - Montgomery County, MD. (Doanated to Browns Memorial Baptist Church)	$ 300.00
May 11, 1988	D/H Computer Information Specialists, Inc. (Donated to Browns Memorial Baptist Church)	$ 300.00
May 13, 1988	USF & G Baltimore, MD.	$ 1,000.00
May 24, 1988	Coppin State College 1988 Commencement	$ 500.00
May 29, 1988	Bethel A.M.E. Church	$ 300.00
June 4, 1988	Enon Baptist Church (Donated to Browns Memorial Baptist Church)	$ 200.00
June 17, 1988	National Association of Urban Bankers Conference Atlanta, Georgia ($1,000.00 Donated to Big Brothers & Big Sisters of Central Maryland)	$ 1,500.00
June 23, 1988	Connell Rice & Sugar Co., Inc. Westfield, N.J.	$ 2,000.00

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Kweisi Mfume, Maryland

HONORARIA RECEIVED IN CALENDAR YEAR 1988 (Cont.)

August 19, 1988	CSX Transportation, Inc. Baltimore, MD.	$ 1,000.00
October 13, 1988	St. John's Baptist Church, Columbia, MD.	$ 200.00
October 23, 1988	Trinity Presbyterian Church - Baltimore, MD.	$ 100.00
November 11, 1988	National Association of Social Workers Annual Conference - Phila., PA. ($300.00 Donated to the United Negro College Fund)	$ 2,000.00
	TOTAL HONORARIA RECEIVED	$ 9,530.00

STIPENDS RECEIVED IN CALENDAR YEAR 1988

September 29, 1988 through November 8, 1988	Election Analysis - 1988 General election. Eight total on air appearances.	$ 1,500.00

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Kweisi Mfume, Maryland

REIMBURSEMENTS IN CALENDAR YEAR 1988

June 17, 1988	National Association of Urban Bankers Overnight lodging and Round trip air-fare between Washington and Atlanta, Ga. provided in connection with a speaking engagement at their National convention.
June 23, 1988	Connell Rice & Sugar Co. Inc. Round trip air-fare between Baltimore and Newark, N.J. provided in connection with a speaking engagement.
November 11, 1988	National Assoc. of Social Workers Overnight lodging and round trip air-fare between Washington and Philadelphia, PA. provided in connection with a speaking engagement.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Robert H. Michel
(Full Name)

H-232, U.S. Capitol
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

1989 MAY 12 PM 2 28

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 18th State Illinois

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
1/4	Chicago Research & Trading Group	Honorarium	$2,000	
1/13	Tobacco Institute	"	2,000	
1/14	Gannett Outdoor Co. of Michigan	"	1,000	
1/15	Naegele Outdoor Advertising Inc.	"	1,000	
1/28	USF&G Insurance	"	1,500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
320 8th St., Associates	Rent	D
Federal Credit Union	Interest	A
Mass Mutual Life Ins. Co.	"	A
Ohio National Life Ins. Co.	"	A
TransAmerica Occidental	"	A
Shearson, Lehman Brothers	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
2/3 interest in 320 8th St., S.E. Associates	E
savings account (Federal Credit Union)	C
Burney Co. (Shearson, Lehman Brothers assigned my account to Burney Co.)	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Talman Home Federal Savings & Loan	II

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
1/20 participation in Bob Hope Desert Classic, where net proceeds donated to Eisenhower Medical Center	golf clothing & accessories	$250.00
6/1-participation in Kemper Open Golf Tournament, where portion of proceeds donated to Boys Club & various other area charities	carry-all, glasses, atlas, frame, calendar, golf clothing & golf balls	316.00

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Congressional Institute (Seminar particip.)	Air Travel-Wash-Houston, 3 days food, lodging (Cong. & Mrs. Michel)
Chicago Research & Trading Group - speech	Air Travel-Peor-Chic-Peo
Bob Hope Desert Classic, where proceeds are donated to Eisenhower Medical Center	Air Travel-Wash-Palm Spr-Wash (Cong. & Mrs. Michel)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Bradley University
Partner	320 8th St., S.E. Assoc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert H Michel	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert H. Michel
(Name)

Continuation Sheet

Part	Date	Source, Type, Amount, Category, Description or Value (As Applicable)		
IIA	1/29	National Assoc. of Casualty & Surety Agts.	Honorarium	$2,000
	1/27	Fay Improvement Co.	"	2,000
	1/24	NBC	"	2,000
	2/22	Dow Corning	"	2,000
	2/29	Nat'l Assoc. of Business Economists	"	2,000
	3/8	U.S. League of Savings Institutions	"	2,000
	3/18	Tribune Broadcasting	"	500
	3/21	Wholesale Grocers Assoc.	"	2,000
	3/24	Wittenberg Associates	"	2,000
	4/12	International Mgemt & Dev. Inst.	"	2,000
	4/12	National Ocean Industries Assoc.	"	1,000
	4/18	National Assoc. Chain Drug Stores	"	7,500*
	4/25	Legis. Conf. Specialty Contractors Assoc.	"	2,000***
	5/9	National Assoc. of Home Builders	"	2,000**
	5/19	American Society of Association Execs.	"	2,000**
	5/24	American Meat Institute	"	2,000**
	5/25	Can Manufacturers	"	2,000**
	6/13	GMA Executive Conference	"	2,000**
	6/21	Int. Natural Gas Assoc.	"	2,000**
	7/11	International Paper	"	2,000**
	7/16	Nat'l Assoc. Tobacco Distributors	"	2,000**
	7/19	Illinois Bell Telephone Seminar	"	2,000**
	9/8	Owens Corning Fiberglas	"	2,000**
	9/23	Seyfarth, Shaw, Fairweather Seminar	"	2,000**
	11/16	Fay Improvement Co.	"	2,000**
		U.S. Treasury	Veterans Disability	864

*$5500 assigned to Charity
**assigned to charity
***kept $600

VIB	8/1-participation in Jerry Ford Invitational Golf Tournament, sponsored by above committee where net proceeds are donated to Vail area charities	golf clothing & accessories	$250.00
	8/25-participation in Danny Thompson Memorial Golf Tournament, where net proceeds are donated to Mountain States Tumor Institute	"	$150.00

VII	USF&G - speech	Air Travel-Wash-Palm Spr-Wash
	Dow Corning - speech	Air Travel-Wash-Midland, Mich-Wash.
	Tribune Broadcasting - speech	Air Travel, lodging-Wash-Phoenix-Wash
	National American Wholesale Grocers-speech	Air Travel, lodging-Wash-Chic-Wash
	Nat'l Assoc. Chain Drug Stores-speech	Air Travel, food, lodging Wash-Palm Beach-Wash
	GMA Executive Conf.-speech	Air Travel, food, lodging Wash-Greenbrier, WVA-Wash (Cong. & Mrs. Michel)
	International Paper - speech	Air Travel-Wash-Purchase,NY-Wash
	Fay Improvement Co-speech	Air Travel, food, lodging Wash-Palm Spr-Wash (Cong. & Mrs. Michel
	Jerry Ford Invitational Golf Tournament, where proceeds are donated to Vail area charities	Lodging-Vail (Cong. & Mrs. Michel)
	Danny Thompson Golf Tournament, where proceeds go to Mountain States Tumor Inst.	Air Travel, lodging-Wash-Sun V-Wash (Cong. & Mrs. Michel)

A 9 0 7 3 2 4 0 5 9 1 RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 MAY 16 PM 3:15
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

ROBERT H. MICHEL
18TH DISTRICT, ILLINOIS

MICHAEL S. JOHNSON
CHIEF OF STAFF

INSIDE MAIL

Office of the Republican Leader
United States House of Representatives
Washington, DC 20515

H-232, The Capitol
Washington, DC 20515
225-0600

May 16, 1989

Mr. Donnald Anderson
Clerk of the House
H-105, U.S. Capitol
Washington, D.C.

MC

Dear Donn:

I have already filed my Ethics Committee Report for 1988, but would like to add the following to it:

Section VII- Reimbursements

Source	Description
Japan Society, 333 E. 47th St. New York, N.Y. 10017 (participate in Conference)	Round-trip air fare Wash-Tokyo; lodging, food, April 1, 1988

Sincerely yours,

Bob Michel
Robert H. Michel
Republican Leader

RHM:SY

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HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Clarence E. Miller
(Full Name)

2308 Rayburn HOB
(Mailing Address)
Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10th State OHIO

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXX ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

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EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Columbia Gas Company	pension	$1,500.00	none
U. S. Government	social	security	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Pitman Congressional Credit Union	Interest	B
Prudential Insurance Company	Interest	B
FedStar Savings Bank	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Newell Company	A
2 Unimproved Lots Fairfield County Ohio	F
FedStar Savings Bank	B
Wright Pitman Congressional Credit Union	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do rot have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Puerto Rico, U.S.A. Foundation	Roundtrip air transportation
	DC to San Juan, Puerto Rico
	5 days food/lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Clarence Miller	May 5, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Clarence E. Miller
(Full Name)

2308 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

✓ MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10th State OHIO

☐ Officer or Employee—Employing Office ____________

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Columbus Gas Company	pension	$1,500.00	none
U.S. Government	social	security	none
Ohio Chamber of Commerce	honorarium	$250.00	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Pitman Congressional Credit Union	Interest	B
Prudential Insurance Company	Interest	B
FedStar Savings Bank	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XXX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Newell Company (formerly Anchor Hocking)	A
2 Unimproved Lots Fairfield County Ohio	B
FedStar Savings Bank	B
Wright Pitman Congressional Credit Union	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Puerto Rico, U.S.A. Foundation	Roundtrip air transportation
	DC to San Juan, Puerto Rico
	5 days food/lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Clarence Miller	May 19,1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Clarence E. Miller
(Full Name)

2308 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC ✓
(Office Use Only)

1989 MAY 19 PM 4:52
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10th State OHIO

☐ Officer or Employee—Employing Office ______

NA Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a *Financial Disclosure Statement* on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Columbia Gas Company	pension	$1,500.00	none
U.S. Government	social security		none
Ohio Chamber of Commerce	honorarium	$250.00	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional Credit Union	Interest	B
Prudential Insurance Company	Interest	B
FedStar Savings Bank	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Newell Company (formerly Anchor Hocking)	A
2 Unimproved Lots Fairfield County Ohio	B
FedStar Savings Bank	B
Wright Patman Congressional Credit Union	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Puerto Rico, U.S.A. Foundation	~~Roundtrip air transportation~~
	~~DC to San Juan~~, Puerto Rico
	5 days

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Clarence Miller	May 19, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

GEORGE MILLER
(Full Name)

2228 Rayburn HOB
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

MAY 11 PM 4: 37

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7 State California

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1/2 The Washington Post	honorarium	$200	none
1/7 Florida Sugar Cane League	"	$2,000	"
1/25 Hotel Employees & Restaurant Employees			
International Union	"	$2,000	"
1/26 Unocal Corporation	"	$1,000	"

(continued on separate page)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rent from D.C. residence (127 D St., SE)	rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
105 Jose Lane, Martinez, CA (personal residence)	E
127 D Street, SE, Washington, DC "	E
2002 Green St., Apt. 412, Columbia, S.C. - half interest with son,	
George, in condominium	C
Dependent children: George Miller IV - savings account	A
100 shares of Bank of America	A
100 shares of Hotel Investors (sold in 1988, see IV., Transactions)	A

(continued on separate page)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE for Member of Congress or spouse; see separate		
page for dependent children		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calender year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Personal Loan - Dorothy Rumsey Miller	A
Personal Loan - Wright Patman Cong. Federal Credit Union	B
Secured Loan - Columbia Savings, Washington, DC	D
Secured Loan - Security Mortgage Co., South Carolina	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Big "C" Athletic Club, Concord, CA	Honorary Membership	$1,000

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
1/7 Florida Sugar Cane League	airfare & hotel (DC-West Palm Beach-DC)
1/25 Hotel & Restaurant Employees Union	airfare (Greenbrier-Palm Springs)
1/26 Unocal Corporation	airfare & meal (SF-LA-SF)
1/26 Motion Picture Association	hotel in L.A. and car rental
3/29 Whitaker & Baxter	airfare & hotel (DC-Homestead, VA-DC)

(continued on separate page)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
George Miller	5-11-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

GEORGE MILLER
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II.A.	1/26 Motion Picture Association	honorarium	$2,000	none
	3/22 National Water Resources Assoc.	"	$1,000	"
	3/22 National Association of State Bds. of Education	"	$500	"
	2/26 Society for Research in Adolescent Development	"	$500	"
	3/28 National Association for Home Care	"	$1,000	"
	3/29 Southern California Gas	"	$1,000	"
	3/29 Pacific Gas & Electric of S.F.	"	$1,000	"
	3/30 Bush Fellows	"	$500	"
	4/15 Los Angeles Times	"	$250	"
	4/20 Southern California Edison	"	$250	"
	4/29 Assoc. of Metropolitan Sewerage Agencies	"	$2,000	"
	4/29 Children's Hospital	"	$1,000	"
	5/19 American Ambulance Assoc.	"	$2,000	"
	6/15 Southern California Edison	"	$300	"
	6/21 YMCA General Assembly	"	$1,500	"
	6/30 Goodhousekeeping	"	$2,000	"
	7/11 Nova University	"	$750	"
	8/16 Assoc. of Calif. Water Agencies	"	$1,000	"
	10/9 Family Resource Coalition	"	$1,500	"
	10/13 The Friends of the Child Advocates	"	$1,000	"
	10/15 California Rural Indian Health Bd.	"	$2,000	(see below)
	10/16 American Academy of Pediatrics	"	$2,000	"

Disposition:

$500 to El Sobrante Elementary School 6th Grade Reading Program, El Sobrante, CA
$100 to Loaves & Fishes, Pittsburg, CA
$100 to KQED in San Francisco
$500 to George Miller Youth Fund, Richmond, CA
$750 to Martinez Unified School District, Martinez, CA
$50 to We Care Treatment Center, Concord, CA
$750 to Alhambra High Scholarship Fund, Martinez, CA
$750 to Alhambra Boosters Club, Martinez, CA

Part		Category
III.	Stephen Miller - savings account	A
	100 shares of Bank of America	A
	200 shares of Hotel Investors	A
	200 shares of Seagate Technology	A

Part		
VII.	4/29 Children's Hospital	airfare & cab (SF-Orange Cty.-SF)
	5/22 Center for Community Change	airfare & cab (SF-New York-DC)
	6/21 YMCA of the USA	airfare & hotel (DC-Boston-DC)
	10/9 Family Resource Coalition	airfare, hotel & cabs (SF-Chicago-DC)
	10/13 The Friends of Child Advocates	airfare & hotel (SF-LA-SF)
	10/31 Harvard University	airfare & hotel (SF-Boston-SF)
	12/9 Nat'l Council of Jewish Women	airfare & hotel (DC-NY)

Part			Category
IV.	George Miller IV:		
	sold 100 shares of BankAmerica Corp. at $14/share	8/4/88	A
	sold 100 shares of Hotel Investors-Comb. CTF 1 SBI Hotel Investors TR & L Hotel Investors CP at $15/share	8/4/88	A
	Stephen Miller:		
	purchased 100 shares of Hotel Investors-Comb. CTF 1 SBI Hotel Investors TR & L Hotel Investors CP at $14.50/share	1/12/88	A
	purchased 100 shares of Seagate Technology at $7.875/share	12/9/88	A
	purchased 100 shares of Seagate Technology at $7.25/share	12/20/88	A

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JOHN RIPIN MILLER
(Full Name)

439 Second Street Southeast
(Mailing Address)

Washington, D.C. 20003

MC (Office Use Only)

U.S. HOUSE OF REPRESENTATIVES
MAY 15 PM 12 24

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State Wa

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Semiconductor Equipment - Materials Institute	Honor.	500. + transportation (see II)	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Please see attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO x______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Please see attached	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Please see attached		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
~~none~~ Key Bank	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Semiconductor Equipment & Materials	— transportation (see IIA) Seattle → Calif → Wa D.C.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John Miller	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

II. INCOME

B. Unearned

Aetna Life	Div.	A
American Elec. Pwr.	Div.	B
Amer. T and T	Div.	A
Ametek	Div.	A
BAT Inds.	Div.	A
Bear Stearns Cash Fund	Int.	C
Becton Dickinson	Div.	A
Bell South	Div.	B
Bridgeport Bonds	Int.	C
Citycorp Notes	Div.	A
Citycorp Notes	Int.	A
Dunkin Donuts	Div.	A
Erie Cty. (NT Pub Impr. Bond)	Int.	B
Estate of Paula Schiff (K-1)	Div.	B
First Trust Mun. (#129)	Int.	D
Ford	Div.	A
General Cinema	Div.	A
I.B.M.	Div.	B
Johnstown American (converted to Consolidated)	Loss	D
May Dept. Stores	Div.	A
Muni. Asst. Corp. (Ser. 44)	Int.	D
Nordstrom	Div.	A
Novo Industries	Div.	A
N.Y. Municipal Inv. Trust #12	Int.	A
N.Y. Municipal Inv. Trust #62	Int.	B
N.Y. Pub. Improv. Suffolk Co.	Int.	B
N.Y.S. Dorm Authority	Int.	B
N.Y.S. Housing Finance Agency	Int.	A
N.Y.S. Mort. Agency	Int.	B
N.Y.C. G/O	Int.	B
N.Y.S. Mort. Agency 2nd Ser.	Int.	B
Pepsico	Div.	A
Phillips Pet.	Div.	A
Schlumberger	Div.	A
Sears	Div.	A
Shearson FMA Cash Fund	Div.	A
Shearson Lehman Hutton Tax Exempt	Div.	C
Shearson Lehman Hutton Tax Exempt Global	Div.	A
Shurgard	Int.	A
Shurgard	Ord.	A
Silver Screen Partners	Int.	A
Smith Kline Beckman	Div.	A
Southern Co.	Div.	B
Units Uncommon Value UT T #86	Div.	A
U.S. Treasury Notes	Int.	B
U.S. West	Div.	B

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III. HOLDINGS

Aetna Life	B
Aldus Corp	A
American Elec. Power	C
American T & T	C
Ametek	C
BAT Inds	C
Bear Stearns	A
Bear Stearns Cash Fnd (average)	B
Becton Dickinson	C
Bellsouth	C
Bridgeport Ct Bond	C
Bullard Tex Indpt Bond	B
Citycorp Notes Floating Rate	B
Dick Clark Productions	A
Dunkin Donuts	B
Egghead	A
Eldec Corp	A
Erie County (#295083TQ2)	D
First TR in Mun (#129)	C
Ford Motor	B
Gen Cinema	B
IBM	D
IRA Apache Corp	A
IRA Balcor	A
IRA Fidelity Magellan	A
IRA Philadelphia Savings	A
Johnstown Amer./Consolidated (see Transactions)	A
Lotus Dev	B
Fidelity-Magellan and Overseas Fund	D
May Dept Stores	B
McDonalds	B
Microsoft	C
Muni Invest. Tr. (#626232334)	C
Muni. Asst. Corp. N.Y. (see Transactions)	F
Muni. Asst. #626190JF0 (ser. 44)	D
Nordstrom	D
Novo Ind.	B
NY Muni TR #12	C
NYC G/O (#649646MN3)	C
N.Y.C. bond (#6496442A7--see Transactions)	E
N.Y.S. Urban Dev. (see Transactions)	E
N.Y.S. Mtg. Agcy (649884--see Transactions)	E
N.Y.S. Dorm Authority (#649830GN0)	C
N.Y.S. Housing Fin. Agency	A
N.Y.S. Mort. Agcy (#R-117)	B
N.Y.S. Mtg Agncy 2nd Ser	C
Pepsico	B
Phillips Petroleum	B
Rochester Comm-CD	B
Schlumberger	B
Sears (see Transactions)	B
Shearson FMA Cash Fund (average)	A
Shearson Global Op	B
Shurgard Income Prop.	A
Silver Screen Ptrs	B
SLH Portfolio-Tax Exempt	D
SmithKBeck.	B
Southern Co	C
Suffolk Co	C
Units Uncommon Values (UTT#86)	B
US West	C
US Treasury Note (#912827MB5--see Transactions)	D

IV. TRANSACTIONS

Municipal Asst. Corp. N.Y.	cashed	F	April 10, 1988
N.Y.C. bond	cashed	E	matured, November 15, 1988
N.Y.S. Urban Dev.	cashed	E	matured, January 1, 1988
N.Y.S. Mtg. Agcy #649884	cashed	E	May 25, 1988, August 29, 1988 November 15, 1988
U.S. Treasury Note	cashed	D	July 15, 1988
Johnstown Amer. (Consolidated)	sold	A	December 16, 1988
Sears	sold	B	October 11, 1988
Bullard Texas Indpt. Bond	bought	B	May 5, 1988
Silver Screen Partners	bought	B	March 29, 1988
Egghead	bought	A	December 21, 1988
Rochester Comm. C.D.	bought	B	April 27, 1988
Shearson-Lehman-Hutton (dividen reinvest)	bought	A	quarterly
Fidelity Magellan (dividen reinvest)	bought	A	quarterly
Fidelity Overseas Fund (dividen reinvest)	bought	A	quarterly

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III. HOLDINGS

John Miller
5/15/89

✓
MC

Aetna Life	B
Aldus Corp	A
American Elec. Power	C
American T & T	C
Ametek	C
BAT Inds	C
Bear Stearns	A
Bear Stearns Cash Fnd (average)	B
Becton Dickinson	C
Bellsouth	C
Bridgeport Ct Bond	C
Bullard Tex Indpt Bond	B
Citycorp Notes Floating Rate	B
Dick Clark Productions	A
Dunkin Donuts	B
Egghead	A
Eldec Corp	A
Erie County (#295083TQ2)	D
First TR in Mun (#129)	C
Ford Motor	B
Gen Cinema	B
IBM	D
IRA Apache Corp	A
IRA Balcor	A
IRA Fidelity Magellan	A
IRA Philadelphia Savings	A
Johnstown Amer./Consolidated (see Transactions)	A
Lotus Dev	B
Fidelity-Magellan and Overseas Fund	D
May Dept Stores	B
McDonalds	B
Microsoft	C
Muni Invest. Tr. (#626232334)	C
✓ Muni. Asst. Corp. N.Y. (see Transactions)	~~B~~ D
Muni. Asst. #626190JF0 (ser. 44)	D
Nordstrom	D
Novo Ind.	B
NY Muni TR #12	C
NYC G/O (#649646MM3)	C
N.Y.C. bond (#6496442A7--see Transactions)	~~E~~ C
N.Y.S. Urban Dev. (see Transactions)	~~E~~ D
N.Y.S. Mtg. Agcy (649884--see Transactions)	~~E~~ C
N.Y.S. Dorm Authority (#649830GN0)	C
N.Y.S. Housing Fin. Agency	A
N.Y.S. Mort. Agcy (#R-117)	B
N.Y.S. Mtg Agncy 2nd Ser	C
Pepsico	B
Phillips Petroleum	B
Rochester Comm-CD	B
Schlumberger	B
Sears (see Transactions)	B
Shearson FMA Cash Fund (average)	A
Shearson Global Op	B
Shurgard Income Prop.	A
Silver Screen Ptrs	B
SLH Portfolio-Tax Exempt	D
Smithk.Beck.	B
Southern Co	C
Suffolk Co	C
Units Uncommon Values (UTT#86)	B
US West	C
US Treasury Note (#912827MR5--see Transactions)	~~D~~ E

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HAND DELIVERED

John Miller
5/15/89

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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

IV. TRANSACTIONS

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Fidelity Magellan (dividen reinvest)	bought	A	quarterly
Fidelity Overseas Fund (dividen reinvest)	bought	A	quarterly

Amd. to
Rep. John Miller's financial disclosure
1st Wa.
1406 LHOB

HAND DELIVERED Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JOHN RIPIN MILLER
(Full Name)

439 Second Street Southeast
(Mailing Address)

Washington, D.C. 20003

✓ MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 1 State Wa

☐ Officer or Employee—Employing Office

☑ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

GENERAL GUIDELINES: 8 7 3 7 3 2 9 1 5 0 7

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Semiconductor Equipment - Materials Institute	Honor.	500 +	
		transportation	(see IV)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Please see attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 108(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO x______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Please see attached	

GENERAL GUIDELINES: 8 9 0 7 0 2 9 1 5 0 8

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Please see attached		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
~~none~~ Key Bank	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none.		

GENERAL GUIDELINES:

8 3 3 7 2 2 9 1 1 0 9

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Semiconductor Equipment & Materials	– transportation (see II A) Seattle → Calif → DC

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John Miller John Miller	5/15/89 5/24/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

II. INCOME

B. Unearned

Aetna Life	Div. A
American Elec. Pwr.	Div. B
Amer. T and T	Div. A
Ametek	Div. A
BAT Inds.	Div. A
Bear Stearns Cash Fund	Int. C
Becton Dickinson	Div. A
Bell South	Div. B
Bridgeport Bonds	Int. C
Citycorp Notes	Div. A
Citycorp Notes	Int. A
Dunkin Donuts	Div. A
Erie Cty. (NT Pub Impr. Bond)	Int. B
Estate of Paula Schiff (K-1)	Div. B
First Trust Mun. (#129)	Int. D
Ford	Div. A
General Cinema	Div. A
I.B.M.	Div. B
Johnstown American (converted to Consolidated)	Loss D
May Dept. Stores	Div. A
Muni. Asst. Corp. (Ser. 44)	Int. D
Nordstrom	Div. A
Novo Industries	Div. A
N.Y. Municipal Inv. Trust #12	Int. A
N.Y. Municipal Inv. Trust #62	Int. B
N.Y. Pub. Improv. Suffolk Co.	Int. B
N.Y.S. Dorm Authority	Int. B
N.Y.S. Housing Finance Agency	Int. A
N.Y.S. Mort. Agency	Int. B
N.Y.C. G/O	Int. B
N.Y.S. Mort. Agency 2nd Ser.	Int. B
Pepsico	Div. A
Phillips Pet.	Div. A
Schlumberger	Div. A
Sears	Div. A
Shearson FMA Cash Fund	Div. A
Shearson Lehman Hutton Tax Exempt	Div. C
Shearson Lehman Hutton Tax Exempt Global	Div. A
Shurgard	Int. A
Shurgard	Ord. A
Silver Screen Partners	Int. A
Smith Kline Beckman	Div. A
Southern Co.	Div. B
Units Uncommon Value UT T #86	Div. A
U.S. Treasury Notes	Int. B
U.S. West	Div. B

III. HOLDINGS

Aetna Life	B
Aldus Corp	A
American Elec. Power	C
American T & T	C
Ametek	C
BAT Inds	C
Bear Stearns	A
Bear Stearns Cash Fnd (average)	B
Becton Dickinson	C
Bellsouth	C
Bridgeport Ct Bond	C
Bullard Tex Indpt Bond	B
Citycorp Notes Floating Rate	B
Dick Clark Productions	A
Dunkin Donuts	B
Egghead	A
Eldec Corp	A
Erie County (#295083TQ2)	D
First TR in Mun (#129)	C
Ford Motor	B
Gen Cinema	B
IBM	D
IRA Apache Corp	A
IRA Balcor	A
IRA Fidelity Magellan	A
IRA Philadelphia Savings	A
Johnstown Amer./Consolidated (see Transactions)	A
Lotus Dev	B
Fidelity-Magellan and Overseas Fund	D
May Dept Stores	B
McDonalds	B
Microsoft	C
Muni Invest. Tr. (#626232334)	C
Muni. Asst. Corp. N.Y. (see Transactions)	F
Muni. Asst. #626190JF0 (ser. 44)	D
Nordstrom	D
Novo Ind.	B
NY Muni TR #12	C
NYC G/O (#649646MN3)	C
N.Y.C. bond (#6496442A7--see Transactions)	E
N.Y.S. Urban Dev. (see Transactions)	E
N.Y.S. Mtg. Agcy (649884--see Transactions)	E
N.Y.S. Dorm Authority (#649830GN0)	C
N.Y.S. Housing Fin. Agency	A
N.Y.S. Mort. Agcy (#R-117)	B
N.Y.S. Mtg Agncy 2nd Ser	C
Pepsico	B
Phillips Petroleum	B
Rochester Comm-CD	B
Schlumberger	B
Sears (see Transactions)	B
Shearson FMA Cash Fund (average)	A
Shearson Global Op	B
Shurgard Income Prop.	A
Silver Screen Ptrs	B
SLH Portfolio-Tax Exempt	D
SmithKBeck.	B
Southern Co	C
Suffolk Co	C
Units Uncommon Values. (UTT#86)	B
US West	C
US Treasury Note (#912827MB5--see Transactions)	D

IV. TRANSACTIONS

Municipal Asst. Corp. N.Y.	cashed	F	April 10, 1988
N.Y.C. bond	cashed	E	matured, November 15, 1988
N.Y.S. Urban Dev.	cashed	E	matured, January 1, 1988
N.Y.S. Mtg. Agcy #649884	cashed	E	May 25, 1988, August 29, 1988 November 15, 1988
U.S. Treasury Note	cashed	D	July 15, 1988
Johnstown Amer. (Consolidated)	sold	A	December 16, 1986
Sears	sold	B	October 11, 1988
Bullard Texas Indpt. Bond	bought	B	May 5, 1988
Silver Screen Partners	bought	B	March 29, 1988
Egghead	bought	A	December 21, 1988
Rochester Comm. C.D.	bought	B	April 27, 1988
Shearson-Lehman-Hutton (dividen reinvest)	bought	A	quarterly
Fidelity Magellan (dividen reinvest)	bought	A	quarterly
Fidelity Overseas Fund (dividen reinvest)	bought	A	quarterly

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

MAY 12 PM 3 23

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

NORMAN YOSHIO MINETA
(Full Name)

2350 RAYBURN HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 13 State California

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. **THE 1986 LIMIT FOR MEMBERS IS $26,850.** Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
CALIFORNIA FIRST BANK	DIVIDEND	A
SOUTHERN CALIFORNIA EDISON	DIVIDEND	A
1564 PEREGRINO WAY, SAN JOSE, CA	RENT	E
837 PALOMA AVENUE, SO. LAKE TAHOE, CA	RENT	A
WRIGHT PATMAN CONGRESSIONAL FEDERAL CREDIT UNION	INTEREST	A
PERPETUAL SAVINGS BANK	INTEREST	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvement's), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE ATTACHED SHEET	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. **INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
IMPROVED REAL PROPERTY: 837 PALOMA AVE. SO. LAKE TAHOE, CA --SOLD	9/1/88	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
CALIFORNIA FIRST BANK, SAN JOSE, CA (PROPERTY AT 1564 PEREGRINO WAY, SAN JOSE, CA)	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the indivi[illegible] PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the na[illegible] expenses aggregating $250 or more in value received from any source during calendar year 1988, is [illegible]ther than exact dollar figures. **IF NONE, SO STATE.**

EXC[illegible]ONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign governm[illegible] within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
SEE ATTACHED SHEET	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NORMAN Y. MINETA
(Name)

Continuation Sheet

4

Part
II. Earned Income

A. Source

Source, Type, Amount, Category, Description or Value (As Applicable)

DATE	DESCRIPTION	TYPE	AMOUNT
1/04/88	Airport Operators Council Interntl.	Honorarium	$ 2,000.00
1/06/88	Kidder Peabody	Honorarium	$ 2,000.00
1/15/88	Air Line Pilots Assoc.	Honorarium	$ 2,000.00
2/01/88	LCS, Inc.	Honorarium	$ 1,000.00
2/10/88	IBM	Honorarium	$ 2,000.00
2/26/88	American Trucking Assoc.	Honorarium	$ 1,000.00
1/18/88	OAAA	Honorarium	$ 2,000.00
3/17/88	American Assoc. of Airport Execs.	Honorarium	$ 1,000.00
3/18/88	Western Gov. Research Assoc.	Honorarium	$ 500.00
4/14/88	AOPA	Honorarium	$ 1,000.00
4/12/88	Hewlett Packard	Honorarium	$ 500.00
4/21/88	Natl. Utility Contractors Assoc.	Honorarium	$ 1,000.00
4/24/88	NATA	Honorarium	$ 500.00
4/26/88	National Stone Assoc.	Honorarium	$ 1,000.00
4/30/88	JACL - Arizona Chapter	Honorarium	$ 500.00
5/07/88	San Jose Health Center	Honorarium	$ 500.00
5/14/88	No. CA Japanese Amer. Senior Center	Honorarium	$ 100.00
5/20/88	CCIA	Honorarium	$ 1,000.00
7/22/88	Waste Management, Inc.	Honorarium	$ 2,000.00
9/02/88	Hogan & Hartson	Honorarium	$ 2,000.00
10/17/88	American Trucking Assoc.	Honorarium	$ 2,000.00
		Total Income	$25,600.00

1/88 thru 12/88	Mineta Insurance Agency (all income listed is derived from renewal commissions on policies sold prior to 1979 and thus not subject to the 30% income limitation)	INCOME(Gross)$48,560.00 (NET) $18,986.00

GPO: 1987 70-040

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Page 2 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NORMAN Y. MINETA
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
III. Holdings	

IDENTITY	CATEGORY
One-fifth interest in improved real property at 545 N. Fifth Street, San Jose, CA.	B
California First Bank, San Jose, CA (Stock)	A
One-half interest in improved real property located at 1564 Peregrino Way, San Jose, CA.	B
Spouse's one-half interest in improved real property located at 1564 Peregrino Way, San Jose, CA.	B
Mineta Insurance Agency, San Jose, CA.	C

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Page 3 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NORMAN Y. MINETA
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VII. Reimbursements	

SOURCE	BRIEF DESCRIPTION
LCS. INC. (Speaker)	Transportation: Airfare; Accomodations: lodging, meals
IBM (Speaker)	Transportation: Airfare
Harvard University (Speaker)	Transportation: Airfare; Accomodations: lodging, meals
OAAA (Speaker)	Transportation: Airfare; Accomodations: lodging, meals
The Tobacco Institute (Speaker)	Transportation: Airfare; Accomodations: lodging, meals, car rental
Arizona State University (Speaker)	Transportation: Airfare; Accomodations: lodging, meals
JACL - Spokane, WA (Speaker)	Transportation: Airfare; Accomodations: lodging, meals
American Trucking Association (Speaker)	Transportation: Airfare
JACL - Portland, OR (Speaker)	Transportation: Airfare; Accomodations: lodging, meals
National AJA Veterans Reunion (Speaker)	Transportation: Airfare; Accomodations; lodging, meals
Seattle J.A.C.L. REDRESS COMM. (Speaker)	Transportation: Airfare
Flying Physicians Assoc., Inc. (Speaker)	Transportation: Airfare
88 Convention JACL (Speaker)	Transportation: Airfare; Accomodations: lodging, meals

GPO: 1987 [illegible]

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Page 4 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NORMAN Y. MINETA

(Name)

Continuation Sheet

Part — Source, Type, Amount, Category, Description or Value (As Applicable)

VIII. Positions

POSITION	NAME OF ORGANIZATION
Proprietor	Mineta Insurance Agency, San Jose, CA
Member	Board of Fellows University of Santa Clara, Santa Clara, CA
Member	Board of Regents, Smithsonian Institution
Member	Cal in the Capital, National Advisory Board, Berkeley, CA
Member	California Advisory Committee for OPEN Society, Philadelphia, PA
Member	Honorary Board Member, Variety Audio, Inc. Cassette Program, San Jose, CA
Member	Advisory Board, Triton Museum of Art, Santa Clara, CA
Member	Advisory Committee for Gray Panthers, San Jose, CA
Member	Board of Directors, California State Society
Member	Board of Directors, Jr. Statesman Foundation, Palo Alto, CA
Member	Advisory Board, Asian American Journalists Assoc., San Francisco, CA
Member	Advisory Board, Japanese American National Museum, Los Angeles, CA

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JOHN JOSEPH MOAKLEY
(Full Name)

221 Cannon House Office Building
(Mailing Address)

Washington, D. C. 20515-2109

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 9th State Massachusetts

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. Veterans Administration Disability (monthly payment)	Pension	$876 (annual total)	
Honoraria -- See attached continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached continuation sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __✓__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached continuation sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
South Boston Savings Bank, Boston, Mass. (Mortgage)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See attached continuation sheet.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None.	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
3/4/49	Commonwealth of Massachusetts	State employee penion system. No benefits yet received.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Joe Moakley	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

ETHICS IN GOVERNMENT ACT — 1988 FINANCIAL DISCLOSURE STATEMENT
John Joseph Moakley
9th District, Massachusetts

CONTINUATION SHEET

(First of Two)

II. INCOME

A. The source, type and gross amount of earned income (including honoraria and date received) aggregating $100 or more in value received from any source during calendar year 1988, including the date of receipt in the case of honoraria (Note: All of the following are honoraria, and all were retained):

Date	Source	Amount
2/26/88	Seafarers International Union	$1,000
5/4/88	Precision Tune	$1,000
5/13/88	Distilled Spirits Council	$2,000
5/18/88	Raytheon	$2,000
5/23/88	Alberta Northeast Gas	$2,000
9/28/88	Massachusetts Bankers Association	$2,000
9/20/88	Securities Industry	$2,000
10/20/88	Boston Safe Deposit	$2,000
10/21/88	Barnett Banks	$2,000
10/27/88	Grocery Manufacturers	$2,000
11/7/88	American International Group	$2,000
11/30/88	The New England	$2,000
12/5/88	Sears	$1,000
12/6/88	Camp, Barsh, Bates & Tate	$1,000

B. The source, type and gross amount of unearned income, derived from assets or investments, during calendar year 1988.

Source	Type	Category
1812 Columbia Road, Boston, Massachusetts	Rent	B
South Boston Savings, Boston, Massachusetts	Interest	B
Congressional Credit Union, Washington, D.C.	Interest	B
Boston Company (Cash Management Fund)	Dividends	A
John Hancock Insurance, Boston Massachusetts	Insurance Dividend	A
Bankers Life Insurance, DeMoines, Iowa	Insurance Dividend	A
Metropolitan Life Insurance, Warwick, R.I.	Insurance Dividend	A

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ETHICS IN GOVERNMENT ACT — 1988 FINANCIAL DISCLOSURE STATEMENT

John Joseph Moakley

9th District, Massachusetts

CONTINUATION SHEET

(Second of Two)

III. HOLDINGS

The value of assets held for production of income during calendar year 1988, that had a fair market value in excess of $1,000.

Identity	Category
1812 Columbia Road, South Boston, Massachusetts	F
South Boston Savings (2 Savings Accts.)	C
John Hancock Insurance, insurance policy (Cash surrender value)	C
Bankers Life Insurance, insurance policy (Cash surrender value)	B
Metropolian Life Insurance, insurance policy (Cash surrender value)	A
Boston Company (Cash Management Fund)	A
Congressional Federal Credit Union, Washington, DC (2 Savings Accts.)	C

VII. REIMBURSEMENTS

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

Source	Brief Description
Barnett Banks	Lodging and related expenses for Member and spouse in connection with speaking engagement at Naples, Florida [Honorarium reported in Part II(A)]
Grocery Manufacturers Association	Travel, lodging, and related expenses for Member and spouse in connection with speaking engagement at association convention in Naples, Florida [Honorarium reported in Part II(A)]
Massachusetts Bankers Association	Travel, lodging, and related expenses for Member and spouse in connection with speaking engagement at association convention in Bermuda [Honorarium reported in Part II(A)]
Seafarers International Union	Travel, lodging, and related expenses for Member and spouse in connection with speaking engagement at union conference in Bal Harbor, Florida [Honorarium reported in Part II(A)]
Securities Industry Association	Travel, lodging, and related expenses for Member and spouse in connection with speaking engagement at Boca Raton, Florida [Honorarium received in 1989, to be reported in Part II(A) for 1989]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

MAY -9 4:26

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Guy V. Molinari
(Full Name)

3465 Amboy Rd. 1C
(Mailing Address)

Staten Island, N.Y. 10306

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 14 State NY

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Molinari & Otterbeck (partnership)	Net Profit	$20,000	
Victory '88-New York	Compensation for services	1,200	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Schedule "A" annexed hereto		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Schedule "B" annexed hereto	

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
See Schedule "C" annexed hereto	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
5/80	Harold Otterbeck, Esq.	Continuation of approximately 1/3 draw of profits (up to maximum permitted by applicable federal law) Molinari & Otterbeck law firm.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Guy V. Molinari	5/9/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

Guy V. Molinari and Spouse, Marguerite Molinari

SCHEDULE "A"
II. UNEARNED INCOME

B. Source	Type	Category
Staten Island Savings Bank	Interest	A
Citibank	Interest	D
Nassau Federal Savings & Loan	Interest	A
1500 Hylan Blvd.	Mortgage	A
Sale of Vacant Land (1500 Hylan Blvd., SI, NY)	Capital Gain	A
Sarasota, Fla., condominium	Rent	C

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Guy V. Molinari and spouse, Marguerite Molinari

SCHEDULE "B"

III. HOLDINGS

Identity	Category
1. Partial interest in the following parcels of vacant, unimproved lots located in Richmond County, Staten Island, N.Y.	
Block 1865	B
Block 2265 and 2266	C
Block 3408, Lot 1	C
Block 3483, Lot 1	B
Block 3484, Lot 3	A
Block 3485, Lot 1	B
Block 3500, Lot 42	B
Block 3680	C
Block 3755	D
Block 3755	B
Block 3755	A
Blocks 3760, 3716 & 3723	C
Block 3864, Lot 7	A
Block 7534, Lot 1	C
2. One-tenth interest in acreage located in Port Jervis area, New York State	C
3. One-half interest in vacant parcel located in Tarpon Springs, Florida	B
4. One-sixth interest in mortgage covering premises 1500 Hylan Blvd., SI, NY	B
5. One-half interest in condominium in Sarasota, Fla.	D
6. One-half interest in Block 3162, Lot 12, Staten Island, N.Y.	B

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7.	Bank Account:	Staten Island Savings Bank A/C 3-14394	A
8.	Bank Account:	Citibank (Market Rate Account) #73193747	E
9.	Bank Account:	Nassau Federal Savings & Loan (A/C 003 470067 i/n/o Marguerite Molinari/Susan Molinari)	A
10.	IRA (Staten Island Savings Bank):		
		Guy V. Molinari	A
		Marguerite Molinari	A
11.	IRA (Citibank):		
		Guy V. Molinari	B
		Marguerite Molinari	A
12.	IRA (First Nationwide Savings):		
		Guy V. Molinari	A
		Marguerite Molinari	A

REAL ESTATE LISTED AT MARKET VALUE

Guy V. Molinari

SCHEDULE "C"

VI. POSITIONS

Partner	Molinari and Otterbeck
Partner	DeLuca, Molinari & Dacchille
Partner	Dacchille Seaside Associates (a/k/a A DeLillo & S Scollar et al)
Partner	Dacchille, Molinari et ptr.

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Alan B. Mollohan
(Full Name)

229 Cannon House Office Building
(Mailing Address)

Washington, DC 20515

HC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State WV

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Tobacco Institute 1-21-88	honorarium	$1400.00	
United Technologies 1-28-88	honorarium	$1000.00	
National Rural Waters Assn. 4-12-88	honorarium	$1500.00	
Philip-Morris Co. 5-26-88	honorarium	$1000.00	
AT&T 6-20-88	honorarium	$2000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
CB & T Financial Corp.	stock dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
CB & T Financial Corp. stock	A
Merrill Lynch lifetime investments	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
United National Bank	C
Wright Patman Cong'l Federal Credit Union	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
General Dynamics	air fare for speaking engagement
Textron	air fare for speaking engagement

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Alan Mollohan	Date 5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Alan B. Mollohan
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A	Textron (11-23-88)	honorarium	$2,000.00
	Austin Powder (12-7-88)	honorarium	$2,000.00
	Raytheon (12-8-88)	honorarium	$1,000.00

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Alan B. Mollohan
(Full Name)

229 Cannon House Office Building
(Mailing Address)

Washington, DC 20515

(Office Use Only)

MAY 24 AM 11:37
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State WV

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Tobacco Institute (1-21-88)	honorarium	$1400.00	
United Technologies (1-28-88)	honorarium	$1000.00	
National Rural Waters Assn. (4-12-88)	honorarium	$1500.00	
Philip-Morris Co. (5-26-88)	honorarium	$1000.00	
AT&T (6-20-88)	honorarium	$2000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
CB&T Financial Corp.	stock dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
CB&T Financial Corp. stock	A
Merrill Lynch lifetime investments	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
United National Bank	C
Wright Patman Cong'l Federal Credit Union	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
General Dynamics (briefings)	airfare from Pittsburgh to Dallas, one day lodging, dinner
Textron (speech; tour; briefings)	airfare from Dallas to Pittsburgh one day lodging, breakfast

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 12.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Alan Mollohan	5-23-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Alan B. Mollohan
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II-A	Textron (11-23-88)	honorarium $2000.00
	Austin Powder (12-7-88)	honorarium $2000.00
	Raytheon (12-8-88)	honorarium $1000.00

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Cong. Gillespie V. Montgomery
(Full Name)

2184 Rayburn Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

89 MAY 15 AM 11:38
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State Mississippi

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,008, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
2/23/88American Assoc.Community College Trustees	Honorarium	$500	(Charity)
4/25/88American Assoc.Community & Jr.Colleges	"	$2000	(Charity-$1500)
10/1/88East Mississippi Electric Power Assoc.	"	$1000	
11/21/88Mississippi College	"	$400	(Charity)
Ms. National Guard	Retirement	$23,067.50	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(See Attached)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(See Attached)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
(See Attached)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Pat Dixon Realtor,1425 27th St.,N.W.,Wash.,D.C(The Court) (Mortgage)	C
Trustmark Nat'l Bank, Canton, Ms.(Mortgage-CR Montgomery Midtown Duplexes)	D
Indianola Income Property,L.P. P.O.Box 22655, Jackson,Ms. (Limited Partnership)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Rightway Travel Agency, Jackson, Ms.	Inaugural flight on Concorde from New York to Jackson, Ms.

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

	SOURCE	BRIEF DESCRIPTION
1.	American Assoc.Community & Jr.Colleges(speaker at Convention and Conference)	(Round trip air fare-D.C.-LasVegas Nevada-2 nights lodging/food)
2.	Hughes Aircraft(speak at opening new facility in Forest, Ms.)	(Round trip air transportation-D.C.-Forest, Ms.)
3.	Coastal Corp.-Participate tribute to Speaker Jim Wright and meeting with officials)	(Round trip air transportation-D.C. Fort Worth, Texas-1 night lodging/food)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: S.V. Montgomery

Date: May 15th 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

PAGE 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Gillespie V. Montgomery

UNEARNED INCOME

Source	TYPE	CATEGORY
Wright Patman Federal Credit Union	Interest	C
Unifirst Bank	Interest	A
Home Federal Savings & Loan	Interest	A
Eastover Bank	Interest	A
Nuveen Bonds	Interest	A
Ms. Housing Finance Corp. Bonds	Interest	B
Ms. State Hospital Equipment Bonds	Interest	B
Simpson County Bonds	Interest	A
Lowndes County Ms. Bonds	Interest	A
Ms. Highway Bonds	Interest	A
Laurel Ms. Bonds	Interest	A
Meridian F.G. Riley Hospital Bonds	Interest	B
Meridian Municipal Sep. School District Bonds	Interest	A
Merrill Lynch	Dividend	A
Grenada Sunburst System	Dividend	A
Golden Enterprise	Dividend	A
A.T.& T.	Dividend	A
Russell Corporation	Dividend	A
Shell Oil	Royalty	C
First Trust Advantage Ms. Series	Interest	A
Indianola Income Property, L.P.	Rent & Interest	A
Meridian Duplex	Rent	B
Parkside	Rent	D
The Court (1/2 Interest)	Rent	C
Cattle	Sale	D
Deposit Guaranty Bank	Interest	A

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Gillespie V. Montgomery

HOLDINGS

Identity	Description	Category
Timber Land - Neshoba Co., Ms.	38 acres	B
Timber Land - Attala Co., Ms.(1/2 interest)	775 acres	E
Timber Land - Lauderdale Co., Ms, (1/2 interest)	122 acres	D
Timber Land - Webster Co., Ms.	80 acres	C
Timber Land - Calhoun Co., Ms.	40 acres	B
Oil Interest - Clarke Co., Ms.		C
Nuveen Tax Exempt Bond	Bond	B
Golden Enterprises	common stock	B
Ms. Housing Finance Corp. Bonds	Bonds	B
Ms. State Hospital Equipment Bond	Bonds	B
Diplomat (1 unit L.P. residential rental property, Hinds Co., Ms.)	Partnership	C
A.T.&T.	common stock	A
Brock Exploration (Individual L.P.oil & gas exploration company, New Orleans, La.)	limited partnership	A
Carlyle Real Estate	limited partnership	B
Simpson County, Ms. Bond	Bond	A
Irvine Sensors Corp.	common stock	A
Lowndes Co., Ms. Bonds	Bonds	B
CR Montgomery Midtown (1/6 interest, rental apartment, Madison Co., Ms.)	partnership	B
Laurel Ms. Bonds	Bonds	B
Russell Corporation	common stock	B
Freeport McMoRan Energy (31 units individual L.P. oil & gas exploration, New Orleans, La)	limited partnership	A
Bancorp of Ms., Inc.	common stock	A
The Court (1/2 interest) *	rental property	D
Parkside **	rental property	D
Duplex, (Meridian, Ms.) ***	rental property	D
Cattle	livestock	C
Wright Patman	savings account	D
Deposit Guaranty Bank	checking account	A
Deposit Guaranty Bank	savings account	B
Unifirst Savings	savings account	B
Unifirst Savings - IRA		B
Home Federal Savings & Loan	savings account	B
Home Federal Savings & Loan	common stock	B
Eastover Bank	common stock	B
Eastover Bank	savings account	B
Mobile National Corp.	common stock	A

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PAGE 2 of 2

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Gillespie V. Montgomery

HOLDINGS

Identity	Description	Category
Sandwich Chef	common stock	A
Ms. Highway Bonds	bonds	B
Meridian F.G. Riley Hospital Bonds	bonds	C
Meridian Municipal Sep. School District Bond	bonds	B
Grenada Sunburst System Corp.	common stock	B
Torchmark Corp.	common stock	A
Sanderson Farms	common stock	A
Marriott Corp.	common stock	B
Meridian, Ms. School Gen. Obl. Bond	bond	B
Ms. Gen. Obligation Port Bonds	bonds	A
Big "B" Inc.	common stock	A
Merrill Lynch Mun. Series Trust Mun.Inc.Fund.		B
Golden Enterprises	common stock	A
Hogan Systems	common stock	A
First Trust Advantage Fund, Ms.Trust Series	bond	B
Blackstone Target Term Trust	common stock	B
Fund Alabama	common stock	B
Indianola Income Property, L.P. (1 unit limited partnership interest commercial rental property in Indianola, Ms.)	limited partnership	C
Indianola Income Property II, L.P. (1 unit limited partnership interest commercial leasing property in Indianola, Ms.)	limited partnership	B

*(212 Justice Court NW, Washington,D.C.)
**(10508 Montrose #104, Bethesda, Md.)
***(3904 Country Club Dr., Meridian, Ms.)

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PAGE 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Gillespie V. Montgomery

TRANSACTIONS

Brief Description	Date	Category
Hogan Systems (stock-bought)	4/28/88	A
Fund Alabama Inc. (stock-bought)	8/12/88	B
Meridian, Ms. School Gen. Obl. Bond (bond-bought)	5/2/88	B
Grenada Sunburst Systems (stock-bought)	12/23/88	A
Big B, Inc. (stock-bought)	12/23/88	A
Golden Enterprises (stock-sold)	12/23/88	A
Marriott Corp. (stock-bought)	12/27/88	A
Blackstone Target Term Trust (stock-bought)	11/17/88	B
Indianola Income Property, L.P. (1 unit-bought)	8/5/88	C
Indianola Income Property II, L.P.(1 unit-bought)	11/29/88	B

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

INSIDE MAIL

RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 MAY -3 AM 7:45
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Jim Moody
(Full Name)

1019 Longworth House Office Building
(Mailing Address)

Washington DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State WI

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA __X__

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED LIST			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE ATTACHED		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Real Estate: 2723 N Farwell Ave - Milwaukee	D
2413-15 N Fratney - Milwaukee	C
4240-42 N 68th St - Milwaukee	D
907 G Street, SE - Washington	E
Stocks, Bonds, Etc (See Attached)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Blunt,Ellis,Loewi - Tax Exempt Money Market Purchase	April	A
Nicholas Fund Inc. - Mutual Fund Sale	April,June,August	D
Heartland Fund Inc. - Mutual Fund Sale	June,August	D
Nicholas Fund Inc. - Mutual Fund Purchase	June,July,October	E
Heartland Fund Inc. - Mutual Fund Purchase	July,August,Nov	E
Universal Medical Bldgs,Stock - Purchase	September	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Real Estate Mortages: 2723 N Farwell -Milwaukee -Continental S&L	C
2413-15 N Fratney -Milwaukee-Guaranty S&L	C
4240-42 N 68th St- Milwaukee-Continental S&L	D
907 G Street, SE - Washington -Shearson Lehman	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jim Moody	May 2, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
[illegible] Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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CONGRESSMAN JIM MOODY

II . INCOME

A. SOURCE

Date	Source	Type	Amount	Disposition
12/15/87	Nat Dialysis Association	Honoraria	$ 500	
10/29/87	Amer Psychological Assn	Honoraria	500	
03/03/88	RJR Nabisco	Honoraria	2,000	
03/08/88	Sweetner Users Assn	Honoraria	1,000	
04/28/88	Burlington Northern	Honoraria	2,000	
05/11/88	Associated Equipment	Honoraria	750	
05/15/88	Ant Assn of Rehab Agency	Honoraria	1,500	
05/25/88	Connell Rice & Sugar	Honoraria	2,000	
06/10/88	Fox Valley Acad of Medicine	Honoraria	500	
06/17/88	New York Foreign Trade Zone	Honoraria	500	
09/18/88	Amer Automotive Leasing Assn	Honoraria	2,000	
11/03/88	Nat Assn Forgein Trade Zones	Honoraria	2,000	

B. SOURCE

Residential Properties:	TYPE	CATEGORY
2413/15 N Fratney Milwaukee	Rent	C
2723 N Farwell Milwaukee	Rent	D
4240 N 68th St Milwaukee	Rent	D
907 G St SE Washington DC	Rent	B
Blunt,Ellis,Loewi (money market)	Dividends	A
AT&T Stocks Etc.	Dividends	A
Mutual Funds: Heartland Fund	Dividends	C
Nicholas Fund	Dividends	B

III. HOLDINGS IDENTITY

Chicago Corporation Commodities Futures	A
Kemper Tax Exempt Money Market Account	B
Kemper Money Market Account	A
Kemper Enhanced Securities #6	A
Kemper Financial Companies Certificate of Deposit	A
US Treasury Stripes	A
Kemper Double Play Trust #3	A
IRA	A
AT&T (stock)	A
Nicholas Fund	D
Heartland Fund	F
Mills Place LTD Partnership	D
Universal Medical Buildings (stock)	A

VII. REIMBURSEMENTS

DATE	SOURCE	BRIEF DESCRIPTION
04/28/88	Burlington Northern Travel & Lodging	Trip to Fort Worth, TX meetings with company officials
05/23/88	Connell Rice & Sugar Travel & Lodging	Address company executives in New Jersey
09/21/88	American Automotive Leasing Assn Travel Expenses	Speech at mid-year meeting in Chicago, IL
11/12-19/88	Citizens Energy Corp Travel, Lodging, Meals	Trip to Nigeria, Angola and the Congo; meetings with government leaders and development officials re energy development and Namibia peace negotiations
12/11/88	Foreign Policy Institute Travel Expenses	Trip to Istanbul, Turkey meetings with government officials and Armenian religious leaders

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1985

FORM A—For use by Members, officers, and employees

CARLOS J. MOORHEAD
(Full Name)

2346 RAYBURN HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 22 State CALIFORNIA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1986 must file a Financial Disclosure Statement on or before May 15, 1986.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1985, for a period in excess of 60 days in calendar year 1985 shall file a Financial Disclosure Statement on or before May 15, 1986, if he or she continues to be such an officer or employee on May 15, 1986.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1985 shall file a Financial Disclosure Statement on or before May 15, 1986, if he or she continues to be such an employee on May 15, 1986.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1985 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1985 LIMIT FOR INCUMBENTS IS $22,467.49, and for MEMBERS SWORN IN ON JANUARY 3, 1985, $20,527.31. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION".

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Natl.Assn. of Broadcasters	1/17/88	honorarium	$1500.00	
Edison Electric Institute	2/3/88	"	250.00	
Shell Oil Company	3/25/88	"	1500.00	
Assn. of American Railroads	2/24/88	"	500.00	
Natl. Assn. of Broadcasters	4/9-11/88	"	1000.00	

cont'd. page 5

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1985. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Valley National Bank	interest	D
Glendale Federal Savings	"	C
United Virginia Bank	"	A
Received on contract of sale of Virginia house/Milton Co	"	B
SW Gas	dividend	A
Keystone S 3 Mutual Fund	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1985, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Wakefield & Associates - Real Estate Groups (see attached information)	C
Keystone S3 Mutual Fund	B
Lehman Investment Fund (formerly One William Street)	B
Angelopoulous Note & Trust deed on LaMirada property	E
Fidelity Federal Savings & Loan	E
Investment Company of America Mutual Fund	B

0 9 0 7 0 2 3 0 3 5 5

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1985, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
There have been some modifications on contract of sale on		
the Vienna, Virginia house listed on last year's report but		
actual sale is still doubtful		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1985, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Loan secured by Certificate of Deposit at Valley National Bank	B
Loan secured by house in Vienna, Virginia at United Virginia Bank	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1985.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1985.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether these expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1985, is required rather than exact dollar figures.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1985.

SOURCE	BRIEF DESCRIPTION
Natl. Assn. of Broadcasters (NAB)	Round trip airfare from Washington,DC to Hawaii for Member and spouse plus 5 nights lodging and accommodations where Member participated in more than one panel of a series of panel discussions

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Carlos J Moorhead	May 8, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

CARLOS J. MOORHEAD
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II-A INCOME	DATE	TYPE	AMOUNT	DISPOSITION
California Grocers	4/12/88	honorarium	$1000.00	
Allied Signal	4/26/88	"	1500.00	
Natl. Cable TV Assn.	4/30/88	"	1500.00	
California Bankers	5/5/88	"	1000.00	
Natl. Kitchen Cabinet Assn.	5/9/88	"	2000.00	
CBEMA/Computer & Business Eqt.	5/25/88	"	1000.00	
Colt Industries	5/27/88	"	1000.00	
Natl. Assn. of Broadcasters	6/21/88	"	1000.00	
Board of Trade/Chicago	7/29/88	"	500.00	
Mercantile Exchange/Chicago	7/29/88	"	500.00	
Natl.Assn. of Manufacturers	10/13-14/88	"	1000.00	
IBA/Industrial Biotech Assn.	10/28/88	"	500.00	
California Cable TV Assn.	17/7-9/88	"	1200.00	
Pacific Telesis	12/12/88	"	1500.00	
Contribution to charity				$ 6000.00
U.S. Army		pension	7212.00	
California State Legislature		"	2216.87	

II-B INCOME		CATEGORY
Investment Co. of America Mutual Fund	dividend	A
Lehman Investment Fund (formerly One Wm.Street)	"	A
Wakefield & Associates,limited partnership	cap.gains/ordinary income	B
Vienna, Virginia house, 8511 Wall Street	rent	Gross D net A
American Savings Bank	interest	C
Imperial Savings & Loan	"	A
Crestar Bank	"	A
Bank of America	"	A
Fidelity Federal Savings & Loan	"	C
Angelopoulous Note	"	D

III-HOLDINGS	
Glendale Federal Savings and Loan	D
Valley National Bank	E
100 shares SW Gas	A
Bank of America	A
Wife's lots 115 & 116,Borego Springs Unit,Frying Pan Road,Borego,CA.	B
United Virginia Bank	A
American Savings & Loan	E
Vienna, Virginia house, 8511 Wall Street	F

VII-REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION
Allied Signal	Round trip Transportation to Morristown, New Jersey
Natl.Cable TV Assn.	Airfare to Los Angeles
Natl. Kitchen Cabinet Assn.	Airfare to Palm Springs, CA plus misc. expenses
Natl.Assn. of Broadcasters	Round trip airfare from Washington,DC to Las Vegas plus 2 nights lodging for Member and spouse
Chicago Board of Trade & Mercantile Exch.	Air transportation round trip to Chicago
Natl. Assn. of Manufacturers	Round trip airfare to Monterey, CA.
Industrial Biotech Assn.	Accommodations at Coronado,CA One night
California Cable TV Assn.	Accommodations at Anaheim, CA One night

Assets of Wakefield & Associates
Group XXXV - 20 members

	CATEGORY ASSETS	CATEGORY LIABILITIES
CASH IN BANK Checking Account	A	
Savings Account	B	
PETTY CASH E. 17018 Sprague	A	
NOTES RECEIVABLE	B	
PROPERTIES PURCHASED		
N. 12-16-18 UNIVERSITY - Commercial Property		
Estimated Market Value	F	
Contract Payable - Cady - $700.00 monthly incl 8% interest		C
Mortgage Payable - Barnyak - $2,150.00 monthly inc. 11% interest		E
W. 235-239 Main - Commercial Property		
Estimated Market Value	E	
Cost - $128,641.72		
Trust Deed Payable - Caputo - $1,000.00 monthly inc. 10% interest		D
E. 17018 SPRAGUE - 9 Unit Apartment		
Estimated Market Value	E	
Cost - $111,157.44		
Contract Payable - Schell etal - $800.00 monthly inc. 9% interest		D
Trust Deed Payable - Farmers & Merchants Bank - Prime +2%		D
Accrued Taxes Payable - E. 17018 Sprague		A
E. 943 AUGUSTA - 8-Unit Apartment		
Estimated Market Value	E	
Cost - $123,802.00 Rental Income $1,550.00 monthly		
Mortgage Payable - Old Stone Mortgage Corp. - $590.24 monthly inc. 8¼% interest & reserve for taxes		C
Contract Payable - Barnyak - $560.00 monthly inc. 11% interest		D
Reserve Account Balance - Old Stone Mtg.	A	
Accrued Taxes Payable - E. 943 Augusta		A

Page 1 of 3

PROPERTIES PURCHASED - Continued	CATEGORY ASSETS	CATEGORY LIABILITIES
E. 1721 EVERETT - Rental House		
Estimated Market Value	C	
Cost - $35,598.78 Rental Income - $350.00 monthly		
Mortgage Payable - Fleet Funding - $156.00 monthly inc. 7% interest		D
Reserve Account - Fleet Funding - for taxes and insurance	A	
N. 7434 CALKINS - Rental House		
Estimated Market Value	D	
Cost - $50,400.00 Rental Income - Vacant - Listed for Sale		
Mortgage Payable - Cenlar Mortgage - $405.62 monthly inc. 10% interest		C
Reserve Account - Cenlar Mtg. - for taxes and insurance	A	
E. 7224 FAIRVIEW - House acquired in sale of 10 acres, Eloika Lake Rd.		
Estimated Market Value	E	
Cost - $35,436.00 Rental Income - $400.00 monthly (Sale Pending)		
Mortgage Payable - U/S. Bancorp - $400.16 monthly inc. 9½% interest		C
Reserve Account - U.S. Bancorp - for taxes and insurance	A	
ELOIKA LAKE ROAD ACREAGE - 10 acres remaining (Cantrell Property)		
Estimated Market Value	B	
Cost - $12,548.25		
Contract Receivable - Lyon & House - $300.00 monthly inc. 10% interest	E	
WESTMORELAND-CEDAR ROAD TRACTS - Acreage		
Estimated Market Value	C	
Cost - $27,977.00		
Mortgage Payable - Morrow - $150.00 monthly inc. 9½% interest		B
Contract Payable - Bentley - $175.00 monthly inc. 8½% interest		B
MISCELLANEOUS FURNITURE - Acquired with rentals	A	
NOTES AND TRUST DEEDS PAYABLE - OTHER - Farmers & Merchants Bank - Prime rate		B
	F	B

Note: Values of the various properties are estimated market values without adjustment for depreciation taken in this and prior years.

WILSON INVESTMENT GROUP XXXV DECEMBER 31, 1987

	CATEGORY ASSETS	CATEGORY LIABILITIES
TOTAL ASSETS	F	
TOTAL LIABILITIES		F
NET WORTH		F
		F

MOORHEAD
6.969% of Net Worth C

WAKEFIELD & ASSOCIATES GROUP IV   12 SHARES - 11 MEMBERS   DECEMBER 31, 1988

	CATEGORY ASSETS	LIABILITIES
CASH IN BANK		
Checking	A	
Savings	A	
MELTON PROPERTY - 20 acres		
Hill Property (forfeiture) Estimated Market Value of land Cost - $6,958.42	A	
Contracts Receivable		
Barker - $200/monthly including 8% interest	A	
Miller - $140/monthly including 9% interest	A	
Kingsbury - $150/monthly including 7% interest	A	
LEMBURG PROPERTY - All Sold		
Contract Receivable		
Armbruster/Blasingame - $230/monthly including 8% interest	A	
ASSETS	D	
LIABILITIES		- 0 -
NET WORTH		D

1/12 of Net Worth: A

WAKEFIELD & ASSOCIATES GROUP XI 14 MEMBERS DECEMBER 31, 1988

	CATEGORY ASSETS	LIABILITIES
CASH IN BANK		
Checking	A	
Savings	C	
NOTE RECEIVABLE	A	
PELLERITI PROPERTY - 32 acres, Pend Oreille County - Sold		
Contract Receivable - Wells - $175.00 monthly inc. 8.5% interest	A	
FELTON ESTATE PROPERTY - Sold		
Contract Receivable - Bailey - $175.00 monthly inc.8.5% int.	A	
BOYLES PROPERTY - 35 acres, Pend Oreille County		
Cost - $17,553.00 Timber cut - Estimated market value of land	C	
PETERS PROPERTY - 40 acres, Pend Oreille County - Sold		
Contract receivable - Armbruster et al - $430.00 monthly inc. 9.5% int.	A	
HADDAD/VAN MATRE PROPERTY - 20 acres remaining (Heutmaker Property)		
Heutmaker property - Cost - $8,869.00 Estimated market value of land	B	
Contract Receivable - Sharp - $150.00 monthly inc.10% int.	A	
Contract Receivable - Merkel (kaats) PAID IN FULL	-0-	
JENSEN PROPERTY - Spokane County - Sold		
Contract Receivable - Vanderweil - $75.00 monthly inc. 8.5% int.	A	
TOTAL ASSETS	D	
LIABILITIES		-0-
NET WORTH		D

1/14th of Net Worth: A

2288

HAND DELIVERED 8 9 0 7 0 2 3 1 4 0 8

CARLOS J. MOORHEAD
22d District, California

Room 2346
Rayburn House Office Building
Washington, DC 20515-0522
(202) 225-4176

Room 304
420 North Brand Boulevard
Glendale, CA 91203-2349
(818) 247-8445
(909) 353-1700

Room 616
301 East Colorado Boulevard
Pasadena, CA 91101-1911
(818) 792-6168
(909) 273-6168

Congreſs of the United States
House of Representatives
Washington, DC 20515-0522

COMMITTEES
JUDICIARY
Subcommittee on Courts, Intellectual Property, and the Administration of Justice
Subcommittee on Economic and Commercial Law
ENERGY AND COMMERCE
Subcommittee on Energy and Power
Subcommittee on Telecommunications and Finance

May 15, 1989

MAY 15 PM 12:10
U.S. HOUSE OF REPRESENTATIVES

✓
HC

The Honorable Donnald K. Anderson
Clerk of the House of Representatives
Washington, D. C. 20515

Attention: Pat
Records and Registration
1036 Longworth H. O. B.

Dear Mr. Anderson:

My 1988 Financial Disclosure Statement was delivered to your office this morning, stamped in, and a receipt given for it. We were advised shortly thereafter that the wrong forms (for the year 1985) had been inadvertently used for this report. This is to advise you that the figures shown on that form are the very same as those that would have been shown on the 1988 form had it been available.

With kind regards,

Sincerely,

Carlos J. Moorhead

CARLOS J. MOORHEAD
Member of Congress

DJM:d

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 MAY 15 PM 3 22

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

FORM A—*For use by Members, officers, and employees*

Constance A. Morella
(Full Name)

1024 Longworth Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8 State Maryland

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
U.S. House of Representatives		Salary	$85771	None
D.C. Dental Society	4.19.88	Honorarium	1,500	None
Dental Research	5.24.88	"	1,000	None
Brookings Institution	7.21.88	"	400	"
U.S.F.A.G.	10.4.88	"	1,000	None
National 4-H Council	10.9.88	"	500	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Properties	Rent	D
MD State Credit Union	Interest	A
Congressional Federal S&L	Interest	A
Bank of Bethesda	Interest	A
Montgomery County Teachers Credit Union	Interest	A
Standard Federal S&L, MD	Interest	B
L&P Federal Credit Union, D.C.	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Single Family residence, Rockville, MD	E
Condominium apartment, Sharon, MA	D
[illegible], [illegible], [illegible] & [illegible], P.C. (spouse) (stock interest)	C
M/A Com, Inc., MA (common stock)	A
IMIT (Insured Municipals Income Trust), N.Y.	A
Standard S&L (savings account)	C
Consumers United Ins. Co., Wash. D.C.	C
Equitable Bank, IRAs	C
COMSAT (common stock)	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

'A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Citizens Bank, MD (mortgage)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

8 9 0 7 0 2 8 2 5 2 3

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Project Interchange/Hebrew University May 24 - June 1, 1988 Jerusalem, Israel - The Raoul Wallenberg Conference on Leadership & Democracy in [illegible]	Airfare, hotel, and meals (and internal transportation)
[illegible] Senate Committee - El Salvador with 1 day in Costa Rica - meet with political leaders/human rights Aug 26-31, 1988	Airfare, hotel, and meals (and internal transportation)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Capitol College, Laurel, MD
Trustee	Trinity College, Washington, D.C.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1988	Montgomery College, Rockville, MD	Faculty leave without pay

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Constance A. Morella	5.15.89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Constance A. Morella
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II A	The American University (Spouse)	Salary	
	Hewes, Morella, Gelband & Lamberton, P.C., Washington, D.C. (Spouse)	Salary	
II B	IM-IT (Insured Municipals Income Trust), N.Y.	Interest	A

89070291954

HAND DELIVERED

Constance A. Morella
1024 Longworth House Office Building
Washington , D.C. 20515-6612

1989 MAY 30 PM 4:44
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

ADDENDUM TO FINANCIAL DISCLOSURE STATEMENT FOR 1988

CLARIFICATION

III. In my Financial Disclosure Statement for 1987, I listed common stocks under III. Holdings that had a fair market value of UNDER $1000.

They are

Moxie
Occidental Petroleum
Control Data
Page American Group

In my Financial Disclosure Statement for 1988 , I did not list the above common stock under III.Holdings because they still do NOT have a fair market value exceeding $1,000.

ADDITION

III. Please add

IDENTITY	CATEGORY
U.S. Savings Bonds	B

Constance A. Morella

A 9 3 7 7 2 9 1 4 0 9

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

89 MAY 15 PM 12 57

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Bruce A. Morrison
(Full Name)

330 Cannon House Office Building
(Mailing Address)
U.S. House of Representatives
Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State CT

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print-outs" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Phillips Consulting Group (spouse)	Salary	N/A	N/A
Ford Foundation (spouse)	Consulting Fee	N/A	N/A
Columbia University (spouse)	Consulting Fee	N/A	N/A
Mass. Conf. of the United Church of Christ (1/13)	Honorarium	$ 250	Donated to charity
Drexel Burnham Lambert (2/8)	Honorarium	$1,000	Donated to charity

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
New Haven Savings Bank	Interest	$ 222
Peoples Bank	Interest	$ 148
Internal Revenue Service	Interest	$ 220
Morgan-Stanley	Interest/dividends	$5130/4642
ML Lee Fund	Interest	$ 977
Merrill-Lynch	Dividends	$1487
Rental apartment	Rent	$1100
capital Gains (see Attachment A)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
52 shares Baxter Travenol (pfd) (spouse)	$ 2,653
1000 shares Kimberly Clark (spouse)	$ 58,250
500 shares Mead Corp. (spouse)	$ 19,500
200 shares Browning-Ferris	$ 5,475
300 shares 1st Constitution Financial Corp.	$ 9,800
200 shares W.R. Grace & Co.	$ 5,200
200 shares Reebok International	$ 2,450
10 units ML Lee Fund	$ 10,000
Topeka Kansas Pub. Bldg. Auth. Bond	$ 9,538
Merrill-Lynch CMA account	$ 14,566
D.C. residence with rental apartment	$152,500

89070281411

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Stock transactions listed on Attachment A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage on D.C. residence with rental apartment	$122,000

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National University	Round-trip transportation and two days' food and lodging for participation as moot court judge in San Diego, California
London School of Economics	Six days'food and lodging for speeches and seminars in London, England

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Yale Endowment for Divestment
Trustee	MIT Endowment for Divestment

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
A. Morrison	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bruce A. Morrison
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II. A.	Twentieth Century Fund (2/23, 3/25)	Honorarium $ 300	Donated to charity
II. A.	Securities Industries Assoc. (3/21)	Honorarium $2,000	Donated to charity
II. A.	The Secura Group (3/2)	Honorarium $1,000	Donated to charity
II. A.	CT State Assoc. of Letter Carriers (5/26)	Honorarium $ 250	Donated to charity
II. A.	St. Mary's Catholic High (6/4)	Honorarium $ 100	Donated to charity
II. A.	Nat'l Assoc. of Casualty & Surety Agents (7/5)	Honorarium $ 500	Donated to charity
II. A.	Independent Insurance Agents of America (9/7)	Honorarium $1,000	Donated to charity
II. A.	Securities Industries Assoc. (12/27)	Honorarium $1,000	Donated to charity
II. A.	The November Group (12/22)	Honorarium $ 300	Donated to charity
VII.	United Nations University - Round-trip transportation and two days' food & lodging to participate in conference in Mexico City, Mexico.		
VII.	Drexel Burnham Lambert - Round-trip transportation and two days' food and lodging to speak in Beverly Hills, California.		
VII.	Yale University - Round-trip transportation to speak in New Haven, Connecticut.		
VII.	Human Rights Project - Round-trip transportation for fact-finding trip to Cuba.		
VII.	Middlebury College - Round-trip transportation and one day's food and lodging to speak in Middlebury, Vermont.		
VII.	New Haven County Medical Society - Round-trip transportation and two days' food and lodging to participate in conference in Chicago, Illinois.		
VII.	Securities Industry Association - Round-trip transportation and four days' food and lodging to speak in Boca Raton, Florida.		

GPO [illegible]

ATTACHMENT A

SUMMARY OF STOCK TRANSACTIONS JOINTLY BY BRUCE A. MORRISON AND JANE W. MORRISON

Date	Amount	Stock	Sold	Bought	Bought Date	Net
2/22	200	Borden	9,805.31	8,798.78	12/1/86	1006.53
6/20	50	Marion Labs	847.45	1,278.53	1/8	(431.08)
6/20	150	Marion Labs	2,542.33	4,596.86	10/1/87	(2054.53)
9/20	100	Baxter Int'l	1,988.17	2,351.84	6/10/87	(363.67)
9/22	100	Reebok Int'l	1,047.31	1,635.30	4/19	(587.99)
12/30	200	Reebok Int'l	2,256.49	3,270.61	4/19	(1014.12)
-	1000	Varity Corp.	---	3,416.48	7/1	---
-	200	Reebok Int'l	---	2,316.61	12/5	---
					TOTAL:	(3,444.86)

SUMMARY OF STOCK TRANSACTIONS BY JANE W. MORRISON

Date	Amount	Stock	Sold	Bought	Bought Date	Net
5/18	1000	Pfizer	51,742.07	55,745.90	5/11	(4003.83)
5/20	1000	CPC Int'l	48,785.87	48,365.00	5/19	420.87
6/8	2000	Afco Health	51,187.40	41,850.00	6/7	9337.40
6/10	1000	Texaco	50,313.32	51,800.00	6/8	(1486.68)
6/27	1000	Polaroid	32,461.91	35,828.80	6/8	(3366.89)
7/8	1500	PPG Industry	67,407.75	70,338.75	6/30	(2931.00)
7/8	1000	Eli Lilly(warrants)	83,687.20	84,028.00	7/1	(340.80)
7/8	1000	Goodrich	55,438.15	54,886.30	5/11	551.85
7/8	1000	Goodrich	55,438.15	53,978.80	5/13	1459.35
7/13	1000	Disney	64,187.85	63,560.00	7/12	627.85
7/14	1000	Kimberly Clark	62,937.90	62,435.00	7/8	502.90
7/15	500	IBM	63,222.89	64,530.00	7/13	(1307.11)
7/21	1000	Stone Cont.	37,626.74	36,800.00	7/12	826.74
8/2	1000	SmithKline	45,948.46	45,550.00	7/27	398.46
8/3	1000	Eli Lilly(warrants)	80,447.31	84,670.00	7/11	(4222.69)
8/18	1000	Delta	47,188.42	50,872.00	8/8	(3683.58)
8/18	500	Olin	21,911.77	25,025.00	6/14	(3113.23)
8/18	1000	Olin	43,823.53	50,435.00	6/16	(6611.47)
8/24	1000	Pennwalt	82,687.24	81,935.00	7/12	752.24
8/25	1000	Pennwalt	84,062.19	80,410.00	7/20	3652.19
8/26	1500	Polaroid	65,532.81	64,575.00	8/18	957.81
9/2	1000	Johnson & Johnson	80,937.30	81,435.00	8/26	(497.70)
9/7	2500	Polaroid	104,066.52	107,650.00	9/1	(3583.48)
9/12	2500	Kimberly Clark	143,282.71	141,290.00	9/9	1992.71
9/12	1000	Pennwalt	88,712.04	86,487.50	9/2	2224.54
9/13	1000	General Instrument	30,063.99	29,284.00	9/8	779.99
9/14	1000	Merck	56,813.10	56,185.00	9/12	628.10
9/15	1000	Warner Lambert	74,012.53	73,310.00	9/13	702.53
9/16	1000	Goodrich	52,743.23	54,304.00	9/14	(1560.77)
9/20	2000	MCA	88,127.05	91,505.00	9/16	(3377.95)
9/20	2000	Mead	89,377.01	86,100.00	9/20	3277.01
9/20	1000	Quaker Oats	53,063.23	54,810.00	8/26	(1746.77)
9/20	1000	Quaker Oats	53,063.22	52,865.00	9/8	198.22
9/23	1500	Phillips Petroleum	28,424.05	27,840.00	9/22	584.05

9/27	300	B.F. Goodrich	17,010.03	16,293.90	9/30	716.13
9/27	500	B.F. Goodrich	28,350.05	22,809.90	8/25	5540.15
9/30	1000	Pennwalt	85,468.14	86,560.00	9/26	(1091.86)
9/30	1000	K-Mart	38,698.70	37,665.00	9/26	1033.70
10/4	1000	B.F. Goodrich	55,344.15	45,619.80	8/25	9724.35
10/5	500	Time	54,713.17	54,650.00	9/30	63.17
10/5	500	Time	54,713.18	53,275.00	10/3	1438.18
10/5	1000	Warner Lambert	74,687.50	75,093.80	9/30	(406.30)
10/6	1000	B.F. Goodrich	55,188.15	54,313.00	9/30	875.15
10/6	3000	Mead	131,815.60	130,680.00	10/5	1135.60
10/12	1500	Pillsbury	89,547.01	57,789.45	10/7	31757.56
10/14	1000	Int'l Minerals	43,246.85	44,240.00	10/12	(993.15)
10/14	1000	Kimberly Clark	61,197.95	58,185.00	9/21	3012.95
10/14	200	B.F. Goodrich	11,437.61	10,862.60	9/30	575.01
10/17	3000	Kerr McGee	112,346.25	111,000.00	10/14	1346.25
10/17	500	McGraw Hill	35,901.30	34,686.50	10/7	1214.80
10/17	500	Mead	22,224.25	21,655.00	8/10	569.25
10/21	1000	Hewlett Packard	49,250.85	50,756.00	10/18	(1505.15)
10/21	2500	Eli Lilly(warrants)	72,997.56	75,987.50	10/17	(2989.94)
10/17	500	Kimberly Clark	30,718.97	28,967.50	9/19	1751.47
10/17	1000	Kimberly Clark	61,437.95	58,435.00	10/12	3002.95
10/17	1500	Kimberly Clark	92,156.93	91,965.00	10/14	191.93
10/5	10	Pennwalt	3,979.86	2,770.00	10/28	1209.86
11/4	1000	Goodrich	53,966.19	58,300.00	10/19	(4333.81)
11/7	2500	Cooper Tire	60,797.96	65,750.00	10/18	(4952.04)
11/7	1000	Pennwalt	83,187.22	89,800.00	10/12	(6612.78)
11/7	50	Union Carbide (call)	8024.72	6,300.00	11/3	1724.72
11/18	1000	Rorer Group	40,879.93	41,570.00	11/15	(690.07)
11/21	100	HCA (call)	16,049.45	38,700.00	11/1	(22650.55)
11/23	1000	McGraw Hill	58,092.96	74,675.00	10/20	(16582.04)
12/1	500	Corning Glass	32,593.91	32,525.00	11/23	68.91
12/12	500	McGraw Hill	31,167.16	30,434.00	12/7	733.16
12/17	50	Kimberly Clark(call)	Expired	17,600.00	11/4	(17600.00)
12/20	1000	Goodrich	49,188.35	58,060.00	10/20	(8871.65)
12/30	1500	Kimberly Clark	84,750.92	96,840.00	10/19	(12089.08)
12/30	1000	Mead	39,355.38	40,425.00	12/21	(1069.62)
-	500	Kimberly Clark	---	29,587.50	12/9	---
-	500	Kimberly Clark	---	30,530.00	12/16	---
-	500	Mead	---	22,030.00	12/17	---
					TOTAL:	(46,711.93)

HAND DELIVERED

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Congress of the United States
House of Representatives

Bruce A. Morrison
Member of Congress
Third District, Connecticut

437 Cannon House Office Building
Washington, DC 20515
Telephone: (202) 225-3661

✓
MC

24 [illegible] 10 31

May 24, 1989

The Clerk
U.S. House of Representatives
Office of Records and Registration
1036 Longworth H.O.B.
Washington, D.C. 20515

Dear Sir:

My Financial Disclosure Statement for 1988 was filed on May 15, 1989. I hereby amend Section II.A to correct a typographical error and Sections II.B and III to clarify the sources of the Morgan-Stanley interest and dividends and the Merrill-Lynch dividends.

The honorarium received from the Securities Industry Association on December 27, 1988, was $2000, all of which was donated to charity.

My wife maintains an investment account with Morgan-Stanley, in which securities are held in "street name" and interest is paid on uninvested funds. The interest reported from Morgan-Stanley is the cumulative total for 1988 on such uninvested balances. The dividend payments were received on the following holdings:

Baxter International	$ 187
B.F. Goodrich	1505
Delta Airlines	300
Olin	675
Kimberly Clark	1000
Polaroid	375
Pennwalt	600
	$4642

Committees: Judiciary
Banking, Finance and Urban Affairs
District of Columbia
Select Committee on Children, Youth and Families

District Address: 85 Church Street
New Haven, Connecticut 06510
Telephone: (203) 773-2325

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The dividend payments on our joint Merrill-Lynch CMA were received from the following holdings:

Merrill-Lynch CMA	$ 801
Baxter International	49
Browning Ferris	120
Marion Labs	39
Borden, Inc.	64
W.R. Grace	280
First Constit. Fin'l Corp.	90
Reebok Int'l	45
	$1488

An additional holding of my spouse on December 31, 1989, was $35,199 in cash in the Morgan-Stanley account.

Respectfully submitted,

BRUCE A. MORRISON
Member of Congress

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

MAY -5 PM 2 12

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Sid Morrison
(Full Name)

503 Ballard Road
(Mailing Address)

Zillah, Washington 98953

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 04 State Washington

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
01/11/88 Space Nuclear Power Symposium	Honorarium	$1000	
05/16/88 American Nuclear Energy Council	Honorarium	$1000	
11/08/88 National Water Resources Assoc.	Honorarium	$1000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Morrison Fruit Co., Inc. (land rental-annual)	Rental	D
Liquid Assets (money market account)	Dividends	B
Pacificorp	Dividends	A
Winthrop Investors	Dividends	A
Congressional Credit Union	Interest	A
see continuation sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Morrison Fruit Co., Inc. (stock)	F
Liquid Reserves (money market account)	C
One Winthrop Properties, Inc. (limited partnership)	B
Pacificorp (common stock)	A
Desert Aire Associates (1/2 unit in limited partnership)	A
see continuation sheet	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Liquid Reserves (MM account, deposits/withdrawals)	monthly	C
Capital Guardian Trust Co. (IRA contribution)	4/88	A
see continuation sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Federal Land Bank (long term farm financing)	F
Seattle First National Bank (farm operating loan)	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(None)	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
(None)		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Congressional Charity Tennis, Inc. (charity tournament participant with spouse)	-bus transportation to West Virginia, 2 days lodging and meals
Citizens Energy Corporation	-one week fact-finding mission to Nigeria, Angola Congo; transportation, housing, meals provided
see continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
(None)	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
11/80	Washington State Public Employees Retirement System	I have vested interest and am on inactive status.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Sid Morrison	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

Sid Morrison
II. B Income, continued

Capital Guardian Trust Co. (IRA)	Interest	B
State Farm Life	Interest	A
Martin Goben (mobile home sales contract)	Interest	A
Farm Credit Services	Interest	B
Sid Morrison Family Limited Partnership	Land Rental	B
Desert Aire Association	Dividend	A

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Sid Morrison
III. Holdings, continued

Page 2 of 5

Pfizer, Inc. (common stock)	A
Congressional Credit Union (savings)	B
Sid Morrison Family Limited Partnership (share of farm land)	F
Aldus Corporation (common stock)	A
Capital Guardian Trust Co. (IRA)	C

ALL FARM PROPERTIES LISTED ARE MORTGAGED TO COVER LIABILITIES REPORTED IN SECTION V.

Addition to III Holdings

The following farm land is owned as community property by Sid and Marcella Morrison. Values are "true and fair market" as determined for 1988 by the Yakima County Assessor.

Yakima County Parcel #	Acreage	Value
201103-13001	38.20	E
201110-31405	8.6	C
201110-31410	9.77	C

The following farm land is partially owned by Sid and Marcella Morrison. The share of ownership is reflected. The full acreage is shown below, but the value reflects the share of ownership only. Values are "true and fair market" as determined for 1988 by the Yakima County Assessor.

Yakima County Parcel #	Ownership Share	Acreage	Value
201103-23001	own undivided 2/3 + 38% of 1/3	31.27	E
201110-24001	50% of undivided 1/3	40	C
201110-13001	undivided 1/3	20	C
201110-21001	own undivided 1/3 + 46% of 1/3	30	D

Sid Morrison
III. Holdings, continued

The following farm land is partially owned by Sid Morrison as an undivided 1/2 interest. The full acreage is shown below, but the value reflects the 1/2 ownership only. Values are "true and fair market" as determined for 1988 by the Yakima County Assessor.

Yakima County Parcel #	Acreage	Land at 1/2 value
201110-22004	8.02	B

The following farm land is partially owned by Marcella Morrison as an undivided 1/2 interest. The full acreage is shown below, but the value reflects the 1/2 ownership only. Values are "true and fair market" as determined for 1988 by the Jefferson County Assessor, Oregon.

Description	Acreage	Land at 1/2 value
S 1/2 NW 1/4 SEC 32 TIOS R/2 EWM	80	A

The following parcel of open land is partially owned by Sid Morrison as an undivided 1/4 interest. The full acreage is shown below, but the value reflects the 1/4 ownership only. Value is "true and fair market" as determined for 1988 by the Island County Assessor.

Description value	Acreage	Land at 1/4
N 1/2 SW 1/4 NQ 1/4 S34 T29N R3 EWM	20	C

The following farm land is owned by the Sid Morrison Family Limited Partnership, of which Sid and Marcella Morrison are both general and limited partners. Their share of ownership will gradually decline as reflected under IV Transactions. The full acreage and values are shown below. Value is "true and fair market" as determined for 1988 by the Yakima County Assessor.

Yakima County Parcel#	Acreage	Value
201110-42001-9	79.9	F
201110-13003	10.	C
201110-13002	10.	C
201110-23004-6	28.65	E
201110-31413-9	15.56	D

Distribution of interest of Sid Morrison Family Limited Partnership as of December, 1987:

Sid Morrison, General Partner	4.500%	
Marcella Morrison, General Partner	4.500%	
Wally Morrison, General Partner	.100%	
Sid Morrison, Limited Partner	43.200%	
Marcella Morrison, Limited Partne	43.200%	
Mary Anne Garcia, Limited Partner	2.250%	(daughter)
Linda C. Cordero, Limited Partner	2.250%	(daughter)

Distribution of interest of partners as of December, 1988:

Sid Morrison, General Partner	4.500%
Marcella Morrison, General Partner	4.500%
Wally Morrison, General Partner	.100%
Sid Morrison, Limited Partner	34.820%
Marcella Morrison, Limited Partner	34.820%
Mary Anne Garcia, Limited Partner	7.690%
Linda C. Cordero, Limited Partner	7.690%
Wally Morrison, Limited Partner	2.940%
Doris Gibbons, Limited Partner	2.940%

Sid Morrison
VII. Reimbursements, continued

Source	Brief Description
University of New Mexico	-transportation, housing, and meals with one day Space Power Symposium

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Robert J. Mrazek
(Full Name)

306 Cannon H.O.B.
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

89 MAY 15 PM 4:19

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State NY

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. States Navy Disability Pension		$3840.00	
Bernard Johnson Inc., 12-22-88	Honoraria	$ 500.00	
Binkley and Holmes,Inc. 12-20-88	Honoraria	500.00	
Klotz Associates 12-13-88	Honoraria	250.00	
Vansickle,Mickelson,Klein 12-15-88	Honoraria	250.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
449 Jericho Turnpike, Huntington, NY		
interest from sale of business prop.		E
Vacation home, Monhegan Island, Maine		D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
131 Centershore Rd., Centerport,NY	F
Vacation home, Monhegan Island, Maine	F
301 Constitution Ave.NE Washington D.C.	F
Mortgage holder on 449 Jericho Tpke.,Huntington, NY	F
(See continuation sheet for additional holdings)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Property purchased, Rockingham County, Virginia	11/8/88	E
(see Holdings for additional information on this property)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
A. Keene Bowie, Mortgage 301 Constitution Ave. NE	E
Wright Patman Credit Union Homeowner Loan	B
Wright Patman Credit Union Personal Loan	C
Chemical Bank Personal Loan	C
Citibank,NA Home owner Loan, Centerport Residence	D
People's Heritage Bank, Mortgage, Monhegan Island Property	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Ocean Spray Cranberries	Airfare and Accomdations for speech in Phoenix, Ariz. 2-7-88
Hudson Bay Corporation, Minn.MN.	Airfare for speech in Clev., Ohio, 7-15-88
Chicago Board of Trade	Airfare for speech in Chicago. 8-1-88

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Douglas and Chamberlain Corporation. This corporation is detailed in the holdings section.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert J. Mrazek	12 May 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

H93/3282348

Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert J. Mrazek
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
IIA	Ocean Spray Cranberries 2-7-88 Honoraria $2000.00
IIA	Siemens Capital 2-8-88 Honoraria $1000.00
IIA	Connell Rice and Sugar 6-13-88 Honoraria $2000.00
IIA	Hazeltine Corporation 5-17-88 Honoraria $1000.00
IIA	Dannenbaum Engineering 6-23-88 Honoraria $2000.00
IIA	Nat'l Assn. for Biomedical Research 2-23-88 Honor. $750.00
IIA	Christian Science Publishing 7-28-88 payment for article $100.00
IIA	Chicago Board of Trade 8-1-88 Honoraria $500.00
IIA	Chicago Mercantile Exchange 8-1-88 Honoraria $500.00
IIA	Donald E. Axinn Companies 9-10-88 Honoraria $1500.00
IIA	Alberta Northeast Gas 10-19-88 Honoraria $2000.00
IIA	National Standards Association 10-27-88 Honoraria $2000.00
IIA	American Trucking Assn. 11-23-88 Honoraria $1000.00
IIA	Grocery Manufacturers of America 11-30-88 Honoraria $1000.00
IIA	Pirelli Cable Corporation 4-2-88 Honoraria $1000.00
IIA	Nathelyne A. Kennedy & Assoc. 12-14-88 Honoraria $250.00
III	25% of equity interest in the Douglas and Chamberlain Corporation, whose assets consisted of contributions from the shareholders. The sole purpose of the Corporation was to purchase one piece of real property. The property was not acquired during the reporting year (1988) (C)
III	134 acres of improved land in Rockingham County, Virginia. Small farm house, barn, and several outbuildings. (E)
V	Chevy Chase. Federal Savings. Home Equity loan on 401 Contitution Ave.NE Wash. DC (D).
V	John and Evelyn Beling. secured Mortgage on Rockingham County, VA property (D)
V	Martin Rosenblum. secured Mortgage on Rockingham County, VA property (D)
VII	Alberta Northeast Gas Airfare to Boston, MA for speech 10-19-88
VII	Akin, Gump, Strauss, Airfare and accomadation for speech in Houston,TX 12-14-88
VII	Pirelli Cable Corporation. Airfare and accomadations for speech in Kansas City 4-1-88.

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert J. Mrazek
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VII	Universidad Catolica Madre y Maestra, Santo Domingo, Dominican Republic. Airfare and accomdations for speech in Santo Domingo, Dominican Republic.

GPO: [illegible]

1988

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Austin J. Murphy
(Full Name)

699 Maple Drive
(Mailing Address)

Monongahela, PA 15063

MC
(Office Use Only)

89 MAY 22 PM 3 58

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 22 State Pennsylvania

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

1988

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GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
MonValley Hospital Monongahela, PA 15063	Spouse	Part-time	Nurse
1-14-88 - National Constructors Assn	Honoraria	$500.00	
2-10-88 - National Mass Retailing Institute	Honor	$500.00	
5-11-88 - Food Service Institute	Honor	$500.00	
6-13-88 - American Nuclear Energy Council	Honor	$300.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Mellon Bank - Charleroi, PA	Interest	A
Community Bank - Waynesburg, PA	Interest	A
American Electric Power	Dividends	A
Bell Atlantic	Dividends	A
Pacific Telesis	Dividends	A
Bell South	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Mellon Bank Savings Account Excluded	
Community Bank Excluded	
American Electric Stock	B
Bell Atlantic Stock	A
Pacific Telesis Stock	A
Bell South Stock	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
American Property Investors - Sold	Feb. 1988	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Charleroi Savings & Loan Mortgage on Las Vegas Property	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
World Conservation Congress - January 1988	Lodging & meals at Conference 3 days
National Coal Association - July 1988	Lodging & meals at Conference 2 days

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

8 9 0 7 0 2 9 1 7 1 6

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
4/1/88 American Maritime Organization	Trip to Dania Fla. to speak to classes food only value. Probably less than $250 3 dys stay

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	NONE

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

AUSTIN J. MURPHY
22d District, Pennsylvania

Committees
Education and Labor
Labor Standards, Chairman
Labor-Management Relations
Interior and Insular Affairs
Energy and Environment
Mining and Natural Resources
National Parks and Public Lands

HAND DELIVERED

Congress of the United States
House of Representatives
2210 Rayburn Building
Washington, DC 20515
(202) 225-4665

District Offices
Allegheny County
(412) 221-3129
Beaver County
1801C Broadhead Road
Aliquippa, PA 15001
(412) 375-1190
Fayette County
49-51 East [illegible] Street
Uniontown, PA 15401
(412) 438-1490
Greene County
County Office Bldg.
93 High Street
Waynesburg, PA 15370
(412) 627-7011
Washington County
96 North Main Street
Washington, PA 15301
(412) 228-3777
306 Fallowfield Avenue
Charleroi, PA 15022
(412) 489-4217

MAY 22 PM 3 57
U.S. HOUSE OF REPRESENTATIVES

May 22, 1989

Honorable Donnald Anderson
Clerk
U.S. House of Representatives
RECORDS AND REGISTRATION
1036 Longworth Building
Washington, D.C. 20515

Dear Mr. Anderson:

I am enclosing a copy of my Financial Disclosure Statement for 1988, the original of which was hand-carried to the Office of Records and Registration on May 12, 1989. I was first made aware that this information was either lost or misplaced by your office on Monday, May 22, 1989, when organizations requesting disclosure statements of Members of Congress pointed out that only one page of my statement was included. That particular page and a cover letter was sent to Records and Registration seperately, and a receipt for that information was received in my office late this afternoon.

I am disappointed that I was not notified that my report was "missing" at close of business on Monday, May 15, 1989, when the report was due. Furthermore, I am dismayed that upon receipt of an amendment to my report, no one in your office took the initiative to contact me concerning the "missing" report.

Very truly yours,

Austin J. Murphy
AUSTIN J. MURPHY
Member of Congress

AJM/fpm

INSIDE MAIL

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AUSTIN J. MURPHY
22d District, Pennsylvania

COMMITTEES:

EDUCATION AND LABOR
Labor Standards, Chairman
Labor-Management Relations

INTERIOR AND INSULAR AFFAIRS
Energy and Environment
Mining and Natural Resources
National Parks and Public Lands

Congress of the United States
House of Representatives
2210 Rayburn Building
Washington, DC 20515
(202) 225-4665

DISTRICT OFFICES:
Allegheny County
(412) 221-2126
Beaver County
1631C Brodhead Road
Aliquippa, PA 15001
(412) 375-1186
Fayette County
48-51 East Penn Street
Uniontown, PA 15401
(412) 438-1480
Greene County
County Office Bldg.
93 High Street
Waynesburg, PA 15370
(412) 627-7811
Washington County
96 North Main Street
Washington, PA 15301
(412) 228-2777
306 Fallowfield Avenue
Charleroi, PA 15022
(412) 489-4217

1989 MAY 19 AM 8 33
U.S. HOUSE OF REPRESENTATIVES

May 17, 1989

MC

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, DC 20515-6612

Dear Sir:

When filing away my 1988 copy of my Financial Disclosure Statement, I find that I failed to include in the filing of the original, the continuation sheet which is herewith enclosed.

I respectfully request that this continuation sheet be added as an addendum to my Financial Disclosure Statement for 1988.

Very truly yours,

Austin J. Murphy

AUSTIN J. MURPHY
Member of Congress

Enclosure

AJM:mac

INSIDE MAIL

89071291031

1988

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

AUSTIN J. MURPHY
(Name)

Continuation Sheet

RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 MAY 19 AM 51
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			Part II Holding
II - A	4/8/88 American Maritime Officers	Honorarium	$1000.00	
II - A	8/1/88 National Restaurant Assn	Honorarium	$1000.00	
II - B	U.S. West	Dividend	A	A
II - B	Southwest Bell	Dividend	A	A
II - B	Philadelphia Electric	Dividend	B	B
II - B	Commonwealth Edison	Dividend	B	B
II - B	Allegheny Power	Dividend	B	B
II - B	Robert Evans - Speers, PA	Interest	B	C
II - B	Steve Arnowitz - Monongahela, PA	Interest	B	C
II - B	Civil Service Retirement	Interest	C	
II - B	Commonwealth of Pennsylvania	Pension	D	
II - B	Michael Luchansky - Las Vegas, NV	Rent	D	D
II - B	1st Federal Monessen - IRA	Interest	A	B
II - B	Congressional Credit Union	Interest	A	A
II - B	Insured Municipal Trust	Interest	A	B
II - B	Lancaster Pennsylvania City Bond	Interest	A	A
II - B	Philadelphia School Authority Bond	Interest	A	B
II - B	Pennsylvania GSA Bond	Interest	A	A
II - B	Pennsylvania Highway Bond	Interest	A	A
II - B	Perpetual American Bank IRA	Interest	A	A
II - B	Prudential Insurance IRA	Interest	A	A
II - B	Pennsylvania Housing Finance Bond	Interest	A	A
III	Robert Evans - Speers, PA	Mortgage	C	
III	Steve Arnowitz - Monongahela, PA	Mortgage	C	
III	NYNEX Stock	Dividend	A	
III	Residence & Lot:			
	Spring Valley Plan - Las Vegas, NV - Rent Luchansky		D	

AUSTIN J. MURPHY
22ND DISTRICT
PENNSYLVANIA

1988 FINANCIAL DISCLOSURE

PLEASE NOTE FROM 1987 REPORT:

Prudential Insurance interest on 1987 report is under $100 for 1988.

Mon Valley Credit Union interest is under $100 for 1988.

State Farm interest was under $100 in 1987 and 1988.

Both Evans and Arnowitz mortgages reported as interest and holdings.

Lincoln Savings interest is under $100 for 1988.

NYNEX dividends is under $100 for 1988.

Sailboat not rented or sold yet in 1988. Taken out of charter.

Austin J Murphy

HAND DELIVERED

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RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 JUN -1 AM 10:00
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

AUSTIN J. MURPHY
22d District, Pennsylvania

Committees
Education and Labor
Labor Standards, Chairman
Labor-Management Relations
Interior and Insular Affairs
Energy and Environment
Mining and Natural Resources
National Parks and Public Lands

Congress of the United States
House of Representatives
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Waynesburg, PA 15370
(412) 627-7811
Washington County
80 North Main Street
Washington, PA 15301
(412) 228-2777
308 Fallowfield Avenue
Charleroi, PA 15022
(412) 489-4217

May 31, 1989

Clerk of the House
U.S. House of Representatives
Office of Records and Registration
1036 Longworth HOB
Washington, DC 20515

✓
MC

Gentlemen:

Pursuant to a letter from the Committee on Standards of Official Conduct dated May 25, 1989, please be advised that my Financial Disclosure Statement for 1988 should be amended as follows:

Part III. Holdings - Section 2

I have no beneficial interest, nor does any relative in any trust, blind or otherwise.

Part VI. Gifts - Section B1

There are no other gifts of value of $100 or more in Section B.

Part VII. Reimbursements - Section 4

I was provided with a room, transportation and meals to speak to the American Maritime Organization classes being held on April 8, 1988 in Dania, Florida. Two nights and three days stay, approximate value of transportation $250, and approximate value of room and board, less than $250. No direct reimbursements were made to me.

If you have any further questions, please feel free to contact me.

Sincerely,

Austin J. Murphy

AUSTIN J. MURPHY
Member of Congress

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JOHN P. MURTHA, JR.
(Full Name)

109 COLGATE AVENUE
(Mailing Address)

JOHNSTOWN, PA. 15905

MC (Office Use Only)

OFFICE
U.S. HOUSE OF
89 MAY 15 PM 2

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 12 State PA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X____ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE CONTINUATION SHEET	HONORARIA	26 800	NONE

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
CENWEST NATIONAL BANK	INTEREST	B
WESBANCO, INC.	DIVIDEND	A
CHARLES SCHWAB & CO., INC.	DIVIDEND	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SAVINGS ACCOUNT - CENWEST NATIONAL BANK	C
CERTIFICATE OF DEPOSIT - CHARLES SCHWAB & CO., INC.	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all other *gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
X	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

8 9 0 7 0 2 9 2 3 0 0

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JOHN P. MURTHA, JR.

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II A	BEECH AIRCRAFT	2/29/88	$ 2,000
	GENERAL ELECTRIC CORP.	2/18/88	2,000
	M.H.D. SOCIETY	2/01/88	1,000
	AMERICAN PRESIDENT LINES	1/22/88	2,000
	LOCKHEED CORP.	2/17/88	2,000
	NORTHROP CORP.	2/19/88	2,000
	CONTRAVES	2/08/88	2,000
	SPECIALTY STEEL INDUSTRIES	1/19/88	2,000
	BETHLEHEM STEEL CORP.	1/28/88	2,000
	TEXTRON CORP.	1/25/88	2,000
	VAN NESS, FELDMAN, SUTCLIFFE & CURTIS	1/19/88	1,000
	AEROSPACE INDUSTRIES ASSOCIATION	6/16/88	2,000
	RAYTHEON	6/15/88	2,000
	SOUTHERN COMPANY	7/21/88	2,000
	TALLEY DEFENSE SYSTEMS	8/25/88	800
			$ 26,800

Part		REIMBURSEMENTS - SOURCE	BRIEF DESCRIPTION
VII	1)	WASTE MANAGEMENT, INC. (FACT FINDING)	ROUNDTRIP AIRFARE; WASH. DC TO CHICAGO, ILL; 1 DAY
	2)	CLEVELAND STATE UNIVERSITY DEVELOPMENT FOUNDATION (CHARITY EVENT)	ROUNDTRIP AIRFARE; WASH. DC TO CLEVELAND OHIO; 1 NIGHT LODGING/FOOD
	3)	McDONNELL DOUGLAS CORPORATION, ALLIED SIGNAL AEROSPACE COMPANY (SPEECH, EXPENSES FOR SELF AND SPOUSE)	AIRFARE WASH, DC TO PHOENIX, ARIZONA; 3 DAYS; FOOD/LODGING
	4)	MERDI (SPEECH; EXPENSES FOR SELF AND SPOUSE)	AIRFARE GRAND CANYON TO BUTTE, MONTANA AND BUTTE, MONTANA TO PHOENIX, ARIZONA; 1 NIGHT; LODGING/FOOD
	5)	TALLEY INDUSTRIES (SPEECH; EXPENSES FOR SELF AND SPOUSE)	AIRFARE PHOENIX, ARIZONA TO WASH, DC; 1 NIGHT; LODGING/FOOD

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JOHN P. MURTHA, JR.
(Full Name)
109 COLGATE AVENUE
(Mailing Address)
JOHNSTOWN, PA. 15905

MC
(Office Use Only)

1989 MAY 23 PM 3 53
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 12 State PA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,036, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE CONTINUATION SHEET	HONORARIA	26 800	NONE

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
CENWEST NATIONAL BANK	INTEREST	B
WESBANCO, INC.	DIVIDEND	A
CHARLES SCHWAB & CO., INC.	DIVIDEND	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SAVINGS ACCOUNT - CENWEST NATIONAL BANK	C
CERTIFICATE OF DEPOSIT - CHARLES SCHWAB & CO.	A
COMMON STOCK - WESBANCO, INC.	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/23/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
[illegible] Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JOHN P. MURTHA, JR.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II A	BEECH AIRCRAFT	2/29/88	$ 2,000
	GENERAL ELECTRIC CORP.	2/18/88	2,000
	M.H.D. SOCIETY	2/01/88	1,000
	AMERICAN PRESIDENT LINES	1/22/88	2,000
	LOCKHEED CORP.	2/17/88	2,000
	NORTHROP CORP.	2/19/88	2,000
	CONTRAVES	2/08/88	2,000
	SPECIALTY STEEL INDUSTRIES	1/19/88	2,000
	BETHLEHEM STEEL CORP.	1/28/88	2,000
	TEXTRON CORP.	1/25/88	2,000
	VAN NESS, FELDMAN, SUTCLIFFE & CURTIS	1/19/88	1,000
	AEROSPACE INDUSTRIES ASSOCIATION	6/16/88	2,000
	RAYTHEON	6/15/88	2,000
	SOUTHERN COMPANY	7/21/88	2,000
	TALLEY DEFENSE SYSTEMS	8/25/88	800
			$ 26,800

Part		REIMBURSEMENTS SOURCE	BRIEF DESCRIPTION
VII	1)	WASTE MANAGEMENT, INC. (FACT FINDING)	ROUNDTRIP AIRFARE; WASH. DC TO CHICAGO, ILL; 1 DAY
	2)	CLEVELAND STATE UNIVERSITY DEVELOPMENT FOUNDATION (CHARITY EVENT)	ROUNDTRIP AIRFARE; WASH. DC TO CLEVELAND OHIO; 1 NIGHT LODGING/FOOD
	3)	McDONNELL DOUGLAS CORPORATION, ALLIED SIGNAL AEROSPACE COMPANY (SPEECH, EXPENSES FOR SELF AND SPOUSE)	AIRFARE WASH. DC TO PHOENIX, ARIZONA; 3 DAYS; FOOD/LODGING
	4)	MERDI (SPEECH; EXPENSES FOR SELF AND SPOUSE)	AIRFARE GRAND CANYON TO BUTTE, MONTANA AND BUTTE, MONTANA TO PHOENIX, ARIZONA; 1 NIGHT; LODGING/FOOD
	5)	TALLEY INDUSTRIES (SPEECH; EXPENSES FOR SELF AND SPOUSE)	AIRFARE PHOENIX, ARIZONA TO WASH. DC; 1 NIGHT; LODGING/FOOD

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—*For use by Members, officers, and employees*

John Thomas Myers
(Full Name)

2372 Rayburn Building
(Mailing Address)
Washington, D.C. 20515

NC (Office Use Only)

MAY 12 [illegible] 42

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7th State Indiana

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print-outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

	A. SOURCE	TYPE	AMOUNT	DISPOSITION
3/11	E. Del Smith & Co. Washington, D.C.	Hon	500.00	
3/11	Pacific Coast Const. Co. Los Angeles, CA.	Hon	500.00	
3/12	Signal Landmark Inc. Irvine, CA	Hon	2000.00	
3/12	Land Preservation Assoc. Fresno, CA	Hon	1000.00	
3/25	Rio Grande Water Cons. District Alamosa,Colo	Hon	1500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Farm	Crops	E
L. Carruthers Estate (spouse)	Interest	B
Bank of Western Indiana	Interest	D
U.S. Treasury Notes	Interest	B
American States Ins. Co.	Dividends	A
Bank of Western Indiana	Dividends	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Fountain County Indiana	325 Acre Farm	F
Bank of Western Indiana	Common Stock	E
The Covington Service Corp.	Common Stock	A
Bank of Western Indiana	Savings Certificate	E
American States Ins. Co.	Common Stock	A
The Fountain Trust Co.	Common Stock	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
IRA Bank of Western Indiana Additional	12/31/88	A
IRA Bank of Western Indiana (spouse) Additional	12/31/88	A
Motel 6 Common Stock Purchase	3/10/88	A
Southern Indiana Gas and Electric Co. Stock Split	3/31/88	B
William Wrigley Co. Stock Split	4/4/88	A
American Mutual Insurance Annuity Purchase	10/17/88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of Western Indiana Business Loan	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
E. Del Smith & Co.	Travel & lodging-CA
Rio Grande Water Cons. District	Travel & lodging-Colo
Santa Ana Water District	Travel & lodging-CA
Gas Cooled Reactor Association	Trav.&lodg w/spouse-CA
Rochester Institute of Technology	Trav.&lodging ~~[illegible]~~ Rochester, NY
Red-Ark	Travel & lodging-Oklahoma

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION	
Director	Bank of Western Indiana	Covington, IN
Chairman of Board	Capitol Hill Club	Washington, D.C.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John Thomas Myers	11 MAY 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Thomas Myers
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II A	4/1 Upper Yampo Water Cons. Dist.
	Steamboat Springs, Colo Hon500.00
	5/6 Brown & Williamson Louisville,KyHon 2000.00
	3/31 San Luis & Delta Mendota Water Dis.
	Los Banos,CA Hon 1,000.00
	6/3 Gas Cooled Reactor Assoc.
	San Diego, CA Hon 1,000.00
	6/15 Rochester Institute of Technology
	Rochester, N.Y.Hon 1,000.00
	6/20 Westlands Water District
	Fresno, CA Hon 2,000.00
	6/10 Red-Ark, Ada, Oklahoma Hon 1,000.00
	9/22 Edison Electric, Washington, D.C. Hon 250.00
	U.S. Army Pension 5,436.00
	Bank of Western Indiana Director's Fee 200.00
	Covington, IN
II B	Covington Service Corp Div A
	The Fountain Trust Company Div A
	General Telephone common stock Div A
	Indiana Energy Div A
	Southern Ind. Gas & Electric Div B
	Motel 6 Div A
	TRW, Inc. Div B
	Wm R. Wrigley Div A
	Atterberry Oil Co. Distribution A
	ICM Div A
III	General Telephone Common Stock A
	General Telephone Pref. Stock A
	Indiana Energy Common Stock B
	National Enterprises Common Stock A
	Motel 6 Common Stock C
	Southern Ind.Gas & Elec Common Stock D
	TRW, Inc. Common Stock E
	Wm R. Wrigley Common Stocks A
	U.S. Treasury Notes and Bonds C
	Bank of Western Indiana IRA (spouse) C
	Bank of Western Indiana IRA C
	L. Carruthers Estate-Contract Sale D
	Illinois Oil Wells (Warren #3 & Hawk(spouse) A
	Oklahoma Gas Well (spouse) A
	ICM Common Stock A
IV	6/7/88 ICM Purchase Common Stock A

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 MAY 15 PM 12:53

FORM A—For use by Members, officers, and employees

David R. Nagle
(Full Name)

214 Cannon HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State Iowa

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, *if* he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES __x__ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
David R. Nagle, P.C. (professional fees earned in years prior to becoming Member of Congress from law practice)	prof. fee income distribution	$78,000	none
Honoraria - (see continuation sheet)	Honoraria	$4,500	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001–$2,500; C—$2,501–$5,000; D—$5,001–$15,000; E—$15,001–$50,000; F—$50,001–$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
DPS Associates	Limited Partnership income distribution	A
Wright Patman Credit Union	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001–$15,000; C—$15,001–$50,000; D—$50,001–$100,000; E—$100,001–$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _x_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
David R. Nagle, P.C. (a professional corporation (law) inc. in Iowa, sole shareholder)	C
DPS Associates (limited partnership)	C
Pensions and profit sharing plans	D
Approx. 4.3 acres with house in rural Poweshiek Co., Ia.	C
Undivided 1/2 interest in 100 acres, with cabin, in rural Chickasaw County, Ia.; classified as wetland habitat and open prairie with timber (38 acres forestry, 51.30 ac. wetlands, 11.7 open prairie)	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Grinnell Federal Savings & Loan, mortgage on rental property	C
David R. Nagle, P.C. personal demand note	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
American Ag. Movement (speech) 1/8/88-1/9/88	airfare Waterloo, Ia. to Wichita, Ks. to Washington, D.C.; food and lodging
Coffee, Sugar & Cocoa Exchange (speech) 3/11 - 3/13/88	roundtrip airfare Washington to Boca Raton, Fla., food and lodging for self and spouse

(Cont. on continuation sheet)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President, sole shareholder	David R. Nagle, P.C.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Dave Nagle	5-11-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

David R. Nagle
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. Income			
1/11/88	Food Marketing Institute	Honorarium	$1000
1/25/88	Tobacco Institute	"	$1000
1/29/88	American Bankers Association	"	$1000
3/13/88	Coffee, Sugar and Cocoa Exchange	"	$1000
10/02/88	Iowa Association of Letter Carriers	"	$500

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

David R. Nagle

(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VII. Reimbursements	
12/18/88 -	Morton-Thiokol
12/19/88	Roundtrip airfare, Waterloo, Ia. to Salt Lake City, Utah,
	food and lodging for fact finding tour
	Chicago Mercantile Exchange and Chicago Board of Trade
12/22/88	Roundtrip airfare Waterloo, Ia. to Chicago, Ill. for
	fact finding tour, included lunch

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[redacted] UNITED STATES HOUSE OF REPRESENTATIVES

1989 MAY -3 AM 7:52

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

WILLIAM H. NATCHER
(Full Name)

2333 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Kentucky

☐ Officer or Employee—Employing Office ______________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES __X__ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NONE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Auto Sales Lots 28-10-04; 28-10-4; 28-10-06	Rent	$ 900 A
Mobile Home Property 28-10-03	Rent	3,300 C
American National Bank & Trust Company Bowling Green, Kentuck,	Interest on Sav. Acc't.	200 A
Interest received from refund of overpayment of Social Security Withholding	Interest	$3,593.74 C

Note: $180.00 in Fed. Inc. Tax was withheld

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
ALL OF THE FOLLOWING PROPERTIES ARE LOCATED IN BOWLING GREEN, KENTUCKY	
½ int. - Property 28-10-02 728 1st Street	$ 2,940 A
½ int. - Property 28-10-04 718 1st Street	4,900 A
½ int. - Property 28-05-01 U.S. 31-W By-Pass	33,015 C
(See Continuation Sheet)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

William H Natcher	Date May 2, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William H. Natcher
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
III	½ int. - Property 28-10-06 719 1st Street	$ 4,800	A
	Property 28-10-03 310 U.S. 31-W By-Pass	5,730	B
	Property 39-01-01A 310 U.S. 31-W By-Pass	12,330	B
	Property 28-10-13 310 U.S. 31-W By-Pass	9,400	B
	½ int. Property U.S. 31-W By-Pass (Drive-in)	26,353	C
	½ int. Property 28-05-002 U.S. 31-W By-Pass	30,510	C
	½ int. Property - Farm 90-60 Natcher Lane	15,000	B
	Savings Account - American National Bank and Trust Company Bowling Green, Kentucky	3,914	A

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

RICHARD E. NEAL
(Full Name)

437 CANNON HOB
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

RECEIVED
OFFICE OF RECORDS AND REGISTRATION
89 MAY 15 PM 3 56
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State MA

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,036, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO x ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
City of Springfield, MA - Office of Mayor	salary	$65,000	
St. Joseph-St. Thomas School			
Springfield, MA (spouse Maureen P. Neal)	salary	$11,275	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bank of Boston Springfield, MA	Interest	A
(Joint Savings Account)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(f)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Bank of Boston, Springfield, MA (Joint Savings Account)	C
Springfield Employee Municipal Credit Union, SPFLD, MA	A
(Joint Savings Account)	
Shearson Lehman Hutton Precious Metals Fund (-shares in Mutual fund	A
joint account)	
Shearson Lehman Hutton Special Equities Fund (shares in Mutual fund	A
joint account)	
Assets in City of Springfield, MA municipal employees pension plan	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		
SPOUSE - NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calender year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
BANK OF BOSTON, SPRINGFIELD, MA PERSONAL NOTE - JOINTLY LIABLE WITH SPOUSE	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	
SPOUSE - NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		
SPOUSE - NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	
SPOUSE -- NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
MAYOR	City of Springfield, MA (until January 3, 1989)
TRUSTEE	Springfield, MA Libraries and Museums Assoc.
TRUSTEE	Springfield, MA Red Cross
TRUSTEE	Springfield, MA YMCA

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1973	City of Springfield, MA/Richard E. Neal	Annuity

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Richard E. Neal	MAY 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

STEPHEN L. NEAL
(Full Name)

1001 Wellington Road
(Mailing Address)

Winston-Salem, North Carolina 27106

MC (Office Use Only)

[illegible] MAY 11 PM 12 35
U.S. [illegible]

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State North Carolina

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Committee to Preserve Social Security (wife)	salary		
California Bankers Association	Honorarium	1,000	
Coalition of Regional Bankers & Economic Development	Honorarium	500	
Institute of International Bankers	Honorarium	2,000	
Golembe Associates, Inc.	Honorarium	1,000	
(continued on attachment)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001–$2,500; C—$2,501–$5,000; D—$5,001–$15,000; E—$15,001–$50,000; F—$50,001–$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
RJR/Nabisco	Dividends	B
Smith Barney Mutual Fund	Dividends	C
Media General	Dividends	A
[illegible]	Dividends	A
North Carolina Bonds	Interest	E
Quaker Oats	Dividends	A
(continued on attachment)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001–$15,000; C—$15,001–$50,000; D—$50,001–$100,000; E—$100,001–$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
RJR/Nabisco	D
Harold Drilling 86	B
Vanguard Index Trust	C
Business building	E
Media General	A
Smith Barney Mutual Fund	D
(continued on attachment	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
In 1988 I inherited approximately $50,000 from the		
estate of an uncle. I invested most in Quaker Oats		
and put the balance in our money market account.		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wachovia Bank Loan	B
First Federal S&L (mortgage)	D
First Federal S&L (mortgage)	E
N.C. VA Employees Federal Credit Union Loan	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Institute of International Bankers	transportation - New York

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Z. Smith Reynolds Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Stephen L. Neal	5-10-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

STEPHEN L. NEAL
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II. A.	Institutional Investor	Honorarium	2,000
	American Insurance Association	Honorarium	500
	Golembe Associates, Inc.	Honorarium	1,000
	Institute of International Bankers	Honorarium	2,000
II. B	Source	Type	Category
	Money Market Account	Interest	C
	Vanguard Index Trust	Dividend	A
	Vanguard Index Trust (wife)	Dividend	A
	Neal Campaign - Gross rent of $21,670 minus: mortgage $13,268, utilities $871, repairs & improvements $5,301, taxes $1,735 insurance $1,511, for a total of $22,686. Net rent income for 1988 was therefore a loss of $1,016.		
	RJR/Nabisco (wife)	Dividend	B
	Harold Drilling	Dividend	A
	U.S. Treasury Note (wife)	Interest	A
III.	Identity		Category
	Vanguard Indext Trust (wife)		B
	Money Market Account (wife)		C
	MMM		B
	NC Bonds		E
	U.S. Treasury Note (wife)		A
	Wachovia Money Market Account		D
	Harold Drilling '86		B
	RJR/Nabisco (wife)		C
	Sergeant at Arms Account		A
	Quaker Oats		C
	IRA		B

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HAND DELIVERED

89 MAY 15

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Bill Nelson
(Full Name)

2404 Rayburn Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 11 State Florida

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,086, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
None			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Attached		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Attached	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Bill Nelson High School Student Intern Non-Profit Corp.
Director	C.V. Griffin, Sr. Foundation, Inc. (Charitable)
President	The Bill Nelson Foundation (Charitable)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bill Nelson	MAY 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

Continuation of Section VII. Reimbursements from page 4

Source	Brief Description
Yale Club of Jacksonville	Transportation to and from Jacksonville to speak to Annual Meeting.
Mayor's Interfaith Prayer Breakfast North Miami	Transportation and lodging to speak to Annual Breakfast.
Kissimmee Rotary Club	Transportation to and from Annual Kissimmee Air Show as Grand Marshall
Boulder Chamber of Commerce	Transportation to and from Denver for speech at Businessmen Lunch.
Harcourt Brace Jovanovich, Inc.	Transportation, food, and lodging for Book Promotion in multiple cities.
Southeastern Tourism Society	Transportation and lodging to speak to Annual Tourism Conference
First Baptist Church Ft. Lauderdale	Transportation to speak.
Greater Orlando Chamber of Commerce	Transportation, food, and lodging, for Third Annual Florida Congressional Weekend.
Mac Papers, Inc. Jacksonville	Transportation to speak to Annual Banquet
Greater Miami Jewish Federation	Transportation, food, and lodging for Annual Mission to Israel.

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ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

BILL NELSON

Continuation Sheet

Section	Data/Identity/Parties/Term	Category of Value
IIB	Bill and Grace Nelson 1988 U.S. Income Tax Return attached	
IIB	Income - H.C. Normile, Jr., Trustee (Irrevocable Trust, Son, C. William Nelson.)	
	1. Dividends Merrill Lynch Agency Acct. (Stock Listed in Section III)	B
	2. Capital Gain, Stocks in Merrill Lynch Agency Acct. (Stock listed in Section III)	A
	3. Interest	A
	4. Merrill Lynch Realty Partnerships	-A
IIB	Income - H.C. Normile, Jr., Trustee (Irrevocable Trust, Daughter, Nan Ellen Nelson)	
	1. Dividends, Merrill Lynch Agency Acct. (Stock listed in Section III)	A
	2. Capital Gain, Stocks in Merrill Lynch Agency Acct. (Stock Listed in Section III)	B
III	Real Estate - (Property Appraiser's Values) Brevard County:	
	Lot 11 Colonial Estates - Unrecorded Plat. 8-29-38	D
	Lot 7 Colonial Estates - Unrecorded Plat, 8-29-38	C
	5.15 Ft of lot 2 & W 58 Ft of Lot 3, Blk 10 2-28-37	C
	Commercial 1-A (See Attached Legal Description)	F
III	Other Assets 30% Beneficial Interest in Trust, Anthony D. Caserta, Trustee, (Real Estate & Mortgage)*	E
III	Obligations from previous law partners*	C
III	Stocks & Bonds (1) - Fair Market Value as of December 31, 1988 Merrill Lynch Cash Management Account*	C
III	Car	B
	Car	B
III	Demand Promissory Note - Evans	B
III	Balance Due On Personal Loan	B
III	Bank Checking Account	A
III	Assets Held In 1988 and Prior to Dec. 31, 1988:	
	10% Beneficial Interest in Trust, Anthony D. Caserta, Trustee*	D
	Purchase Money First Mortgage, C.A. Kies, Trustee	D
	U.S. T Bills* (1) (These Bills were purchased and sold throughout 1988, see footnote #1)	

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III	Grace Nelson - Wife	
	U.S. T Bills* (1)	D
	Cash Mgt. Account (1)	C
	Real Estate, 220 Ac. 7-29-38 (15% beneficial interest)	E
	Assets Held in 1988 & Prior to Dec. 31, 1988:	
	Cash Management Account - Merrill Lynch* (1)	

III	Assets - H.C. Normile, Trustee (Irrevocable Trust Son, C. William Nelson)	
	Real Estate: Brevard County	
	220 AC, 7-29-38 (3.75% Beneficial Interest)	D
	E 1/2 of Lot 119, 17-22-35	B
	Lots 17 & 18, Blk 9, 14-28-37	B
	Undivided One Half of 15 Ft., 12-27-37	B
	Stocks (1)	
	Conquest Exp. Co.	B
	Dupont	B
	Pepsico	C
	Merrill Lynch Realty Partnership IV	C
	Merrill Lynch Realty Partners[illegible] V	B
	Maxicare	A
	Cash Management Account* (1)	A
	Series E Bond*	A
III	Assets Held in 1988 and Prior to Dec. 31, 1988	
	Matrix Corp*	B
	Putnam Mutual Fund*	C

III	Assets - H.C. Normile, Trustee (Irrevocable Trust - Daughter, Nan Ellen Nelson)	
	Real Estate: Brevard County	
	Govt. Lot 2 W of RR. 3-30-38	C
	Lot 19 & 20, Blk. 9, 14-28-37	B
	220 AC, 7-29-38 (1.25% Beneficial Interest)	B
	Undivided one half of 15 Ft. 12-27-37	B
	Stocks (1)	
	Cash Management Account * (1)	A
III	Assets Held in 1988 and Prior to Dec. 31, 1988:	
	Putnam Mutual Fund*	C

IV	Transactions: Stocks and Bonds, Real Estate, All purchases or sales are included in Section III and are designated by an asterisk.*	
V	Real Estate Mortgage, Sun 1st Nat'l Bank of Melbourne	C

(1)Merrill Lynch Cash Mgt Account & U.S. T Bills were invested in periodically throughout 1987. Any unused cash in Merrill Lynch, U.S. T Bills Account was deposited to Cash Mgt. Account.

8 9 7 7 2 8 1 4 2 9

in the County of Brevard State of Florida, to wit

Commercial No. 1-A: From the point of intersection of the East right-of-w.
of State Road A1A and an Easterly projection of the North line of the South 80
acres of Fractional Section 12, Township 27 South, Range 37 East as describ
in Deed Book 416, Page 111, Brevard County, Florida Public Records (said
line being parallel with and 0.90 feet North of the Easterly projection of the
North line of Seacoast Shores, Unit Five, Section 3, as recorded in Plat Bool
16, Page 56, aforesaid Public Records); run N 12°26'05" W along the aforesa
right-of-way 499.12 feet to the Point of Beginning; run thence N 89°27'36" E
parallel with the 1/4 section line of aforesaid Fractional Section 12 a distance
260 feet, more or less to the present bluff line of the Atlantic Ocean, return t
the Point of Beginning and run thence N 12°26'05" W along the aforesaid right
of-way 200.00 feet; thence N 89°27'56" E parallel with the aforesaid 1/4 secti
line 260 feet more or less to the aforesaid present bluff line, thence Southerly
along said line to close on the first call aforesaid;

8 9 0 7 0 2 8 1 4 3 0

Form **1040** Department of the Treasury—Internal Revenue Service
U.S. Individual Income Tax Return **1988**

For the year Jan.–Dec. 31, 1988, or other tax year beginning , 1988, ending , 19 OMB No. 1545-0074

Label Use IRS label. Otherwise, please print or type.

C. WILLIAM & GRACE C. NELSON
780 SOUTH APOLLO BLVD #14
MELBOURNE, FL 32901

Your social security number: 266-76-3943
Spouse's social security number: 265-82-1494
For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Presidential Election Campaign
Do you want $1 to go to this fund? ☒ Yes ☐ No
If joint return, does your spouse want $1 to go to this fund? ☒ Yes ☐ No
Note: Checking "Yes" will not change your tax or reduce your refund.

Filing Status Check only one box.
1 ☐ Single
2 ☒ Married filing joint return (even if only one had income)
3 ☐ Married filing separate return. Enter spouse's social security no. above and full name here.
4 ☐ Head of household (with qualifying person). (See page 7 of Instructions.) If the qualifying person is your child but not your dependent, enter child's name here.
5 ☐ Qualifying widow(er) with dependent child (year spouse died ▶ 19). (See page 7 of Instructions.)

Exemptions (See Instructions on page 8.)
6a ☒ Yourself If someone (such as your parent) can claim you as a dependent, do not check box 6a. But be sure to check the box on line 33b on page 2 — No. of boxes checked on 6a and 6b: 2
b ☒ Spouse
c Dependents:

(1) Name (first, initial, and last name)	(2) Check if under age 5	(3) If age 5 or older, dependent's social security number	(4) Relationship	(5) No. of mos. lived in your home in 1988
NAN ELLEN		266-87-4544	CHILD	12
CHARLES WILLIAM		267-77-6812	CHILD	12

If more than 6 dependents, see Instructions on page 8.

No. of your children on 6c who:
• lived with you: 2
• didn't live with you due to divorce or separation:
No. of other dependents listed on 6c:

d If your child didn't live with you but is claimed as your dependent under a pre-1985 agreement, check here ▶ ☐
e Total number of exemptions claimed — Add numbers entered on lines above ▶ 4

Income Please attach Copy B of your Forms W-2, W-2G, and W-2P here. If you do not have a W-2, see page 6 of Instructions.

7	Wages, salaries, tips, etc. (attach Form(s) W-2)	7	85,398
8a	Taxable interest income (also attach Schedule B if over $400)	8a	10,192
b	Tax-exempt interest income. DON'T include on line 8a — 8b		
9	Dividend income (also attach Schedule B if over $400)	9	7,959
10	Taxable refunds of state and local income taxes, if any, from worksheet on page 11 of Instructions	10	
11	Alimony received	11	
12	Business income or (loss) (attach Schedule C)	12	4,109
13	Capital gain or (loss) (attach Schedule D)	13	74,593
14	Capital gain distributions not reported on line 13 (see page 11)	14	
15	Other gains or (losses) (attach Form 4797)	15	
16a	Total IRA distributions — 16a; 16b Taxable amount	16b	
17a	Total pensions and annuities — 17a 25,417; 17b Taxable amount	17b	3,594
18	Rents, royalties, partnerships, estates, trusts, etc. (attach Schedule E)	18	26,761-
19	Farm income or (loss) (attach Schedule F)	19	
20	Unemployment compensation (insurance) (see page 13)	20	
21a	Social security benefits (see page 13) — 21a		
b	Taxable amount, if any, from the worksheet on page 13	21b	
22	Other income (list type and amount—see page 13)	22	
23	Add the amounts shown in the far right column for lines 7 through 22. This is your total income ▶	23	159,084

Please attach check or money order here.

Adjustments to Income (See Instructions on page 13.)

24	Reimbursed employee business expenses from Form 2106, line 13	24	
25a	Your IRA deduction, from applicable worksheet on page 14 or 15	25a	
b	Spouse's IRA deduction, from applicable worksheet on page 14 or 15	25b	
26	Self-employed health insurance deduction, from worksheet on page 15	26	
27	Keogh retirement plan and self-employed SEP deduction	27	
28	Penalty on early withdrawal of savings	28	
29	Alimony paid (recipient's last name and social security no.)	29	
30	Add lines 24 through 29. ▶	30	

COPY

Adjusted Gross Income

31	Subtract line 30 from line 23. This is your adjusted gross income. If this line is less than $18,576 and a child lived with you, see "Earned Income Credit" (line 56) on page 19 of the Instructions. If you want IRS to figure your tax, see page 16 of the Instructions. ▶	31	159,084

Form 1040 (1988) 0 9 3 7 3 2 9 1 4 3 1 Page 2

C. WILLIAM & GRACE C. NELSON 266-76-3943

Tax Compu-tation	32	Amount from line 31 (adjusted gross income)			32	159,084
	33a	Check if: ☐ You were 65 or older ☐ Blind; ☐ Spouse was 65 or older ☐ Blind. Add the number of boxes checked and enter the total here ▶ 33a				
	b	If someone (such as your parent) can claim you as a dependent, check here ▶ 33b ☐				
	c	If you are married filing a separate return and your spouse itemizes deductions, or you are a dual-status alien, see page 16 and check here ▶ 33c ☐				
	34	Enter the larger of: { • Your standard deduction (from page 17 of the instructions), OR • Your itemized deductions (from Schedule A, line 26). } If you itemize, attach Schedule A and check here ▶ ☒			34	82,819
	35	Subtract line 34 from line 32. Enter the result here			35	76,265
	36	Multiply $1,950 by the total number of exemptions claimed on line 6e			36	7,800
	37	Taxable income. Subtract line 36 from line 35. Enter the result (if less than zero, enter zero)			37	68,465
		Caution: If under age 14 and you have more than $1,000 of investment income, check here ▶ ☐ and see page 17 to see if you have to use Form 8615 to figure your tax.				
	38	Enter tax. Check if from: ☐ Tax Table, ☒ Tax Rate Schedules, or ☐ Form 8615			38	15,303
	39	Additional taxes (see page 17). Check if from: ☐ Form 4970 ☐ Form 4972			39	
	40	Add lines 38 and 39. Enter the total ▶			40	15,303
Credits (See Instructions on page 18.)	41	Credit for child and dependent care expenses (attach Form 2441)	41			
	42	Credit for the elderly or the disabled (attach Schedule R)	42			
	43	Foreign tax credit (attach Form 1116)	43			
	44	General business credit. Check if from: ☐ Form 3800 or ☐ Form (specify) ____	44			
	45	Credit for prior year minimum tax (attach Form 8801)	45			
	46	Add lines 41 through 45.			46	
	47	Subtract line 46 from line 40. ▶			47	15,303
Other Taxes (Including Advance EIC Payments)	48	Self-employment tax (attach Schedule SE)			48	535
	49	Alternative minimum tax (attach Form 6251)			49	
	50	Recapture taxes (see page 18). Check if from: ☐ Form 4255 ☐ Form 8611			50	
	51	Social security tax on tip income not reported to employer (attach Form 4137)			51	
	52	Tax on an IRA or a qualified retirement plan (attach Form 5329)			52	
	53	Add lines 47 through 52. Total tax. ▶			53	15,838
Payments Attach Forms W-2, W-2G, and W-2P to front.	54	Federal income tax withheld (if any is from Form(s) 1099, check ▶ ☐)	54	17,139		
	55	1988 estimated tax payments and amount applied from 1987 return	55			
	56	Earned income credit (see page 19)	56			
	57	Amount paid with Form 4868 (extension request)	57			
	58	Excess social security tax and RRTA tax withheld (see page 20)	58			
	59	Credit for Federal tax on fuels (attach Form 4136)	59			
	60	Regulated investment company credit (attach Form 2439)	60			
	61	Add lines 54 through 60. Total payments. ▶			61	17,139
Refund or Amount You Owe	62	If line 61 is larger than line 53, enter amount OVERPAID ▶			62	1,301
	63	Amount of line 62 to be REFUNDED TO YOU ▶			63	1,301
	64	Amount of line 62 to be applied to your 1989 estimated tax ▶	64			
	65	If line 53 is larger than line 61, enter AMOUNT YOU OWE. Attach check or money order for full amount payable to "Internal Revenue Service." Write your social security number, daytime phone number, and "1988 Form 1040" on it. Check ▶ ☐ if Form 2210 (2210F) is attached. See page 21. Penalty: $			65	

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Please Sign Here	Your signature: C. William Nelson	Date: 4-17-89	Your occupation: US CONGRESS
	Spouse's signature (if joint return, BOTH must sign): Grace C. Nelson	Date: 4-17-89	Spouse's occupation: REAL ESTATE
Paid Preparer's Use Only	Preparer's signature: Carol Hyland Duffey	Date: APR 15 1989	Check if self-employed ☐ Preparer's social security no. 264-84-7917
	Firm's name (or yours if self-employed) and address: DOBSON, BJERNING & DUFFEY CPA'S 754 COUNTRY CLUB DRIVE TITUSVILLE, FLORIDA		E.I. No. 591311286

5179 4/15/89

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SCHEDULES A&B
(Form 1040)
Department of the Treasury
Internal Revenue Service

Schedule A—Itemized Deductions

(Schedule B is on back)

▶ Attach to Form 1040. ▶ See Instructions for Schedules A and B (Form 1040).

OMB No. 1545-0074
1988
Attachment Sequence No. 07

Name(s) as shown on Form 1040: C. WILLIAM & GRACE C. NELSON

Your social security number: 266-76-3943

Medical and Dental Expenses (Do not include expenses reimbursed or paid by others.) (See Instructions on page 23.)	1a	Prescription medicines and drugs, insulin, doctors, dentists, nurses, hospitals, medical insurance premiums you paid, etc.	1a	–		
	b	Other (list—include hearing aids, dentures, eyeglasses, transportation and lodging, etc.) ▶	1b			
	2	Add lines 1a and 1b, and enter the total here	2			
	3	Multiply the amount on Form 1040, line 32, by 7.5% (.075)	3			
	4	Subtract line 3 from line 2. If zero or less, enter -0-. Total medical and dental			▶ 4	
Taxes You Paid (See Instructions on page 23.)	5	State and local income taxes	5			
	6	Real estate taxes	6	19,737		
	7	Other taxes ▶ PERSONAL PROPERTY 26	7	26		
	8	Add the amounts on lines 5 through 7. Enter the total here. Total taxes			▶ 8	19,763
Interest You Paid (See Instructions on page 24.)		Note: New rules apply to the home mortgage interest deduction. See Instructions.				
	9a	Deductible home mortgage interest you paid to financial institutions (report deductible points on line 10)	9a	24,286		
	b	Deductible home mortgage interest you paid to individuals (show that person's name and address) ▶	9b			
	10	Deductible points. SEE ATTACHED	10	83		
	11	Deductible investment interest SEE ATTACHED	11	606		
	12a	Personal interest SEE ATTACHED 12a 687				
	b	Multiply the amount on line 12a by 40% (.40). Enter the result	12b	275		
	13	Add the amounts on lines 9a through 11, and 12b. Enter the total here. Total interest			▶ 13	25,250
Gifts to Charity (See Instructions on page 25.)	14	Contributions by cash or check. (If you gave $3,000 or more to any one organization, show to whom you gave and how much you gave.) ▶ SEE ATTACHED (includes return of Congressional Salary $5,971.00)	14	24,001		
	15	Other than cash or check. (You must attach Form 8283 if over $500.)	15			
	16	Carryover from prior year	16			
	17	Add the amounts on lines 14 through 16. Enter the total here. Total contributions			▶ 17	24,001
Casualty and Theft Losses	18	Casualty or theft loss(es) (attach Form 4684). (See page 25 of the Instructions.)			▶ 18	
Moving Expenses	19	Moving expenses (attach Form 3903 or 3903F). (See page 26 of the Instructions.)			▶ 19	
Job Expenses and Most Other Miscellaneous Deductions (See page 26 for expenses to deduct here.)	20	Unreimbursed employee expenses—job travel, union dues, job education, etc. (You MUST attach Form 2106 in some cases. See Instructions.) ▶ UNREIMBURSED EMPLOYEE EXP. 10,602	20	10,602		
	21	Other expenses (investment, tax preparation, safe deposit box, etc.). List type and amount ▶ SEE ATTACHED	21	6,385		
	22	Add the amounts on lines 20 and 21. Enter the total	22	16,987		
	23	Multiply the amount on Form 1040, line 32, by 2% (.02). Enter the result here	23	3,182		
	24	Subtract line 23 from line 22. Enter the result (if zero or less, enter zero)			▶ 24	13,805
Other Miscellaneous Deductions	25	Other (from list on page 26 of Instructions). Enter type and amount. ▶			▶ 25	
Total Itemized Deductions	26	Add the amounts on lines 4, 8, 13, 17, 18, 19, 24, and 25. Enter the total here. Then enter on Form 1040, line 34, the LARGER of this total or your standard deduction from page 17 of the Instructions.			▶ 26	82,819

For Paperwork Reduction Act Notice, see Form 1040 Instructions.

Schedule A (Form 1040) 1988

8 9 3 7 1 2 9 1 1 3 3

Schedules A&B (Form 1040) 1988 OMB No. 1545-0074 Page 2

Name(s) as shown on Form 1040. (Do not enter name and social security number if shown on other side.)

C. WILLIAM & GRACE C. NELSON

Your social security number 266-76-3943

Schedule B—Interest and Dividend Income

Attachment Sequence No. 08

Part I Interest Income

(See Instructions on pages 10 and 26.)

If you received more than $400 in taxable interest income, you must complete Part I and Part III and list ALL interest received. You must report all interest on Form 1040, even if you are not required to complete Part I and Part III. If you received, as a nominee, interest that actually belongs to another person, or you received or paid accrued interest on securities transferred between interest payment dates, see page 27.

Note: If you received a Form 1099-INT or Form 1099-OID from a brokerage firm, list the firm's name as the payer and enter the total interest shown on that form.

Interest Income			Amount
1 Interest income from seller-financed mortgages. (See Instructions and list name of payer.) ▶ C.A. KIES, TRUSTEE	H	1	3,712
2 Other interest income ▶			
US TREASURY BILLS	W	2	6,094
PERSONAL LOAN	J		204
IRS	J		40
FROM PARTNERSHIPS	H		142
3 Add the amounts on lines 1 and 2. Enter the total here and on Form 1040, line 8a . . . . . ▶		3	10,192

Part II Dividend Income

(See Instructions on pages 11 and 27.)

If you received more than $400 in gross dividends and/or other distributions on stock, complete Part II and Part III. You must report all taxable dividends on Form 1040, even if you are not required to complete Part II and Part III. If you received, as a nominee, dividends that actually belong to another person, see page 27.

Note: If you received a Form 1099-DIV from a brokerage firm, list the firm's name as the payer and enter the total dividends shown on that form.

Dividend Income			Amount
4 Dividend income ▶			
MERRILL LYNCH	J	4	7,959
5 Add the amounts on line 4. Enter the total here.		5	7,959
6 Capital gain distributions. Enter here and on line 13, Schedule D.*	6		
7 Nontaxable distributions	7		
8 Add the amounts on lines 6 and 7. Enter the total here		8	
9 Subtract line 8 from line 5. Enter the result here and on Form 1040, line 9 . . . ▶		9	7,959

*If you received capital gain distributions but do not need Schedule D to report any other gains or losses, enter your capital gain distributions on Form 1040, line 14.

Part III Foreign Accounts and Foreign Trusts

(See Instructions on page 27.)

If you received more than $400 of interest or dividends, OR if you had a foreign account or were a grantor of, or a transferor to, a foreign trust, you must answer both questions in Part III.	Yes	No
10 At any time during the tax year, did you have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? (See page 27 of the Instructions for exceptions and filing requirements for Form TD F 90-22.1.) If "Yes," enter the name of the foreign country ▶		X
11 Were you the grantor of, or transferor to, a foreign trust which existed during the current tax year, whether or not you have any beneficial interest in it? If "Yes," you may have to file Form 3520, 3520-A, or 926		X

For Paperwork Reduction Act Notice, see Form 1040 Instructions.

Schedule B (Form 1040) 1988

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SCHEDULE C
(Form 1040)
Department of the Treasury
Internal Revenue Service

Profit or Loss From Business
(Sole Proprietorship)

Partnerships, Joint Ventures, Etc., Must File Form 1065.
▶ Attach to Form 1040, Form 1041, or Form 1041S. ▶ See Instructions for Schedule C (Form 1040).

OMB No. 1545-0074
1988
Attachment Sequence No. 09

Name of proprietor: GRACE C. NELSON
Social security number (SSN): 265-82-1494

A Principal business or profession, including product or service (see Instructions): SALES REAL ESTATE
B Principal business code (from Part IV) ▶
C Business name and address ▶ SOTHEBY/LONG & FOSTER WASHINGTON, DC
D Employer ID number (Not SSN)

E Method(s) used to value closing inventory:
(1) ☐ Cost (2) ☐ Lower of cost or market (3) ☐ Other (attach explanation)

F Accounting method: (1) ☒ Cash (2) ☐ Accrual (3) ☐ Other (specify) ▶

		Yes	No
G	Was there any change in determining quantities, costs, or valuations between opening and closing inventory? (If "Yes," attach explanation.)		X
H	Are you deducting expenses for business use of your home? (If "Yes," see Instructions for limitations.)		X
I	Did you "materially participate" in the operation of this business during 1988? (If "No," see Instructions for limitations on losses.)	X	

J If this schedule includes a loss, credit, deduction, income, or other tax benefit relating to a tax shelter required to be registered, check here ▶ ☐
If you check this box, you MUST attach Form 8271.

Part I Income

1a	Gross receipts or sales	1a	8,516
b	Less: Returns and allowances	1b	
c	Subtract line 1b from line 1a. Enter the result here	1c	8,516
2	Cost of goods sold and/or operations (from Part III, line 8)	2	
3	Subtract line 2 from line 1c and enter the gross profit here	3	8,516
4	Other income (including windfall profit tax credit or refund received in 1988)	4	
5	Add lines 3 and 4. This is the gross income ▶	5	8,516

Part II Deductions

6	Advertising	6		23	Repairs	23	
7	Bad debts from sales or services (see Instructions)	7		24	Supplies (not included in Part III)	24	
8	Bank service charges	8		25	Taxes	25	
9	Car and truck expenses	9	590	26	Travel, meals, and entertainment:		
10	Commissions	10		a	Travel	26a	
11	Depletion	11		b	Meals and entertainment		1,293
12	Depreciation and section 179 deduction from Form 4562 (not included in Part III)	12	600	c	Enter 20% of line 26b subject to limitations		259
13	Dues and publications	13		d	Subtract line 26c from 26b	26d	1,034
14	Employee benefit programs	14		27	Utilities and telephone	27	2,123
15	Freight (not included in Part III)	15		28a	Wages		
16	Insurance	16		b	Jobs credit		
17	Interest:			c	Subtract line 28b from 28a	28c	
a	Mortgage (paid to banks, etc.)	17a		29	Other expenses (list type and amount):		
b	Other	17b			LICENSE FEE 60		
18	Laundry and cleaning	18					
19	Legal and professional services	19					
20	Office expense	20					
21	Pension and profit-sharing plans	21				29	60
22	Rent on business property	22					

30	Add amounts in columns for lines 6 through 29. These are the total deductions ▶	30	4,407
31	Net profit or (loss). Subtract line 30 from line 5. If a profit, enter here and on Form 1040, line 12, and on Schedule SE, line 2. If a loss, you MUST go on to line 32. (Fiduciaries, see Instructions.)	31	4,109

32 If you have a loss, you MUST check the box that describes your investment in this activity (see Instructions). } 32a ☐ All investment is at risk. 32b ☐ Some investment is not at risk.
If you checked 32a, enter the loss on Form 1040, line 12, and Schedule SE, line 2. If you checked 32b, you MUST attach Form 6198.

For Paperwork Reduction Act Notice, see Form 1040 Instructions.

0 9 0 7 1 2 3 1 4 3 5

SCHEDULE D
(Form 1040)
Department of the Treasury
Internal Revenue Service

Capital Gains and Losses
(And Reconciliation of Forms 1099-B)

▶ Attach to Form 1040. ▶ See Instructions for Schedule D (Form 1040).
For Paperwork Reduction Act Notice, see Form 1040 Instructions.

OMB No. 1545-0074
1988
Attachment Sequence No. 12

Name(s) as shown on Form 1040: C. WILLIAM & GRACE C. NELSON

Your social security number: 266-76-3943

1 Report here the total sales of stocks, bonds, etc., reported for 1988 to you on Form(s) 1099-B or on equivalent substitute statement(s). If this amount differs from the total of lines 2b and 9b, column (d), attach a statement explaining the difference. See the Instructions for line 1 for examples	1	200,123

Part I **Short-Term Capital Gains and Losses—Assets Held One Year or Less (6 months or less if acquired before 1/1/88)**

(a) Description of property (Example, 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold (Mo., day, yr.)	(d) Sales price (see Instructions)	(e) Cost or other basis (see Instructions)	(f) LOSS If (e) is more than (d), subtract (d) from (e)	(g) GAIN If (d) is more than (e), subtract (e) from (d)
2a Stocks, Bonds, and Other Securities (Include all Form 1099-B transactions. See Instructions.)						
2b Total (add column (d)) . . . . 2b ▶						
2c Other Transactions (Include Real Estate Transactions From Forms 1099-S)						

		(f)	(g)
3 Short-term gain from sale or exchange of your home from Form 2119, line 8a or 14.	3		
4 Short-term gain from installment sales from Form 6252, line 22 or 30	4		
5 Net short-term gain or (loss) from partnerships, S corporations, and fiduciaries	5		
6 Short-term capital loss carryover	6		
7 Add all of the transactions on lines 2a and 2c and lines 3 through 6 in columns (f) and (g)	7		
8 Net short-term gain or (loss), combine columns (f) and (g) of line 7	8		

Part II **Long-Term Capital Gains and Losses—Assets Held More Than One Year (more than 6 months if acquired before 1/1/88)**

(a)	(b)	(c)	(d)	(e)	(f)	(g)
9a Stocks, Bonds, and Other Securities (Include all Form 1099-B transactions. See Instructions.)						
US TREASURY BILLS	3/31/86	2/02/88	100,123	100,313	190	
US TREASURY BILLS	1/03/87	3/31/88	100,000	100,000		
9b Total (add column (d)) . . . . 9b ▶			200,123			
9c Other Transactions (Include Real Estate Transactions From Forms 1099-S)						
PAYMENTS FROM FORMER LAW PARTNER	1/01/72	2/25/88	1,000			1,000

		(f)	(g)
10 Long-term gain from sale or exchange of your home from Form 2119, line 8a, 10, or 14	10		
11 Long-term gain from installment sales from Form 6252, line 22 or 30	11		48,594
12 Net long-term gain or (loss) from partnerships, S corporations, and fiduciaries	12		
13 Capital gain distributions.	13		
14 Enter gain from Form 4797, line 7 or 9	14		25,189
15 Long-term capital loss carryover	15		
16 Add all of the transactions on lines 9a and 9c and lines 10 through 15 in columns (f) and (g)	16	190	74,783
17 Net long-term gain or (loss), combine columns (f) and (g) of line 16	17		74,593

0 9 0 7 0 2 8 1 4 3 6

SCHEDULE E
(Form 1040)
Department of the Treasury
Internal Revenue Service

Supplemental Income Schedule

(From rents, royalties, partnerships, estates, trusts, REMICs, etc.)
▶ Attach to Form 1040, Form 1041, or Form 1041S.
▶ See Instructions for Schedule E (Form 1040).

OMB No. 1545-0074
1988
Attachment Sequence No. 13

Name(s) as shown on return: C. WILLIAM & GRACE C. NELSON

Your social security number: 266-76-3943

Part I Rental and Royalty Income or Loss **Caution:** Your rental loss may be limited. See Instructions.

1 In the space provided below, show the kind and location of each rental property.	2 For each rental property listed, did you or a member of your family use it for personal purposes for more than the greater of 14 days or 10% of the total days rented at fair rental value during the tax year? Yes	No	3 For each rental real estate property listed in item 1, did you actively participate in its operation during the tax year? (See Instructions.)		Yes	No
Property A 2998 ROCKY POINT ROAD	▶	X	MELBOURNE, FL	Prop. A ▶	X	
Property B 1805 RIVERVIEW DRIVE	▶	X	MELBOURNE, FL	Prop. B ▶	X	
Property C 3002 ROCKY POINT ROAD	▶	X	MELBOURNE, FL	Prop. C ▶	X	

		Properties				Totals
Rental and Royalty Income		A	B	C		(Add columns A, B, and C)
4 Rents received		4,800	4,410	892	4	10,102
5 Royalties received					5	
Rental and Royalty Expenses						
6 Advertising	6					
7 Auto and travel	7					
8 Cleaning and maintenance	8					
9 Commissions	9					
10 Insurance	10	182	256	253		
11 Legal and other professional fees	11					
12 Mortgage interest paid to banks, etc. (see Instructions)	12		1,692		12	1,692
13 Other interest	13					
14 Repairs	14		2,204	136		
15 Supplies	15					
16 Taxes (Do not include windfall profit tax here. See Part V, line 40.)	16	696	1,036	830		
17 Utilities	17		946			
18 Wages and salaries	18					
19 Other ▶ PEST CONTROL	19		119			
PRIOR YR SUSP LOSS		5,202	3,481	3,646		
AMORTIZATION				109		
20 Total expenses other than depreciation and depletion. Add lines 6 through 19.	20	6,080	9,734	4,974	20	20,788
21 Depreciation expense (see Instructions), or depletion (see Publication 535)	21	2,922		2,651	21	5,573
22 Total. Add lines 20 and 21	22	9,002	9,734	7,625		
23 Income or (loss) from rental or royalty properties. Subtract line 22 from line 4 (rents) or 5 (royalties)	23	4,202-	5,324-	6,733-		
24 Deductible rental loss. Caution: Your rental loss on line 23 may be limited. See Instructions to determine if you must file Form 8582, Passive Activity Loss Limitations	24	40-	49-	62-		
25 Profits. Add rental and royalty profits from line 23. Enter the total profits here					25	
26 Losses. Add royalty losses from line 23 and rental losses from line 24. Enter the total (losses) here					26	151-
27 Combine amounts on lines 25 and 26. Enter the net profit or (loss) here					27	151-
28 Net farm rental profit or loss from Form 4835. (Also complete Part VI, line 43.)					28	
29 Total rental or royalty income or (loss). Combine amounts on lines 27 and 28. Enter the total here. If Parts II, III, IV, and V on page 2 do not apply to you, enter the amount from line 29 on Form 1040, line 18. Otherwise, include the amount from line 29 in line 42 on page 2 of Schedule E					29	151-

For Paperwork Reduction Act Notice, see Form 1040 Instructions.

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Schedule E (Form 1040) 1988 | Attachment Sequence No. 13 | Page 2

Name(s) as shown on return. (Do not enter name and social security number if shown on other side.)

C. WILLIAM & GRACE C. NELSON

Your social security number 266-76-3943

Part II Income or Loss from Partnerships and S Corporations

If you report a loss from an at-risk activity, you MUST check either column (e) or (f) to describe your investment in the activity. See Instructions. If you check column (f), you must attach Form 6198.

	(a) Name	(b) Enter P for partnership; S for S Corporation	(c) Check if foreign partnership	(d) Employer identification number	Investment At Risk? (e) All is at risk	(f) Some is not at risk
A	SEE ATTACHED RECONCILIATION SCHEDULE	P			X	
B						
C						
D						
E						

	Passive Income and Loss		Nonpassive Income and Loss		
	(g) Passive loss allowed from Form 8582	(h) Passive income from Schedule K-1	(i) Nonpassive loss from Schedule K-1	(j) Section 179 deduction (see instructions for limits)	(k) Nonpassive income from Schedule K-1
A	26,610				
B					
C					
D					
E					
30a Totals					
b Totals	26,610				

31 Add amounts in columns (h) and (k), line 30a. Enter total income here	31	
32 Add amounts in columns (g), (i), and (j), line 30b. Enter total here	32	26,610-
33 Total partnership and S corporation income or (loss). Combine amounts on lines 31 and 32. Enter the total here and include in line 42 below	33	26,610-

Part III Income or Loss from Estates and Trusts

	(a) Name	(b) Employer identification number
A		
B		
C		

	Passive Income and Loss		Nonpassive Income and Loss	
	(c) Passive deduction or loss allowed from Form 8582	(d) Passive income from Schedule K-1	(e) Deduction or loss from Schedule K-1	(f) Other income from Schedule K-1
A				
B				
C				
34a Totals				
b Totals				

35 Add amounts in columns (d) and (f), line 34a. Enter total income here	35	
36 Add amounts in columns (c) and (e), line 34b. Enter total here	36	
37 Total estate and trust income or (loss). Combine amounts on lines 35 and 36. Enter the total here and include in line 42 below	37	

Part IV Income or Loss from Real Estate Mortgage Investment Conduits (REMICs)—Residual Holder

(a) Name	(b) Employer identification number	(c) Excess inclusion from Schedules Q, line 2c (see instructions)	(d) Taxable income (net loss) from Schedules Q, line 1b	(e) Income from Schedules Q, line 3b

38 Combine amounts in columns (d) and (e) only. Enter the total here and include in line 42 below	38	

Part V Windfall Profit Tax Summary

39 Windfall profit tax credit or refund received in 1988 (see Instructions)	39	
40 Windfall profit tax withheld in 1988 (see Instructions)	40	
41 Combine amounts on lines 39 and 40. Enter the total here and include in line 42 below	41	

Part VI Summary

42 TOTAL income or (loss). Combine amounts on lines 29, 33, 37, 38, and 41. Enter the total here and on Form 1040, line 18 ▶	42	26,761-
43 Farmers and fishermen: Enter your share of GROSS FARMING AND FISHING INCOME applicable to Parts I, II, and III (see Instructions)	43	

8 9 7 7 7 2 3 1 4 3 8

Form **4797**

Department of the Treasury
Internal Revenue Service

Sales of Business Property

(Also, Involuntary Conversions and Recapture Amounts Under Sections 179 and 280F)

▶ Attach to your tax return. See Separate Instructions.

OMB No. 1545-0184

1988

Attachment Sequence No. 27

Name(s) as shown on return: C. WILLIAM & GRACE C. NELSON

Identifying number: 266-76-3943

Part I Sales or Exchanges of Property Used in a Trade or Business and Involuntary Conversions From Other Than Casualty and Theft—Property Held More Than 1 Year (More Than 6 Months If Acquired Before 1/1/88)

1 Enter here the gross proceeds from the sale or exchange of real estate reported to you for 1988 on Form(s) 1099-S (or an equivalent statement) that you will be including on lines 2 or 10 (column d), or on line 20. (Form 1099-S is a Statement for Recipients of Proceeds From Real Estate Transactions.) 1

2 (a) Description of property	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Gross sales price	(e) Depreciation allowed (or allowable) since acquisition	(f) Cost or other basis, plus improvements and expense of sale	(g) LOSS (f) minus the sum of (d) and (e)	(h) GAIN (d) plus (e) minus (f)
FROM PARTNERSHIPS							25,189

	(g) LOSS	(h) GAIN
3 Gain, if any, from Form 4684, Section B, line 21.		
4 Section 1231 gain from installment sales from Form 6252, line 22 or 30		
5 Gain, if any, from Part III, line 32, from other than casualty and theft		
6 Add lines 2 through 5 in columns (g) and (h).		25,189
7 Combine columns (g) and (h) of line 6. Enter gain or (loss) here, and on the appropriate line as follows (partnerships see the instructions for line references):		25,189

If line 7 is zero or a loss, enter the amount on line 11 below and skip lines 8 and 9. (S corporations enter the loss on Schedule K (Form 1120S), line 6.) If line 7 is a gain and you did not have any prior year section 1231 losses, or they were recaptured in an earlier year, enter the gain as a long-term capital gain on Schedule D and skip lines 8, 9, and 12 below.

8 Nonrecaptured net section 1231 losses from prior years (See instructions.) . . . . .

9 Subtract line 8 from line 7. If zero or less, enter zero . . . . .

If line 9 is zero, enter the amount from line 7 on line 12 below. If line 9 is more than zero, enter the amount from line 8 on line 12 below, and enter the amount from line 9 as a long-term capital gain on Schedule D. See Specific Instructions for line 9.

Part II Ordinary Gains and Losses

(a) Description of property	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Gross sales price	(e) Depreciation allowed (or allowable) since acquisition	(f) Cost or other basis, plus improvements and expense of sale	(g) LOSS (f) minus the sum of (d) and (e)	(h) GAIN (d) plus (e) minus (f)
10 Ordinary gains and losses not included on lines 11 through 16 (include property held 1 year or less) (6 months or less if acquired before 1/1/88):							

11 Loss, if any, from line 7 . . . . .

12 Gain, if any, from line 7, or amount from line 8 if applicable . . . . .

13 Gain, if any, from line 31, Part III . . . . .

14 Net gain or (loss) from Form 4684, Section B, lines 13 and 20a . . . . .

15 Ordinary gain from installment sales from Form 6252, line(s) 21 and/or 29 . . . . .

16 Recapture of section 179 deduction for partners and S corporation shareholders from property dispositions by partnerships and S corporations (see instructions) . . . . .

17 Add lines 10 through 16 in columns (g) and (h) . . . . .

18 Combine columns (g) and (h) of line 17. Enter gain or (loss) here, and on the appropriate line as follows: . . . . .

a For all except individual returns: Enter the gain or (loss) from line 18, on the return being filed.

b For individual returns:

(1) If the loss on line 11 includes a loss from Form 4684, Section B, Part II, column (b)(ii), enter that part of the loss here and on line 21 of Schedule A (Form 1040). Identify as from "Form 4797, line 18b(1)" . . . . .

(2) Redetermine the gain or (loss) on line 18, excluding the loss (if any) on line 18b(1). Enter here and on Form 1040, line 15 . . . . .

For Paperwork Reduction Act Notice, see page 1 of separate instructions.

Form 4797 (1988)

8 9 3 7 1 2 8 1 4 3 9

SCHEDULE SE
(Form 1040)
Department of the Treasury
Internal Revenue Service

Social Security Self-Employment Tax

▶ See Instructions for Schedule SE (Form 1040).
▶ Attach to Form 1040.

OMB No. 1545-0074
1988
Attachment Sequence No. 18

Name of person with self-employment income (as shown on social security card): GRACE C. NELSON

Social security number of person with self-employment income ▶ 265-82-1494

Who Must File Schedule SE

You must file Schedule SE if:

- Your net earnings from self-employment were $400 or more (or you had wages of $100 or more from an electing church or church organization); AND
- You did not have wages (subject to social security or railroad retirement tax) of $45,000 or more.

For more information about Schedule SE, see the Instructions.

Note: Most taxpayers can now use the new short Schedule SE on this page. But, you may have to use the longer Schedule SE that is on the back.

Who MUST Use the Long Schedule SE (Section B)

You must use Section B if ANY of the following applies:

- You choose the "optional method" to figure your self-employment tax. See Section B, Part II;
- You are a minister, member of a religious order, or Christian Science practitioner and received IRS approval (from Form 4361) not to be taxed on your earnings from these sources, but you owe self-employment tax on other earnings;
- You are an employee of a church or church organization that chose by law not to pay employer social security taxes;
- You have tip income that is subject to social security tax, but you did not report those tips to your employer; OR
- You are a government employee with wages subject ONLY to the 1.45% medicare part of the social security tax.

Section A—Short Schedule SE
(Read above to see if you must use the long Schedule SE on the back (Section B).)

1	Net farm profit or (loss) from Schedule F (Form 1040), line 38, and farm partnerships, Schedule K-1 (Form 1065), line 14a	1	
2	Net profit or (loss) from Schedule C (Form 1040), line 31, and Schedule K-1 (Form 1065), line 14a (other than farming). See the Instructions for other income to report	2	4,109
3	Add lines 1 and 2. Enter the total. If the total is less than $400, do not file this schedule	3	4,109
4	The largest amount of combined wages and self-employment earnings subject to social security or railroad retirement tax (tier 1) for 1988 is	4	$45,000 00
5	Total social security wages and tips from Forms W-2 and railroad retirement compensation (tier 1)	5	
6	Subtract line 5 from line 4. Enter the result. (If the result is zero or less, do not file this Schedule.)	6	45,000
7	Enter the smaller of line 3 or line 6	7	4,109
	If line 7 is $45,000, enter $5,859 on line 8. Otherwise, multiply line 7 by .1302 and enter the result on line 8		x.1302
8	Self-employment tax. Enter this amount on Form 1040, line 48	8	535

For Paperwork Reduction Act Notice, see Form 1040 Instructions.

Schedule SE (Form 1040) 1988

8 9 3 7 7 2 3 1 4 * 0

Form **6251**

Department of the Treasury
Internal Revenue Service

Alternative Minimum Tax—Individuals

▶ See separate instructions.

▶ Attach to Form 1040 or Form 1040NR. Estates and trusts, use Form 8656.

OMB No. 1545-0227

1988

Attachment Sequence No. **32**

Name(s) as shown on Form 1040: C. WILLIAM & GRACE C. NELSON

Your social security number: 266-76-3943

1	Taxable income from Form 1040, line 37 (can be less than zero)			1	68,465
2	Net operating loss deduction. (Do not enter as a negative amount.)			2	
3	Add lines 1 and 2			3	68,465
4	Adjustments: (See line-by-line instructions before completing.)				
a	Standard deduction from Form 1040, line 34	4a			
b	Personal exemption amount from Form 1040, line 36	4b	7,800		
c	Medical and dental expense	4c			
d	Miscellaneous itemized deductions from Schedule A, line 24.	4d	13,805		
e	Taxes from Schedule A, line 6	4e	19,763		
f	Refund of taxes	4f			
g	Interest from Schedule A, line 12b	4g	275		
h	Other interest adjustments	4h			
i	Combine lines 4a through 4h			4i	41,643
j	Depreciation of property placed in service after 1986	4j			
k	Circulation and research and experimental expenditures paid or incurred after 1986	4k			
l	Mining exploration and development costs paid or incurred after 1986	4l			
m	Long-term contracts entered into after 2/28/86	4m			
n	Pollution control facilities placed in service after 1986	4n			
o	Installment sales of certain property	4o			
p	Adjusted gain or loss	4p			
q	Certain loss limitations	4q			
r	Tax shelter farm loss	4r			
s	Passive activity loss	4s	1,572		
t	Beneficiaries of estates and trusts	4t			
u	Combine lines 4j through 4t			4u	1,572
5	Tax preference items: (See line-by-line instructions before completing.)				
a	Appreciated property charitable deduction	5a			
b	Tax-exempt interest from private activity bonds issued after August 7, 1986	5b			
c	Depletion	5c			
d	Add lines 5a through 5c			5d	
e	Accelerated depreciation of real property placed in service before 1987	5e			
f	Accelerated depreciation of leased personal property placed in service before 1987	5f			
g	Amortization of certified pollution control facilities placed in service before 1987	5g			
h	Incentive stock options. See instructions	5h			
i	Intangible drilling costs	5i			
j	Reserves for losses on bad debts of financial institutions	5j			
k	Add lines 5e through 5j			5k	
6	Combine lines 3, 4i, 4u, 5d, and 5k			6	111,680
7	Alternative tax net operating loss deduction. (Do not enter more than 90% of line 6.) See instructions			7	
8	Alternative minimum taxable income (subtract line 7 from line 6). If married filing separate returns, see instructions			8	111,680
9	Enter: $40,000 ($20,000 if married filing separately; $30,000 if single or head of household)			9	40,000
10	Enter: $150,000 ($75,000 if married filing separately; $112,500 if single or head of household)			10	150,000
11	Subtract line 10 from line 8. If -0- or less, enter -0- here and on line 12 and go to line 13. If this line is more than -0-, go to line 12			11	0
12	Multiply line 11 by 25% (.25)			12	
13	Subtract line 12 from line 9. If -0- or less, enter -0-			13	40,000
14	Subtract line 13 from line 8. If -0- or less, enter -0- here and on line 19. If this line is more than -0-, go to line 15			14	71,680
15	Multiply line 14 by 21% (.21)			15	15,053
16	Alternative minimum tax foreign tax credit. See instructions			16	
17	Tentative minimum tax (subtract line 16 from line 15)			17	15,053
18	Regular tax before credits (Form 1040, line 39) minus foreign tax credit (Form 1040, line 43). See instructions			18	15,303
19	Alternative minimum tax (subtract line 18 from line 17). Enter on Form 1040, line 48. If -0- or less, enter -0-			19	0

For Paperwork Reduction Act Notice, see separate instructions.

Form **6251** (1988)

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Form **4952**

Department of the Treasury
Internal Revenue Service

Investment Interest Expense Deduction

▶ See separate instructions.
▶ Attach to your tax return.

OMB No. 1545-0191

1988

Attachment Sequence No. 72

Name(s) as shown on return: C. WILLIAM & GRACE C. NELSON

Social security number or employer identification number: 266-76-3943

Kind of return ▶ ☒ Individual ☐ Estate ☐ Trust

1	Interest expense on investment debts paid or accrued in 1988. See instructions					1	606
2	Investment income minus investment expenses. See instructions	2	67,490				
3	Phase-in adjustment from passive activities. See instructions	3	0				
4	Net investment income. Subtract line 3 from line 2. If -0- or less, enter -0-					4	67,490
5	Subtract line 4 from line 1. If -0- or less, enter -0- here and on line 13, and skip lines 6 through 12					5	0
6	Enter the amount from line 1, but see the instructions			6			
7	Enter the amount from line 2, but see the instructions	7					
8a	Individuals, enter $10,000; ($5,000 if married filing separate returns)	8a					
b	Estates, enter $10,000; trusts, enter -0-	8b					
9	Add lines 7 and 8			9			
10	Subtract line 9 from line 6. If -0- or less, enter -0-			10			
11	Subtract line 10 from line 6. If -0- or less, enter -0-					11	
12	Multiply line 11 by 60% (.6)					12	
13	Add lines 10 and 12					13	0
14	Enter amount from line 1			14	606		
15	Enter the smaller of line 5 or line 13			15	0		
16	Subtract line 15 from line 14			16	606		
17	Disallowed investment interest expense from 1987 Form 4952, line 14. If -0-, enter -0- here and on lines 21 and 22, and skip lines 18 through 20. See instructions			17	0		
18	Enter amount from line 4. If -0- or less, enter -0- here and on line 20	18					
19	Enter amount from line 1	19					
20	Subtract line 19 from line 18. If -0- or less, enter -0-	20					
21	Enter the smaller of line 17 or line 20			21	0		
22	Subtract line 21 from line 17			22	0		
23	**Disallowed investment interest expense.** Add lines 15 and 22					23	0
24	**Allowed investment interest expense.** Add lines 16 and 21. See instructions					24	606

For Paperwork Reduction Act Notice, see page 1 of separate instructions.

Form **4952** (1988)

8 9 0 7 7 2 3 1 4 ^ 2

Form **6252**

Department of the Treasury
Internal Revenue Service

Installment Sale Income

▶ See separate Instructions. ▶ Attach to your tax return.
Use a separate form for each sale or other disposition of property on the installment method.

OMB No. 1545-0228
1988
Attachment Sequence No. 79

Name(s) as shown on tax return: C. WILLIAM & GRACE C. NELSON
Identifying number: 266-76-3943

A Description of the property ▶ LAND & BUILDING
B Date acquired (month, day, and year) ▶ 6/01/67 C Date sold (month, day, and year) ▶ 6/03/83
D Was the property sold to a related party after May 14, 1980? (See instructions.) ☐ Yes ☒ No
E If the answer to D is "Yes," was the property a marketable security? ☐ Yes ☐ No
If you checked "Yes" to question E, complete Part III.
If you checked "No" to question E, complete Part III for the year of sale and for 2 years after the year of sale.

Part I Figure the Gross Profit and Contract Price (Complete this part for the year of sale only.)

1 Selling price including mortgages and other debts. (Do not include stated or unstated interest.)			1	
2 Mortgages and other debts the buyer assumed or took the property subject to, but not new mortgages the buyer got from a bank or other source	2			
3 Subtract line 2 from line 1	3			
4 Cost or other basis of property sold	4			
5 Depreciation allowed or allowable	5			
6 Subtract line 5 from line 4 (adjusted basis)	6			
7 Commissions and other expenses of sale	7			
8 Income recapture from Form 4797, Part III. (See instructions.)	8			
9 Add lines 6, 7, and 8			9	
10 Subtract line 9 from line 1. If zero or less, do not complete the rest of this form			10	
11 If the property described in question A above was your main home, enter the total of lines 9 and 15 from Form 2119 here			11	
12 Subtract line 11 from line 10 (gross profit)			12	
13 Subtract line 6 from line 2. If zero or less, enter zero			13	
14 Add line 3 and line 13 (contract price)			14	

Part II Figure the Taxable Part of Installment Sale (Complete this part for the year of sale and any year you receive a payment or have certain debts you must treat as a payment on installment obligations.)

15 Divide line 12 by line 14 (gross profit percentage). (For years after the year of sale, see instructions.)			15	.781800
16 For year of sale only—enter amount from line 13 above; otherwise, enter zero			16	0
17 Payments received during year. (See instructions.) (Do not include stated or unstated interest.)			17	62,157
18 Add lines 16 and 17			18	62,157
19 Payments received in prior years. (See instructions.) (Do not include stated or unstated interest.)	19	53,853		
20 Multiply line 18 by line 15 (taxable part of installment sale)			20	48,594
21 Part of line 20 that is ordinary income under recapture rules. (See instructions.)			21	
22 Subtract line 21 from line 20. Enter the result here and on Schedule D or Form 4797			22	48,594

Part III Figure the Taxable Part of Related Party Installment Sale
(Do not complete this part if you received the final installment payment this tax year.)

F Name, address, and taxpayer identifying number of related party

G Did the related party, during this tax year, resell or dispose of the property? ☐ Yes ☐ No
H If the answer to question G is "Yes," complete lines 23 through 30 below unless one of the following conditions is met (check only the box that applies).
☐ The first disposition was a sale or exchange of stock to the issuing corporation.
☐ The second disposition was an involuntary conversion where the threat of conversion occurred after the first disposition.
☐ The second disposition occurred after the death of the original seller or buyer.
☐ It can be established to the satisfaction of the Internal Revenue Service that tax avoidance was not a principal purpose for either of the dispositions. If this box is checked, attach an explanation. (See instructions.)

23 Selling price of property sold by related party	23	
24 Enter contract price from line 14 for year of first sale	24	
25 Enter the smaller of line 23 or line 24	25	
26 Total payments received by the end of your 1988 tax year. Add lines 18 and 19	26	
27 Subtract line 26 from line 25. If line 26 is more than line 25, enter zero	27	
28 Multiply line 27 by the gross profit percentage on line 15 for year of first sale	28	
29 Part of line 28 that is ordinary income under recapture rules. (See instructions.)	29	
30 Subtract line 29 from line 28. Enter the result here and on Schedule D or Form 4797	30	

For Paperwork Reduction Act Notice, see separate Instructions.

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Form **8582**

Department of the Treasury
Internal Revenue Service

Passive Activity Loss Limitations

▶ See separate instructions.
▶ Attach to Form 1040 or 1041.

OMB No. 1545-1008

1988

Attachment
Sequence No. **88**

Name(s) as shown on return: C. WILLIAM & GRACE C. NELSON

Social security or employer identification number: 266-76-3943

Part I Computation of 1988 Passive Activity Loss

Caution: See the instructions for Worksheet I before completing Part I.

	Rental Real Estate Activities With Active Participation (See the definition of active participation under Rental Activities in the instructions.)				
	Activities acquired before 10-23-86 (Pre-enactment):				
1a	Activities with net income, Worksheet 1, Part 1, column (a)	1a	1,000		
1b	Activities with net loss, Worksheet 1, Part 1, column (b)	1b	4,930-		
1c	Combine lines 1a and 1b	1c	3,930-		
	Activities acquired after 10-22-86 (Post-enactment):				
1d	Activities with net income, Worksheet 1, Part 2, column (a)	1d			
1e	Activities with net loss, Worksheet 1, Part 2, column (b)	1e			
1f	Combine lines 1d and 1e	1f			
1g	Net income or (loss). Combine lines 1c and 1f			1g	3,930-
1h	Prior year unallowed losses from 1987, Worksheet 1, Parts 1 and 2, column (c)			1h	12,329-
1i	Combine lines 1g and 1h			1i	16,259-
	All Other Passive Activities (See the instructions for lines 2a through 2h.)				
	Activities acquired before 10-23-86 (Pre-enactment):				
2a	Activities with net income, Worksheet 2, Part 1, column (a)	2a			
2b	Activities with net loss, Worksheet 2, Part 1, column (b)	2b			
2c	Combine lines 2a and 2b	2c			
	Activities acquired after 10-22-86 (Post-enactment):				
2d	Activities with net income, Worksheet 2, Part 2, column (a)	2d	25,189		
2e	Activities with net loss, Worksheet 2, Part 2, column (b)	2e	159,348-		
2f	Combine lines 2d and 2e	2f	134,159-		
2g	Net income or (loss). Combine lines 2c and 2f			2g	134,159-
2h	Prior year unallowed losses from 1987, Worksheet 2, Parts 1 and 2, column (c)			2h	18,515-
2i	Combine lines 2g and 2h			2i	152,674-
3	Combine lines 1i and 2i. If the result is net income or -0-, see the instructions for line 3. If this line and line 1c or line 1i are losses, go to line 4. Otherwise, enter -0- on lines 8 and 9 and go to line 10			3	168,933-

Part II Computation of the Special Allowance for Rental Real Estate With Active Participation

Note: Before completing Parts II and III, see the instructions for how to treat numbers as if they were all positive.

4	Enter the smaller of the loss on line 1i or the loss on line 3. If line 1i is -0- or net income, enter -0- and complete lines 5 through 9			4	16,259
5	Enter $150,000. If married filing separately, see instructions	5	150,000		
6	Enter modified adjusted gross income, but not less than -0- (see instructions). If line 6 is equal to or greater than line 5, skip line 7, enter -0- on lines 8 and 9, and then go to line 10. Otherwise, go to line 7	6	160,656		
7	Subtract line 6 from line 5	7	0		
8	Multiply line 7 by 50% (.5). Do not enter more than $25,000. If married filing separately, see instructions			8	0
9	Enter the smaller of line 4 or line 8			9	0

Part III Computation of Passive Activity Loss Allowed

10	Combine lines 1c and 2c and enter the result. If the result is -0- or net income, skip to line 16. (See instructions.)	10	3,930
11	If line 1c shows income, has no entry, or shows -0-, enter -0- on line 11. Otherwise, enter the smaller of line 1c or line 9	11	0
12	Subtract line 11 from line 10. If line 11 is equal to or greater than line 10, enter -0-	12	3,930
13	Subtract line 9 from line 3	13	168,933
14	Enter the smaller of line 12 or line 13	14	3,930
15	Multiply line 14 by 40% (.4) and enter the result	15	1,572
16	Enter the amount from line 9	16	
17	Passive Activity Loss Allowed for 1988. Add lines 15 and 16	17	1,572
18	Add the income, if any, on lines 1a, 1d, 2a, and 2d and enter the result	18	26,189
19	Total losses allowed from all passive activities for 1988. Add lines 17 and 18. See the instructions to see how to report the losses on your tax return	19	27,761

For Paperwork Reduction Act Notice, see separate instructions.

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ALT MIN TAX

Form **8582**

Department of the Treasury
Internal Revenue Service

Passive Activity Loss Limitations

▶ See separate Instructions.
▶ Attach to Form 1040 or 1041.

OMB No. 1545-1008
1988
Attachment Sequence No. 88

Name(s) as shown on return: C. WILLIAM & GRACE C. NELSON

Social security or employer identification number: 266-76-3943

Part I Computation of 1988 Passive Activity Loss

Caution: See the instructions for Worksheet I before completing Part I.

	Rental Real Estate Activities With Active Participation (See the definition of active participation under Rental Activities in the instructions.)				
	Activities acquired before 10-23-86 (Pre-enactment):				
1a	Activities with net income, Worksheet 1, Part 1, column (a)	1a			
1b	Activities with net loss, Worksheet 1, Part 1, column (b)	1b			
1c	Combine lines 1a and 1b	1c			
	Activities acquired after 10-22-86 (Post-enactment):				
1d	Activities with net income, Worksheet 1, Part 2, column (a)	1d	1,000		
1e	Activities with net loss, Worksheet 1, Part 2, column (b)	1e	4,930-		
1f	Combine lines 1d and 1e	1f	3,930-		
1g	Net income or (loss). Combine lines 1c and 1f			1g	3,930-
1h	Prior year unallowed losses from 1987, Worksheet 1, Parts 1 and 2, column (c)			1h	16,656-
1i	Combine lines 1g and 1h			1i	20,586-
	All Other Passive Activities (See the instructions for lines 2a through 2h.)				
	Activities acquired before 10-23-86 (Pre-enactment):				
2a	Activities with net income, Worksheet 2, Part 1, column (a)	2a			
2b	Activities with net loss, Worksheet 2, Part 1, column (b)	2b			
2c	Combine lines 2a and 2b	2c			
	Activities acquired after 10-22-86 (Post-enactment):				
2d	Activities with net income, Worksheet 2, Part 2, column (a)	2d	25,189		
2e	Activities with net loss, Worksheet 2, Part 2, column (b)	2e	154,094-		
2f	Combine lines 2d and 2e	2f	128,905-		
2g	Net income or (loss). Combine lines 2c and 2f			2g	128,905-
2h	Prior year unallowed losses from 1987, Worksheet 2, Parts 1 and 2, column (c)			2h	23,241-
2i	Combine lines 2g and 2h			2i	152,146-
3	Combine lines 1i and 2i. If the result is net income or -0-, see the instructions for line 3. If this line and line 1c or line 1i are losses, go to line 4. Otherwise, enter -0- on lines 8 and 9 and go to line 10			3	172,732-

Part II Computation of the Special Allowance for Rental Real Estate With Active Participation

Note: Before completing Parts II and III, see the instructions for how to treat numbers as if they were all positive.

4	Enter the smaller of the loss on line 1i or the loss on line 3. If line 1i is -0- or net income, enter -0- and complete lines 5 through 9			4	20,586
5	Enter $150,000. If married filing separately, see instructions	5	150,000		
6	Enter modified adjusted gross income, but not less than -0- (see instructions). If line 6 is equal to or greater than line 5, skip line 7, enter -0- on lines 8 and 9, and then go to line 10. Otherwise, go to line 7	6	160,656		
7	Subtract line 6 from line 5	7			
8	Multiply line 7 by 50% (.5). Do not enter more than $25,000. If married filing separately, see instructions			8	
9	Enter the smaller of line 4 or line 8			9	

Part III Computation of Passive Activity Loss Allowed

10	Combine lines 1c and 2c and enter the result. If the result is -0- or net income, skip to line 16. (See Instructions.)	10	
11	If line 1c shows losses, has no entry, or shows -0-, enter -0- on line 11. Otherwise, enter the smaller of line 1c or line 9	11	0
12	Subtract line 11 from line 10. If line 11 is equal to or greater than line 10, enter -0-	12	
13	Subtract line 9 from line 4	13	
14	Enter the smaller of line 12 or line 13	14	
15	Multiply line 14 by 40% (.4) and enter the result	15	
16	Enter the amount from line 9	16	
17	Passive Activity Loss Allowed for 1988. Add lines 15 and 16	17	
18	Add the income, if any, on lines 1a, 1d, 2a, and 2d and enter the result	18	26,189
19	Total losses allowed from all passive activities for 1988. Add lines 17 and 18. See the instructions to see how to report the losses on your tax return	19	26,189

For Paperwork Reduction Act Notice, see separate Instructions.

Form **8582** (1988)

0 3 1 7 1 2 3 0 3 7 1

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

HOWARD CURTIS NIELSON
(Full Name)

1122 LONGWORTH BLDG.
(Mailing Address)

WASHINGTON D.C 20515

MC (Office Use Only)

MAY 12 PM 4:45

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State UTAH

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE CONTINUATION SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
WRIGHT PATTERSON CREDIT UNION	INTEREST	A
FIF [illegible] FUND	INTEREST	A
[illegible] [illegible] INSURANCE CO.	INTEREST	A
PROPERTY - 240 E. 200 N., SPANISH FORK, UTAH	RENT	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
WRIGHT-PATTERSON CREDIT UNION, WASHINGTON, D.C.	A
[illegible] CREDIT UNION, PROVO, UTAH	B
1ST [illegible] BANK, ARLINGTON, VA	A
HOUSE OF REPRESENTATIVES BANK, WASHINGTON, DC	B
PROPERTY - 240 E. 200 N. SPANISH FORK, UTAH	C
[illegible] - [illegible] [illegible]	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
LOMAS & NETTLETON, LOS ANGELES. MORTGAGE ON PROPERTY AT 240 E. 200 N., SPRINGVILLE, UTAH	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE CONTINUATION SHEET	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

8917123137

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Howard C.

Date: 5/12/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HOWARD CURTIS NIELSON
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II	NAT'L ASSN. OF BROADCASTERS RECEIVED 4/20/88 HONORARIUM $1500
	NAT'L CABLE TELEVISION ASSN. RECEIVED 5/20/88 HONORARIUM 1500
	U.S. TELEPHONE ASSN. RECEIVED 7/15/88 HONORARIUM 1000
	" " RECEIVED 12/20/88 HONORARIUM 1000
	NAT'L ASSN. OF ADVERTISERS RECEIVED 10/20/88 HONORARIUM 1000
	ROCKY MOUNTAIN CABLE ASSN. RECEIVED 8/19/88 HONORARIUM 1000
	ICI-AMERICAN PHARMACY ASSN RECEIVED 12/22/88 HONORARIUM 1000
	PROPRIETARY ASSOCIATION RECEIVED 6/23/88 HONORARIUM 2000
	BRIGHAM YOUNG UNIVERSITY EARLY RETIREMENT INCOME 2118
II	REPUBLICAN CONFERENCE IN HOUSTON FEB 21, 1988 $650
VII	NAT'L ASSN OF BROADCASTERS (SEMINAR PARTICIPANT) ROUND TRIP AIR FARE SL-LAS VEGAS-SL, 2 DAYS FOOD/LODGING
	NAT'L CABLE TELEVISION ASSN (SPEECH) ROUND TRIP AIR FARE SL-LAS VEGAS-SL, 2 DAYS FOOD/LODGING
	US TELEPHONE ASSN (SEMINAR PARTICIPANT) TRAVEL FROM SL-FT LAUDERDALE, FLA-D.C. 1 DAY FOOD/LODGING
	ICI-AMERICAN PHARMACY ASSN. (SPEECH-EXPENSES FOR SELF & SPOUSE) TRAVEL SL-FT.MYERS-SL. 2 DAYS FOOD/LODGING
	PROPRIETARY ASS'N. (SPEECH) - TRAVEL DC-NY-DC - NO LODGING

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

1989 JUN -1 PM 2:49
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
OFFICE OF RECORDS & REGISTRATION

MC

HOWARD CURTIS NIELSON
(Full Name)

1122 LONGWORTH BLDG.
(Mailing Address)

WASHINGTON D.C 20515

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State UTAH

☐ Officer or Employee—Employing Office ____________

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

8 9 0 7 0 12INCOME 9 5 7

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Continuation Sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patterson Credit Union	Interest	A
FIF Investment Fund (Mutual Fund)	Interest	A
State Farm Insurance Co.	Interest	A
Property - 240 E. 200 N. Spanish Fork, Utah	Rent	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Wright-Patterson Credit Union, Washington, D.C.	A
Cottonwood Canyon Credit Union, [illegible], Utah	B
1st American Bank, Arlington, Va.	A
House of Representatives Bank, Washington, D.C.	B
Property - 240 E. 200 N. Spanish Fork, Utah	C
Anti-[illegible] - Timpanogos Property, Utah	C
State Farm Insurance Co. - cash value of life insurance, [illegible]	B
FIF Investment Fund (Mutual Fund), Denver, Co	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
LOMAS & NETTLETON, LOS ANGELES. MORTGAGE ON PROPERTY AT	C
240 E. 400 N., SPRINGVILLE, UTAH	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE CONTINUATION SHEET	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Howard C. Nielson	6/1/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HOWARD CLARK NIELSON
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II	NAT'L ASSN. OF BROADCASTERS RECEIVED 4/[illegible]/88 HONORARIUM $1500
	NAT'L CABLE TELEVISION ASSN. RECEIVED 5/23/88 HONORARIUM 1500
	U.S. TELEPHONE ASSN. RECEIVED 7/15/88 HONORARIUM 1000
	" " RECEIVED 12/[illegible]/88 HONORARIUM 1000
	NAT'L ASSN. OF ADVERTISERS RECEIVED 10/[illegible]/88 HONORARIUM 1000
	ROCKY MOUNTAIN CABLE ASSN. RECEIVED 8/19/88 HONORARIUM 1000
	[illegible]-AMERICAN PHARMACY ASSN. RECEIVED [illegible]/[illegible]/88 HONORARIUM 1000
	[illegible] [illegible] RECEIVED 6/[illegible]/88 HONORARIUM 2000
	BRIGHAM YOUNG UNIVERSITY ANNUAL RETIREMENT INCOME 2118
VI	REPUBLICAN CONFERENCE IN HOUSTON FEB 21, 1988 $650
VII	NAT'L ASSN. OF BROADCASTERS ([illegible] [illegible]) ROUND TRIP AIR FARE SL-LAS VEGAS NEV. 2 DAYS FOOD/LODGING
	NAT'L CABLE TELEVISION ASSN ([illegible]) ROUND TRIP AIRFARE SL-LAS VEGAS NEV. 2 DAYS FOOD/LODGING
	US TELEPHONE ASSN (SPEAKING ENGAGEMENT) TRAVEL FROM SL-FT LAUDERDALE, FLA-D.C. 1 DAYS FOOD/LODGING
	[illegible]-AMERICAN PHARMACY ASSN. ([illegible] FOR SELF + SPOUSE) TRAVEL SL-[illegible]-D.C. 2 DAYS FOOD/LODGING
	PHARMACEUTICAL ASS'N. ([illegible]) TRAVEL DC-NY-DC -NO LODGING

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 MAY 12 PM 2:19

FORM [illegible] use by Members, officers, and employees

Rep. Henry J. Nowak
(Full Name)

2240 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 33rd State NY

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXXX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Rose Nowak, Hunt Real Estate, agent	Commissions		
HONORARIA (See Page 1 Attachment)			NONE

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
M&T Bank	Interest	A
Atlantic Federal Savings & Loan	Interest	A
Riggs National Bank	Interest	A
Empire of America	Interest	A
(See Page Two Attachment)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and-bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XXXXX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attachment Page 3	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Attachment Page Four	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
LTV Aerospace & Defense Co., Feb.18-19, 1988	Speech
Round trip airfare (Wash., DC- Ft. Worth, Tex.)	One night lodging
Member only.	
Lake Michigan Federation, Nov. 30-Dec. 1, 1988	Speech
Round trip airfare (Buffalo-Chicago)	One night lodging
Member only.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Daemen College, Snyder, New York

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

[illegible]

Page One

Rep. Henry J. Nowak
Part II. Income: Continuation Sheet
A. Earned Income

National Association of Urban Flood Management Agencies October 22, 1987 Check received Jan. 26, 1988	Honorarium	$500
General Dynamics Feb. 19, 1988	Honorarium	$1000
LTV Aerospace & Defense Co. Feb. 19, 1988	Honorarium	$2000
American Trucking Association Feb. 24, 1988	Honorarium	$1000
Southeastern Power Resources Committee Southwestern Power Resources Association April 20, 1988	Honorarium	$2000
National Parking Association June 23, 1988	Honorarium	$1000
International Union of Operating Engineers Local 17 PAC November 23, 1988	Honorarium	$2000
Chicago Mercantile Exchange	Honorarium	$1000
Board of Trade, City of Chicago Nov. 30, 1988	Honorarium	$1000
Ackerley Communications Dec. 14, 1988	Honorarium	$1000
Association of Oil Pipelines Dec. 19, 1988	Honorarium	$750
International Assoc. of Ports & Harbors Dec. 9, 1988	Honorarium	$1000
	TOTAL HONORARIA:	$14,250

Rep. Henry J. Nowak
Part II. Income: Continuation Sheet
D. Unearned Income

SOURCE		CATEGORY
1217 Constitution Ave. N.E.		
Washington, D.C.	Rent	Gross D
		Net Loss
5-Unit Building	Rent	Gross E
Lauderdale Lakes, Fla.		Net C
4-Unit Building	Rent	Gross D
Ft. Lauderdale, Fla.		Net C
Linden Ave. Duplex	Rent	Gross D
Buffalo, New York		Net B
Cypress Bend Condo	Rent	Gross B
Pompano, Fla.		Net Loss
Bond Interest in Merrill Lynch CMA Account:		
N.Y. State Municipals MIT Series 89		B
N.Y. State Thruway GTD		A
N.Y. State Energy Res. PV		C
Intl.Bd. FD02 Mult. Curr.		A
Merrill Lynch CMA Account		C
Common Stock Dividends:		
General Electric		A
General Motors		A
Capital Gains Distribution		
Intl. Bd. FD02 Mult.Curr.,Bond Fund Distrib.		A
M.Lynch Fund/Tomorrow,Com.Stk Fund Distrib.		C

[illegible]

Rep. Henry J. Nowak
Part III. Holdings: Continuation Sheet

IDENTITY	CATEGORY
Am.City Bus. Journal Inc., common stock	B
General Electric, common stock	A
General Motors, common stock	B
Intl. Bond Fund FD02 Multi Curr.	B
Merrill Lynch Fund for Tomorrow, mutual fund	C
N.Y. State Municipals MIT Series 89 Bonds	B
Atlantic Coast Storage Associates, partnership	C
New York State Thruway Authority GTD Bonds	B
New York State Energy Research Dev. Bonds	D
Merrill Lynch CMA Account, cash	D
M& T Bank, savings account	A
Atlantic Federal S&L, savings account	B
Sharon Wells Prog. No. 5, Ltd. Partnership	A
Sharon Wells Prog. No. 1, Ltd. Partnership	A
Riggs National Bank, checking account	A
Empire of America, savings account	B
Real Property:	
5-unit Rental,3030 N.W. 30th Terrace Lauderdale Lakes, Fla.	E
4-unit Rental Joint Venture,3681-3881 3rd Avenue Ft. Lauderdale, Fla.	D
Land Trust (Vacant Land) St. Lucie County, Fla.	A
2312 Cypress Bend (Apt. 106) Pompano Beach, Fla.	C
Linden Avenue Duplex Buffalo, New York	C
1217 Constitution Ave. N.E. (2-unit) Washington, D.C.	E

Rep. Henry J. Nowak
Part V. Liabilities:Continuation Sheet

MORTGAGES:	CATEGORY
4-Unit Rental, Joint Venture 3861-3881 3rd Avenue Ft. Lauderdale, Fla. Southern Federal Savings (Fla.)	C
J. Hauck, Ft. Lauderdale, Fla. 2d Trust on 4-Unit Rental (above)	A
5-Unit Rental 3030 NW Terrace Lauderdale Lakes, Fla. Atlantic Federal Savings & Loan (Fla.)	C
2312 Cypress Bend Drive Pompano Beach, Fla. Coral Gables Savings & Loan (Fla.)	B
1217 Constitution Ave. N.E. Washington, D.C. Chase Home Mortgage Corp., Montvale, N.J.	E
Jack Needleman, Washington, D.C. 2d Trust on 1217 Constitution	B
Linden Avenue Duplex Buffalo, New York Niagara Permanent Savings, Buffalo, N.Y.	C
Personal Loan, Norstar Bank, Buffalo, N.Y.	C

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Mary Rose Oakar
(Full Name)

1892 West 30th Street
(Mailing Address)

Cleveland, Ohio 44113

MC (Office Use Only)

MAY 15 PM 1:44

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 20th State Ohio

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ___ NO ___ NA X

For more information, see detailed Instruction Booklet at page 7

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	DATE	TYPE	AMOUNT	DISPOSITION
Inter. ASsn of Personnel Women	2/4/88	honorarium	$1,000.00	None
Soar Coalition of Michigan	3/20/88	honorarium	$500.00	"
Milk Marketing Inc.	3/28/88	honorarium	$500.00	"
Capitol Forum, USX Corp.	3/29/88	honorarium	$500.00	"

See Attachment, Part II Income

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Credit Union	Dividends	A
Wright Patman Credit Union	Interest	A
3000 Carroll Avenue, Cleveland, Ohio	Rent	A
1888 West 30th Street, Cleveland, Ohio	Rent	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA x

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
1888 West 30th Street	Rental Property (part of Duplex is home)	C
3000 Carroll Avenue	Rental Property	C
Wright Patman Credit Union	Personal Accounts	C

GENERAL GUIDELINES: 8 9 0 7 0 2 8 2 1 2 5

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Ameritrust, Cleveland, Ohio 44101 (Mortgages)	C
Wright Patman Credit Union, Rayburn, Washington, DC 20515, (Personal loans)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attachment VII Reimbursements	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Advisory Comm.	Cuyahoga Community College
Board Member	Society for Crippled Children
Advisory Comm.	Cleveland Ballet

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Mary Rose Oakar May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Mary Rose Oakar
(Name)

Continuation Sheet

Part — Source, Type, Amount, Category, Description or Value (As Applicable)

II Income

Source	Date	Type	Amount	Disposition
Inter. Office of Prof. Employees	4/18/88	honorarium	$1,500.00	None
Nat. Assn. of Letter Carriers	4/29/88	honorarium	$2,000.00	None
Blue Cross/Blue Shield of No.Ohio	5/5/88	honorarium	$1,000.00	None
Notre Dame College of Ohio	5/14/88	honorarium	$250.00	None
NAAA	5/24/88	honorarium	$1,000.00	None
The Secura Group	6/21/88	honorarium	$1,000.00	None
BPW	7/26/88	honorarium	$1,000.00	None
Nat. Assn. of Letter Carriers	8/12/88	honorarium	$2,000.00	None
Intern. Un. of Electronic Worker	10/2/88	honorarium	$2,000.00	None
Ohio Credit Union League	10/21/88	honorarium	$1,000.00	None
Ohio Bell Telephone Co.	10/24/88	honorarium	$1,000.00	None

VII Reimbursements

Source	Date	Brief Description
Fed. Managers Assn.	1/10/88	Round trip airfare between Washington, DC and San Diego and lodging
Inter. Ladies Garment Workers	1/29/88	Round trip airfare between Washington, DC and Orlando, Florida
Harvard University	3/1/88	Round trip airfare between Washington, DC and Boston
Democratic Party of Mississippi	6/11/88	Round trip airfare between Detroit to Jackson, Miss. to Washington, DC
American Bar Assn.	8/3/88	Round trip airfare between Washington, DC and Toronto, Canada
Nat. Assn. of Letter Carriers	8/12/88	Round trip airfare between Washington, DC and Portland, Oregon
American Foundation for Peace in Lebanon	9/24/88	Round trip airfare between Washington, DC And Los Angeles, Calif. and lodging
Inter. Union of Electronic Workers	10/2/88	Round trip airfare between Cleveland, Ohio, to Bal Harbour, Florida to Washington, DC and expenses

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

89 MAY 15 PM 1:16

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

James Louis Oberstar
(Full Name)

2209 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8 State Minnesota

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
2/10/88 RJR Nabisco	Honoraria	$2,000	NONE
2/15/89 Federal Express	"	2,000	NONE
3/3/88 Nat'l Society of Professional Engineers	"	1,000	NONE
3/15/88 ADAPSO	"	1,500	NONE
(CONTINUED ON CONTINUATION SHEET)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional Federal Credit Union	Interest	A
Metropolitan Life Insurance	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Federal Express	Roundtrip airfare: DCA to Memphis; 1 night's lodging; food
Paine Webber	Airfare: DCA to San Francisco to Minneapolis; 1 night's lodging; food
(Continued on continuation sheet, attached)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
James L. Oberstar	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James Louis Oberstar, M.C.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II. INCOME	3/18/88 American Public Transit Assn. - Hon. - $1,000 - NONE
	3/18/88 Paine Webber - Hon. - $2,000 - NONE
	3/28/88 Air Transport Association - Hon. - $1,000 - NONE
	4/1/88 American Road & Transportation Builders - Hon. - $1,000 - NONE
	4/13/88 Naegle Outdoor Advertisers - Hon. - $1,000 - NONE
	4/13/88 Nat'l Rural Electric Cooperative Assn. - Hon. - $500 - NONE
	4/23/88 Naegle Outdoor Advertising - Hon. - $1,000 - NONE
	5/12/88 American Recreation Coalition - Hon. - $1,000 - NONE
	5/18/88 The Lamar Corporation - Hon. - $500 - NONE
	5/31/88 Bethlehem Steel - Hon. - $1,000 - NONE
	6/14/88 Vern Clark & Associates - Hon. - $500 - NONE
	6/29/88 American Airlines - Hon. - $2,000 - NONE
	11/16/88 Air Transport Association - Hon. - $1,000 - NONE
	11/16/88 Recreation Vehicle Dealers Assn. - Hon. - $1,000 - NONE
	11/17/88 American Council of Highway Advertisers - Hon. - $1,000 - NONE
	12/16/88 National Tour Association - Hon. - $1,000 - NONE
	1/1-12/31/88 JO Associates (Spouse; self-employed) - Fees
VII. REIMBURSEMENTS	American Airlines: Roundtrip airfare DCA to Tulsa; 1 night's lodging; food
	Recreation Vehicle Dealers Assn.: Airfare from Minneapolis to Houston to DCA; 1 night's lodging; food
	American Council of Highway Advertisers: Airfare from DCA to San Diego to DCA; 2 nights' lodging; food
	National Tour Association: Airfare from DCA to Kansas City to Newport News to DCA; 1 night's lodging; food

HOUSE MAIL 7 0 2 9 1 7 6 1

JAMES L. OBERSTAR
8th District, Minnesota

COMMITTEES:
PUBLIC WORKS AND TRANSPORTATION
CHAIRMAN
SUBCOMMITTEE ON AVIATION
BUDGET
STEERING AND POLICY COMMITTEE
MERCHANT MARINE AND FISHERIES
(ON LEAVE)

Congreſs of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE:
2366 Rayburn House Office Building
Washington, DC 20515
(202) 225-6211

DISTRICT OFFICES:
Brainerd City Hall
501 Laurel Street
Brainerd, MN 56401
(218) 828-4400

Chisholm City Hall
316 Lake Street
Chisholm, MN 55719
(218) 254-5761

231 Federal Building
Duluth, MN 55802
(218) 727-7474

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
1989 MAY 31 AM 7:41
OFFICE OF RECORDS AND REGISTRATION

✓ MC

May 30, 1989

Honorable Donnald K. Anderson
Clerk
U.S. House of Representatives
Washington, D.C. 20515

Dear Donn:

The purpose of this letter is to amend my 1988 Financial Disclosure Statement as follows:

III. HOLDINGS

Identity	Category
Chevy Chase Savings & Loan	C
Wright Patman Congressional Credit Union	B

With regard to the first item, a certificate of deposit was purchased with funds belonging to my mother for her purposes. While in reality the certificate is hers, it is being held in my name and, therefore, should have been reported.

The second item was not originally reported as I did not realize it was in excess of the amount to be disclosed.

Sincerely,

Jim Oberstar

James L. Oberstar, M.C.

JLO/jb

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Congressman David R. Obey
(Full Name)

2462 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7 State Wisconsin

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Forum For Medical Affairs Rec'd 1/20/88	Honorarium	$1,000	
(Participant in forum on AIDS)			
The Johns Hopkins University Rec'd 1/20/88	Honorarium	$1,000	
(Speech-Budget: Fact and Fiction)			
Outdoor Advertising Association of Wisconsin	Honorarium	$1,200	
Rec'd 2/10/88 (Speech-Budget Policy)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Savings Account-Meritor Savings & Loan	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Meritor Savings & Loan - Savings Account	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calender year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Forum For Medical Affairs (Participant in Forum on AIDS)	Roundtrip airfare: Wash., DC to Atlanta, GA
Aspin Institute (Self and Spouse - Participants in Seminar on Soviet Relations)	Roundtrip airfare: Wash., DC to Bermuda; 4 nights food and lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-15-'89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Congressman David R. Obey
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II.	Blue Cross & Blue Shield Rec'd 1/20/88 (Speech-Health Policy)	Honorarium	$2,000
	United States Telephone Association Rec'd 2/5/88 (Speech-Economics & Budget)	Honorarium	$1,000
	Drexel Burnham Lambert Rec'd 2/17/88 (Conference Speech-International Economics	Honorarium	$1,000
	Association of American Publishers, Inc. Rec'd 2/19/88 (Speech-Economics)	Honorarium	$1,000
	Association of American Medical Colleges Rec'd 2/24/88 (Speech-Health Research Policy)	Honorarium	$1,000
	Coalition For Employment Through Exports, Inc. Rec'd 3/16/88 (Speech-Budget & Economics)	Honorarium	$1,000
	National Association of Realtors Rec'd 3/24/88 (Speech-Budget & Interest Rates)	Honorarium	$1,000
	Waste Management, Inc. Rec'd 4/11/88 (Speech-Federal Budget & Local Governments)	Honorarium	$2,000
	American Medical Association Rec'd 4/14/88 (Symposium on Health Care Quality Assurance-Participant)	Honorarium	$2,000
	Ocean Spray Cranberries, Inc. Rec'd 4/26/88 (Speech-Budget Policy)	Honorarium	$2,000
	American Paper Institute Rec'd 5/20/88 (Speech-Fiscal Policy)	Honorarium	$ 500
	Carnegie Endowment For International Peace Rec'd 6/10/88 (Article on Foreign Policy)	Honorarium	$ 200
	Cuban American National Foundation, Inc. Rec'd 6/16/88 (Speech-Foreign Policy)	Honorarium	$2,000
	The Washington Caucus Rec'd 6/15/88 (Speech-Congress and the Budget)	Honorarium	$ 500
	Morgan Stanley & Co. Rec'd 6/23/88 (Speech-Economics)	Honorarium	$1,000
	National Association of Arab Americans Rec'd 6/24/88 (Speech-Budget & Foreign Policy)	Honorarium	$1,000
	National Solid Wastes Management Association Rec'd 7/28/88 (Speech-Budget Choices in the 80's)	Honorarium	$2,000
VII	University of Wisconsin Law School (Speech)	Airfare; Minneapolis, MN to Wash., DC.	
	United States Telephone Association (Self & Spouse) (Conference Participant)	Roundtrip airfare; Wash., DC to Rancho Mirage, CA; 2 nights food & lodging.	
	Drexel Burnham Lambert (Conference Speech)	Roundtrip car transportation from Rancho Mirage, CA to Los Angeles, CA	
	American Medical Association (Symposium Participant)	Airfare: Wash., DC to Boca Raton, FL to Minneapolis, MN 2 nights food & lodging.	
	Waste Management, Inc. (Speech)	Roundtrip airfare: Wash., DC to Chicago, IL.	

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JAMES RANDOLPH OLIN
(Full Name)
1314 LONGWORTH HOUSE OFFICE BUILDING
(Mailing Address)
WASHINGTON, DC 20515

✓
MC
(Office Use Only)

U.S. H[illegible] [illegible]TATIVES
[illegible] MAY 10 AM 9 14

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 06 State VA

☐ Officer or Employee—Employing Office ____________

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
General Electric Company	Pension	77,869.00	
General Electric Company Deferred Compensation		36,027.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
General Electric Company	dividends	C
Roanoke Gas Company	dividends	A
Merrill Lynch	dividends	D
Merrill Lynch (CMA)	dividends	D
Merrill Lynch (non tax)	interest	C
Merrill Lynch	interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
General Electric Company	common stock	E
Roanoke Gas Company	common stock	B
Merrill Lynch (CMA)	mutual fund	D
NCNB National Bank Dallas	CD	C
Marine Midland Bank, Delaware	CD	C
US Treasury	bonds	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Bought Seamens Bank, NY CD for IRA	2-3-88	A
Sold Flagship Limited Term mutual fund	1-18-88	D
Bought FLagship Tax Exempt fund mutual fund	1-18-88	D
Redeemed Newport, Ark bond	8-8-88	A
Bought VA Port Authority bond	9-26-88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether these expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
American European Community Association estimated value $4,700.00	Travel, food, lodging for self and wife to attend meeting in Brussels with members of European Parliament and American businessmen, airfare, two nights and meals.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
Board of Directors	Garth Newel Music Center
Advisory Board	James Madison Foundation
Board of Directors	Roanoke Transportation Museum

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 12.

DATE	PARTIES TO	TERMS OF AGREEMENT
	I retired from General Electric Company on Jan. 1, 1982, and will enjoy various benefits that accrued to me during employment (and are provided for all General Electric retirees of the same category) such as: pension plan, deferred incentive compensation medical and life insurance.	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
James R. Olin	5-9-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Jim Olin
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II, B	Merrill Lynch (non tax)	dividends		D
	Elfun Fund	dividends		E
	Elfun Fund (wife)	dividends		D
	Merrill Lynch	capital gains		A
III	VA Port Authority	bonds		C
	MIT SR 101 ITS	municipal bonds		D
	Flagship fund	mutual fund		E
	Met Life Gov Income	mutual fund		E
	Merrill Lynch	IRA		C
	US Savings	bonds		A
	Elfun Fund	mutual fund		F
	Elfun Fund	mutual fund		E
	Suffolk, VA	bonds		C
IV	Bought NCNB Bank, Dallas	CD	9-30-88	C
	Bought US Treasury	bonds	11-18-88	C
	Bought Suffolk, VA	bonds	12-29-88	C

[illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

'89 MAY 10 PM 3:29

U.S. [illegible]

FORM A—For use by Members, officers, and employees

JAMES RANDOLPH OLIN

(Full Name)

1314 LONGWORTH HOUSE OFFICE BLDG.

WASHINGTON, DC 20515

(Mailing Address)

✓ MC

(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 06 State VA

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,093, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
General Electric Company	Pension	77,869.00	
General Electric Company Deferred Compensation		36,027.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
General Electric Company	dividends	C
Roanoke Gas Company	dividends	A
Merrill Lynch	dividends	D
Merrill Lynch (CMA)	dividends	D
Muni Investment Trust (non tax)	interest	C
Marine Midland Bank, Delaware	interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
General Electric Company	common stock	E
Roanoke Gas Company	common stock	B
Merrill Lynch (CMA)	mutual fund	D
NCNB National Bank, Dallas	CD	C
Marine Midland Bank, Delaware	CD	C
US Treasury	bonds	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Bought Seamens Bank, NY CD for IRA	2-3-88	A
Sold Flagship Limited Term mutual fund	1-18-89	D
Bought Flagship Tax Exempt fund mutual fund	1-18-89	D
Redeemed Newport, Ark bond	8-8-88	A
Bought VA Port Authority bond	9-26-89	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual o[illegible]D DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature [illegible]enses aggregating $250 or more in value received from any source during calendar year 1988, is requ[illegible]han exact dollar figures. IF NONE, SO STATE.

EXCLUS[illegible] Travel-related expenses provided by federal, state, and local governments, or by a foreign government w[illegible] a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
American European Community Association	Travel, food, lodging for self
estimated value $4,700.00	and wife to attend meeting in
	Brussels with members of
	European Parliament and
	American businessmen, airfare,
	two nights and meals.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	Garth Newel Music Center
Advisory Board	James Madison Foundation
Board of Directors	Roanoke Transportation Museum

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	I retired from General Electric Company on Jan. 1, 1982, and will enjoy various benefits that accrued to me during employment (and are provided for all General Electric retirees of the same category) such as: pension plan, deferred incentive compensation medical and life insurance.	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
James R. Olin	5-18-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Jim Olin
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II,B	Flagship Fund	dividends	D	
	Elfun Fund	dividends	E	
	Elfun Fund (wife)	dividends	D	
	Merrill Lynch	capital gains	A	
III	VA Port Authority	bonds	C	
	Muni Investment Trust	municipal bonds	D	
	Flagship Fund	mutual fund	E	
	Met Life Gov Income	mutual fund	E	
	Merrill Lynch	IRA	C	
	US Savings	bonds	A	
	Elfun Fund	mutual fund	F	
	Elfun Fund (wife)	mutual fund	E	
	Suffolk, VA	bonds	C	
IV	Bought NCNB Bank, Dallas	CD	9-30-88	C
	Bought US Treasury	bonds	11-18-88	C
	Bought Suffolk, VA	bonds	12-29-88	C

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INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

89 MAR 22 AM 7:42

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1987

FORM A—For use by Members, officers, and employees

Hon. Solomon P. Ortiz
(Full Name)

1524 Longworth H. O. B.
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 27th State TX

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1988 must file a Financial Disclosure Statement on or before May 15, 1988.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of January 1, 1987, for a period in excess of 60 days in calendar year 1987 shall file a Financial Disclosure Statement on or before May 15, 1988, if he or she continues to be such an officer or employee on May 15, 1988, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of May 15, 1988.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1987 shall file a Financial Disclosure Statement on or before May 15, 1988, if he or she continues to be such an employee on May 15, 1988.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1987 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1987 LIMIT FOR MEMBERS IS $25,884.90 for incumbent Members and $23,878.49 for Members first taking office in January 1987. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Ernest Camacho, Pasadena, CA	02/19/88	Hon.	1,000	none
TX Shrimp Assoc., Austin, TX	05/25-88	Hon.	500	none
Stewart & Steveson Services, Houston	5/6/88	Hon.	2,000	none
AMTEX, Inc., Corpus Christi, TX	12/05/88	Income	4,000	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1987. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Cong. Fed. Credit Union	Interest	B
Equitable Life Ins.	Interest	A
Am. Pace Capital Acct.	Dividend	A
Gibraltar Savings	Interest	C
Gill Savings	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1987, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO x NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Cong. Fed. Credit Union, Wash., D. C.	B
Gibraltar Banking Group, Dallas, TX	A
Gill Savings, San Antonio, TX	C
Crooked Tree Apts, Robstown, TX ½ interest	C
Seaside Villa Condo., Corpus Christi, TX	B
Unimproved lots (3), Robstown, TX ½ interest	A
AMTEX Security Inc., Corpus Christi, TX	B
Am. Capital Pace,	A
Equitable Variable Annunity	A
PIM Securities	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1987, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1987, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1987.

SOURCE	BRIEF DESCRIPTION
Hispanic Caucus	Trans., Food, Lodging
Foreign Policy Institute	Trans., Food, Lodging
Chinese Nat'l Assoc. of Industry & Commerce	Trans., Food, Lodging

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1987.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1987, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1987.

SOURCE	BRIEF DESCRIPTION
AMTEX Security Inc., Corpus Christi, TX	Transportation
Hon. Mickey Leland	Transportation, Food, Lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	AMTEX Security, Inc., Corpus Christi, TX

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	3/21/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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OFFICE OF RECORDS & REGISTRATION
1989 MAY 25 AM 7:[illegible]

SOLOMON P. ORTIZ
[illegible] Texas

INSIDE MAIL

1524 Longworth House Office Building
Washington, DC 20515
202-225-7742

District Offices:
3649 Leopard Suite 510
Corpus Christi, TX 78408
512-883-5868

3505 Boca Chica Boulevard, Suite 438
Brownsville, TX 78521
512-541-1242

Congress of the United States
House of Representatives
Washington, DC 20515

Committees:
[illegible] SERVICES
Subcommittees:
[illegible] INSTALLATIONS AND FACILITIES
READINESS
SEAPOWER AND STRATEGIC AND CRITICAL MATERIALS

MERCHANT MARINE AND FISHERIES
Subcommittees:
FISHERIES AND WILDLIFE CONSERVATION AND THE ENVIRONMENT
COAST GUARD AND NAVIGATION

SELECT COMMITTEE ON NARCOTICS ABUSE AND CONTROL

May 23, 1989

✓

MC

Records and Registration
1036 LHOB
Washington, D.C. 20515

Dear Sirs:

The following information is in response to your inquiry on my Financial Disclosure Statement for 1988.

The items under Part VI. Gifts, were inadvertently listed in this category and should have been listed under the category of Part VII. Reimbursements as follows:

Hispanic Caucus, Madrid Chamber of Commerce, & Seville Chamber of Commerce (Trade & Cultural Mission) - Roundtrip airfare, Wash., DC to Madrid; 12 days food/lodging

Foreign Policy Institute (Fact finding trip) - Roundtrip airfare, Corpus Christi, TX to Turkey; 6 days food/lodging

AMTEX Security, Inc., - Roundtrip gas cost, Corpus Christi, TX to Mexico City, Mexico

Chinese National Assn. (Fact finding/Trade Seminar participant) - Roundtrip airfare, Wash., DC to Taipei; 7 days food/lodging

Thank you in advance for your time and attention to this matter. Contact Ms, Edie Lozano in my DC office if any further information is needed.

With kindest personal regards, I am

Sincerely,

Solomon P. Ortiz

Solomon P. Ortiz
Member of Congress

SPO:eml

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

MAY 15 PM 2:29

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Major R. Owens
(Full Name)
978 Clarkson Avenue
(Mailing Address)
Brooklyn, N.Y. 11238

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 12th State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES __X__ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
5/5/88 - Miami Florida Library Association (Speech)	Honorarium	$1,000	NONE
6/17/88 - North Carolina Black Elected Officials (Speech)	"	1,000	NONE
9/23/88 - Washington Center for Handicapped (Speech)	"	1,000	NONE
10/15/88 - Texas Medical Library Association (Speech)	"	1,500	NONE
10/19/88 - TAPPI Paper Preservation Symposium (Speech)	"	500	NONE
11/19/88 - National Rehabilitation Association (Speech)	Honorarium	$1,000	NONE

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Three Months Balance of payment for 335 Wyoming St.	Mortgage Income	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
CitiBank (Personal Loan)	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
5/5/88 - Florida Library Association	Travel and Lodging For Miami Conference
6/17/88 - North Carolina Black Officials	Travel and Lodging to Durham, N.C.
10/13/88 - Texas Medical Library Association	Travel and Lodging to Lubbock, Texas
11/19/88 - National Rehabilitation Association	Travel and Lodging to Reno, Nevada
	(All Stays were overnight only) for Member Only

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
President	Communication Associates

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 12.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Major R. Owens	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Wayne Owens
(Full Name)

1728 LHOB
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Utah

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,297, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Washington DC School District to Mrs. Owens	Salary	NA	-
Honoraria (see attached list)	Honoraria	$15000	$3,200 to Char
Richard Rubin Management Corp (Contract approved by Counsel to the House, Office of the Clerk of the House)	Consultant Fees	$11600	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
CSX Corp Stock (Mrs. Owens)		A
Rental Income from Residential Property at 1962 Sylvan Ave, SLC, UT		D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Share of CSX Stock (Mrs. Owens)	A
Boulder Ranch Partnership, 1/6 Interest	D
Interest on Home, Panquitch, Utah, 1/8 Interest	B
Rental Home, 1962 Sylvan Ave, SLC, UT	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of 10 Acres of Landat Panguitch Lake, Utah	Sept 1988	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Commercial Security Bank(Key Bank) (paid off in full 1988)	B
Valley Mortgage (Mortgage on rental property)	C
Kevin Rasmussan (Mortgage on rental property)	C
1/6 Interest in Boulder Ranching Co. Partnership which has	
total[redacted] of [redacted]My share estimated at	C
Zion's First National Bank (Demand Note).	E
American Investment Bank (Demand Note)	D
Leon W. Harman (Demand Note)	C

* liabilities

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
[illegible]	
[illegible]	[illegible]
[illegible]	[illegible]

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Mervin Morris, personal friend	Briefcase	$350.00 (Estimated)
(no legislative interest)		
Prince Mohammed bin Fahd of Saudi*	Gold Covered Dagger	$450.00
(Since turned over to US Government		
pursuant to law)		

* Arabia

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Brigham Young University May 1988	Travel to Tel Aviv/JerusAlem in connection w/Israeli Government approval for the BYU Center
ADL-B'nai B'rith Janary 1988	Travel to Israel w/wife

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Boulder Ranch Partnership
	(Wayne Owens' Proprietorship Dissolved)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Wayne Owens

Date: June 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Wayne Owens
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
	1. Charter National Life Insurance	H	$2,000.00	12/88
	2. The Jordan Company	H	2,000.00	12/88
	3. Denver Instrument Company	H	2,000.00	12/88
	4. Republic National Bank	H	2,000.00	12/88
	5. NATPAC	H	1,000.00	10/88
	6. Leucadia Nat'l Corporation	H	2,000.00	10/88
	7. Phil Corporation	H	2,000.00	12/88
	8. Bolivia Power Co.	H	2,000.00	12/88

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U.S. HOUSE OF REPRESENTATIVES

Form For Disclosing Gifts From Foreign Governments Pursuant To 5 U.S.C. 7342

I. NAME: Wayne Owens II. POSITION: Member of Congress

III. RECIPIENT (If other than reporting person):

IV. DESCRIPTION:

(1) Tangible gift(s) of more than minimal value. A Gold Saudi Arabian Ceremonial Dagger

(2) Decoration(s) of more than minimal intrinsic value.

(3) Travel provided by a Foreign Government.

(4) Expenses for travel provided or reimbursed by a Foreign Government.

V. CIRCUMSTANCES JUSTIFYING ACCEPTANCE: Presented by Prince Mohammed biu Fahd, Governor of the Eastern Provinces of Saudi Arbia, while I was visiting him at his palace.

VI. DATE OR DATES OF ACCEPTANCE (for travel give inclusive dates travel occurred): December 8-12, 1988

VII. DONOR GOVERNMENT (If items are received from more than one Foreign Government, use additional forms: Saudi Arbia

(1) Name and Position of individual who presented item: Governor of Eastern Provinces

VIII. FOR TANGIBLE GIFTS OR DECORATIONS OF MORE THAN MINIMAL VALUE ONLY:

(1) Estimated value in United States at time of acceptance:

(2) Disposition or current location of accepted item(s). Has been given to Clerk of the House for proper disposition. See copy of attached letter.

Wayne Owens
Signature

June 15, 1989
Date of this report

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Michael Garver Oxley
(Full Name)

1131 Longworth H.O.B.
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State Ohio

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
* SEE ATTACHED			
Patricia A. Oxley (spouse) Shannon & Luchs Realtors	Real Estate Commissions		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Ohio Bank & Savings Company, Findlay, Ohio	interest	A
Wright Patman Federal Credit Union	interest	B
CSL Savings Bank, Findlay, Ohio	interest	A
Diamond Savings & Loan, Findlay, Ohio	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Wright Patman Federal Credit Union	B
Ohio Bank & Savings Company	B
CSL Savings Bank	A
Diamond Savings & Loan, Findlay, Ohio	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
* SEE ATTACHED	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date 5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

Page 1 of 2

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

Michael Garver Oxley
(Name)

Continuation Sheet

Part II-A

Source, Type, Amount, Category, Description or Value (As Applicable)

C.B.S./Records	January 4, 1988	Honorarium	1,000.00
Corning Glass Works	February 6, 1988	Honorarium	500.00
Nat. Cable Television Assoc.	April 29, 1988	Honorarium	1,500.00
Sandoz Pharmaceutical Corporation	May 18, 1988	Honorarium	500.00
E. Bruce Harrison Assoc.	July 13, 1988	Honorarium	2,000.00
BellSouth Corporation	January 7, 1988	Honorarium	2,000.00
The Tobacco Institute	February 16, 1988	Honorarium	1,000.00
Secura Group	May 18, 1988	Honorarium	1,000.00
Independent Television	June 21, 1988	Honorarium	1,000.00
Columbia Gas	April 27, 1988	Honorarium	1,000.00
E. Bruce Harrison Co.	January 12, 1988	Honorarium	2,000.00
Nat. Assoc. of Broadcasters	April 11, 1988	Honorarium	1,500.00
American Mining Congress	June 14, 1988	Honorarium	250.00
Edison Electric Institute	January 27, 1988	Honorarium	2,000.00
Ohio Chamber of Commerce	May 3, 1988	Honorarium	500.00
Petroleum Marketers Assoc.	January 27, 1988	Honorarium	750.00
Ohio Bell Telephone	May 27, 1988	Honorarium	1,000.00

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Michael Garver Oxley
(Name)

Continuation Sheet

Part II-A

Source, Type, Amount, Category, Description or Value (As Applicable)

Electronic Industries Assoc.	January 22, 1988	Honorarium	1,000.00
Ohio Bankers	June 7, 1988	Honorarium	300.00
Smokeless Tobacco Council, Inc.	March 9, 1988	Honorarium	1,000.00
Business & Industry Assoc. of New Hampshire	June 12, 1988	Honorarium	1,500.00
Independent Television Assoc.	January 29, 1988	Honorarium	1,000.00
Bank Capitol Markets Assoc.	March 18, 1988	Honorarium	1,500.00
Pacific Telesis	July 15, 1988	Honorarium	1,000.00
Industrial Truck Assoc.	September 23, 1988	Honorarium	2,000.00
Columbia Gas	October 19, 1988	Honorarium	100.00
	Donation to Charity		$ 2,050.00

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Michael G. Oxley
(Name)

Continuation Sheet

Part VII-A	Source, Type, Amount, Category, Description or Value (As Applicable)
Edison Electric Institute January 6, 1988 Speech Expenses	One-way air fare (Washington, D.C. - Pheonix, AZ) Food and Lodging
Southwestern Bell February 24, 1988 Non-profit charity event	Round-trip airfare (Washington, D.C. - New York, NY) Food and Lodging for self and spouse.
Electronics Industry Assoc. April 4 - 8, 1988 Speech Expenses	Round-trip air fare (Washington, D.C. - Captiva Island, FL) Food and Lodging for self and spouse
National Council on U.S. Arab Relations Egyptian-American Cooperation Foundation Fact Finding Mission January 13 - 25	Round-trip airfare (Washington - Bahrain - Saudi Arabia - Egypt) Food and Lodging for self and spouse
Bank Capitol Markets Assoc. March 17 - 18, 1988 Speech Expenses	Round-trip air fare (Washington, D.C. - Tucson, AZ) Food and Lodging
Association of Independent Television Stations January 7-8, 1988 Speech Expenses	One-way airfare (Phoenix - Los Angeles, CA) Food and Lodging
Alltel, Inc. May 20 - 22, 1988 Speech Expenses	Round-trip air fare (Washington, D.C. - Pinehurst, N.C.) Food and Lodging for self and spouse
Industrial Truck Assoc. September 23-26, 1988 Speech Expenses	Round-trip air fare (Washington, D.C. - Hilton Head, S.C.) Food and Lodging for self and spouse
National Association of Broadcasters April 10 - 11, 1988 Speech Expenses	Round-trip air fare (Washington, D.C. - Las Vegas, NV) Food and Lodging

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Michael Garver Oxley
(Name)

Continuation Sheet

Part VII-A *Source, Type, Amount, Category, Description or Value (As Applicable)*

Corning Glass	
February 6-7, 1988	Round-trip airfare (Washington, D.C. - Augusta, GA)
Speech Expenses	Food and Lodging
U.S. Telephone Assoc.	Airfare (Columbus, Ohio - New York, NY - Washington, D.C.)
October 10, 1988	Food and Ground Transportation
Speech Expenses	
Chinese National Assoc.	
of Industry & Commerce	Round-trip air fare (Washington, D.C. - Taipei, Taiwan)
July 15-24, 1988	Food and Lodging for self and spouse
Fact Finding Trip	
United States Telephone	
Association	Round-trip air fare (Washington, D.C. - Boca Raton, FL)
November 18-20, 1988	Food and Lodging
Speech Expenses	
Electric Industries	
Association	Airfare (Los Angeles, CA - Las Vegas, NV - Washington, D.C.)
January 8-9, 1988	Food and Lodging
Speech Expenses	
National Cable Television	
Association	
April 29 - May 1, 1988	Round-trip airfare (Washington, D.C. - Los Angeles, CA)
Speech Expenses	Food and Lodging
The Congressional Institute	
March 24-26, 1988	Round-trip air fare (Washington, D.C. - Houston, TX)
Seminar Participant	Food and Lodging for self and spouse
Congressional Charity Golf	
Tournament	
November 11-13, 1988	Food and Lodging for self and spouse
Charity Golf event	
Sugarloaf Golf & Tennis	
Classic	Carrabassett Valley, ME
September 16-18, 1988	Ground transportation, food and lodging for self and spouse
Charity Golf event	
Business & Industry	Round-trip air fare (Washington, D.C. - Manchester, NH)
Association of America	Food and ground transportation
June 13, 1988 Speech Expenses	
Congressional Charity	
Tennis Tournament	Gound-transporation (Washington, D.C. _ White Sulphur
February 26 - 28, 1988	Springs, W. VA)
Charity tennis event	Food and Lodging for self and spouse

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Ronald C. Packard
(Full Name)

3001 Veazey Terrace, NW, #408
(Mailing Address)

Washington, D.C. 20008

Mc (Office Use Only)

MAY 15 PM 12 06

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 43 State California

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- **Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.**
- **Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.**
- **Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.**

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Rancho Bernardo Savings Bank	Director Fee	$2000	
Air Transport Association	Honorarium	1000	
Regular Common Carrier Conference	Honorarium	2000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Federal Credit Union	Interest	A
San Luis Rey property--annual payment	Payment	E
First National Bank of North County	Dividend	C
Pacific Pepper Tree	Interest	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000:

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
TD on 180 acres-San Luis Rey Valley, Oceanside, California	F
Stock in First National Bank of North County	D
Investment diamonds	C
Coin collection	C
Stock in Rancho Bernardo Savings Bank	D
Lady Robin stock	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchased Rancho Bernardo Savings Bank stock	8/4 and 8/11	B
Sold Sears stock	2/19	B
Purchased NTC Communications Stock	4/29 and 5/2	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
The Congressional Institute Inc.-conference participant	Roundtrip air transportation DC to Houston, 3 days food/lodging
Danny Thompson Memorial Charity Golf Tournament	Roundtrip air transportation, San Diego to Sun Valley, 4 days food/lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Rancho Bernardo Savings Bank, California

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ronald C. Packard	5/10/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Ronald C. Packard
(Full Name)

3001 Veazey Terrace, NW, #408
(Mailing Address)

Washington, D.C. 20008

✓
MC
(Office Use Only)

MAY 17 4:42

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 43 State Cal.

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Rancho Bernardo Savings Bank	Director Fee	$2000	
Air Transport Association	Honorarium	1000	
Regular Common Carrier Conference	Honorarium	2000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Federal Credit Union	Interest	A
San Luis Rey property--annual payment	Payment	E
First National Bank of North County	Dividend	C
Pacific Pepper Tree	Interest	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
TD on 180 acres-San Luis Rey Valley, Oceanside, CA	F
Stock in First National Bank of North County	D
Investment diamonds	C
Coin collection	C
Stock in Rancho Bernardo Savings Bank	D
Lady Robin stock	B
Pacific Pepper Tree	E
NTC Communications Stock	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchased Rancho Bernardo Savings Bank stock	8/4 and 8/11	B
Sold Sears stock	2/19	B
Purchased NTC Communications stock	4/29 and 5/2	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual [illegible] AID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of [illegible] expenses aggregating $250 or more in value received from any source during calendar year 1988, is require[illegible] rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
The Congressional Institute Inc.-conference participant	Roundtrip air transportation D.C. to Houston, 3 days food/lodging
Danny Thompson Memorial Charity Golf Tournament	Roundtrip air transportation, San Diego to Sun Valley, 4 days food/lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Rancho Bernardo Savings Bank, California

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ronald C. Packard	5/17/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

FRANK PALLONE, JR.
(Full Name)

29 Morrell Street
(Mailing Address)

Long Branch, New Jersey 07740

MC (Office Use Only)

89 MAY 15 PM 2 33
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd. State N.J.

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of New Jersey	Salary	25,000	
City of Long Branch	Salary	3,111	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
First Fidelity Bank	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Frank Pallone, Jr.	May 2, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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COMMITTEES:
PUBLIC WORKS AND TRANSPORTATION
MERCHANT MARINE AND FISHERIES
REPLY TO:
WASHINGTON OFFICE:
☐ 1207 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-3003
TELEPHONE: (202) 225-4671

FRANK PALLONE, JR.
3RD DISTRICT, NEW JERSEY

Congreſs of the United States
House of Representatives
Washington, DC 20515-3003

REPLY TO:
DISTRICT OFFICES:
☐ 540 BROADWAY (SUITE 119)
LONG BRANCH, NJ 07740
(201) 571-1140
☐ 1174 FISCHER BOULEVARD
TOMS RIVER, NJ 08753-3085
(201) 929-1400
☐ I.E.I. AIRPORT PLAZA
(ROOM 18) HIGHWAY 36
HAZLET, NJ 07730-1701
(201) 264-9104

May 18, 1989

1989 MAY 19 AM 7:48
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

✓
MC

Mr. Donn Anderson
Clerk, US House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, DC 20515

Dear Donn:

In accordance with your letter, this is to inform you that I have neither a spouse nor any dependent children and therefore would like you to amend my financial disclosure form to check the "not applicable" box under the "spouse and dependent disclosure exemption" inquiry.

Thank you very much for your assistance in this matter.

Sincerely,

Frank Pallone, Jr.
FRANK PALLONE, JR.
Member of Congress

FP/tl

[illegible]ATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Leon E. Panetta
(Full Name)

15 Panetta Road
(Mailing Address)

Carmel Valley, California 93924

1989 MAY 12 PM 4:51

MC

(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 16 State California

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Stateme[illegible]r before May 15, 1989.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honorariums - See Attached	Honorariums	22,265.	
Citizens for Congressman Panetta - Campaign Director (Sylvia Panetta)	Salary	15,000.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Banks and credit unions - See Attached	Interest	
Commercial rental income - 50% interest in commercial rental at 232 Madison Street Monterey, California 93940	Rental	Gross C Net A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __x__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
50% interest in office building at 232 Madison Street, Monterey, California 93940	E
Savings accounts - See Attached	

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of America - Personal Loan, Monterey, California	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Travel and lodging in connection with	
Honorariums	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Spouse - Board Member	Foundation to Support The Monterey Peninsula Unified
	School District

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Hon. Leon E. Panetta

ATTACHMENT

1. INCOME - EARNED INCOME PART II		TITLE	AMOUNT
01/27/88	Washington, D.C., American Hospital Assoc.	Honorarium	$ 1,000.00
01/29/88	Washington, D.C. American Council for Capitol Formation	Honorarium	1,000.00
02/04 - 02/05/88	San Antonio, Texas National Association of Realtors Mid-Winter Business Meeting - Air Fare - Hon. Leon Panetta D.C. - San Antonio, Texas - Monterey Accommodations and Food	Honorarium	1,000.00
02/09/88	Washington, D.C. Council for Advancement and Support of Education (CASE)	Honorarium	750.00
02/12 - 02/14/88	Sit Investment Assoc., Inc. Budget Workshop Air Fare and Accomodations and Food for Hon. Leon Panetta and Mrs. Panetta (Monterey, CA - Palm Springs, CA and return)	Honorarium	2,000.00
02/18/88	Washington, D.C. Federal Executive Institute Alumni Assoc.	Honorarium	1,000.00
04/01/87	Washington, D.C. Congressional Quarterly Conference	Honorarium	500.00
03/02/88	Washington, D.C. American School Food Service Assoc.	Honorarium	1,000.00
03/07/88	San Diego, California National Association of Crop Insurers Air Fare/Accomodations/ Food	Honorarium	2,000.00
03/25/88	Monterey, California California Pistachio Commission	Honorarium	2,000.00

Hon. Leon E. Panetta
Page 2

04/04 - 04/10/88	Tokyo, Japan U.S. - Japan Parliamentary Exchange Conference Japan Society Air Fare/Accommodations/Food for Hon. Leon H. Panetta and James Panetta		
04/26/88	Washington, D.C. The Brookings Institute	Honorarium	300.00
05/03/88	Washington, D.C. Licensed Beverage Industry Wine and Spirits Wholesalers Assoc.	Honorarium	1,000.00
05/05/88	Washington, D.C. Executive Enterprises, Inc.	Honorarium	750.00
05/09/88	Washington, D.C. American Paper Institute	Honorarium	500.00
05/23/88	Monterey, California California Bankers Association	Honorarium	2,000.00
05/25/88	Washington, D.C. The Wine Institute	Honorarium	1,000.00
07/08/88	Seattle, Washington Mansfield Center for Pacific Affairs Maureen and Mike Mansfield Foundation - Air Fare/Accommodations/Food for Hon. Leon E. Panetta	Honorarium	1,000.00
10/18/88	San Francisco, California National Association of Manufacturers Accommodations/Food	Honorarium	1,000.00
11/13 - 11/20/88	James Capel & Co./ Washington Investment Properties, Inc. Budget Conference Air Fare/Accommodations/Food Hon. Leon E. Panetta and Mrs. Sylvia Panetta San Francisco, California to London, Paris and Frankfurt	Honorarium	2,000.00

11/28/88	Anaheim, California National Milk Producers Association Air Fare/Accommodations/ Food		
12/07/88	British Broadcasting Company		
	Fee for World Service News		65.00
12/09/88	Washington, D.C. Congressional Quarterly Conference	Honorarium	400.00
	TOTALS		$22,265.00

[illegible]

Hon. Leon E. Panetta

ATTACHMENT

UNEARNED INCOME PART II

SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union	Interest income	A
Mony Financial Services	Interest income	A
OPM Civil Service Retirement	Interest income	C

Below listed bank accounts are in joint tenancy with Sylvia Panetta (spouse) and Sylvia Panetta's mother. Sylvia Panetta's name is listed on the accounts for convenience only and she has no beneficial or equitable interest in the income or principal of the bank accounts.

Bank of America - Monterey, California	Interest income	C
Wells Fargo - Monterey, California	Interest income	D
Coast Savings and Loan - Monterey, California	Interest income	D

HOLDINGS PART III

SOURCE	CATEGORY

Below listed bank accounts are in joint tenancy with Sylvia Panetta (spouse) and Sylvia Panetta's mother. Sylvia Panetta's name is listed on the accounts for convenience only and she has no beneficial or equitable interest in the income or principal of the bank accounts.

Bank of America - Monterey, California	D
Wells Fargo - Monterey, California	D
Coast Savings and Loan - Monterey, California	D

2 2 7 1 2 7 1 5 2 5

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

MAY 11 [illegible] 12:51

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Paul Michael Parker
(Full Name)

1725 Longworth H O B
(Mailing Address)

Washington, D. C. 20515

Mc (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State MS

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. ***Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.***

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds [illegible],000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

	A. SOURCE	TYPE	AMOUNT	DISPOSITION
	Parker Funeral Home & Affiliates, Brookhaven, MS	Salary	54,500.00	None
(Spouse)	Parker Funeral Home & Affiliates, Brookhaven, MS	Salary	-	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
American Funeral Assurance, Amory, MS	Dividends	A
203 West Congress Street, Brookhaven, MS	Rent	D
Parker Funeral Home & Affiliates, Brookhaven, MS	1099	E
Trustmark Bank, Brookhaven, Ms.	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Parker Funeral Homes, Inc., Brookhaven, MS (51% interest)	E
Brookhaven Funeral Insurance Company, Brookhaven, MS (51% interest)	E
Community Life Insurance Co., Inc., Brookhaven, MS (51% interest)	E
Jackson One, Inc., Brookhaven, MS (9% interest)	C
Land, GFG Farm, Lincoln County, MS (124 acres)	E
Land, House, Wesson, MS (5.6 Acres)	C
Lot & Rental Bldg., 203 W. Congress St., Brookhaven, MS (50% interest)	E
American Funeral Assurance Company, Amory, MS (2020 Shares)	B
Hominy Ridge, Inc., Franklin County, MS (1,142 shares, 7.713% interest)	A
Trustmark Bank, C.D. No. 68316	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Trustmark Bank, Brookhaven, MS	
Business - Rental Property	E
Personal	F
Mortgage	D
State Bank & Trust Company, Brookhaven, MS	
Business - Farm	B
Personal	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Parker Funeral Home, Inc., Brookhaven, MS
President	Community Life Insurance Company, Inc., Brookhaven, MS
President	Brookhaven Funeral Insurance Company, Inc., Brookhaven, MS

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Mike Parker	5-11-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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MIKE PARKER
4th District, Mississippi

PUBLIC WORKS AND
TRANSPORTATION COMMITTEE
SUBCOMMITTEES:
PUBLIC BUILDINGS AND GROUNDS
SURFACE TRANSPORTATION
WATER RESOURCES
VETERANS' AFFAIRS COMMITTEE
SUBCOMMITTEES:
COMPENSATION, PENSION, AND INSURANCE
HOUSING AND MEMORIAL AFFAIRS
COUNTIES:
ADAMS, AMITE, COPIAH, COVINGTON,
FRANKLIN, HINDS, JEFFERSON DAVIS,
LAWRENCE, LINCOLN, MARION, PIKE,
RANKIN, SIMPSON, WALTHALL, WILKINSON

HAND DELIVERED

Congress of the United States
House of Representatives
Washington, DC 20515

Washington Office:
☐ 1725 Longworth HOB
Washington, DC 20515
(202) 225-5865
District Offices:
☐ 245 East Capitol Street, #222
Jackson, MS 39201
(601) 965-4085
☐ 230 South Whitworth Street
Brookhaven, MS 39601
(601) 835-0706
☐ Chancery Court Annex
Columbia, MS 39429
(601) 731-1622
☐ 521 Main Street
Natchez, MS 39120
(601) 446-7280

MAY 25 PM 3 05
U.S. HOUSE OF REPRESENTATIVES

May 24, 1989

MC

The Honorable Julian C. Dixon
Committee on Standards of
Official Conduct
Suite HT-2, U. S. Capitol
Washington, D.C. 20515

Dear Mr. Dixon:

I have received your letter of May 15, 1989, requesting clarification of two items on my Financial Disclosure Statement filed on May 11, 1989, with the Clerk, U.S. House of Representatives.

Please amend the statement to include the following:

Part II. INCOME, B. UNEARNED INCOME
Source: Parker Funeral Home & Affiliates, Brookhaven, Mississippi.
Type: 1099 - Non-employee Compensation
(Explanation: Compensation for services rendered other than as manager.)
Category: E

Part III. HOLDINGS
Identity: American Funeral Assurance Company, Amory, Mississippi. (202 shares)
Category: B

Part IV. TRANSACTIONS
Brief Description: American Funeral Assurance Company, Amory, Mississippi.
Category: Stock purchase.
(Explanation: Enclosed please find a copy of a letter from American Funeral Assurance Company indicating how stock is purchased on a quarterly basis in lieu of dividends.)

On your Checklist - Form A, you asked that we list under TRANSACTIONS the acquisition of the additional shares of AFA stock. In response, I have enclosed another letter from AFA setting out the particulars of a stock split which resulted in the additional 1818 shares. In reviewing the General Guidelines, I now realize that this stock split should be reported on the 1989 report instead of on the current report.

Thank you for your assistance in making these amendments to the report.

Sincerely,

Mike Parker

Mike Parker
Member of Congress

MP:rw

AMERICAN FUNERAL
Assurance Company

P. O. Drawer 427 / Amory, MS 38821-0427 / Phone (Inside Mississippi) 1-800-962-1233
(Outside Mississippi) 1-800-633-1385

OFFICERS
WILLIAM E. PROUS
President
Tupelo
W. M. TISDALE
Senior Vice-Pres.
Aberdeen
D. A. BAKER
Comptroller
Exec. Vice-Pres.
Amory
PAT T. NUNNELEE, C.L.U.
Sales Mgr. &
Exec. Vice-Pres.
Tupelo
GUY R. PICKLE
Secy. & Treas.
Amory
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LEE A. BREELAND, JR.
Jackson
DON BROWNING
Water Valley
BILLY H. HARPER
Grenada
W. L. McBRIDE, JR.
Ripley
LELAND B. HORMAN, JR.
Houston
WILLIAM E. PROUS
Tupelo
R. M. RIEMANN
Gulfport
WAYNE SENTER
Fulton
W. M. TISDALE
Aberdeen
WILLARD WATERS
Baldwyn
JAMES WISE
Eupora

July 1, 1988

Mr. Mike Parker
Brookhaven Funeral Home
P. O. Box 926
Brookhaven, Mississippi 39601

STOCK PURCHASE

We wish to advise you that your 3/12 of 15% Reserve Payment for the Second Quarter of 1988 was $49.67 and you have a previous balance of $14.46 for a total of $64.13.

$50 has been applied to the purchase of 1 shares of stock at $50.00 per share.

The remaining $14.13 has been placed in a separate stock purchase account. This can be applied to the purchase of stock at the end of the Third Quarter of 1988, along with the amount of your Third Quarter 1988 3/12 of 15% Reserve Payment.

Your Stock Certificate will be issued in the near future.

If you should have any questions, please contact us.

DAB

D. A. BAKER
EXECUTIVE
VICE PRESIDENT

sw

89370291529

AMERICAN FUNERAL
Assurance Company

P. O. Drawer 427 / Amory, MS 38821-0427 / Phone (Inside Mississippi) 1-800-[illegible]
(Outside Mississippi) 1-800-[illegible]

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W. M. TISDALE
Senior Vice-Pres.
Aberdeen
D. A. BAKER
Comptroller
Exec. Vice-Pres.
Amory
PAT T. HUNNELEE, C.L.U.
Sales Mgr. &
Exec. Vice-Pres.
Tupelo
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Secy. & Treas.
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S. M. REIMANN
Gulfport
WAYNE SENTER
Fulton
W. M. TISDALE
Aberdeen
WILLARD WATERS
Baldwyn
JAMES WISE
Sepora

April 26, 1989

Paul M. Parker or
Rosemary P. Parker
1725 Longworth House Off Build
Washington, DC 20515

STOCK SPLIT

On April 12, 1989, we received final regulatory approval to change our authorized shares to 3,000,000 and the par value per share to $1.00. All shareholders of record on March 22, 1989, the effective date, are entitled to nine (9) shares for each share held on the effective date.

We are enclosing your certificate number 2822 for 1818 new shares. Also, enclosed with this letter are labels which you are to use on your existing American Funeral Assurance Company share certificates.

Place the enclosed label over the statement on the certificate that reads "Authorized Capital Stock 300,000 Common Shares of $10.00 Par Value Each".

We appreciate your continued support.

Sincerely,

WE Pegues

William E. Pegues
President

df

Enclosures

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Stanford E. Parris
(Full Name)

2434 Rayburn House Office Building
(Mailing Address)
Washington, D.C. 20515

MC (Office Use Only)

15 2 36

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8th State Virginia

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Trustee fees, estate of Helen Calvert	fees	1799.93	None
Paylor Group, Mid-Atlantic	fees	18,000.00	None
Spousal income	sales commissions		None
National Association Temporary Services 12/8/88	honorarium	1,000.00	None
Unisys 7/27/88	honorarium	2,000.00	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
see attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
see attached	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
purchase and sale of listed securities	1988	C
exchange of partnership interest Thoroughfare Properties	1988	F
purchase of partnership interest Mathis Road Partnership	1988	F

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Fidelity Federal Savings and Loan, mortgage	C
Chevy Chase Savings Bank, mortgage	C
First Federal Savings and Loan of Panama City, mortgage	D
D.C. National Bank, personal	F
First Savings and Loan Assn. of [illegible] Grove, mortgage	D
First Virginia Bank, personal	C
Fauquier National Bank, personal	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
None	

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
General Partner	Thoroughfare Properties, Lee Street Associates, Mathis Rd. Partnership
Officer / Consultant	Paylor Group / Mid Atlantic
Limited Partner	Stone Tract Associates (Land Holding, Kiosk Building)
Limited Partner	Reddy Drive Limited Partnership, Park Center Associates II and Urban Improvement Fund

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Stanford Parris	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 3 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Stanford E. Parris
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II	Gannett Corporation 5/18/88 Honorarium 125.00 None		
	American Financial Services 3/15/88 Honorarium 1,000.00 None		
	Tobacco Institute 10/10/88 Honorarium 1,000.00 None		
	AAI Corporation 7/26/88 Honorarium 1,500.00 None		
	Unearned income:		
	D.C. National Bank	interest	D
	Burke and Herbert Bank	interest	D
	First Virginia Bank	interest	D
	Fairfax Bank and Trust Co.	interest	A
	Franklin Life Insurance Co.	interest	A
	sale of listed securities	capital gains	C
	Quarterdeck condominium unit	rent	A
	Ramsgate condominium unit	rent	B
	Hunting Creek condominium unit	rent	A
	various securities	dividends	A
III	Holdings:		
	Investment retirement account		B
	First Virginia Bank, CD		E
	Fairfax Bank and Trust, CD		C
	Burke and Herbert Bank, CD		D
	Quarterdeck Condominium Properties, Panama City, Fla.		D
	Ramsgate Condominium Properties, Panama City, Fla.		E
	Common Stock, First Commonwealth Corporation		C
	Shares, Federated Exchange Fund		E
	Shares, Washington Growth Fund		C
	Common Stock, Dominion Bankshares		C
	Thoroughfare Properties, unimproved real estate		
	Warrenton, Virginia, partnership interest		F
	Condominium, Huntington Ave., Alexandria, Virginia		D
	Single family residence, Gas City, Indiana		D
	United States Goverment Bonds and other securities		C
	Stone Tract Associates Land Holding Venture		
	unimproved real estate, Route 7, Alexandria, Va.		F
	Stone Tract Associates, Kiosk Building		E
	Urban Improvement Fund, multiple family		
	housing units, nationwide		C
	Reddy Drive Partnership, partnership interest		
	commercial property, 1820 Reddy Drive, Woodbridge, Va.		F
	Single family residence, Alexandria, Virginia		F
	Common stock, Paylor Group / Mid Atlantic		C
	Park Center II, commercial property, 4401 Ford Ave.,		
	Alexandria, Virginia		E
	Lee Street Associates, commercial property,		
	78 West Lee Street, Warrenton, Virginia		E
	Common stock, A and J Tool and Die		A
	Common stock, Ford Motor Company		C
	Common Stock, Perpetual Savings Bank		C
	Nuveen Municipal Fund, bonds		C
	Freddie Mac, preferred stock		C

ne North Jefferson
t. Louis, Missouri 63103
314) 289-3000

A.G. Edwards & Sons, Inc.
INVESTMENTS SINCE 1887

71 281582 013	347-20-6358	STANFORD E PARRIS 7121 MARINE DRIVE ALEXANDRIA VA 22307	01/01/88 TO 12/31/88
ORIGINAL			43-0895447
NORMAN B SCHROTT * (703) 548-5700			P O BOX 590 ALEXANDRIA VA 22313

FORM 1099-DIV . . . . DIVIDENDS AND DISTRIBUTIONS . . . . .OMB NO. 1545-0110

GROSS DIVIDENDS AND OTHER DISTRIBUTIONS ON STOCK 849.23

FORM 1099-INT. . . . . INTEREST INCOME . . . . OMB NO. 1545-0112

***** NO INTEREST INCOME TO REPORT *****

FORM 1099-B . . . . REPORTABLE CAPITAL TRANSACTIONS . . . .OMB NO. 1545-0715

TOTAL GROSS PROCEEDS FROM SECURITIES 49,043.78

. . . . CAPITAL TRANSACTIONS IN 1988 . . . .

ATE	ENTRY	QUANTITY	DESCRIPTION	CUSIP	AMOUNT
0/11	SOLD	1,000-	FORD MOTOR COMPANY	345370100	49,043.78CR

* TRANSACTIONS REPORTED TO THE IRS; AMOUNT INCLUDED IN 1099-B TOTALS

. . . . END OF STATEMENT . . . .

R 7 0 7 2 2 9 1 3 6 2

STAN PARRIS

Congress of the United States
House of Representatives
Washington, DC 20515

8th District
Virginia

COMMITTEE ON
BANKING, FINANCE AND
URBAN AFFAIRS

COMMITTEE ON THE
DISTRICT OF COLUMBIA
VICE CHAIRMAN

COMMITTEE ON INTERIOR
AND INSULAR AFFAIRS

SELECT COMMITTEE ON
NARCOTICS ABUSE
AND CONTROL

WASHINGTON OFFICE
2434 Rayburn House Building
Washington, DC 20515
(202) 225-4376

DISTRICT OFFICES
6901 Old Keene Mill Road, #101
Springfield, VA 22150
(703) 644-0004

14546 Jeff-Davis Hwy
Woodbridge, VA 22191
(703) 494-8199

June 1, 1989

HAND DELIVERED

1989 JUN -1 PM 3 55
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
OFFICE OF RECORDS & REGISTRATION

✓

MC

Committee on Standards of Official
Conduct
U.S. House of Representatives
Suite HT-2, U.S. Capitol
Washington, D. C. 20515

Gentlemen:

In accordance with your recent request concerning my 1988 Financial Disclosure Statement please be advised of the following clarifications in the way of amendments:

Part II B

"various securities"

Federated Exchange Fund	C
Nuveen Municipal Fund	A
Dominion Bankshares	A
Ford Motor	B
Growth Fund of Washington	A

"sale of listed securities" is shown on annual brokerage transactions report which was itemized and attached to the original report

Part IV

"Transactions"

Freddie Mac stock was erroneously listed.
Thoroughfare Properties was exchanged for Mathis Road Partnership interest.

Yours very truly,

Stan Parris

Stan Parris
Member of Congress

HAND DELIVERED

89070212-60

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

RECEIVED
OFFICE OF RECORDS & REGISTRATION
989 JUN 19 PM 4:47
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

FORM A—For use by Members, officers, and employees

Charles Pashayan, Jr.
(Full Name)
203 Cannon Building
(Mailing Address)
Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 17th State California

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

3 9 0 / 0 2 9 2 3 6 1

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Shawstone Investment Co., Inc.	Director's Fees	$4,400	
American Nuclear Energy Council	Honorarium May 19 '88	1,000	Charity

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
New York Life Insurance Co.	Interest	A
Congressional Federal Credit Union	Dividends	A
Shawstone Investment Co., Inc.	Dividends	G
Monturah Co.	Partnership Income	F
Allirish Co.	Interest	A
Saxon Oil Fund	Dividend	A
Private Residence, Washington, D.C.	Rental Income	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Congressional Federal Credit Union	C
25% interest in Monturah-partnership (real estate)	F(1)
.2160% interest in Saxon Oil Fund-stock	B(2)
12.5% interest in Allirish Co. Partnership-Investor (gas-oil lease)	B(2)
20% interest in Shawstone Investment Co. (real estate)	F
Private Residence, Washington, D.C.	D

8 9 0 7 0 2 9 2 3 6 2

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Shawstone Investment Co. - converted from	12-27-88	G
corporation to tenancy in common without		
change in interest		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
New York Life Insurance	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Shawstone Investment Co.
Partner	Monturah Co.
Partner	Allirish Co.
Partner (limited)	Saxon Oil Co. Fund

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	June 19, 1989

1) Value based on appraisal
2) Value based on ending capital account

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 198~~7~~8

FORM A—For use by Members, officers, and employees

Elizabeth J. Patterson
(Full Name)

1641 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

89 MAY 15 PM 4:55

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State SC

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1988 must file a Financial Disclosure Statement on or before May 15, 1988.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of January 1, 1987, for a period in excess of 60 days in calendar year 1987 shall file a Financial Disclosure Statement on or before May 15, 1988, if he or she continues to be such an officer or employee on May 15, 1988, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of May 15, 1988.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1987 shall file a Financial Disclosure Statement on or before May 15, 1988, if he or she continues to be such an employee on May 15, 1988.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 198~~7~~8 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions: the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1987 LIMIT FOR MEMBERS IS $25,804.90 for incumbent Members and $23,878.49 for Members first taking office in January 1987. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Conservatorship of Olin D. Johnston, Jr.	11/21/88	Commission	$1,478.52	None
Perrin,Perrin,Mann & Patterson (spouse)	Monthly	Partnership	income	None
Library St. Title Insurance Agency (spouse)	Monthly	Partnership	income	None
General Partners (spouse)	Monthly	Partnership	income	None
(See Continuation Sheet)				

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1987. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Winn Dixie Stores, Inc.	Dividends	D
The Palmetto Bank	Dividends	A
The Palmetto Bank (spouse & children)	Dividends	D
The Palmetto Bank	Interest	A
The Palmetto Bank (spouse & children)	Interest	B
NBSC Corporation (child)	Dividends	A
(See Continuation Sheet)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1987, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
The Palmetto Bank - Stock (spouse & children)	F
NBSC Corporation - Stock (child)	A
Seibels Bruce Group, Inc. - Stock (children)	A
Pride Pipeline Company - lease	A
Winn Dixie Stores, Inc. - Stock	E
(See Continuation Sheet)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1987, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1987, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1987.

SOURCE	BRIEF DESCRIPTION
Chinese Culture University	Travel, lodging, and food in connection with trip to Taiwan

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1987.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1987, 8 is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1987.

SOURCE	BRIEF DESCRIPTION
The Tobacco Institute provided air fare, meals, and hotel for self and spouse for speaking engagement in Palm Springs, California.	(Air Fare was one way only).

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Board of Trustees of Wofford College

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1988	S.C. General Assembly Retirement Plan	Vested interest in state retirement plan

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Liz Patterson	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Elizabeth J. Patterson
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II. A.	American Subcontractors Assn.	9-7-88	Honorarium	$ 500.00	None
	The Liberty Corporation	9-1-88	Honorarium	1,000.00	None
	Johnsonville United Methodist Church	11-17-88	Honorarium	200.00	To Church
	Spartanburg Methodist College	7-15-88	Honorarium	300.00	To College
	Spartanburg Technical College	8-18-88	Honorarium	200.00	To College
	Greenville Board of Realtors	2-19-88	Honorarium	200.00	None
	International Management & Development Institute	1-8-88	Honorarium	1,000.00	None
	The Tobacco Institute	1-12-88	Honorarium	1,000.00	None
	National Council of Savings Institutions	2-26-88	Honorarium	1,000.00	None
	Siemens Capital Corporation	2-23-88	Honorarium	1,000.00	None
	Securities Industries Assn	3-31-88	Honorarium	2,000.00	None
II. B.	The Seibels Bruce Group, Inc. (Children)		Dividends	A	
III.	1/3 interest in 237 acre farm, Laurens Co, SC (spouse)			D	
	1/2 interest in vacant lot, Spartanburg, SC			A	
	1/4 interest in General Partners - Real Estate Partnership (spouse)			E	
	1/5 interest in Perrin, Perrin, Mann, & Patterson Law Firm (spouse)			C	
	1/5 interest in Library St. Title Insurance Agency Partnership (spouse)			A	
	The Palmetto Bank Savings Accounts (children)			C	
	Eastman Kodak Company - stock (child)			A	
	Mobil Corporation - stock (child)			B	
	The Coca-Cola Company - stock (child)			B	
	Florida Federal Savings & Loan Association - stock (child)			A	
	General Electric Company - stock (child)			B	
	National Liquid Reserve - money market (child)			A	

2497

89070291963

LIZ PATTERSON
for Congress

May 30, 1989

HAND DELIVERED

OFFICE OF RECORDS & REGISTRATION
1989 MAY 30 PM 4:29
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

The Honorable Donnald K. Anderson
Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Dear Mr. Anderson:

Enclosed you will find the amended Financial Disclosure Statement concerning stocks bought by my husband from a custodian account for my son, Pat Patterson. Please note the changes.

If there is any additional information that you should need, please let me know.

Cordially,

Liz

Elizabeth J. Patterson
Member of Congress

EJP:maw

Enclosure

P. O. Box 1403
Greenville, S. C. 29602
803-235-1770

P. O. Box 1219
Union, S. C. 29379

P. O. Box 5564
Spartanburg, S. C. 29304
803-591-1051

8 9 0 AMENDED 9 1 9 6 1

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1987, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Spouse bought the following stocks for child from a custodian account:		
1. Eastman Kodak Company	8-19-89	A
2. Mobil Corporation	8-18-89	B
3. The Coca-Cola Company	8-22-89	B
4. Florida Federal Savings & Loan Assn.	8-19-89	A
5. General Electric Company	8-19-89	B
6. National Liquid Reserve (money market)	8-18-89	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1987, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1987.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1987.

SOURCE	BRIEF DESCRIPTION	VALUE

8 9 3 7 3 2 9 0 3 6 9

UNITED STATES HOUSE OF REPRESENTATIVES

MAY 14 1989

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

BILL PAXON
(Full Name)

360 CENTER STREET
(Mailing Address)

EAST AURORA, NEW YORK 14052

MC
(Office Use Only)

1989 MAY 18 AM 8:44
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 31 State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

8 9 0 7 1 2 9 0 3 5 9

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NEW YORK STATE ASSEMBLY	salary	42,570	
NEW YORK STATE ASSEMBLY	salary	6,435	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

8 9 0 7 1 2 9 0 3 7 0

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts aggregating $100 or more* in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

8 9 3 7 3 2 9 0 3 7 1

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Erie County Agricultural Society, Hamburg, N.Y.
Trustee	Saint Joseph's Collegiate Institute, Buffalo, N.Y.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-13-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension [illegible]cessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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MAY 15 1989

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A–*For use by Members, officers, and employees*

Donald M. Payne
(Full Name)

14 Bock Avenue
(Mailing Address)

Newark, New Jersey 07112

MC
(Office Use Only)

RECEIVED
1989 MAY 18 AM 8:41
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District 10 State New Jersey

☐ Officer or Employee–Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,086, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA __XX__

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
City of Newark, NJ	salary	$37,474.	
State of New Jersey	salary	1,880.	
Bellcore - 12/21/88	honorarium	2,000.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Conrail stock	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA XX

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Conrail stock	C
UTS Electronic Control stock	A
Laser Masters International stock	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	U.S. Committee for UNICEF
Trustee	Newark Boys' & Girls' Clubs
Director	UNA-USA, NJ Chapter
Director	Newark Day Center
Councilman	City of Newark, NJ
Director	Newark YMWCA

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Donald Payne	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

[illegible]

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—*For use by Members, officers, and employees*

LEWIS F. PAYNE, JR.
(Full Name)

19 SAWMILL CREEK ROAD
(Mailing Address)

WINTERGREEN, VIRGINIA 22958

MC (Office Use Only)

[illegible] MAY 12 PM 2:15

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5TH State VIRGINIA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE. AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
WINTERGREEN DEVELOPMENT, INC. 6/14/88	SALARY	138,029*	NONE
PAYNE, ROSS & ASSOCIATES ADVERTISING, INC. (SPOUSE)	SALARY		
MELBA INVESTORS SOUTHEAST 6/14/88	FEES	273,438*	NONE

*ALL EARNED INCOME EARNED PRIOR TO ELECTION TO CONGRESS ON JUNE 14, 1988.

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
FIDELITY INVESTMENTS	DIVIDENDS	A
MERRILL LYNCH	DIVIDENDS	B
MERRILL LYNCH	CAPITAL GAINS	C
HILL STONE, INC./BOHICKET MARINA REALTY	RENT	D
CIS EQUIPMENT TRUST	RENT	F
BEAVER CREEK	RENT	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
PEOPLES BANK OF CENTRAL VA. - INTEREST CHECKING	A
CENTRAL FIDELITY BANK - INTEREST CHECKING/MONEY MARKET	D
CRESTAR INTEREST CHECKING	A
FIDELITY INVESTMENT TAX FREE BONDS	B
CONSOLIDATED NATURAL GAS	A
FIDELITY INVESTMENTS PORTFOLIO - MUTAL FUND	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
200 SHARES BANKERS TRUST	7/8/88	B
200 SHARES NALCO CHEMICA.	7/8/88	B
SAN JUAN FIBERGLASS POOLS, INC.	12/23/88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
CRESTAR BANK, LINE OF CREDIT, CHARLOTTESVILLE, VA	D
CENTRAL FIDELITY, SHORT-TERM NOTES	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Virginia Military Institute	Speaking engagement, (1) day, Transportation only

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
DIRECTOR	NELSON COUNTY COMMUNITY DEVELOPMENT FOUNDATION
DIRECTOR	UNIVERSITY OF VA. REAL ESTATE FOUNDATION
MEMBER, BOARD OF DIR.	AVERETT COLLEGE
DIRECTOR	WINTERGREEN DEVELOPMENT, INC.
DIRECTOR	PATRICK HENRY BOYS HOME
MEMBER, BOARD OF DIR.	NATURE CONSERVANCY - STATE OF VIRGINIA

* See addendum

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Lewis F Payne Jr.

Date: 5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

L. F. PAYNE, JR.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. B.	UNEARNED INCOME:		
	PEOPLES BANK OF CENTRAL VIRGINIA	INTEREST	A
	CENTRAL FIDELITY BANK	INTEREST	D
	CRESTAR BANK	INTEREST	A
	GREAT PLAINS PARTNERSHIP	INTEREST	C
	WINTERGREEN DEVELOPMENT, INC.	INTEREST	D
	CHESAPEAKE TRADING CO., Ltd.	INTEREST	A
	M. L. LEE ACQUISITION FUND	INTEREST	B
	WINTERGREEN INVESTORS PARTNERSHIP	INTEREST	A
	ONE CITY PLACE LTD. PARTNERSHIP	DISTRIBUTION	LOSS
	GREAT PLAINS ASSOC. LTD. PARTNERSHIP	DISTRIBTUION	LOSS
	206 E. JEFFERSON STREET PARTNERSHIP	DISTRIBUTION	LOSS
	CHESAPEAKE TRADING CO. LTD.	DISTRIBUTION	LOSS
	GRAND BANKS 81 LTD.	DISTRIBUTION	A
	WINTERGREEN DEVELOPMENT, INC.	DISTRIBUTION	G
	BEAR SCRATCH PARTNERS	DISTRIBUTION	LOSS
	WINTERGREEN INVESTORS	DISTRIBUTION	LOSS
	WINTERGREEN DEVELOPMENT, INC.	ENDORSEMENTS	F
III.	HOLDINGS:		
	MERRILL LYNCH MONEY MARKET		C
	ROLLINS ENVIRONMENTAL - 200 SHARES STOCK		A
	206 E. JEFFERSON STREET - 50%-PARTNERSHIP- REAL ESTATE		C
	M.L. LEE ACQUISITION FUND- .004103 LIMITED PARTNERSHIP *		C
	GRAND BANKS PARTNERSHIP - .12% OWNERSHIP- OIL AND GAS		A
	GREAT PLAINS PARTNERSHIP - 1.5% OWNERSHIP- REAL ESTATE		E
	ONE CITY PLACE PARTNERSHIP - .17% OWNERSHIP- REAL ESTATE		D
	CIS EQUIPMENT TRUST - ASSET RENTAL		C
	WINTERGREEN INVESTORS PARTNERSHIP - 14.29% OWNERSHIP		B
	CHESAPEAKE TRADING PARTNERSHIP - 24% OWNERSHIP		B
	BEAR SCRATCH PARTNERS - 50% OWNERSHIP - REAL ESTATE		
	WINTERGREEN DEVELOPMENT, INC. - 35.5% OF OWNERSHIP		F
	REAL ESTATE:		
	1941 BOHICKET MARINA		E
	BEAVER CREEK CONDO		C
	WINTERGREEN HOME SITE		C
	DEVILS KNOB		D
	RETIREMENT TRUST - FIXED BENEFIT PENSION PLAN:		
	BANK ACCOUNT		E
	MARKETABLE SECURITIES - WHEAT FIRST SECURITIES		E
	NELSON COMMUNITY BANK		B
	GOVERNMENT SECURITIES		C
	REAL ESTATE - WINTERGREEN UNITS, WINTERGREEN, VA		D
	RHODES FARM HOUSE, WINTERGREEN, VA		E
	STONEY CREEK, WINTERGREEN, VA		D
	CRESTAR IRA		
	CRESTAR IRA - SPOUSE		
	LOAN - L.F. PAYNE FOR CONGRESS CAMPAIGN COMMITTEE		F

* PORTFOLIO INVESTMENTS

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1988
UNITED STATES HOUSE OF REPRESENTATIVES
Addendum to Financial Disclosure Statement

Part VIII

Position	Name of Organization
Limited Partner	Great Plains Partnership
Limited Partner	Chesapeake Trading Co., Ltd.
General Partner	206 East Jefferson Street
Limited Partner	ML-Lee Acquisition Fund, L. P.
Limited Partner	Grand Banks 81 Ltd.
General Partner	Bear Scratch Partners
General Partner	Wintergreen Investors Partnership
Limited Partner	One City Place

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LEWIS F. PAYNE, JR.
5TH DISTRICT, VIRGINIA

PUBLIC WORKS AND
TRANSPORTATION COMMITTEE

SUBCOMMITTEES:
SURFACE TRANSPORTATION
AVIATION
ECONOMIC DEVELOPMENT

VETERANS' AFFAIRS COMMITTEE

SUBCOMMITTEES:
HOSPITALS AND HEALTH CARE
HOUSING AND MEMORIAL
AFFAIRS

Congress of the United States
House of Representatives
Washington, DC 20515

1118 Longworth Building
Washington, DC 20515
(202) 225-4711

Administrative Assistant
W. Fred Fletcher

District Offices
[illegible] H. Price, Manager
301 Post Office Building
Danville, VA 24541
Telephone (804) 793-1280
Toll-Free 1-800-[illegible]

[illegible], Manager
[illegible] Federal Building
103 South Main Street
Farmville, VA 23901
Telephone (804) [illegible]

May 24, 1989

RECEIVED
CLERK OF THE HOUSE [illegible]
1989 MAY 25 AM 7:20
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

Honorable Donnald K. Anderson
Clerk, House of Representatives
1036 Longworth HOB
Washington, DC 20515

Dear Donn:

This is in response to the letter, dated May 6th, from the Committee on Standards of Official Conduct, calling attention to an omission in my Financial Disclosure Report.

On Page 2, under the section on Holdings, we failed to check the proper box. The answer should be "No".

Thank you for calling this to my attention and we regret that this box was inadvertently overlooked.

Sincerely,

L. F. Payne Jr

Lewis F. Payne, Jr.

LFPJr:lch
cc: Committee on Standards of Official Conduct

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Don J. Pease
(Full Name)

143 East College Street, Apartment 409
(Mailing Address)

Oberlin, Ohio 44074

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 13 State Ohio

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
First Variable Rate Fund	interest	A
Wright Patman Credit Union	"	C
Lorain National Bank Agency Account	interest/dividends	A
Fidelity Ohio Tax-free fund	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __x__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See continuation sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Dublin Ohio School Bonds (purchase)	2/16/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National Venture Capital Association	Transportation, hotel and meals for member in connection with speech at Palo Alto, California

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member, Board of Directors	International Labor Rights Education and Research Fun

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 13, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Don J. Pease
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
IIA	American Assn. Of Equipment Lessors	Honorarium	$1,200	2/4/88	
	National Venture Capital Assn.	"	1,000	2/16/88	
	Owens Corning Fiberglass Co.	"	1,000	3/15/88	
	Insurance Information Institute	"	1,000	6/21/88	
	Public Securities Assn.	"	2,000	4/8/88	charity
	American Assn. of Advt. Agencies	"	2,000	7/14/88	
	National Marine Manufacturers Assn.	"	1,000	10/6/88	charity
	National Venture Capital Assn.	"	2,000	12/12/88	
	Case Western Reserve University	"	2,000	12/31/88	
	Los Angeles Times	Writing fee	200	1/88	
	Christian Science Monitor	Writing fee	100	3/1/88	
	" " "	Writing fee	100	7/13/88	
		Total:	$13,600		
III	Holdings	Category			
	Lorain National Bank Agency Account	B			
	a) Daily Interest Fund				
	b) Hamilton County Hospital Bonds				
	c) Tax-free Instruments Trust				
	d) Federated Growth Trust				
	e) Wright Money Market Fund				
	f) Dublin Ohio School Bonds				
	Wright Patman Credit Union	C			
	First Variable Rate Fund	A			
	Fidelity Ohio Tax-free Fund	A			

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

MAY 15 1989

1989 MAY 16 PM 2:13

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Nancy Pelosi
(Full Name)

2640 Broadway
(Mailing Address)

San Francisco, CA 94115

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 th State CA

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _XX_ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
The Key Improvement Co.	Honorarium	$1,000.	charity
Sheet Metal &Air Conditioning Contractors N.A.	Honorarium	1,000.	charity
Spouse : see attached Schedule			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bank of Los Angeles	sale of stock	C
Gemway Corp	liquidation proceeds	D
Robert Half Int	dividend	C
Spouse : see attached schedule		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached schedule	

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GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Bank of Los Angeles (sale of common stock)	5/18/88	A
Spouse : see attached schedule		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
Bank of America,S.F. CA	mortgage on rental property	D
Loyola Federal Savings, Balt. MD	mortgage on rental property	C
St. Paul Federal Savings,Chicago,IL	mortgage on rental property	F
Teacher's Insurance, NYC	mortgage on rental property	F
Spouse : Redwood Bank, S.F. CA	demand note	C
Union Bank, S.F. CA	line of credit	F
American SecurityBank,Wash.DC	line of credit	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Governors	World Center, S.F. CA
Board of Directors	The Organizing Institute, Pacific Grove, CA

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Nancy Pelosi	May 13, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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United States House of Representatives
Financial Disclosure Statement for 1988
Section II. Income of Nancy Pelosi's Spouse

A. Earned Income

Source	Type	Category
Financial Leasing Services, Inc.	salary	-
Container Programs Inc.	fees	-
Dancoor Inc.	commission	-
Solar Micro Utility P	management fee	-
Fairfield Associates	management fee	-
Moana Corporation	commission	-
Carey Corporation	management fee	-

B. Unearned Income

1. Stocks and Bonds

Cannon Express Inc.	sale of securites	D
Citicorp	dividend	A
GATX	dividend	B
Genway	dividend	C
Robert Half Int.	dividend	E
Software AG Systems	sale of securities	E
Software AG Systems	dividend	B
United Building Services	sale of securities	D
Zentec	interest	C

II. Business Interests and Investments

Bedford Hotel Assoc.	passive income	C
Borel Estate Company	passive income	D
Carey Corporation	liquidation proceeds	G
Carey International	passive income	E
Flynn Companies	liquidation proceeds	G
Genstar Rental Electronics	passive income	E
Juliana Hotel Assoc.	passive income	E
Nine Hundred One Battery Ltd.	passive income	B
PIA - Avenel	passive income	G
Potomac Investment Assoc.	passive income	G
San Francisco Hotel Assoc.	passive income	D
Sutter Hotel Assoc.	passive income	D

III. Real Estate

Alpine Place, Alpine Meadows, CA	rents	C
45 Belden Place, San Francisco, CA	rents	G
Farragut, Sea Colony, Bethany Beach	rents	D
40 Presidio Terrace, San Francisco, CA	rents	F
3239 Webster Street, San Francisco, CA	rents	E

IV. Savings Accounts

Bank of America. San Francisco, CA	interest	A
Hambrecht & Quist	interest	B
Union Bank, San Francisco, CA	interest	C

Page 1 of 2

United States House of Representatives
Financial Disclosure Statement for 1988
Section III. Holdings of Nancy Pelosi and Spouse

A. Common Stocks & Bonds

Company	Category
Adit Partners	D
Ashlar, Inc.	B
Allwaste Inc.	C
B.F. Enterprises	D
Bank of San Francisco	B
Cannon Express Inc.	B
Castelle, Inc.	B
Chalone, Inc.	C
Citicorp	A
Data Technology / Qume Corp	B
Electro Rent Corp.	D
Forum Systems, Inc.	C
GATI	C
Genway Corporation	C
Marine Transport Lines Inc.	A
McGrath Rentcorp	F
Modular Tech. Inc. / Miller Bldg. System	A
Read-Rite Corp.	B
Robert Half Int.	F
Satellite Info. Systems	C
Tellabs, Inc.	B
United Building Services Corp.	B
Zehtel Inc. 9.23 conv. deb.	C

B. Business Interests and Investments

Identity Description	Category
Auberge du Soleil, Ltd. Owns and operates hotel resort. Rutherford, CA	F
Bedford Hotel Associates Owns Hotel. San Francisco, CA	D
Borel Estate Company Owns Shopping Center. San Mateo, CA	E
Carey Corporation / Carey Associates L.P. Limousine business	E
Carey International Limousine Company	E
Charlottetown Associates, L.P. Owns office building. Baxter Street, Charlotte, N.C	C
Container Programs, Inc. Equipment leasing company. San Francisco, CA	F
Entertainment Express, Ltd. Operates cable television service. So. San Francisco, CA	E

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Page 2 of 2

United States House of Representatives
Financial Disclosure Statement for 1988
Section III. Holdings of Nancy Pelosi and Spouse

B. Business Interests and Investments (continued)

Identity Description	Category
Financial Leasing Services, Inc. Leasing and Investment company. San Francisco, CA	F
Forty Five Belden Corp. General Partner of Carey Assoc. L.P., San Francisco, CA	D
Juliana Hotel Associates Owns Hotel. San Francisco, CA	D
Nautilus Leasing Services Container leasing company. San Francisco, CA	D
Nine Hundred One Battery, Ltd. Owns office building. San Francisco, Ca	C
PIA-A Avenel Real Estate development. Potomac, MD	F
Potomac Investment Assoc. Real estate development company. Gaithersburg, MD	F
Presidio Partners Owns single family homes. Phoenix, AZ	B
San Francisco Hotel Assoc. Owns hotel. San Francisco, CA	D
San Ysidro Ranch L.P. Owns Resort hotel. Montecito, CA	E
San Ysidro Ranch Management Co. Manages resort hotel. Montecito, Ca	E
Solar Micro Utility P. Owns solar panels for domestic water heating. Los Angeles	E
Sutter Hotel Associtates Owns Hotel. San Francisco, CA	D

C. Real Estate

45 Belden Place, San Francisco, CA Four story commercial building	F
4 Alpine Place, Alpine Meadows, CA Condominium apartment	E
208 Farragut, Sea Colony, Bethany Beach, DE Condominium apartment	F
40 Presidio Terrace, San Francisco, CA Single family residence	F
3239 Webster Street, San Francisco, Ca Single family residence	F

D. Savings

Union Bank, San Francisco, CA	D
Bank Of America, San Francisco, CA	C
Hambrecht & Quist	C

United States House of Representatives
Financial Disclosure Statement for 1988
Section IV. Transactions of Nancy Pelosi's Spouse

Brief Description	Date	Category
3239 Webster St., S.F. (acquired rental property)	01/88	F
Adit Partners (purchased securities)	01/88	D
Read-Rite Corp. (purchased securities)	02/88	B
Castelle, Inc. (purchased securities)	03/88	B
Robert Half International (stock dividend)	03/88	C
Software AG Systems (purchased securities)	03/88	B
Ashlar, Inc. (purchased securities)	05/88	B
San Ysidro Ranch, L.P. (purchased partnership interest)	06/88	E
San Ysidro Ranch Management Co. (newly formed investment)	06/88	E
Data Technology (named changed to Qume Corp.	09/88	B
United Building Services Corp. (sold securities)	10/88	C
Cannon Express (sold securities)	10/88	B
Satellite Info. Systems (purchased securities)	10/88	C
Allwaste Inc. (purchase securities)	12/88	C
Flynn Companies (liquidation)	12/88	E
Perry's Restaurant II (bankruptcy)	12/88	C
Carey Corporation (corporate liquidation)	12/88	E
Carey Assoc., L.P. (formed to managed Carey Corp. interests)	12/88	E
Forty Five Belden Corp. (newly formed management for Carey Associates)	12/88	D

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Nancy Pelosi
U.S. Representative
Washington, D.C. 20515

1989 MAY 15 PM 4:29
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

May 15, 1989

✓
MC

Donn Anderson, Clerk
U.S. House of Representatives
1036 Longworth House Office Building
Washington, D.C. 20515-6512

Dear Donn:

Regarding my Financial Disclosure Statement for 1988 submitted today, please note that per the attached revised page 3 of the form, the following item should be included in Section V as a liability of "Nancy Pelosi's Spouse":

Identity	Category
Home Savings LA, CA, mortgage on rental property	F

Thank you.

Sincerely,

Nancy Pelosi

NANCY PELOSI

NP/cp

Enclosure

Not Printed at Government Expense

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IV. TRANSACTIONS

3

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Bank of Los Angeles (sale of common stock)	3/18/88	A
Spouse : see attached schedule		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
Bank of America, S.F. CA	mortgage on rental property	D
Loyola Federal Savings, Balt. MD	mortgage on rental property	C
St. Paul Federal Savings, Chicago, IL	mortgage on rental property	F
Teacher's Insurance, NYC	mortgage on rental property	F
Spouse : Redwood Bank, S.F. CA	demand note	C
Union Bank, S.F. CA	line of credit	F
American SecurityBank, Wash. DC	line of credit	F
Home Savings, L.A. CA	mortgage on rental property	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
None	

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION	VALUE
None		

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1989

FORM A—For use by Members, officers, and employees

Nancy Pelosi
(Full Name)

2640 Broadway
(Mailing Address)

San Francisco, CA 94115

✓
MC
(Office Use Only)

MAY 15 PM 5:01

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 th State CA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989. If he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO __XX__ NA ____

For more information, see detailed Instruction Booklet at page 7.

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GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
The Key Improvement Co.	Honorarium	$1,000	charity
Sheet Metal &Air Conditioning ContractorsN.A.	Honorarium	1,000	charity
Spouse : see attached Schedule			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bank of Los Angeles	sale of stock	C
Gemsey Corp	liquidation proceeds	D
Robert Half Int.	dividend	C
Spouse : see attached schedule		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See. Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached schedule	

9 0 7 [illegible]

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Bank of Los Angeles (sale of common stock)	5/18/88	A
Spouse : see attached schedule		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
Bank of America, S.F. CA	mortgage on rental property	D
Loyola Federal Savings, Balt. MD	mortgage on rental property	C
St. Paul Federal Savings, Chicago, IL	mortgage on rental property	F
Teacher's Insurance, NYC	mortgage on rental property	F
Spouse : Redwood Bank, S.F. CA	demand note	C
Union Bank, S.F. CA	line of credit	F
American SecurityBank, Wash. DC	line of credit	F
Home Savings, L.A. CA	mortgage on rental property	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Governors	World Center, S.F. CA
Board of Directors	The Organizing Institute, Pacific Grove, CA

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Nancy Pelosi	May 13, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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United States House of Representatives
Financial Disclosure Statement for 1988
Section II. Income of Nancy Pelosi's Spouse

A. Earned Income

Source	Type	Category
Financial Leasing Services, Inc.	salary	-
Container Programs Inc.	fees	-
Dancoor Inc.	commission	-
Solar Micro Utility P	management fee	-
Fairfield Associates	management fee	-
Moana Corporation	commision	-
Carey Corporation	management fee	-

B. Unearned Income

1. Stocks and Bonds

Cannon Express Inc.	sale of securites	D
Citicorp	dividend	A
GATX	dividend	B
Genway	dividend	C
Robert Half Int.	dividend	E
Software AG Systems	sale of securities	E
Software AG Systems	dividend	B
United Building Services	sale of securities	D
Zentec	interest	C

II. Business Interests and Investments

Bedford Hotel Assoc.	passive income	C
Duiul Estate Company	passive income	D
Carey Corporation	liquidation proceeds	G
Carey International	passive income	E
Flynn Companies	liquidation proceeds	G
Genstar Rental Electronics	passive income	E
Juliana Hotel Assoc.	passive income	E
Nine Hundred One Battery Ltd.	passive income	B
PIA - Avenel	passive income	G
Potomac Investment Assoc.	passive income	G
San Francisco Hotel Assoc.	passive income	D
Sutter Hotel Assoc.	passive income	D

III. Real Estate

Alpine Place, Alpine Meadows, CA	rents	C
45 Belden Place, San Francisco, CA	rents	G
Farragut, Sea Colony, Bethany Beach	rents	D
40 Presidio Terrace, San Francisco, CA	rents	F
3239 Webster Street, San Francisco, CA	rents	E

IV. Savings Accounts

Bank of America, San Francisco, CA	interest	A
Hambrecht & Quist	interest	B
Union Bank, San Francisco, CA	interest	C

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Page 1 of 2

United States House of Representatives
Financial Disclosure Statement for 1988
Section III. Holdings of Nancy Pelosi and Spouse

A. Common Stocks & Bonds

Company	Category
Adit Partners	D
Ashlar, Inc.	B
Allwaste Inc.	C
B.F. Enterprises	D
Bank of San Francisco	B
Cannon Express Inc.	B
Castelle, Inc.	B
Chalone, Inc.	C
Citicorp	A
Data Technology / Qume Corp	B
Electro Rent Corp.	D
Forum Systems, Inc.	C
GATX	C
Genway Corporation	C
Marine Transport Lines Inc.	A
McGrath Rentcorp	F
Modular Tech. Inc. / Miller Bldg. System	A
Read-Rite Corp.	B
Robert Half Int.	F
Satellite Info. Systems	C
Tellabs, Inc.	B
United Building Services Corp.	B
Zehtel Inc. 9.25 conv. deb.	C

B. Business Interests and Investments

Identity Description	Category
Auberge du Soleil, Ltd. Owns and operates hotel resort. Rutherford, CA	F
Bedford Hotel Associates Owns Hotel. San Francisco, CA	D
Borel Estate Company Owns Shopping Center. San Mateo, CA	E
Carey Corporation / Carey Associates L.P. Limousine business	E
Carey International Limousine Company	E
Charlottetown Associates, L.P. Owns office building. Baxter Street, Charlotte, N.C	C
Container Programs, Inc. Equipment leasing company. San Francisco, CA	F
Entertainment Express, Ltd. Operates cable television service. So. San Francisco, CA	E

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United States House of Representatives
Financial Disclosure Statement for 1988
Section III. Holdings of Nancy Pelosi and Spouse

B. Business Interests and Investments (continued)

Identity	Description	Category
Financial Leasing Services, Inc.	Leasing and Investment company. San Francisco, CA	F
Forty Five Belden Corp.	General Partner of Carey Assoc. L.P., San Francisco, CA	D
Juliana Hotel Associates	Owns Hotel. San Francisco, CA	D
Nautilus Leasing Services	Container leasing company. San Francisco, CA	D
Nine Hundred One Battery, Ltd.	Owns office building. San Francisco, Ca	C
PIA-A Avenel	Real Estate development. Potomac, MD	F
Potomac Investment Assoc.	Real estate development company. Gaithersburg, MD	F
Presidio Partners	Owns single family homes. Phoenix, AZ	B
San Francisco Hotel Assoc.	Owns hotel. San Francisco, CA	D
San Ysidro Ranch L.P.	Owns Resort hotel. Montecito, CA	E
San Ysidro Ranch Management Co.	Manages resort hotel. Montecito, Ca	E
Solar Micro Utility P.	Owns solar panels for domestic water heating. Los Angeles	E
Sutter Hotel Associtates	Owns Hotel. San Francisco, CA	D

C. Real Estate

45 Belden Place, San Francisco, CA	Four story commercial building	F
4 Alpine Place, Alpine Meadows, CA	Condominium apartment	E
208 Farragut, Sea Colony, Bethany Beach, DE	Condominium apartment	F
40 Presidio Terrace, San Francisco, CA	Single family residence	F
3239 Webster Street, San Francisco, Ca	Single family residence	F

D. Savings

Union Bank, San Francisco, CA	D
Bank Of America, San Francisco, CA	C
Hambrecht & Quist	C

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United States House of Representatives
Financial Disclosure Statement for 1988
Section IV. Transactions of Nancy Pelosi's Spouse

Brief Description	Date	Category
3239 Webster St., S.F. (acquired rental property)	01/88	F
Adit Partners (purchased securities)	01/88	D
Read-Rite Corp. (purchased securities)	02/88	B
Castelle, Inc. (purchased securities)	03/88	B
Robert Half International (stock dividend)	03/88	C
Software AG Systems (purchased securities)	03/88	B
Ashler, Inc. (purchased securities)	05/88	B
San Ysidro Ranch, L.P. (purchased partnership interest)	06/88	E
San Ysidro Ranch Management Co. (newly formed investment)	06/88	E
Data Technology (named changed to Qume Corp.	09/88	B
United Building Services Corp. (sold securities)	10/88	C
Cannon Express (sold securities)	10/88	B
Satellite Info. Systems (purchased securities)	10/88	C
Allwaste Inc. (purchase securities)	12/88	C
Flynn Companies (liquidation)	12/88	E
Perry's Restaurant II (bankruptcy)	12/88	C
Carey Corporation (corporate liquidation)	12/88	E
Carey Assoc., L.P. (formed to managed Carey Corp. interests)	12/88	E
Forty Five Belden Corp. (newly formed management for Carey Associates)	12/88	D

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OFFICE OF RECORDS [illegible]
1989 JUN 14 PM 2:07

NANCY PELOSI

June 12, 1989

The Clerk, U. S. House of Representatives
Office of Records and Registration
1306 Longworth Office Building
Washington, D.C. 20515 6612

MC

Dear Sir:

Regarding my Financial Disclosure Statement for 1988 submitted May 15th, please note that the following amendment and correction should be made to the Statement:

1. Section III, Holdings of Nancy Pelosi and Spouse. The following item should be included in this section:

Identity Description	Category
Genstar Rental Electronics Equipment leasing company (AKA Wm. Rollnick & Co.)	E

2. Section IV, Transactions of Nancy Pelosi's Spouse

Item: Software A G Systems 03/88 B
Description should have been sold, rather than purchased securities

Thank you.

Sincerely,

Nancy Pelosi
Nancy Pelosi

NP/sts

45 Belden Place · San Francisco, CA 94104 · (415) 788-4027

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Timothy J. Penny
(Full Name)

436 Cannon
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

1989 MAY -8 PM 4: 14

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State MN

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- **Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.**
- **Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.**
- **Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.**

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Rochester Technical Institute 6/6/88	Honorarium	$150	Charity
National Retail Merchants Assn. 5/19/88	"	$1,000	
International Mass Retail Assn. 8/1/88	"	$1,000	
National Assn. Fin. Aid Admin. 8/17/88	"	$2,000	
National Fed. of Ind. Businessmen 3/16/88	"	$350	
Associated Specialty Contractors 5/2/88	"	$500	
Dow Jones and Co. 7/20/88	"	$200	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Residential Property	Rent	B
215 Birch Street, New Richland, MN		
Wright-Patman Congressional Credit Union	Interest	A
Washington, D.C. 20515		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ [✓ NO] NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Residential Property	C
215 Birch Street, New Richland, MN	
Savings Account	B
Wright-Patman Congressional Credit Union	
Washington, D.C. 20515	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Residential Property	C
215 Birch Street, New Richland, MN	
-Owed to Estate of Joe Stadulski	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National Assn. of Financial Aid Administrators	
provided airfare Minneapolis-Denver-Minneapolis	
in connection with speaking engagement.	8/17/88

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Co-Chairman	Americans for Generational Equity

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Timothy J. Penny	5/8/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Claude D. Pepper
(Full Name)

2121 N. Bayshore Drive # 419
(Mailing Address)

Miami, Florida 33137

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 18 State Florida

☐ Officer or Employee—Employing Office ______________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,098, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA __X__

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Scheduled Attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Scheduled Attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA __X__

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Scheduled Attached	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Involuntary sale of shares of Florida Commercial	3/88	E
Stock		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Southwestern Life Insurance	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Gephardt for President Committee	Reimbursement of Travel Expenses

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Of Counsel	Marshon, Sawyer, EtAl.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
12/31/78	Clements, Hopkins & Weaver - No Income 1988	Buy out agreement (Former Law Partnership)

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Claude Pepper	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Claude D. Pepper
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II-A	Earned Income:	
	U.S. Government - Social Security Benefits	$14,916.00
	Mershon, Sawyer Et Al-Professional Fee	$26,850.00
	Book Sales (Excluded, Rule 47, Sec.D)	$ 715.02
	Honorariums:	
	Commonwealth of Mass.	$ 1,500.00
	Pacific Presbyterian Church	$ 500.00
	Both assigned to the Mildred & Claude Pepper	
	Foundation, A Charitable Organization	
II-B	INTEREST:	
	Southeast Bank	C
	Internal Revenue Service	C
	DIVIDENDS:	
	Equitable	A
	Woodmen of the World	A
	Jefferson National	A
	U.S. Government	A
	Pershing Industries	A
	CAPITAL GAINS:	
	Sale of Stocks	G
III	HOLDINGS:	
	Southeast Bank	C
	LaGorce Country Banks	A
	Jefferson Bancorp	C
	Peoples Hialeah National Bank (Eastern Nat'l Bk)	A
	Pershing Industries	A
	Thor Corporation	A
	State of Israel Bond	A
	Lot Wakulla County, Florida	A
	Cottage, Lake Bradford Shores, Florida	A
	MCNB	C
	Sergeant at Arms	C

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—*For use by Members, officers, and employees*

Carl C. Perkins
(Full Name)

1004 Longworth HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7th State KY

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
DID NOT ACCEPT HONORARIUMS IN 1988			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bank of Hindman	Dividend	B
Gross Income - Sale of Horses		C
Gross Income - Sale of Cattle		C
Gross Income - Sale of Tobacco		B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Bank of Hindman - Stock	E
269 acre farm, Georgetown, KY	F
Hanover Townhouse Subdivision, Lexington, KY	E
1/2 und. interest, 30 Acres, Hollybush, KY	A
1/2 und. interest, 35 Acres, Dick Hall Branch	A
1/3 und. interest, 15 acres, Dyer Branch, Knott Co.	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
First Nat'l. Trading Corp. - Copper Options Purchased	10/08	A
Prudential Bache - PUT Options Purchased	10/21	B
Prudential Bache - PUT Options Purchased	10/29	A
First Nat'l. Trading Corp. - Copper Options Purchased	11/11	B
Prudential Bache - Sold Options	11/30	B
Prudential Bache - Sold Options	12/28	B
Sold Lot 248, Hiawatha Trail Property	2/24	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Federal Land Bank Assn. of Lexington - Mortgage	F
Commerce Nat'l. Bank - Mortgage	E
Liberty Nat'l. Bank - Business & Personal	E
Verna J. Perkins - Business	E
Bank of Hindman - Business	F
Citizens Deposit Bank - Business	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Tobacco Institute January 11-13	Airfare, lodging, ground trans.
(Palm Springs, California)	& meals to attend seminar
Electronics Institute Assn. 4/4-7/88	Airfare, lodging, ground trans.
	& meals to attend seminar in
	Captiva Islands, Florida

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Administrator	Estate of Carl D. Perkins

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Carl C. Perkins
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	63.82 acres tract, Georgetown, KY	Category D
III	143.26 acres, Ammerman Lane, Scott Co.	Category E
III	1/2 int. in 88 acres, Leburn, KY	Category C
III	Estate of Jim Perkins Trust	Category A
III	Horses	Category D
III	Cattle	Category C
III	Tobacco	Category B
V	Estate of Carl D. Perkins - Business	Category A
V	Peoples Bank & Trust - Business	Category C
V	1st Commonwealth Bank - Business	Category B

Additional information: This financial disclosure statement includes a partial distribution of property inherited with the passing of Congressman Carl D. Perkins. To date, limited distribution of assets has been made.

HAND DELIVERED 9 1 5 3 1

CARL C. PERKINS
7TH DISTRICT, KENTUCKY

1004 Longworth Building
Washington, DC 20515
(202) 225-4935

COMMITTEES
EDUCATION AND LABOR
SCIENCE, SPACE, AND TECHNOLOGY

Congress of the United States
House of Representatives
Washington, DC 20515

May 23, 1989

[illegible] MAY 23 PM 1:09
U.S. [illegible]

Committee on Standards of
Official Conduct
Room HT-2, The Capitol

MC ✓

To Whom It May Concern:

In reviewing my 1988 Financial Disclosure Statement filed on May 15, 1989, the transactions listed under Section IV, Transactions, were listed incorrectly, except for Lot 248, Hiawatha Trail Property. All transactions in Section IV, except for the Hiawatha Trail Property, should be deleted as these were purchased and sold during Calendar Year 1987 and was reported on my Financial Disclosure Statement filed on May 5, 1988.

With best wishes,

Sincerely,

Carl C. Perkins, M.C.

CCP/ma

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

1989 APR 26 AM 8:11

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Thomas E. Petri
(Full Name)

Rt #3
(Mailing Address)

Fond du Lac Wisc 54935

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 6 State Wisc

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Mortgage Bankers Assoc. of America, [illegible]	[illegible]	N.A.	
Washington Times Fall 88	Payment for Article	[illegible]	Donated to Charity
Food Service & Lodging Inst. 10/5/88	Honorarium	500	" " "
Wisconsin Power & Light 10/6/88	"	[illegible]	" " "
Int'l Mass Retail Assoc. 2/24/88	"	1,000	" " "

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wisconsin Retirement Acct.	Interest & Dividends	C
[illegible] Credit Union	Interest	A
[illegible] Credit Union	"	B
[illegible] Pension Fund	"	C
" " Savings Acct.	"	B
Strong Total Return Fund, Inc.	Interest & Dividends	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
R & P Partnership [illegible]	D
R & P Partnership [illegible]	B
[illegible] Corp ([illegible]) - Stock	F
[illegible]	C
[illegible] Co. Stock	E
[illegible] Co. Stock	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Valley [illegible] Stock [illegible], [illegible]	[illegible]	B
Williamson Co. " " "	" "	" B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
[illegible] & Co. [illegible] Acct.	C
1st. Williamson [illegible] Bank, [illegible]	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Rochester, N.Y. U.N. Assoc.	Travel to & from Speech at Rochester, N.Y.
[illegible] Company	Travel and Speech at [illegible] in D.C.
Nat'l Apartment Assoc.	Travel to & from Speech at Chicago [illegible]
Royal Society - [illegible] Conference	Travel to & from Speech & Conference in London
R.B.C. Associates	Travel to & from Speech & Conference at [illegible]
U.N. Assoc. of the U.S.	Travel to & from N.Y. and [illegible] at U.N. [illegible]

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative; employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	N & P Development, Rental Real Estate Firm in [illegible], Wis.
"	R & P Development, " " " " " "
"	[illegible], [illegible] [illegible] Assoc, [illegible]

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	April 21, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

T. E. Petri
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II A.	Food Marketing Institute 9/23/78 Honorarium 1,000 Donated to Charity
II A.	Nat'l Restaurant Assoc. 11/[illegible]/78 "Speech" 1,500 " " "
II A.	Nat'l Retail Merchants Assoc 5/[illegible]/78 " 1,000 " " "
II B.	First Wisconsin Nat'l Bank Milwaukee Interest A
II B.	First Wisconsin Nat'l Bank Fond du Lac Dividends B
II B.	Wisconsin Communications, Interest A
II B.	[illegible] Corp Dividends A
II B	Castle & Cooke " A
II B	Walgreens " D
II B	Loans Interest E
II B	Firestone Corporation ([illegible]) Dividends E
II B	[illegible] Continental Corp " A
II B	1st Nat'l Bank of Boston ([illegible]) Interest B
II B	Commerce Clearing House Dividend B
II B	Valley Bank Corp. Dividends C
III	Castle & Cooke Stock C
III	[illegible] ~~[illegible]~~ Continental Corp C
III	Loans & 1st Nat'l Bank of Boston ([illegible]) Acct. C
III	Soo Line Railroad Stock C
III	Commerce Clearing House Stock D
III	Wisconsin Communications Bond B
III	Air Wisconsin Stock C
III	Wisconsin Retirement Account C
III	Federal Retirement [illegible] Fund D
III	Federal Retirement Savings Acct. B
III	[illegible] Term [illegible] Fund, Inc. IRA C
III	Valley Bank Corp Stock D
VII	Guarantor for a Continuing or Diminishing Term [illegible] [illegible] Air Park in Stock

GPO 888 [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Hon. Owen Bradford Pickett, M.C.
(Full Name)

1429 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

1989 MAY -2 PM 1:42
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Virginia

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. ***Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.***

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: *Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.*

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(See attached continuation sheet)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(See attached continuation sheet)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a *fair* market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __x__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(See attached continuation sheet)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
(See attached continuation sheet)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
(See attached continuation sheet)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

9 9 1 7 1 2 7 3 2 1 1

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
(See attached	continuation sheet)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
(See attached continuation sheet)		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-2-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 1 of 6

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Hon. Owen B. Pickett, M.C.

Continuation Sheet

Part

II.A.	Source	Type	Amount	Disposition
	The Brookings Institution (4/5/88)	Honorarium	$ 300	Charity
	Nat'l. Assn. of Postmasters of the United States (11/01/88)	Honorarium	1,000	Charity

* *

Part

II.B.	Source	Type	Category
	Lyle, Siegel, Croshaw & Beale (Formerly Lyle, Siegel, Drescher & Croshaw)	Payments pursuant to agreement in Part IX	E
	Brass Circle Associates	Interest	E
	Central Fidelity Bank	Interest	A
	Princess Anne Commercial Bank	Interest	D
	Dominion Bank	Interest	C
	Sovran Bank	Interest	A
	Beverly Enterprises	Interest	E
	Cranston Lane Associates	Interest and Rent	E
	Lynnhaven Station Company	Interest and Rent	F
	Centocor Partners, II, LP	Interest	A
		======	
	Seaboard Savings & Loan	Dividend	B
	Independent Banks of Virginia	Dividend	A
	Beverly Investment Properties, Inc.	Dividend	D
	Commerce Bank (spouse)	Dividend	A

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Hon. Owen B. Pickett, M.C.

Continuation Sheet

Part

II.B.	**Source**	**Type**	**Category**
	Toll South Associates	**Rent**	**C**
	MCI Telecommunications Corp.	**Rent**	**C**
	Caroline County, VA, farms	**Rent**	**D**
	Condo unit, Cape Henry Towers Virginia Beach, VA	**Rent**	**C**
	Harrell Farm Company	**Rent**	**A**
	Woods Corner Associates	**DSPI***	**B**
	(* DSPI = Distributive share of partnership income from sale of land.)		
	Timber sale		**F**
	GRA Independence Associates	**Capital gain**	**D**

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Hon. Owen B. Pickett, M.C.

Continuation Sheet

Part	Identity	Category
III.	Princess Anne Commercial Bank - savings (spouse)	B
	Beverly Investment Properties, Inc. - stock (spouse)	D
	Commerce Bank - stock (spouse)	A
	Dominion Bank - savings	C
	Central Fidelity Bank - savings	A
	Princess Anne Commercial Bank - savings	C
	Sovran Bank - money market account	A
	Beverly Enterprises - mortgage receivable	F
	Seaboard Savings & Loan Assn. - stock	D
	Independent Banks of Virginia - stock	D
	The Commonwealth Bank - stock	B
	Best Buy, Inc. - stock	C
	Freeport McMoran, Inc. - stock	C
	Carequest, Inc. - stock	C
	Peoples Bank of Virginia Beach - stock	C
	Magnolia Meadows Asiciates (Business: Mobile Home Park, Suffolk, VA)	D
	Lyle, Siegel, Drescher & Croshaw, P.C. (Business: Law firm, Virginia Beach, VA) [Name change 1-1-88: Lyle, Siegel, Croshaw & Beale]	C
	Harrell Farm Company (Business: Farm Investments, Virginia Beach, VA)	E
	Lynnhaven Station Company (Business: Rental of Commercial Offices Virginia Beach, VA)	F
	GRA Associates (Business: Apartment rental, Virginia Beach, VA)	C
	Cranston Lane Associates (Business: Ownership, rental, improvement and sale of real estate, Virginia Beach, VA)	F
	Brass Circle Associates (Business: Ownership, rental, improvement and sale of real estate, Virginia Beach, VA)	F
	Act B Partnership (Business: Ownership, rental, improvement and sale of real estate, Virginia Beach, VA)	E

Page 4 of 6

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Hon. Owen B. Pickett, M.C.

Continuation Sheet

Part

III.	Identity	Category
	Toll South Associates (Business: Ownership, rental, improvement, and sale of real estate, Virginia Beach, VA)	F
	Shipp's Corner Nursery Company (Business: Ownership, rental, improvement, and sale of real estate, Virginia Beach, VA)	E
	GRA Independence Associates (Business: Ownership, rental, improvement, and sale of real estate, Virginia Beach, VA)	C
	Condo unit, Cape Henry Towers Virginia Beach, VA	D
	Acreage, Caroline County, Virginia (795 acres + 328 acres [half-interest])	F
	Acreage, Hanover County, Virginia (26 acres [half-interest])	E
	Sylakama, Inc. (Business: Ownership, rental, improvement, and sale of real estate, Virginia Beach, VA)	E
	Centocor Partners II, L.P. (Business: Pharmaceuticals, Malvern, PA)	C
	Sherwood Crossing Associates (Business: Apartment construction and rental, Richmond, VA)	C

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Hon. Owen B. Pickett, M.C.

Continuation Sheet

Part IV.	Brief description	Date	Category
	Freeport McMoran, Inc. - stock bought	5/88	C
	Freeport McMoran, Inc. - stock sold	5/88	C
	Beverly Investment Properties, Inc. - stock bought (spouse)	5/88	D
	CareQuest, Inc.- stock bought	8/88	C
	Woods Corner Associates, Ltd. - stock sold, company liquidated	12/31/87	B

* *

Part V.	Brief description	Category
	Dominion Bank of Greater Hampton Roads, N.A. - Business loan Mortgage on the "Smith farm"	E
	E. D. & C. D. Proffitt - Mortgage	E
	Carlyle Allen - Mortgage	C
	Ashton Taylor - Mortgage	C
	Sovran Mortgage Corp. - Mortgage	C

Page 6 of 6

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Hon. Owen B. Pickett, M.C.

Continuation Sheet

Part

VIII.	Positions	Name of Organization
	Director	Lyle, Siegel, Croshaw & Beale
	Officer & Director	Sylakama, Inc.
	Partner	Harrell Farm Company
	Partner	Lynnhaven Station Company
	Partner	GRA Associates
	Partner	Brass Circle Associates
	Partner	Act B Partnership
	Partner	Toll South Associates
	Partner	Shipp's Corner Nursery Company
	Partner	GRA Independence Associates
	Partner	Magnolia Meadows Associates
	Partner	Centocor Partners II
	Partner	Sherwood Crossing Associates, L.P.
	Partner	Cranston Lane Associates

* *

Part

IX.	Date	Parties to	Terms of agreement
	12/31/86	Lyle, Siegel, Drescher & Croshaw (name changed to Lyle, Siegel, Croshaw & Beale on 01/01/88)	Continued payments for five years from the date of the agreement to buy out Member's interest in law firm.

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

J. J. Pickle
(Full Name)

242 Cannon HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

1989 MAY 15 PM 5:38

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10 State Texas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X____ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(ATTACHMENT A)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(ATTACHMENT B)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(ATTACHMENT C)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
(ATTACHMENT D)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NCNB Loan (formerly First Republic Bank) -- Mortgage	F
Note payable to Nolan Braun -- Mortgage	D
(paid in full 9-20-88)	
Note payable to John Joseph -- Mortgage	E
Note payable to 3900 Watson Place, Inc. --Mortgage	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
1) Mass. Mutual Life Insurance Co.	1) airfare to speak in Dallas
2) Public Securities Association	2) 2 days food and lodging plus airfare one-way for J.J. Pickle and Mrs. Pickle. (Speech to Public Securities Assoc. Legislative Seminar)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member, Bd of Trustees	Southwestern University, Georgetown, Texas
Member, Bd of Directors	U.S. Capitol Historical Society

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
JJ Pickle	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Congressman J. J. Pickle
Financial Statement - 1988

ATTACHMENT A

II. INCOME

A. EARNED INCOME

Social Security benefit recomputation	1,254
Social Security benefits (Mr. Pickle)	11,685
Social Security benefits (Mrs. Pickle)	3,477

Honoraria:

Council for the Advancement and Support of Education (CASE) (2-10-88)	300
Public Securities Assoc. (4-15-88)	2,000
U.S. Telephone Assoc. (4-26-88)	1,000
Mass. Mutual Life Insurance Co. (5-16-88)	1,000
Jones Day Reaves & Poage (7-13-88)	2,000
Washington Tax Associates (9-13-88)	1,000
American Trucking Assoc. (9-20-88)	1,000

J. J. Pickle

May 12, 1989
date

Congressman J. J. Pickle
Financial Statement - 1988

ATTACHMENT B

II. INCOME

B. UNEARNED INCOME

1. INTEREST INCOME

-First City Natl Bank	B
-First Texas Savings	A
-NCNB Money Market (formerly First Republic Bank)	B
-NCNB Investment Fund	B
-NCNB CDs	D
-NCNB IRAs	A
-Texas Commerce Bank CDs	D
-MBank Money Market	A
-MBank CD	B
-Bank of the Hills CD	A
-Congressional Federal Credit Union	B
-Paine Webber Tax Exempt Fund	E
-Paine Webber Cashfund	A
-RMA Tax Free Fund	C
-Trust Southwest	A
-Univ. of Texas Bond	E
-North Central Austin Bond	A
-Royce City School Bond	D
-Eanes School Bond	E
-Lake Travis School Bond	D
-Irving, Texas, Bond	D
-LCRA Bond	D

Congressman J. J. Pickle
Financial Statement - 1988

ATTACHMENT B (Cont.)

-Arlington, Texas, Bond	C
-North Tx. State Univ. Bond	D
-Veterans Admin. Life Insurance Policy	A
-Richard McCarroll note	D

2. DIVIDENDS

-First Republic Bank Stock	A
-Okla. Gas & Electric Stock (sold 3-8-88)	A
-Halliburton Stock	A
-Texas Eastern Stock	A
-Paine Webber MFS Multimarket Fund	D
-Paine Webber Asset Allocation Fund	C
-Paine Webber MFS Intermediate Fund	B
-Nuveen Municipal Fund	A

3. CAPITAL GAINS

-Sale of Okla. Gas & Elect. stock	D

4. OTHER

-Damson Oil Royalty	A
-Lease of land for grazing	A
-Income from livestock	B

JJ Pickle
J. J. Pickle

May 12, 1989
date

Congressman J. J. Pickle
Financial Statement - 1988

ATTACHMENT C

III. HOLDINGS (value as of 12/31/88 or date of sale)

-First City Bank CD	C
-First Texas Savings	B
-NCNB Money Market (formerly First Republic Bank)	D
-NCNB Investment Fund	C
-NCNB CDs	E
-NCNB IRAs	B
-Texas Commerce CDs	E
-MBank Money Market	B
-MBank CD	C
-Bank of the Hills CD	C
-Congressional Fed. Credit Union	C
-Paine Webber Tax Exempt Fund	E
-Paine Webber Cashfund	B
-Paine Webber MFS Multimarket Fund	C
-Paine Webber Asset Allocation Fund	D
-RMA Tax Free Fund	C
-Nuveen Municipal Fund	B
-MFS Intermediate Fund	C
-Trust Southwest	B
-Fidelity Bankers Life Ins. Annuity	C
-Univ. of Texas Bond	E
-North Central Austin Bond	B
-Royce City School Bond	D
-Eanes School Bonds	E

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Congressman J. J. Pickle
Financial Statement - 1988

ATTACHMENT C (Cont.)

-Lake Travis School Bond	D
-Irving, Texas, Bond (sold 9-15-88)	D
-LCRA Bond	D
-Arlington, Texas, Bond	D
-North Tx. State Univ. Bond	D
-Grapevine-Colleyville Bond	C
-Okla. Gas & Elect. Stock (800 shares) (sold 3-8-88)	C
-Texas Eastern Stock (100 shares)	A
-Halliburton Stock (215 shares)	B
-Koch Oil Royalty	A
-Damson Oil Royalty	A
-Lot near Lake LBJ	C
-81.95 acres near Niederwald, Tx.	E
-41.3 acres near Neiderwald, Tx.	D
-Half interest in 49.8 acres near Niederwald, Tx	C

JJ Pickle
J. J. Pickle

May 12, 1989
date

89070282159

Congressman J. J. Pickle
Financial Statement - 1988

ATTACHMENT D

IV. TRANSACTIONS

SOLD:

-800 shares Olka. Gas & Elect. (3-8-88)	C
- Irving, Texas Bond (9-15-88)	D

PURCHASED:

-MFS Intermediate Fund (3-10-88)	C
-Nuveen Municipal Fund (7-21-88)	B
-Grapevine-Colleyville Bond (9-6-88)	C
-Bank of the Hills CD (6-1-88)	C

WORTHLESS STOCK:

-1350 shares First Republic Bank (bankruptcy - 7-29-88)	0

J J Pickle
J. J. Pickle

May 2, 1989
date

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

John Edward Porter
(Full Name)

1625 Sheridan Road
(Mailing Address)

Wilmette, Illinois 60091

MC (Office Use Only)

MAY 15 9 50

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District ______ State ______

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Individual Retirement Accounts	Interest, ex- empt from tax	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Law practice - inactive (furniture and equipment only	A
Individual retirement accounts	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Congressional Federal Credit Union, secured notes, unsecured note, line of credit	B
First Midwest Bank (formerly Continental Bank - Deerfield), unsecured note, partially secured note	C
Margaret C. Brown, line of credit	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Kemper Open Pro-Am Golf Tournament	Golf equipment and miscellaneous items	$316

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See attached sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board Member	Population Resource Center
President & Chrm	General Services Corporation
Owner	Furniture, equipment, files and library of law practice - inactive

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date 05-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Edward Porter
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. A.			
04-06-88	National Society of Professional Engineers	Honorarium	1,000
04-19-88	American Diabetes Assn., Inc.	Honorarium	2,000
05-02-88	Council of Engineering and Scientific Society Executives	Honorarium	1,000
05-19-88	Arthritis Foundation	Honorarium	1,000
05-24-88	Illinois Society of Professional Engineers	Honorarium	500
06-09-88	American Society of Association Executives	Honorarium	2,000
06-15-88	American Assn. of Clinical Urologists, Inc.	Honorarium	2,000
08-02-88	Illinois Bell Telephone Co.	Honorarium	2,000
09-08-88	Assn. of Sikh Professionals	Honorarium	2,000
11-10-88	Underwriters Laboratories, Inc.	Honorarium	2,000
02-16-88	Law Practice, amounts earned in 1988, gross (guardian fee only)		1,500
VII.	Interparliamentary Group for Human Rights in the Soviet Union	Travel, lodging & food, Berlin, W. Germany conference	
	Manatos & Manatos	Travel, New York, New York conference	
	Council of Engineering & Scientific Society Executives	Travel, lodging & food, Houston, Texas conference & speech	
	Queens College Foundation, Flushing, N.Y.	Travel, New York, New York commencement	
	American Urological Assn., Inc.	Travel, lodging & food, Boston, Mass. conference & speech	
	Greek Orthodox Diocise of N. & S. America	Travel & Lodging, New York, New York meeting	
	State of New York	Travel, Albany, New York conference & speech	

GPO: 1987 [illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Glendal (Glenn) William Poshard
(Full Name)

103 Valley Drive
(Mailing Address)

Carterville, Illinois 62918

MC
(Office Use Only)

U.S. HOUSE OF REPRESENTATIVES
OFFICE OF THE CLERK
MAY -5 PM 4:37

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 22 State Illinois

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of Illinois - Senate salary 1988	Salary	$35,000.	None
State of Illinois - Senate in Session 1988	Per Diem	4,800.	None
State of Illinois - Senate Travel	Mil. Reim	4,770.	None
Betty Jo Poshard - Wife, Carterville Elem. School	Salary	23,000.	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001–$2,500; C—$2,501–$5,000; D—$5,001–$15,000; E—$15,001–$50,000; F—$50,001–$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
State Farm Ins. Co., Bloomington, Ill.	Interest	A
AG Edwards & Sons, Inc., St. Louis, Mo.	Dividends	A
Carterville St. & Savings Bank, Carterville, Illinois	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001–$15,000; C—$15,001–$50,000; D—$50,001–$100,000; E—$100,001–$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Annuity - Columbus Mutual Life Ins. Co., Columbus, Ohio	C
Annuity - Columbus Mutual Life Ins. Co., Columbus, Ohio	C
State of Illinois Deferred Compensation Program	B
IRA - Glenn Poshard - King City Federal S & L, Marion, Ill.	C
IRA - Betty Jo Poshard - King City Federal S & L, Marion, Ill.	C
Municipal Bonds - Imit 95 - Bradford Trust Co., New York, NY	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/5/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Glendal (Glenn) William Poshard
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III. Holdings	Investment Income - First Investors Tax Exempt Fund, NY, NY	A
	Stock - Universal Convection Corp., Metropolis, Ill.	A
	Stock - Southern Ill. Schools Credit Union, Herrin, Ill.	A
	Retirement Funds - Betty Jo Poshard - Ill. Teachers Ret. Sys.	C
	Retirement Funds - Glenn Poshard - Ill. Teachers Ret. System	C
	Retirement Funds - Glenn Poshard - Ill. Gen. Assembly Ret. System	B
	Retirement Funds - Glenn Poshard - Universities Ret. System	B

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

[illegible] MAY 11 PM 10:31

FORM A—For use by Members, officers, and employees

David E. Price
(Full Name)

106 Collums Road
(Mailing Address)

Chapel Hill, N.C. 27514

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State NC

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Congressional Quarterly Press	Royalty	626.65	
Duke University	Tuition offset		
		6510	
(see attached)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Home Savings & Loan	Interest	B
Western Savings & Loan	Interest	A
First Nationwide	Interest	A
National Liquid Reserves	Dividend	A
N.C. Eastern Municipal Power Agency	Dividend	A
Northwest Mutual Life Insurance	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Certificate of Deposit - Home Savings & Loan	C
Certificate of Deposit - Western S&L	B
Certificate of Deposit - First Nationwide	B
National Liquid Reserves - Units	C
American Precision Industries - Common Stock	A
N.C. Eastern Municipal Power Agency - Revenue Bond	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Professor (on sabbatical leave)	Duke University

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1988-90	Duke University	Leave of absence (unpaid)

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature David E. Price	Date 5/9/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

David E. Price
Continuation Sheet

Part IIA.

Bank Capital Markets Assoc (received 1/27)	Honorarium	1000	None
U.S. League of Savings Institutions (2/3)	Honorarium	1500	None
Institute of International Bankers (2/22)	Honorarium	1000	None
Natl Assoc of Credit Unions (3/8)	Honorarium	500	None
Consumer Bankers Assoc (4/12)	Honorarium	2000	None
Natl Assoc of Independent Insurers (5/13)	Honorarium	500	None
Chicago Mercantile Exchange (5/26)	Honorarium	500	None
Chicago Board of Trade (5/26)	Honorarium	500	None

Part VII.

2/1	U.S. League of Savings Institutions (speech)	travel from Raleigh, N.C. to Captiva Island, FL, return to Washington, D.C.; lodging, meals
4/11	Consumer Bankers Association (speech)	travel from Raleigh, N.C. to St. Louis, MO, return to Washington, D.C.; lodging, meals
5/13	Chicago Mercantile Exchange (speech)	travel from Washington, D.C. to/from Chicago
12/13	Consortium of Social Science Assocs (speech)	travel to Washington, D.C. from Raleigh, NC

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Carl D. Pursell
(Full Name)
1414 Longworth Bldg.
(Mailing Address)
Washington D.C. 20515

MC (Office Use Only)

MAY -4 PM 3 12

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State Michigan

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
wife salary, teacher Livonia, Michigan			
Public Schools			
Honorariums -see attachment			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
none		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Home and six acres of land	F
46200 North Territorial Road	
Plymouth, Michigan 48170	
Sears Roebuck & Company Stock	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
sold Hecla Mining Company Silver Certificates 250 shares	6-3-88	A
bought Sears Roebuck and Company stock 100 shares	6-3-88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see attachment	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Carl D. Pursell	May 4, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Carl D. Pursell
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A	1988 Honorariums		
	1-13-88	Edison Electric Institute	500.00
	1-20-88	Northwest Community College	500.00
	2-25-88	Capitol Associates	1000.00
	2-29-88	American College of OB/GYN	1000.00
	3-30-88	Pirelli Cablevision	1000.00
	4-22-88	Pacific Telesis	500.00
	5-1- 88	Assoc. of Schools of Public Health	500.00
	6-6- 88	College of American Pathologists	1000.00
	7-11-88	Illinois College of Optometry	1000.00
	9-12-88	Whitman Corporation	2000.00
VII	1988 Reimbursements		
	1-20-88	Northwest Community College Roundtrip air transportation Detroit, Michigan to Traverse City, Michigan	
	3-30-88	Pirelli Cablevision Roundtrip air transportation Washington D.C. to Kansas City, Missouri and two days food, lodging in connection with speaking engagement	

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

James H. Quillen
(Full Name)

102 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st. State TN

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,036, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of Tennessee Retirement	Pension	3,694	
IRA and Keough Retirement distributions -	Retirement Dist.	1,600	
Honoraria - Schedule attached	Honoraria	29,500	3,000 Charity
Johnson City Insurance Agency, Inc. - Spouse	Salary	10,000	
Model City Development Corp. - Spouse	Salary	2,400	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Citizens Union Corporation	Dividends	B
Dominion Bankshares	Dividends	C
Kingsport Development Co. Real Estate-Loans, Inc.	Dividends	D
Model City Investment Corporation	Dividends	A
Home Federal Savings and Loan Association	Dividends	B
Continued on continuation sheet -		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
125 shs - Executive Park National Bank	A
8,163 shs - Dominion Bankshares	E
100,000 shs - Pan Am Corp.	E
39,647 shs - Home Federal Savings & Loan	F
10,000 shs - Ice Dreams International	A
10,000 shs - Legume, Inc.	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Dissolution of Kingsport Development Co., Insurance, Inc.	1/1/88	F
Dissolution of Johnson City Insurance Agency, Inc.	5/1/88	F
Sale of Kingsport Development Co. Real Estate-Loans, Inc.	9/15/88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Passbook Loan - Heritage Federal Savings and Loan	D
Passbook Loan - Home Federal Savings & Loan	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Schedule Attached	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Schedule Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board Chairman	Stharco - Kingsport Development Co.
Board Chairman	Johnson City - Wofford Brothers Insurance Agency
Board Chairman	Model City Investment Corporation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
James H. Quillen	5/10/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James H. Quillen
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	Type	Category
II, B	Through broker, Hilliard-Lyons	Dividends	C
II, B	Through broker, J.C. Bradford & Co.	Dividends	A
II, B	Through Heritage Federal Savings & Loan - Trust Department	Dividends	C
II, B	Home Federal Savings and Loan	Interest	E
II, B	Heritage Federal Savings and Loan	Interest	D
II, B	First Tennessee Bank	Interest	B
II, B	Heritage Federal Savings and Loan - Trust Department	Interest	C
II, B	Through grantor Trust, First American Trust Corp.	Interest	F
II, B	Stharco, DBA Kingsport Development Co.	Interest	F
II, B	Johnson City-Wofford Brothers Insurance	Interest	F
II, B	Vernon G. Martizen	Interest	A
II, B	Internal Revenue Service	Interest	A
II, B	First Tennessee Bank - Spouse	Interest	A
II, B	Home Federal Savings & Loan - Spouse	Interest	D

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	Category
III	5,000 shs. Profit Technology, Inc.	C
III	Deposit for 33 shs. Contour Industries	C
III	1,000 shs. Model City Development Corp.	F
III	1,000 shs. HQ Office Supplies Warehouse	A
III	18,000 shs. Thomas Nelson, Inc. - Class A	E
III	1,845 shs. Thomas Nelson, Inc. - Class B	B
III	Assets in grantor trust, First American Trust Company	F
III	Land, Preston Forest area, Kingsport, TN	F
III	Notes receivable, Stharco - Kingsport Development Co.	F
III	Notes receivable, Johnson City-Wofford Bros. Insurance Agency	F
III	Non-competitive agreement Stharco - Kingsport Development Co.	F
III	Non-competitive agreement Johnson City-Wofford Bros. Insurance	F
III	Checking accounts, First Tennessee Bank	C
III	Checking account, First Tennessee Bank - Spouse	B
III	Savings accounts in Heritage Federal Savings & Loan	E
III	Assets in Heritage Federal Savings & Loan Trust Account	E
III	Savings accounts in Home Federal Savings and Loan	F
III	Savings accounts in Home Federal Savings and Loan - Spouse	E
III	Money Market account at J.C. Bradford & Co.	A
III	Savings account at FERS Thrift	B
III	Individual retirement accounts	B
III	Individual retirement accounts - Spouse	C
III	401-K retirement plan - Spouse	B
III	Notes receivable - Douglas M. Sizemore	E
III	Notes receivable - Vernon G. and Tammy Martizen	A

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FINANCIAL DISCLOSURE STATEMENT FOR 1988

II. INCOME

Part II-A

SOURCE	TYPE	DATE	AMOUNT	DISPOSITION
National Association of Letter Carriers	Honorarium	1-1-88	$2,000.00	
Beecham Laboratories	Honorarium	1-8-88	$2,000.00	
Southern Bell (BellSouth)	Honorarium	1-18-88	$2,000.00	
Barnett Bank	Honorarium	1-19-88	$2,000.00	
American Maritime Officer's Service	Honorarium	1-20-88	$2,000.00	
Florida Caribbean Cruise Association	Honorarium	1-21-88	$1,000.00	
Tennessee Farmers Mutual Insurance Co.	Honorarium	1-29-88	$2,000.00	
Tennessee Farm Bureau Federation	Honorarium	2-1-88	$2,000.00	
National Association of Postmasters	Honorarium	2-17-88	$2,000.00	
International Brotherhood of Teamsters, Chauffeurs, Warehousemen and Helpers of America	Honorarium	4-21-88	$2,000.00	
American Trucking Association, Inc.	Honorarium	5-12-88	$2,000.00	
Tennessee Automotive Association	Honorarium	6-20-88	$1,000.00	
Association of American Railroads	Honorarium	6-14-88	$1.500.00	
Philip Morris U.S.A.	Honorarium	8-4-88	$2,000.00	
United States Telephone Association	Honorarium	11-18-88	$2,000.00	(See Below)
Raytheon Company	Honorarium	12-14-88	$2,000.00	(See Below)

Disposition:	Milligan College	$1,000.00
	Asbury Acres	$1,500.00
	Sequoyah Council Boys Scouts	$ 500.00

FINANCIAL DISCLOSURE STATEMENT FOR 1988

VI. GIFTS

A. The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Barnett Bank	Speech (Roundtrip airfare from Kingsport, Tennessee to Ft. Lauderdale, Florida, 1-19-88) Member & Spouse
American Maritime Officer's Service	Speech (Ft. Lauderdale, Florida, food & lodging 1-14-88) Member & Spouse
Tennessee Farm Bureau Federation	Speech (Roundtrip airfare from Kingsport, Tennessee to Nashville, Tennessee returning to Washington, D.C., 12-8-88) Member only
Tennessee Automotive Association	Speech (Roundtrip airfare from Kingsport, Tennessee to Nashville, Tennessee, 6-20-88) Member only
United States Telephone Association	Speech (Roundtrip airfare from Kingsport, Tennessee to Ft. Lauderdale, Florida, 11-18-88) Member only

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JAMES H. QUILLEN
FIRST DISTRICT, TENNESSEE

COMMITTEE:
RULES
RANKING MEMBER

Congreſs of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE:
ROOM 102
CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515

DISTRICT OFFICE:
ROOM 157—FIRST FLOOR
FEDERAL (POST OFFICE) BUILDING
KINGSPORT, TN 37662

June 6, 1989

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U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

✓ MC

Dear Sir:

I am submitting the following information in amending and explaining my 1988 Financial Disclosure Statement:

Part II, Unearned income:

Through broker, J. C. Bradford & Company:
  Home Federal Savings and Loan Association - Dividends - Category A
Through broker, Hilliard - Lyons:
  Money market funds - Dividends - Category C

Part III, Holdings:

Citizens Union Corporation merged into Dominion Bankshares. Received shares of Dominion Bankshares in exchange for Citizens Union Corporation stock. Category E.

Two computers and printers, fully depreciated and worthless. No income from them in 1988. Category A.

Fractional interest in oil wells in Oklahoma. 1988 expenses exceeded income. Category A.

Part IV, Transactions:

Shares in Citizens Union Corporation were exchanged for shares of Dominion Bankshares in merger. Category E.

Received 5% stock dividend on 18,000 shares of Thomas Nelson, Inc., Class A stock, payable in shares of Class B stock (900 shares). Also received 5% stock dividend on 900 shares of Class B stock (45 shares), bringing total shares of Class B stock to 1,845 shares.

Subscribed to, and gave check for, 33 shares of Contour Industries in 1988, stock not issued until 1989. Category C.

Sold business lot, Colonial Hills, Johnson City, Tennessee on September 23, 1988, but no collections on sale were made in 1988. Category D.

Page 2
June 6, 1989
Clerk, U. S. House of Representatives

Sold 50% joint venture interest in lots 1 and 2, Block B, Johnson City, Tennesseee in 1988, but no collections on sales price in 1988. Category D.

Purchased 1,000 shares of HQ Office Supplies Warehouse on November 1, 1988. Category A.

Purchased shares in Home Federal Savings and Loan Association of Upper East Tennessee on the following dates:

September 21, 1988	4,260 shares
September 27, 1988	1,000 shares
October 17, 1988	5,000 shares
November 1, 1988	2,300 shares
December 8, 1988	26,087 shares
December 28, 1988	1,000 shares
Total	39,647 shares - Category F

Parts VI and VII:

The schedule listing travel expenses reimbursed or paid directly should be labeled as reimbursements rather than gifts.

Sincerely,

James H. Quillen

James H. Quillen

Clerk, U. S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D. C. 20515

HAND DELIVERED 8 9 0 7 0 2 2 9 1 3 6 5

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

NICK J. RAHALL, II
(Full Name)

1801 Harper Road
(Mailing Address)

Beckley, West Virginia 25801

(Office Use Only)

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U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State WV

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Continuation Sheet Page 1 & 2 Part II-A			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Investment Property-Grandview, Shady Spring, Raleigh Co.	Capital Gains	C
Investment Property Corner Harper Road and Carriage Dr. Beckley, WV	Rental	D
SBR, Inc. Parkersburg WV-Pt. Owner Bldg. and land, & 7-11 in Raleigh Co.	Rental and percentage of sales	D
Shearson, Loeb and Rhoades, Inc. Stock Broker	Dividend and Interest Income	D
See Continuation Sheet II-B page 2		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Business Property and Bldgs, Cr. Carriage Dr. and Harper Rd. Beckley, WV plus lots and bldg. of GO-Mac (all ½ interest) + Hilton Head Condo (rented out)	E
Business Property Shady Spring, Ral. County, WV (½interest) 7-11	C
Business Property-Grandview, Shady Spring Raleigh Co., WV land	B
Real Estate Flat Top Lake, Raleigh Co. WV-lot	C
See Attached continuation Sheet Page 2 Part III	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Townhouse 1655 A S. Hayes Street Arlington, VA (was rented out)	SOLD 1/88	E
Wheat First Securities (See Attached statement for complete details)		
Shearson/American Express, Inc. (See Attached statement for complete details)		
Shearson/American Express IRA (See attached statement for complete details)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
H L & R Corp. (Note on Hilton Head Condo) my ½	D
Raleigh County National Bank	C
Bank of Raleigh	B
Wheat First Securities	C
Shearson, Loeb, Rhoades - Margin Acct.	C
Bank of Raleigh (note on Harper Road bldg. ½ with brother)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Holiday Inn University Area Huntington, WV	Lodging
WTNJ Radio Station/WV Broadcasting Inc.	Personal use of car

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Employees - WTNJ/WV Broadcasting, Inc.	Sports Clothes	$125.00
Employees of Congressional Staff	Raleigh's Mens Store Gift certificate	200.00

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Outdoor Advertising Assoc. of America (Speech)	3 days lodging Palm Springs, CA
Tobacco Institute (Speech)	3 days lodging Palm Springs, CA and transportation from Chicago to Palm Springs to Charleston, WV
National Motor Freight Assoc. Eastern Motor Carriers Assoc. (2 speeches)	3 days lodging roundtrip transportation Wash.DC to Sarasota, FL

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	WV Broadcasting Corporation
Vice President	Rahall Realty; Vice President - H.L. & R Corp.
Trustee	Edward G. Rahall Trust
Trustee	N. Joe Rahall Trust
Trustee	Victoria Lynn Rahall Hassler Trust
Trustee	Tanya Alice Rahall Trust

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Nick Joe Rahall	May 31, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

Page 1 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NICK J. RAHALL, II
(Name)

Continuation Sheet

1989 MAY 31 AM 11:30
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II-A	1. Waste Management 1/11/88 Speech Hon. 2,000.00 DEPOSITION: In part to various charities and/ or educational institutions for all receipts (est. to be ½)
	2. Lamar Corporation 1/13/88 Speech Hon. 1,000.00 DEPOSITION: In part to various charities and/or educational institutions for all receipts (est. to be ½)
	3. Tobacco Institute 1/12/88 Speech Hon. 2,000.00 DEPOSITION: In part to various charities and/or educational institutions for all receipts (est. to be ½)
	4. Assoc. of Oil Pipelines 1/21/88 Speech Hon. 1,000.00 DEPOSITION: In part to various charities and/or educational institutions for all receipts (est. to be ½)
	5. United Holy Fund 1/27/88 Speech Hon. 1,000.00 DEPOSITION: In part to various charities and/or educational institutions for all receipts (est. to be ½)
	6. Eastern Central Motor Carriers 2/18/88 Speech Hon. 2,000.00 DEPOSITION: In part to various charities and/or educational institutions for all receipts (est. to be ½)
	7. National Motor Freight 2/23/88 Speech Hon. 2,000.00 DEPOSITION: In part to various charities and/or educational institutions for all receipts (est. to be ½)
	8. American Lebanese Club of Stark County, OH 3/9/88 Speech Hon. 500.00 DEPOSITION: In part to various charities and/or educational institutions for all receipts (est. to be ½)
	9. National Wildlife Fed. 4/4/88 Guest Editorial 250.00 DEPOSITION: In part to various charities and/or educational institutions for all receipts (est. to be ½)
	10. Ashland Oil 4/8/88 Speech Hon. 2,000.00 DEPOSITION: In part to various charities and/or educational institutions for all receipts (est. to be ½)
	11. National Wildlife Fed. 4/21/88 Guest Editorial 250.00 DEPOSITION: In part to various charities and/ or educational institutions for all receipts (est. to be ½)
	12. Nat. Assoc. of Arab Americans 5/31/88 Speech Hon. 1,000.00 DEPOSITION: In part to various charities and/ or educational institutions for all receipts (est. to be ½)
	13. Brown and Williamson Tobacco Corp. 6/8/88 Speech Hon. 1,000.00 DEPOSITION: In part to various charities and/or educational institutions for all receipts (est. to be ½)
	14. Nat. Assoc. of Broadcasters 10/5/88 Speech Hon. 1,000.00 DEPOSITION: In part to various charities and/or educational institutions for all receipts (est. to be ½)

Page 2 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NICK J. RAHALL, II
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II-A	15. American Arab Affairs Council 10/17/88 Speech Hon. 1,000.00 DEPOSITION: In part to various charities and/or educational institutions for all receipts (est. to be ½)	
	16. American Coke and Coal Chemicals Institute 10/20/88 Speech Hon. 1,500.00 DEPOSITION: In part to various charities and/or educational institutions for all receipts (est.to be ½)	
	17. Eastern Prof. River Outfitters 11/16/88 Speech Hon. 1,000.00 DEPOSITION: In part to various charities and/or educational institutions for all receipts (est. to be ½)	
	18. Eastern Central Motor Carriers 12/5/88 Speech Hon. 2,000.00 DEPOSITION: In part to various charities and/or educational institutions for all receipts (est. to be ½)	
	19. American Arab Affairs Council 12/7/88 Speech Hon. 1,000.00 DEPOSITION: In part to various charities and/or educational institutions for all receipts (est. to be ½)	
	20. WJNJ Radio Station 12/9/88 Bonus 600.00 DEPOSITION: In part to various charities and/or educational institutions	
II-B	Investment Property 1655 A S. Hayes St. Arlington, VA Rental (sold 1/88)	A
	" " Harper Rd. Go-mac bldg. & Hilton Head Condo	E
	Wheat First Securities Div. Income and Capital Gains	D
	Sante Fe Industries - Stock Div. Income	A
	First National Bank - Stock Div. Income	A
	Raleigh County National Bank - Stock Div. Income	B
	Bank of Raleigh - Stock Interest Income	A
	Southern Bancshares -Stock Dividend Income	B
	N. J. Rahall, II Trust (Edward Rahall Trustee) Div. Income	D
	Electro-Nucleionics, Inc. Interest Income	A
	Wheat First Securities - Broker Capital Gains	C
	Shearson, Loeb, and Rhoads, Inc. Broker Capital Gains	D
	WV Broadcasting/WJNJ Lease of land for Antenna	C
III	Hilton Head, SC Property, Time Sharing Plan (2wks Peak Season)	C
	Glade Spring, Raleigh County, WV lot	B
	Wheat First Securities - Stock See Attached list)	F
	Shearson, Loeb and Rhoades Stock (Including Trust) (See attached list)	F
	WV Broadcasting Station WJNJ-FM, Mt. Hope, WV Stock	E
	Raleigh County National Bank 43 shares of stock	A
	Southern Bancshares 1060 shares	C
	First National Bank of Beckley 600 shares of stock	A
	Beckley National Bank 20 shares of stock	A
	Santa Fe Southern Pacific Corp 180 shares of stock	B
	Gulf United Corp. plus Broker	C
	Electro-Nucleonics	B
	Shearson American Express, Inc. Indiv. Retirement Acct.	B
	Small Plot of land - Fayette County, WV leased to WJNJ (radio for antenna)	B
	H.L. & R. Corp (W.VA.)	F
	Rahall Realty (12½ %)	D

Page 3 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NICK J. RAHALL, II
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
VII			
	Hellenic Pan Cyriotic Foundation		Roundtrip from Wash. DC Transportation and lodging Athens and Nicosia meetings 11/25-12/4/ 88
	Ashland Oil	Roundtrip from Wash. DC	Transportation Phoenix AZ with daughter (lodging and meals personal) Speech
	National Motor Freight Traffic Assoc.		Transportation and lodging Dubuque, Iowa 6/16-19/88 Speech
	Western Coal Council		Transportation and lodging Vail, CO Speech 7/31-8/2/88

SHEARSON LEHMAN HUTTON

Page 1 of 4

Financial Management Account
November 28 - December 31, 1988

Account number 685-03367-17 240 SS # 236-78-8645

Your Financial Consultant

JAMES A JOSEPH
SHEARSON LEHMAN HUTTON INC.
701 MAIN STREET
SUITE 100
STROUDSBURG PA 18360
717-421-3100

FMA client services: 1-800-634-8655

As you requested, copies of this statement have also been sent to:

N JOE RAHALL
JAMES T SHEPHERD

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

Account value

Stocks	$ 111,560.60
Margin account balance	-39,952.49
Other investments	24,819.80
Net value this period*	$ 96,427.91
Net value last period*	$ 91,906.83

*Does not include unpriced securities

Earnings summary

	This period	This year	
		Taxable	Non-taxable
Interest	$ 0.00	$ 103.87	$ 0.00
Dividends	165.00	6,734.75	0.00
Total	$ 165.00	$ 6,838.62	$ 0.00

Borrowing potential

You can borrow against the value of your portfolio to buy additional securities or for other purposes. For more information, please call your Financial Consultant.

The attached responds to Section III Holdings month by month and year end.

Also responds to Section IV Transactions day by day regardless of amounts and to the penny.

NJR

SHEARSON
LEHMAN HUTTON

Financial Management Account
November 28 - December 31, 1988

Page 2 of 4

***Account number* 685-03367-17 240 CONGRESSMAN NICK JOE RAHALL II**

Cash balance

	Amounts added	Amounts subtracted	
Opening cash balance*			$ -39,682.47
Interest charged on loans		-435.02	
Dividends credited	165.00		
Total	$ 165.00	$ -435.02	
Closing cash balance*			$ -39,952.49
Margin account balance			-39,952.49

**Includes margin and/or Express Credit account balance*

Portfolio details

This section shows the holdings in your account. It reflects values as of the close of business on 12/30/88.

Stocks

Common stocks

Description	Exchange	No. of shares	Market price per share	Market value	Anticipated annual income	Comment
AMERICAN EXPRESS CO	NYSE	400	$ 26.625	$ 10,650.00	$ 336.00	
AMERICAN TEL & TEL CO	NYSE	200	28.75	5,750.00	240.00	
CRAY RESEARCH INC	NYSE	100	60.75	6,075.00		
WALT DISNEY COMPANY	NYSE	100	65.75	6,575.00	40.00	
GABELLI EQUITY TRUST INC	NYSE	228	9.75	2,223.00	125.40	
GREAT ATLANTIC&PAC TEA CO INC	NYSE	500	44.625	22,312.50	300.00	
*** JAGUAR PLC SPONSORED ADR	OTC	400	4.719	1,887.60	86.80	
LIN BROADCASTING CORP	OTC	300	71.50	21,450.00		
MCA INC	NYSE	100	45.375	4,537.50	68.00	
MCI COMMUNICATIONS CORP	OTC	500	22.625	11,312.50		
PHILADELPHIA ELECTRIC CO	NYSE	200	20.00	4,000.00	440.00	
RJR NABISCO INC	NYSE	100	81.125	8,112.50	220.00	

[redacted]

SHEARSON
LEHMAN HUTTON

Financial Management Account
November 28 - December 31, 1988

Page 3 of 4

***Account number* 685-03387-17 240 CONGRESSMAN NICK JOE RAHALL II**

Stocks
continued

Common stocks

Description	Exchange	No. of shares	Market price per share	Market value	Anticipated annual income	Comment
SCHERING PLOUGH CORP	NYSE	100	$ 56.75	$ 5,675.00	$ 160.00	
FIRST NATIONAL BANK-BECKLEY W VA	NONE	1,227				In cash account In safekeeping: 1,227.00 Unpriced security
Total value of stocks				$ 111,580.00		

Other investments

Description	Number of shares	Price per share	Market value	Comment
F-1000 GUARANTEE FUTURES II LP	10	$ 984.97	$ 9,849.70	In cash account
SHEARSON LEHMAN FUTURES 1000FD	10	1,497.01	14,970.10	In cash account
Total value of other investments			$ 24,819.80	

Transaction details

Interest charged on loans

Date	Description	Amount
12/21/88	INT FR 11/21 THRU12/20@10 % BAL 39,517 AVBAL 39,650	$ 330.42
12/30/88	INT FR 12/21 THRU12/29@10 1/2% BAL 39,847 AVBAL 39,847	104.60
Total interest charged on loans		$ 435.02

SHEARSON
LEHMAN HUTTON

Financial Management Account

November 28 - December 31, 1988

Page 4 of 4

Account number 685-03367-17 240 CONGRESSMAN NICK JOE RAHALL I

Earnings details

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Dividends

This section includes dividends on all investments except money funds that reinvest dividends automatically.

Date	Description	Taxable	Non-taxable	Comment	Total dividend
12/05/88	RJR NABISCO INC CASH DIV ON 100 SHS REC 11/10/88 PAY 12/05/88	$ 55.00			$ 55.00
12/20/88	PHILADELPHIA ELECTRIC CO CASH DIV ON 200 SHS REC 11/18/88 PAY 12/20/88	110.00			110.00
Total dividends earned		$ 165.00	$ 0.00		$ 165.00

Credit resources

Please retain this information for your records.

Existing loans

Item	Amount owed	Interest charged this period	Interest charged this year
Margin loan	$ 30,952.49	$ 435.02	$ 7,198.50
Total	$ 30,952.49	$ 435.02	$ 7,198.50

Borrowing potential

You can borrow on margin against the value of your portfolio to buy additional securities or for an unspecified purpose. Through Express Credit you may borrow an even greater amount. The amount you can borrow is based on the types of investments in your portfolio.

The interest rate we charge on the borrowed money depends on several factors, including how much is borrowed. Last period's average borrowing rate was from 10.50 % to 12.00 %. Amounts borrowed on margin decrease the amount you can borrow for other purposes.

SHEARSON LEHMAN HUTTON

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

Page 1 of 4

Financial Management Account
October 31 - November 27, 1988

Account number 685-03367-17 240 SS # 230-78-8645

Your Financial Consultant

JAMES A JOSEPH
SHEARSON LEHMAN HUTTON INC
701 MAIN STREET
SUITE 100
STROUDSBURG PA
717-421-3100

FMA client services: 1-800-834-8655

As you requested, copies of this statement have also been sent to:

N JOE RAHALL
JAMES T SHEPHERD

Account value

Stocks	$ 104,855.30
Margin account balance	-39,682.47
Other investments	25,833.00
Net value this period*	$ 91,005.83
Net value last period*	$ 94,263.32

*Does not include unpriced securities

Earnings summary

	This period	This year Taxable	This year Non-taxable
Interest	$ 0.00	$ 103.87	$ 0.00
Dividends	290.60	6,569.75	0.00
Total	$ 290.60	$ 6,673.62	$ 0.00

Borrowing potential

You can borrow against the value of your portfolio to buy additional securities or for other purposes. For more information, please call your Financial Consultant.

Page 2 of 4

Financial Management Account

October 31 - November 27, 1988

Account number 685-03367-17 240 CONGRESSMAN NICK JOE RAHALL II

Cash balance

	Amounts added	Amounts subtracted	
Opening cash balance*			$ -39,841.38
Interest charged on loans		-331.89	
Dividends credited	280.80		
Total	$ 280.80	$ -331.89	
Closing cash balance*			$ -39,892.47
Margin account balance			-39,892.47

**Includes margin and/or Express Credit account balance*

Portfolio details

This section shows the holdings in your account. It reflects values as of the close of business on 11/25/88.

Stocks

Common stocks

Description	Exchange	No. of shares	Market price per share	Market value	Anticipated annual income	Comment
AMERICAN EXPRESS CO	NYSE	400	$ 26.25	$ 10,500.00	$ 304.00	
AMERICAN TEL & TEL CO	NYSE	200	29.25	5,850.00	240.00	
CRAY RESEARCH INC	NYSE	100	55.125	5,512.50		
WALT DISNEY COMPANY	NYSE	100	61.875	6,187.50	40.00	
GABELLI EQUITY TRUST INC	NYSE	228	9.125	2,080.50	125.40	
GREAT ATLANTIC&PAC TEA CO INC	NYSE	500	44.125	22,062.50	300.00	
*** JAGUAR PLC SPONSORED ADR	OTC	400	4.937	1,974.80	86.80	
LIN BROADCASTING CORP	OTC	300	56.375	16,912.50		
MCA INC	NYSE	100	42.75	4,275.00	68.00	
MCI COMMUNICATIONS CORP	OTC	500	22.50	11,250.00		
PHILADELPHIA ELECTRIC CO	NYSE	200	19.50	3,900.00	440.00	
RJR NABISCO INC	NYSE	100	88.00	8,800.00	220.00	

SHEARSON LEHMAN HUTTON

Financial Management Account

October 31 - November 27, 1988

Page 3 of 4

Account number 685-03367-17 240 CONGRESSMAN NICK JOE RAHALL II

Stocks *continued*

Common stocks

Description	Exchange	No. of shares	Market price per share	Market value	Anticipated annual income	Comment
SCHERING PLOUGH CORP	NYSE	100	$ 55.50	$ 5,550.00	$ 160.00	
FIRST NATIONAL BANK-BECKLEY W VA	NONE	1,227				In cash account In safekeeping: 1,227.00 Unpriced security
Total value of stocks				$ 104,855.30		

Other Investments

Description	Number of shares	Price per share	Market value	Comment
F-1000 GUARANTEE FUTURES II LP	10	$ 1,025.27	$ 10,252.70	In cash account
SHEARSON LEHMAN FUTURES 1000PD	10	1,558.03	15,580.30	In cash account
Total value of other investments			$ 25,833.00	

Transaction details

Interest charged on loans

Date	Description	Amount
11/21/88	INT FR 10/21 THRU11/20@ 9 3/4% BAL 38,430 AVBAL 38,542	$ 331.99

Earnings details

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Dividends

This section includes dividends on all investments except money funds that reinvest dividends automatically.

Date	Description	Taxable	Non-taxable	Comment	Total dividend
11/01/88	AMERICAN TEL & TEL CO CASH DIV ON 200 SHS REC 09/30/88 PAY 11/01/88	$ 60.00			$ 60.00
11/08/88	GREAT ATLANTIC&PAC TEA CO INC CASH DIV ON 500 SHS REC 10/24/88 PAY 11/08/88	75.00			75.00
11/10/88	AMERICAN EXPRESS CO CASH DIV ON 400 SHS REC 10/07/88 PAY 11/10/88	76.00			76.00

SHEARSON LEHMAN HUTTON

Financial Management Account

October 31 - November 27, 1988

Page 4 of 4

Account number 685-03387-17 240 CONGRESSMAN NICK JOE RAHALL II

Dividends *continued*

Date	Description	Taxable	Non-taxable	Comment	Total dividend
11/15/88	WALT DISNEY COMPANY CASH DIV ON 100 SHS REC 10/14/88 PAY 11/15/88	$ 10.00			$ 10.00
11/21/88	SCHERING PLOUGH CORP CASH DIV ON 100 SHS REC 11/04/88 PAY 11/21/88	40.00			40.00
11/22/88	*** JAGUAR PLC SPONSORED ADR CASH DIV ON 400 SHS REC 08/21/88 PAY 11/22/88	29.00			29.00
Total dividends earned		$ 280.00	$ 0.00		$ 280.00

Credit resources

Please retain this information for your records.

Existing loans

Item	Amount owed	Interest charged this period	Interest charged this year
Margin loan	$ 38,882.47	$ 331.20	$ 6,703.48
Total	**$ 38,882.47**	**$ 331.20**	**$ 6,703.48**

Borrowing potential

You can borrow on margin against the value of your portfolio to buy additional securities or for an unspecified purpose. Through Express Credit you may borrow an even greater amount. The amount you can borrow is based on the types of investments in your portfolio.

The interest rate we charge on the borrowed money depends on several factors, including how much is borrowed. Last period's average borrowing rate was from 10.25 % to 11.75 %. Amounts borrowed on margin decrease the amount you can borrow for other purposes.

SHEARSON
LEHMAN HUTTON

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

Financial Management Account

October 1 - October 30, 1988

Page 1 of 4

Account number 685-03367-17 240 SS # 236-78-8645

Your Financial Consultant

JAMES A JOSEPH
SHEARSON LEHMAN HUTTON INC.
701 MAIN STREET
SUITE 100
STROUDSBURG PA
717-421-3100

FMA client services: 1-800-634-8655

As you requested, copies of this statement have also been sent to:

N JOE RAHALL
JAMES T SHEPHEHD

Account value

Stocks	$ 108,913.80
Margin account balance	-38,841.06
Other investments	24,990.70
Net value this period*	**$ 94,263.82**
Net value last period*	**$ 88,291.06**

**Does not include unpriced securities*

Earnings summary

	This period	This year Taxable	This year Non-taxable
Interest	$ 0.00	$ 103.87	$ 0.00
Dividends	0.00	6,279.15	0.00
Total	**$ 0.00**	**$ 6,383.02**	**$ 0.00**

Borrowing potential

You can borrow against the value of your portfolio to buy additional securities or for other purposes. For more information, please call your Financial Consultant.

SHEARSON LEHMAN HUTTON

Financial Management Account

October 1 - October 30, 1988

Page 2 of 4

Account number 685-03367-17 248 CONGRESSMAN NICK JOE RAHALL II

Cash balance

	Amounts added	Amounts subtracted	
Opening cash balance*			$ -33,758.05
Securities bought and other subtractions		-5,493.60	
Securities sold and other additions	0.00		
Withdrawals		-100.00	
Interest charged on loans		-289.43	
Total	$ 0.00	$ -5,883.03	
Closing cash balance*			$ -39,641.08
Margin account balance			-39,641.08

*Includes margin and/or Express Credit account balance

Portfolio details

This section shows the holdings in your account. It reflects values as of the close of business on 10/28/88.

Stocks

Common stocks

Description	Exchange	No. of shares	Market price per share	Market value	Anticipated annual income	Comment
AMERICAN EXPRESS CO	NYSE	400	$ 27.75	$ 11,100.00	$ 304.00	
AMERICAN TEL & TEL CO	NYSE	200	29.00	5,800.00	240.00	
CRAY RESEARCH INC	NYSE	100	59.375	5,937.50		
WALT DISNEY COMPANY	NYSE	100	66.25	6,625.00	40.00	
GABELLI EQUITY TRUST INC	NYSE	228	9.875	2,251.50	125.40	
GREAT ATLANTIC&PAC TEA CO INC	NYSE	500	46.75	23,375.00	300.00	
*** JAGUAR PLC SPONSORED ADR	OTC	400	4.531	1,812.40	65.60	
LIN BROADCASTING CORP	OTC	300	57.625	17,287.50		
MCA INC	NYSE	100	48.00	4,800.00	68.00	
MCI COMMUNICATIONS CORP	OTC	500	23.75	11,875.00		
PHILADELPHIA ELECTRIC CO	NYSE	200	20.00	4,000.00	440.00	
RJR NABISCO INC	NYSE	100	85.00	8,500.00	220.00	

SHEARSON
LEHMAN HUTTON

Financial Management Account
October 1 - October 30, 1988

Page 3 of 4

***Account number* 685-03387-17 249 CONGRESSMAN NICK JOE RAHALL II**

Stocks
continued

Common stocks

Description	Exchange	No. of shares	Market price per share	Market value	Anticipated annual income	Comment
SCHERING PLOUGH CORP	NYSE	100	$ 56.50	$ 5,650.00	$ 160.00	
FIRST NATIONAL BANK-BECKLEY W VA	NONE	1,227				In cash account Unpriced security
Total value of stocks				**$ 166,913.80**		

Other Investments

Description	Number of shares	Price per share	Market value	Comment
F-1000 GUARANTEE FUTURES II LP	10	$ 1,000.64	$ 10,006.40	In cash account
SHEARSON LEHMAN FUTURES 1000FD	10	1,498.43	14,984.30	In cash account
Total value of other investments			**$ 24,990.70**	

Transaction details

Investment activity

Date	Activity	Description	Quantity	Price	Amount
10/11/88	Bought	SCHERING PLOUGH CORP	100	$ 54.25	$ -5,493.80
Total securities bought and other subtractions					**$ -5,493.80**
Total securities sold and other additions					**$ 0.00**

Withdrawals

Date	Description	Reference no.	Amount
10/21/88	FMA ANNUAL FEE / OCT		$ 100.00

Interest charged on loans

Date	Description	Amount
10/21/88	INT FR 9/21 THRU10/20@ 9 3/4% BAL 38,251 AVBAL 35,822	$ 288.43

SHEARSON
LEHMAN HUTTON

Financial Management Account

October 1 - October 30, 1988

Page 4 of 4

***Account number* 685-03387-17 240 CONGRESSMAN NICK JOE RAHALL II**

Credit resources

Please retain this information for your records.

Existing loans

Item	Amount owed	Interest charged this period	Interest charged this year
Margin loan	$ 38,841.08	$ 280.43	$ 6,431.49
Total	$ 38,841.08	$ 280.43	$ 6,431.49

Borrowing potential

You can borrow on margin against the value of your portfolio to buy additional securities or for an unspecified purpose. Through Express Credit you may borrow an even greater amount. The amount you can borrow is based on the types of investments in your portfolio.

The interest rate we charge on the borrowed money depends on several factors, including how much is borrowed. Last period's average borrowing rate was from 10.25 % to 11.75 %. Amounts borrowed on margin decrease the amount you can borrow for other purposes.

SHEARSON
LEHMAN HUTTON

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

Financial Management Account
August 29 - September 30, 1988

***Account number* 685-03367-17 240 SS # 236-78-9645**

Your Financial Consultant

JAMES A JOSEPH
SHEARSON LEHMAN HUTTON INC.
701 MAIN STREET
SUITE 100
STROUDSBURG PA
717-421-3100

FMA client services: 1-800-634-9835

As you requested, copies of this statement have also been sent to:

N JOE RAHALL
JAMES T SHEPHERD

Account value

Stocks	$ 96,110.30
Limited partnerships	(see Portfolio detail)
Margin account balance	-33,758.05
Other investments	24,008.80
Net value this period*	$ 86,361.05
Net value last period*	$ 83,837.28

*Does not include unpriced securities

Earnings summary

	This period	This year Taxable	This year Non-taxable
Interest	$ 0.00	$ 103.87	$ 0.00
Dividends	1,794.50	6,279.15	0.00
Total	$ 1,794.50	$ 6,383.02	$ 0.00

Borrowing potential

You can borrow against the value of your portfolio to buy additional securities or for other purposes. For more information, please call your Financial Consultant.

SHEARSON LEHMAN HUTTON

Financial Management Account
August 29 - September 30, 1988

Account number 685-03367-17 240 CONGRESSMAN NICK JOE RAHALL II

Cash balance

	Amounts added	Amounts subtracted	
Opening cash balance*			$ -64,905.74
Securities bought and other subtractions		0.00	
Securities sold and other additions	80,000.00		
Deposits	6.85		
Interest charged on loans		-653.46	
Dividends credited	1,794.50		
Total	$ 81,801.15	$ -653.46	
Closing cash balance*			$ -33,798.05
Margin account balance			-33,758.05

*Includes margin and/or Express Credit account balance

Portfolio details

This section shows the holdings in your account. It reflects values as of the close of business on 09/30/88.

Stocks Common stocks

Description	Exchange	No. of shares	Market price per share	Market value	Anticipated annual income	Comment
AMERICAN EXPRESS CO	NYSE	400	$ 28.00	$ 11,200.00	$ 304.00	
AMERICAN TEL & TEL CO	NYSE	200	26.00	5,200.00	240.00	
CRAY RESEARCH INC	NYSE	100	69.625	6,962.50		
WALT DISNEY COMPANY	NYSE	100	64.875	6,487.50	40.00	
GABELLI EQUITY TRUST INC	NYSE	228	9.75	2,223.00	81.40	
GREAT ATLANTIC&PAC TEA CO INC	NYSE	500	44.875	22,437.50	300.00	
*** JAGUAR PLC SPONSORED ADR	NOTC	400	4.312	1,724.80	85.60	
LIN BROADCASTING CORP	NOTC	300	60.00	18,000.00		
MCA INC	NYSE	100	44.00	4,400.00	68.00	
MCI COMMUNICATIONS CORP	NOTC	500	20.50	10,250.00		
PHILADELPHIA ELECTRIC CO	NYSE	200	18.625	3,725.00	440.00	

SHEARSON LEHMAN HUTTON

Financial Management Account
August 29 - September 30, 1988

Account number 685-03367-17 240 CONGRESSMAN NICK JOE RAHALL II

Stocks *continued*

Common stocks

Description	Exchange	No. of shares	Market price per share	Market value	Anticipated annual income	Comment
RJR NABISCO INC	NYSE	100	$ 55.00	$ 5,500.00	$ 220.00	
FIRST NATIONAL BANK-BECKLEY W VA	OTC	1,227				In cash account Unpriced security
Total value of stocks				$ 98,110.30		

Other investments

Description	Number of shares	Price per share	Market value	Comment
F-1000 GUARANTEE FUTURES II LP	10	$ 995.13	$ 9,951.30	In cash account
SHEARSON LEHMAN FUTURES 1000FD	10	1,485.75	14,857.50	In cash account
Total value of other investments			$ 24,808.80	

Limited partnerships

We provide the following information about limited partnerships as an aid to your financial record keeping. It reflects purchases through Shearson Lehman Hutton or information supplied to us. Subsequent transactions, if any, are not reflected unless done through Shearson Lehman Hutton. We will continue to show each investment throughout the life of the partnership, unless you request otherwise. Face amounts do not necessarily reflect current market value.

Description	Face amount	Comment
F-1000 GUARANTEE FUTURES II LP SUBJ TO CLOSE OR ACPT BY SPNSR	$ 10,000.00	Purchase date 03/25/88
Total limited partnerships	$ 10,000.00	

Transaction details

Investment activity

Date	Activity	Description	Quantity	Price	Amount
09/15/88	Redemption	USX CORP $10.75 CUM RED PFD	600		$ 60,000.00
Total securities bought and other subtractions					$ 0.00
Total securities sold and other additions					$ 60,000.00

Other security activity

Date	Activity	Description	Quantity
09/08/88		GABELLI EQUITY TRUST INC SHS FOR DIV REINVESTMENT	5

SHEARSON
LEHMAN HUTTON

Financial Management Account
August 29 - September 30, 1988

Account number 685-03367-17 246 CONGRESSMAN NICK JOE RAHALL II

Deposits

Date	Description	Amount
09/08/88	GABELLI EQUITY TRUST INC CASH REMAINING FROM REINV	$ 6.65

Interest charged on loans

Date	Description	Amount
09/21/88	INT FR 8/22 THRU 9/20@ 9 1/2% BAL 33,214 AVBAL 62,542	$ 853.46

Earnings details

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Dividends

This section includes dividends on all investments except money funds that reinvest dividends automatically.

Date	Description	Taxable	Non-taxable	Comment	Total dividend
09/06/88	RJR NABISCO INC CASH DIV ON 100 SHS REC 08/10/88 PAY 09/05/88	$ 55.00			$ 55.00
09/12/88	MCA INC CASH DIV ON 100 SHS REC 08/24/88 PAY 09/12/88	17.00			17.00
09/15/88	USX CORP $10.75 CUM RED PFD CASH DIV ON 600 SHS REC 08/16/88 PAY 09/15/88	1,612.50			1,612.50
09/30/88	PHILADELPHIA ELECTRIC CO CASH DIV ON 200 SHS REC 08/24/88 PAY 09/30/88	110.00			110.00
Total dividends earned		$ 1,794.50	$ 0.00		$ 1,794.50

SHEARSON LEHMAN HUTTON

Financial Management Account

August 29 - September 30, 1988

Page 5 of 5

Account number 685-03367-17 248 CONGRESSMAN NICK JOE RAHALL II

Credit resources

Please retain this information for your records.

Existing loans

Item	Amount owed	Interest charged this period	Interest charged this year
Margin loan	$ 33,756.05	$ 653.46	$ 6,142.06
Total	$ 33,756.05	$ 653.46	$ 6,142.06

Borrowing potential

You can borrow on margin against the value of your portfolio to buy additional securities or for an unspecified purpose. Through Express Credit you may borrow an even greater amount. The amount you can borrow is based on the types of investments in your portfolio.

The interest rate we charge on the borrowed money depends on several factors, including how much is borrowed. Last period's average borrowing rate was from 10.00 % to 11.50 %. Amounts borrowed on margin decrease the amount you can borrow for other purposes.

HMAN BROTHERS

Financial Management Account

668 03367 17 240 08/01/88 TO 08/26/88 PAGE

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. N JOE RAHALL
2. JAMES T SHEPHERD

UNT	668 03367 17 246	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
D	236-78-6645	
NCIAL ULTANT	JAMES A JOSEPH 717-421-3100	

P O R T F O L I O   I N C O M E   S U M M A R Y

	YIELD	CURRENT PERIOD	YEAR TO DATE
SH FUND DIVIDENDS	7.22	.00	.00
NI FUND DIVIDENDS	5.17	.00	.00
VT FUND DIVIDENDS	7.03	.00	.00
VIDENDS		276.75	4,404.65
XABLE INTEREST		.00	.00
N-TAXABLE INTEREST		.00	.00
HER INCOME		.00	.00
TOTAL		276.75	4,404.65

MONTH'S AVERAGE BORROWING RATE: 10.00% TO 11.50%.

A C T I V I T Y   S U M M A R Y

	DEBIT	CREDIT
COMBINED OPENING BALANCE (CASH PLUS FMA FUND)	94,240.72	
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		276.75
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	80.77	
INTEREST CHARGED	805.25	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	55.75	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE	94,905.74	

MARKET VALUE	178,043	SHORT BALANCE	.00
EQUITY	85,957	YTD INT CHARGED	5,488.60
BUYING POWER	37,968	OVERDRAFT LOAN	.00
CASH AVAILABLE	12,656	AVG DAILY BAL	95,358.00
LTD PTNRSHIPS*	10,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

A STATEMENT THAT'S NEVER BEEN MADE BEFORE

WE HAVE CREATED A BROKERAGE STATEMENT THAT IS SERIOUS ABOUT GIVING YOU CLEAR, CONCISE INFORMATION, ONE THAT ANSWERS MORE QUESTIONS THAN IT RAISES.

OUR NEW STATEMENT IS BUT ONE EXAMPLE OF OUR COMMITMENT TO QUALITY SERVICE. LOOK FOR OTHER EXAMPLES IN THE MONTHS TO COME. BE SURE TO READ THE ENCLOSED NEWSLETTER.

FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636;
IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1636

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company, Inc.

SHEARSON
HMAN BROTHERS

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II 685 03347 17 240 08/01/88 TO 08/28/88 PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FMA FUND
			**** OPENING BALANCES ****			94,240.72	.00
08/01/88			AMERICAN TEL & TEL CO CASH DIV ON 200 SHS REC 06/30/88 PAY 08/01/88	DIV-RECD.	60.00CR	94,180.72	.00
08/08/88			GREAT ATLANTIC&PAC TEA CO INC CASH DIV ON 500 SHS REC 07/22/88 PAY 08/08/88	DIV-RECD.	75.00CR	94,105.72	.00
08/10/88			AMERICAN EXPRESS CO CASH DIV ON 400 SHS REC 07/08/88 PAY 08/10/88	DIV-RECD.	76.00CR	94,029.72	.00
08/15/88			GABELLI EQUITY TRUST INC FUNDS FOR DIV REINVESTMENT		55.75	94,085.47	
08/15/88			WALT DISNEY COMPANY CASH DIV ON 100 SHS REC 07/15/88 PAY 08/15/88	DIV-RECD.	10.00CR	94,075.47	
08/15/88			GABELLI EQUITY TRUST INC CASH DIV ON 223 SHS REC 08/01/88 PAY 08/15/88	DIV-RECD.	55.75CR	94,019.72	.00
08/22/88			INT FR 7/21 THRU 8/21@ 9 1/2% BAL 94,019 AVBAL 95,350	INT-CHARGE	805.25	94,824.97	.00
08/24/88			GOLD CARD CHARGES *	PAYMENT	80.77	94,905.74	.00
			**** CLOSING BALANCES ****			94,905.74	.00

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/E
400	AMERICAN EXPRESS CO	28.25	11,300	28	6.3	.76	304.00	2.6	A-	1.78	16
200	AMERICAN TEL & TEL CO	25.00	5,000	62	2.8	1.20	240.00	4.8	A-	1.94	13
100	CRAY RESEARCH INC	78.75	7,875	56	4.4	.00	.00	.0	B+	3.68	21
100	WALT DISNEY COMPANY	61.62	6,162	43	3.4	.40	40.00	.6	B	3.79	16
223	GABELLI EQUITY TRUST INC	9.50	2,118	99	1.2	.35	79.61	3.7	NR	.00	
500	GREAT ATLANTIC&PAC TEA CO INC	42.62	21,312	52	11.9	.60	300.00	1.4	B-	2.91	15
400	*** JAGUAR PLC SPONSORED ADR	4.28	1,712	10	1.0	.21	87.60	5.1	NR	.63	7
300	LIN BROADCASTING CORP	58.00	17,400	14	9.7	.00	.00	.0	B+	1.22	48
100	MCA INC	40.50	4,050	14	2.3	.68	68.00	1.6	B	1.40	2

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company, Inc.

SHEARSON
IMAN BROTHERS
An American Express company

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II   468 03547 17 240   08/01/88 TO 08/28/88   PAGE

PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
500	MCI COMMUNICATIONS CORP	16.50	8,250	62	4.6	.00	.00	.0	NR	.60	28
200	PHILADELPHIA ELECTRIC CO	17.87	3,575	64	2.0	2.20	440.00	12.3	A-	2.21	8
100	RJR NABISCO INC	51.62	5,162	83	2.9	2.20	220.00	4.2	A+	5.29	10
600	USX CORP $10.75 CUM RED PFD	99.56	59,737	99	33.4	.00	.00	.0		.00	
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY W VA					.00	.00	.0		.00	
	SUB TOTAL		152,856		85.9		1779.21				
	*** OTHER POSITIONS ***										
10.0000	SHEARSON LEHMAN FUTURES 1000FD	1520.43	15,204	SD	8.5	.00	.00	.0		.00	
10.0000	F-1000 GUARANTEE FUTURE FND II	998.31	9,983	SD	5.6	.00	.00	.0		.00	
	OTHER POSITIONS TOTAL		25,187		14.1		.00				
	TOTALS		178,043		100.0		1779.21				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.
BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

INDUSTRY CLASSIFICATION

E	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
	AUTOMOTIVE	1.0	1,712	62	PUBLIC UTILITIES-COMMUNICATIONS	7.4	13,250
	BROADCASTING & TV	12.0	21,450	64	PUBLIC UTILITIES-ELECTRIC	2.0	3,575
	FINANCE COMPANIES	6.3	11,300	83	TOBACCO	2.9	5,162
	LEISURE TIME	3.4	6,162	99	UNCLASSIFIED ISSUES	34.6	61,655
	MERCHANDISING	11.9	21,212	SD	COMMODITY FUNDS	14.1	25,187
	OFFICE EQUIPMENT	4.4	7,875				

SHEARSON
HMAN BROTHERS

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II 685 03347 17 246 08/01/88 TO 08/26/88 PAGE 4

LIMITED PARTNERSHIPS

THE FOLLOWING INFORMATION, LISTING INVESTMENTS IN YOUR POSSESSION, IS PROVIDED AS A CONVENIENCE TO AID IN YOUR FINANCIAL RECORD-KEEPING. THE INFORMATION REFLECTS INITIAL PURCHASES THROUGH SHEARSON LEHMAN HUTTON OR INFORMATION THAT YOU SUPPLIED TO US. SUBSEQUENT TRANSACTIONS, IF ANY, WILL NOT BE REFLECTED UNLESS DONE THROUGH SHEARSON LEHMAN HUTTON. WE WILL CONTINUE TO SHOW EACH INVESTMENT UNTIL IT MATURES, UNLESS YOU REQUEST TO HAVE THE INVESTMENT REMOVED. THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
03/28/88	F-1000 GUARANTEE FUTURES II LP SUBJ TO CLOSE OR ACPT BY SPHSR	10,000
	TOTAL FACE AMOUNT	10,000

HMAN BROTHERS

Financial Management
Account

685 03567 17 240

06/27/88 TO 07/31/88 PAGE

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. N JOE RAHALL
2. JAMES T SHEPHERD

JNT	685 03567 17 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
)	234-78-8445	
NCIAL JLTANT	JAMES A JOSEPH 717-421-3100	

ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE	98,493.82	
(CASH PLUS FMA FUND)		
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		4,801.23
PORTFOLIO INCOME		110.00
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	758.13	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
– OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE	94,240.72	

MARKET VALUE	101,247	SHORT BALANCE	.00
EQUITY	87,006	YTD INT CHARGED	4,603.35
BUYING POWER	43,929	OVERDRAFT LOAN	.00
CASH AVAILABLE	14,643	AVG DAILY BAL	98,416.00
LTD PTNRSHIPS*	10,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

PORTFOLIO INCOME SUMMARY

	YIELD	CURRENT PERIOD	YEAR TO DATE
SH FUND DIVIDENDS	6.95	.00	.00
XE FUND DIVIDENDS	6.81	.00	.00
VT FUND DIVIDENDS	6.04	.00	.00
VIDENDS		110.00	4,207.90
XABLE INTEREST		.00	.00
N-TAXABLE INTEREST		.00	.00
HER INCOME		.00	.00
TOTAL		110.00	4,207.90

NTH'S AVERAGE BORROWING RATE: 9.50% TO 11.00%.

STOCKS: HIGHER LEVELS EXPECTED THIS YEAR
INTEREST RATES: STABLE AND ATTRACTIVE

SEE THE ENCLOSED FMA JOURNAL FOR DETAILS ON THE LATEST THINKING OF OUR CHAIRMAN OF INVESTMENT POLICY AND CHIEF ECONOMIST.

IN ADDITION, READ ABOUT OUR NEW CLIENT STATEMENT WHICH WILL MAKE ITS DEBUT THIS FALL.

FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636;
IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1656

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company, Inc

SHEARSON
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An American Express company

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II 665 03347 17 240 06/27/88 TO 07/31/88 PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	FMA FUND
			**** OPENING BALANCES ****			98,493.82	.00
06/30/88			PHILADELPHIA ELECTRIC CO CASH DIV ON 200 SHS REC 05/25/88 PAY 06/30/88	DIV-RECD.	110.00CR	98,383.82	.00
07/21/88			INT FR 6/21 THRU 7/20@ 9 % BAL 98,383 AVBAL 98,416	INT-CHARGE	738.13	99,121.95	.00
07/29/88		100	AMDAHL CORP	49 1/2	4,881.23CR	94,240.72	.00
			**** CLOSING BALANCES ****			94,240.72	.00

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	%	ANNUAL DIV/INT	IND. INCOME INCOME	% YLD	S&P RTG	IND EARNINGS PER SHR	P/E
400	AMERICAN EXPRESS CO	28.12	11,250	28	6.2	.76	304.00	2.7	A-	1.78	16
200	AMERICAN TEL & TEL CO	26.62	5,325	62	2.9	1.20	240.00	4.5	A-	1.94	14
100	CRAY RESEARCH INC	83.75	8,375	56	4.6	.00	.00	.0	B+	3.68	23
100	WALT DISNEY COMPANY	63.12	6,312	43	3.5	.40	40.00	.6	B	3.79	17
223	GABELLI EQUITY TRUST INC	10.00	2,230	99	1.2	.35	79.61	3.5	NR	.00	
500	GREAT ATLANTIC&PAC TEA CO INC	40.25	20,125	52	11.1	.60	300.00	1.4	B-	2.91	14
400	*** JAGUAR PLC SPONSORED ADR	5.00	2,000	10	1.1	.21	87.60	4.3	NR	.63	8
300	LIN BROADCASTING CORP	57.25	17,175	14	9.5	.00	.00	.0	B+	1.22	47
100	MCA INC	41.62	4,162	14	2.3	.68	68.00	1.6	B	1.40	30
500	MCI COMMUNICATIONS CORP	17.12	8,562	62	4.7	.00	.00	.0	NR	.60	29
200	PHILADELPHIA ELECTRIC CO	18.62	3,725	64	2.1	2.20	440.00	11.8	A-	2.21	8
100	RJR NABISCO INC	51.00	5,100	83	2.8	2.20	220.00	4.3	A+	5.29	10
600	USX CORP $10.75 CUM RED PFD	102.00	61,200	99	33.8	.00	.00	.0	BB-	.00	
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY W VA					.00	.00	.0		.00	
	SUB TOTAL		155,542		85.8		1779.21				
	*** OTHER POSITIONS ***										
10.0000	SHEARSON LEHMAN FUTURES 1000FD	1550.22	15,502	SD	8.6	.00	.00	.0		.00	
10.0000	F-1000 GUARANTEE FUTURE FND II	1020.30	10,203	SD	5.6	.00	.00	.0		.00	

IMAN BROTHERS

Account

CONGRESSMAN NICK JOE RAHALL II   685 03367 17 240   06/27/88 TO 07/31/88 PAGE

PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
	OTHER POSITIONS TOTAL		25,705		14.2		.00				
	TOTALS		181,247		100.0		1779.21				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.
BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

INDUSTRY CLASSIFICATION

E	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
	AUTOMOTIVE	1.1	2,000	62	PUBLIC UTILITIES-COMMUNICATIONS	7.7	13,987
	BROADCASTING & TV	11.8	21,337	64	PUBLIC UTILITIES-ELECTRIC	2.1	3,725
	FINANCE COMPANIES	6.2	11,250	85	TOBACCO	2.8	5,100
	LEISURE TIME	3.5	6,312	99	UNCLASSIFIED ISSUES	35.0	63,430
	MERCHANDISING	11.1	20,125	SD	COMMODITY FUNDS	14.2	25,705
	OFFICE EQUIPMENT	4.6	8,375				

LIMITED PARTNERSHIPS

THE FOLLOWING INFORMATION, LISTING INVESTMENTS IN YOUR POSSESSION, IS PROVIDED AS A CONVENIENCE TO AID IN YOUR FINANCIAL RECORD-KEEPING. THE INFORMATION REFLECTS INITIAL PURCHASES THROUGH SHEARSON LEHMAN HUTTON OR INFORMATION THAT YOU SUPPLIED TO US. SUBSEQUENT TRANSACTIONS, IF ANY, WILL NOT BE REFLECTED UNLESS DONE THROUGH SHEARSON LEHMAN HUTTON. WE WILL CONTINUE TO SHOW EACH INVESTMENT UNTIL IT MATURES, UNLESS YOU REQUEST TO HAVE THE INVESTMENT REMOVED. THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
03/25/88	F-1000 GUARANTEE FUTURES II LP SUBJ TO CLOSE OR ACPT BY SPNSR	10,000
	TOTAL FACE AMOUNT	10,000

HMAN BROTHERS

Financial Management Account

685 03367 17 240

05/31/88 TO 06/24/88 PAGE

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. H JOE RAHALL
2. JAMES T SHEPHERD

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

OUNT	685 03367 17 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
ID	236-78-8645	
ANCIAL SULTANT	JAMES A JOSEPH 717-421-5180	

PORTFOLIO INCOME SUMMARY

	YIELD	CURRENT PERIOD	YEAR TO DATE
ASH FUND DIVIDENDS	6.67	.00	.00
UNI FUND DIVIDENDS	4.56	.00	.00
OVT FUND DIVIDENDS	6.57	.00	.00
IVIDENDS		1,682.58	4,097.90
'AXABLE INTEREST		.00	.00
ON-TAXABLE INTEREST		.00	.00
THER INCOME		.00	.00
TOTAL		1,682.58	4,097.90

MONTH'S AVERAGE BORROWING RATE: 9.25% TO 10.75%.

ACTIVITY SUMMARY

	DEBIT	CREDI
COMBINED OPENING BALANCE (CASH PLUS FMA FUND)	99,799.70	
PORTFOLIO PURCHASES	3,419.53	
PORTFOLIO SALES		3,481.3
PORTFOLIO INCOME		1,682.5
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	712.80	
CASH RECEIVED	.00	108.0
CASH WITHDRAWN	.00	.0
OVERDRAFT LOAN ACTIVITY		.0
OTHER ACTIVITY		.0
COMBINED CLOSING BALANCE	98,475.82	

MARKET VALUE	184,700	SHORT BALANCE	.00
EQUITY	86,286	YTD INT CHARGED	3,948.22
BUYING POWER	39,426	OVERDRAFT LOAN	.00
CASH AVAILABLE	13,142	AVG DAILY BAL	101,083.00
LTD PTNRSHIPS*	10,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

A HISTORY OF UNCOMMON PERFORMANCE . . .

ON JULY 1, THE SHEARSON LEHMAN HUTTON INVESTMENT POLICY COMMITTEE ANNOUNCED THE 39TH ANNUAL EDITION OF TEN UNCOMMON VALUES IN COMMON STOCKS.

YOU CAN PURCHASE THESE SPECIALLY SELECTED STOCKS INDIVIDUALLY OR PARTICIPATE IN THE POTENTIAL GROWTH OF ALL TEN THROUGH OUR UNCOMMON VALUES UNIT TRUST.

ASK YOUR FC FOR OUR NEW BOOKLET LISTING THE STOCKS AND A UNIT TRUST PROSPECTUS. SEE ARTICLE IN THE ENCLOSED FMA JOURNAL.

*BE SURE TO READ FMA NEWS BRIEFS
FOR IMPORTANT CHANGES IN YOUR CHECKING PRIVILEGE*

FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636;
IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1636

HMAN BROTHERS

Financial Managemen
Account

CONGRESSMAN NICK JOE RAHALL II   605 03367 17 240   05/31/88 TO 06/26/88   PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	SOLD/DEL	DESCRIPTION	ENTRY/PRICE	AMOUNT	BALANCES CASH	FMA FUND
			**** OPENING BALANCES ****			99,799.70	.00
05/31/88			AMDAHL CORP CASH DIV ON 100 SHS REC 05/16/88 PAY 05/31/88	DIV-RECD.	5.00CR	99,794.70	.00
06/06/88			RJR NABISCO INC CASH DIV ON 100 SHS REC 05/13/88 PAY 06/06/88	DIV-RECD.	48.00CR	99,746.70	.00
06/07/88	200		SANTA FE SOUTHERN PACIFIC CORP ORDER RECEIVED	16 3/4	3,417.53	103,164.23	.00
06/13/88			MCA INC CASH DIV ON 100 SHS REC 05/25/88 PAY 06/13/88	DIV-RECD.	17.00CR	103,149.23	.00
06/15/88			USX CORP $10.75 CUM RED PFD CASH DIV ON 600 SHS REC 05/16/88 PAY 06/15/88	DIV-RECD.	1,612.50CR	101,536.73	.00
06/21/88			INT FR 5/23 THRU 6/20@ 8 3/4% BAL 101,536 AVBAL 101,083	INT-CHARGE	712.50	102,249.23	
06/21/88		200	SANTA FE SOUTHERN PACIFIC CORP L	18 5/8	3,651.54CR	98,597.69	
06/21/88			F-1060 GUARANTEE FUTURES II LP ESCROW INTEREST EARNED	INTEREST	103.87CR	98,493.82	.00
			**** CLOSING BALANCES ****			98,493.82	.00

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNIN PER SHR	P/
100	AMDAHL CORP	54.00	5,400	86	2.9	.20	20.00	.3	B	3.04	1
400	AMERICAN EXPRESS CO	27.12	10,848	28	5.9	.76	304.00	2.8	A-	1.10	2
200	AMERICAN TEL & TEL CO	26.75	5,350	62	2.9	1.20	240.00	4.4	A-	1.96	1
100	CRAY RESEARCH INC	85.12	8,512	86	4.6	.00	.00	.0	B+	3.71	2
100	WALT DISNEY COMPANY	65.00	6,500	43	3.5	.40	40.00	.6	B	3.82	1
223	GABELLI EQUITY TRUST INC	9.87	2,202	99	1.2	.35	79.61	3.6	NR	.00	
500	GREAT ATLANTIC&PAC TEA CO INC	38.12	19,062	82	10.3	.50	250.00	1.3	B-	2.71	1
400	*** JAGUAR PLC SPONSORED ADR	4.96	1,987	10	1.1	.21	87.60	4.4	NR	.63	
300	LIN BROADCASTING CORP	63.00	18,900	14	10.2	.00	.00	.0	B+	1.02	6

SHEARSON
HMAN BROTHERS

An American Express company

Financial Managemen Account

CONGRESSMAN NICK JOE RAHALL II   685 03367 17 240   05/31/88 TO 06/24/88   PAGE

PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	INCOME % YLD	S&P RTG	IND EARNING PER SHR	P/
100	MCA INC	41.50	4,150	14	2.2	.60	60.00	1.6	B	1.81	2
500	MCI COMMUNICATIONS CORP	14.37	7,187	62	3.9	.00	.00	.0	NR	.42	3
200	PHILADELPHIA ELECTRIC CO	18.75	3,750	64	2.0	2.20	440.00	11.7	A-	2.25	
100	RJR NABISCO INC	47.62	4,762	83	2.6	1.92	192.00	4.0	A+	4.95	1
600	USX CORP $10.75 CUM RED PFD	101.43	60,862	99	32.9	10.75	6480.00	10.5	BB-	.00	
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY W VA					.00	.00	.C		.00	
	SUB TOTAL		159,476		86.3		8171.21				
	*** OTHER FUND POSITIONS ***										
10.0000	SHEARSON LEHMAN FUTURES 1000FD	1518.25	15,182	50	8.2	.00	.00	.0		.00	
10.0000	F-1000 GUARANTEE FUTURE FND II	1012.09	10,120	50	5.5	.00	.00	.0		.00	
	OTHER FUND TOTAL		25,303		13.7		.00				
	TOTALS		184,780		100.0		8171.21				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.
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INDUSTRY CLASSIFICATION

DE	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
0	AUTOMOTIVE	1.1	1,987	62	PUBLIC UTILITIES-COMMUNICATIONS	6.8	12,537
4	BROADCASTING & TV	12.5	23,080	64	PUBLIC UTILITIES-ELECTRIC	2.0	3,750
8	FINANCE COMPANIES	5.9	10,880	83	TOBACCO	2.6	4,762
3	LEISURE TIME	3.5	6,500	99	UNCLASSIFIED ISSUES	34.1	63,064
2	MERCHANDISING	10.3	19,062	50	COMMODITY FUNDS	13.7	25,303
6	OFFICE EQUIPMENT	7.5	13,912				

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
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SHEARSON
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An American Express company

**Financial Managemen
Account**

CONGRESSMAN NICK JOE RAHALL II   605 93347 17 240   05/21/88 TO 06/24/88   PAGE

LIMITED PARTNERSHIPS

THE FOLLOWING INFORMATION, LISTING INVESTMENTS IN YOUR POSSESSION, IS PROVIDED AS A CONVENIENCE TO AID IN YOUR FINANCIAL RECORD-KEEPING. THE INFORMATION REFLECTS INITIAL PURCHASES THROUGH SHEARSON LEHMAN HUTTON OR INFORMATION THAT YOU SUPPLIED TO US. SUBSEQUENT TRANSACTIONS, IF ANY, WILL NOT BE REFLECTED UNLESS DONE THROUGH SHEARSON LEHMAN HUTTON. WE WILL CONTINUE TO SHOW EACH INVESTMENT UNTIL IT MATURES, UNLESS YOU REQUEST TO HAVE THE INVESTMENT REMOVED. THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
05/25/88	F-1000 GUARANTEE FUTURES II LP SUBJ TO CLOSE OR ACPT BY SPNSR	10,000
	TOTAL FACE AMOUNT	10,000

SHEARSON
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Account

605 03367 17 040 06/01/90 TO 06/30/90 PAGE

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. N JOE RAHALL
2. JAMES T SHEPHERD

OUNT	605 03367 17 040	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC.
ID	234-70-0646	701 MAIN STREET SUITE 100
ANCIAL SULTANT	JAMES A JOSEPH 717-421-3100	STROUDSBURG PA 18360

PORTFOLIO INCOME SUMMARY

	YIELD	CURRENT PERIOD	YEAR TO DATE
ASH FUND DIVIDENDS	6.43	.00	.00
UNI FUND DIVIDENDS	4.56	.00	.00
OVT FUND DIVIDENDS	6.39	.00	.00
IVIDENDS		265.70	2,415.40
AXABLE INTEREST		.00	.00
ON-TAXABLE INTEREST		.00	.00
THER INCOME		.00	.00
TOTAL		265.70	2,415.40

MONTH'S AVERAGE BORROWING RATE: 9.00% TO 10.50%.

ACTIVITY SUMMARY

	DEBIT	CREDI
COMBINED OPENING BALANCE (CASH PLUS FMA FUND)	99,315.87	
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.0
PORTFOLIO INCOME		265.7
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	749.83	
CASH RECEIVED	.00	.0
CASH WITHDRAWN	.00	.0
OVERDRAFT LOAN ACTIVITY		.0
OTHER ACTIVITY		.0
COMBINED CLOSING BALANCE	99,799.70	

MARKET VALUE	164,252	SHORT BALANCE	.00
EQUITY	64,452	YTD INT CHARGED	3,232.72
BUYING POWER	16,429	OVERDRAFT LOAN	.00
CASH AVAILABLE	8,843	AVG DAILY BAL	99,282.00
LTD PTNRSHIPS*	10,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

TEN UNCOMMON VALUES IN COMMON STOCK

ON JULY 1, THE SHEARSON LEHMAN HUTTON INVESTMENT POLICY COMMITTEE WILL ANNOUNCE 10 STOCKS THAT APPEAR TO HAVE ABOVE-AVERAGE GROWTH POTENTIAL FOR THE COMING YEAR. WE HAVE CHOSEN 10 SUCH STOCKS EVERY YEAR SINCE 1949, AND WE CALL THEM "UNCOMMON VALUES."

IN ADDITION TO INDIVIDUAL ISSUES, YOU CAN OWN THE ENTIRE UNCOMMON VALUES PORTFOLIO THROUGH THE PURCHASE OF OUR "UNCOMMON VALUES UNIT TRUST." SEE ARTICLE IN "THE FMA JOURNAL," AND CONTACT YOUR FINANCIAL CONSULTANT FOR FURTHER INFORMATION.

FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636;
IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1656

SHEARSON
LEHMAN BROTHERS

An American Express company

Financial Managemen Account

CONGRESSMAN NICK JOE RAHALL II   665 03367 17 240   05/01/88 TO 05/30/88   PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FMA FUND
			***** OPENING BALANCES *****			99,315.87	.00
05/02/88			AMERICAN TEL & TEL CO CASH DIV ON 200 SHS REC 03/31/88 PAY 05/02/88	DIV-RECD.	60.00CR	99,255.87	
05/02/88			GREAT ATLANTIC&PAC TEA CO INC CASH DIV ON 500 SHS REC 04/15/88 PAY 05/02/88	DIV-RECD.	62.50CR	99,193.37	.00
05/10/88			AMERICAN EXPRESS CO CASH DIV ON 400 SHS REC 04/08/88 PAY 05/10/88	DIV-RECD.	76.00CR	99,117.37	.00
05/16/88			WALT DISNEY COMPANY CASH DIV ON 100 SHS REC 04/19/88 PAY 05/16/88	DIV-RECD.	10.00CR	99,107.37	.00
05/23/88			INT FR 4/21 THRU 5/22@ 8 1/2% BAL 99,107 AVBAL 99,202	INT-CHARGE	749.53	99,856.90	
05/23/88			*** JAGUAR PLC SPONSORED ADR CASH DIV ON 400 SHS REC 04/06/88 PAY 05/23/88	DIV-RECD.	57.20CR	99,799.70	.00
			**** CLOSING BALANCES ****			99,799.70	.00

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/
100	AMDAHL CORP	44.87	4,487	56	2.7	.20	20.00	.4	B	3.04	1
400	AMERICAN EXPRESS CO	24.50	9,800	20	6.0	.76	304.00	3.1	A-	1.10	2
200	AMERICAN TEL & TEL CO	26.00	5,200	62	3.2	1.20	240.00	4.6	A-	1.96	1
100	CRAY RESEARCH INC	74.12	7,412	56	4.5	.00	.00	.0	B+	3.71	2
100	WALT DISNEY COMPANY	56.87	5,687	43	3.5	.40	40.00	.7	B	3.52	1
223	GABELLI EQUITY TRUST INC	9.25	2,062	99	1.3	.35	79.61	3.8	NR	.00	
500	GREAT ATLANTIC&PAC TEA CO INC	34.00	17,000	52	10.3	.50	250.00	1.4	B-	2.71	1
400	*** JAGUAR PLC SPONSORED ADR	4.62	1,848	10	1.1	.21	87.60	4.7	NR	.63	
300	LIN BROADCASTING CORP	58.87	17,662	14	10.8	.00	.00	.0	B+	1.02	5
100	MCA INC	39.37	3,937	14	2.4	.68	68.00	1.7	B	1.81	2
500	MCI COMMUNICATIONS CORP	13.75	6,875	62	4.2	.00	.00	.0	NR	.42	3
200	PHILADELPHIA ELECTRIC CO	17.25	3,450	64	2.1	2.20	440.00	12.7	A-	2.25	
100	RJR NABISCO INC	46.87	4,687	65	2.9	1.92	192.00	4.0	A+	4.95	
600	USX CORP $10.75 CUM RED PFD	100.62	60,375	99	36.8	10.75	6450.00	10.6	BB-	.00	

SHEARSON
HMAN BROTHERS

Financial Managemen
Account

CONGRESSMAN NICK JOE RAHALL II 688 05567 17 240 05/01/88 TO 05/30/88 PAGE

PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNING PER SHR	P/
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY W VA					.00	.00	.0		.00	
10.0000	F-1000 GUARANTEE FUTURE FND II					.00	.00	.0		.00	
	SUB TOTAL		150,487		91.6		$171.21				
	*** OTHER FUND POSITIONS ***										
10.0000	SHEARSON LEHMAN FUTURES 1000FD	1376.48	13,764	50	8.4	.00	.00	.0		.00	
	OTHER FUND TOTAL		13,764		8.4		.00				
	TOTALS		164,252		100.0		$171.21				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.
BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

INDUSTRY CLASSIFICATION

DE	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
0	AUTOMOTIVE	1.1	1,850	62	PUBLIC UTILITIES-COMMUNICATIONS	7.4	12,078
4	BROADCASTING & TV	13.2	21,600	64	PUBLIC UTILITIES-ELECTRIC	2.1	3,450
8	FINANCE COMPANIES	6.0	9,800	85	TOBACCO	2.9	4,687
5	LEISURE TIME	3.5	5,687	99	UNCLASSIFIED ISSUES	38.0	62,437
2	MERCHANDISING	10.3	17,600	50	COMMODITY FUNDS	8.4	13,764
6	OFFICE EQUIPMENT	7.2	11,900				

LIMITED PARTNERSHIPS

THE FOLLOWING INFORMATION, LISTING INVESTMENTS IN YOUR POSSESSION, IS PROVIDED AS A CONVENIENCE TO AID IN YOUR FINANCIAL RECORD-KEEPING. THE INFORMATION REFLECTS INITIAL PURCHASES THROUGH SHEARSON LEHMAN HUTTON OR INFORMATION THAT YOU SUPPLIED TO US. SUBSEQUENT TRANSACTIONS, IF ANY, WILL NOT BE REFLECTED UNLESS DONE THROUGH SHEARSON LEHMAN HUTTON. WE WILL CONTINUE TO SHOW EACH INVESTMENT UNTIL IT MATURES, UNLESS YOU REQUEST TO HAVE THE INVESTMENT REMOVED. THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
05/25/88	F-1000 GUARANTEE FUTURES II LP SUBJ TO CLOSE OR ACPT BY SPNSR	10,000

SHEARSON
HMAN BROTHERS

An American Express company

**Financial Managemen
Account**

CONGRESSMAN NICK JOE RAHALL II 685 05347 17 290 06/01/88 TO 06/30/88 PAGE

LIMITED PARTNERSHIPS (CONT)

DATE	DESCRIPTION	FACE AMOUNT
	TOTAL FACE AMOUNT	10,000

SHEARSON
HMAN BROTHERS
An American Express company

Financial Management Account

685 03367 17 240 03/28/88 TO 04/30/88 PAGE

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. N JOE RAHALL
2. JAMES T SHEPHERD

UNT	685 03367 17 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
ID	236-78-6648	
NCIAL ULTANT	JAMES A JOSEPH 717-421-3100	

A C T I V I T Y S U M M A R Y

	DEBIT	CREDIT
COMBINED OPENING BALANCE	101,117.65	
(CASH PLUS FMA FUND)		
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		2,862.8
PORTFOLIO INCOME		127.0
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	184.97	
INTEREST CHARGED	703.37	
CASH RECEIVED	.00	.0
CASH WITHDRAWN	.00	.0
OVERDRAFT LOAN ACTIVITY		.0
OTHER ACTIVITY		.0
COMBINED CLOSING BALANCE	99,318.87	

MARKET VALUE	168,738	SHORT BALANCE	.00
EQUITY	69,423	YTD INT CHARGED	2,488.19
BUYING POWER	26,901	OVERDRAFT LOAN	.00
CASH AVAILABLE	8,967	AVG DAILY BAL	99,087.00
LTD PTNRSHIPS*	10,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

P O R T F O L I O I N C O M E S U M M A R Y

	YIELD	CURRENT PERIOD	YEAR TO DATE
SH FUND DIVIDENDS	6.31	.00	.00
MI FUND DIVIDENDS	4.88	.00	.00
VT FUND DIVIDENDS	6.33	.00	.00
IVIDENDS		127.00	2,149.70
XABLE INTEREST		.00	.00
N-TAXABLE INTEREST		.00	.00
HER INCOME		.00	.00
TOTAL		127.00	2,149.70

MONTH'S AVERAGE BORROWING RATE: 8.75% TO 10.25%.

WHERE DO WE BELIEVE THE STOCK MARKET AND INTEREST RATES ARE HEADING?

READ THE ENCLOSED FMA JOURNAL FOR AN UPDATE FROM OUR CHAIRMAN OF INVESTMENT POLICY AND OUR CHIEF ECONOMIST.

OTHER TOPICS INCLUDE... * FMA NEWS BRIEFS * REFINANCING YOUR MORTGAGE * THE IMPACT OF CURRENCY FLUCTUATIONS ON THE MARKET * HOW WE RANK EQUITIES *

FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636;
IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1656

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company, Inc.

SHEARSON
HMAN BROTHERS

An American Express company

Financial Managemen
Account

CONGRESSMAN NICK JOE RAHALL II   685 03347 17 240   03/28/88 TO 04/30/88   PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FMA FUND
			**** OPENING BALANCES ****			101,117.03	.00
03/31/88			PHILADELPHIA ELECTRIC CO CASH DIV ON 200 SHS REC 03/04/88 PAY 03/31/88	DIV-RECD.	110.00CR	101,007.03	.00
04/11/88			MCA INC CASH DIV ON 100 SHS REC 03/25/88 PAY 04/11/88	DIV-RECD.	17.00CR	100,990.03	.00
04/12/88		500	TPI ENTERPRISES INC TRD PRICE TO NASDAQ: 5 3/8 PRICE INCLUS MARK-DWN: 1/4 SLB IS A MARKET MAKER	5 1/8	2,562.50CR	98,427.53	.00
04/19/88			GOLD CARD CHARGES *	PAYMENT	184.97	98,612.50	.00
04/21/88			INT FR 3/21 THRU 4/20@ 8 1/4% BAL 98,612 AVBAL 99,007	INT-CHARGE	703.37	99,315.87	.00
			**** CLOSING BALANCES ****			99,315.87	.00

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/
100	AMDAHL CORP	39.62	3,962	56	2.3	.20	20.00	.5	B	3.04	1
400	AMERICAN EXPRESS CO	24.00	9,600	28	5.7	.76	304.00	3.1	A-	1.10	2
200	AMERICAN TEL & TEL CO	26.50	5,300	62	3.1	1.20	240.00	4.5	A-	1.96	14
100	CRAY RESEARCH INC	84.00	8,400	54	5.0	.00	.00	.0	B+	3.71	2
100	WALT DISNEY COMPANY	57.50	5,750	43	3.4	.40	40.00	.6	B	3.67	16
223	GABELLI EQUITY TRUST INC	8.75	1,951	99	1.2	.60	133.80	6.9	NR	.00	
500	GREAT ATLANTIC&PAC TEA CO INC	35.87	17,937	52	10.6	.50	250.00	1.3	B-	2.71	1
400	*** JAGUAR PLC SPONSORED ADR	5.18	2,074	10	1.2	.21	84.40	4.1	NR	.63	
300	LIN BROADCASTING CORP	60.75	18,225	14	10.8	.00	.00	.0	B+	1.02	6
100	MCA INC	44.37	4,437	14	2.6	.68	68.00	1.5	B	1.81	2
500	MCI COMMUNICATIONS CORP	13.62	6,812	62	4.0	.00	.00	.0	NR	.42	3
200	PHILADELPHIA ELECTRIC CO	17.50	3,500	64	2.1	2.20	440.00	12.5	A-	2.25	
100	RJR NABISCO INC	50.87	5,087	83	3.0	1.92	192.00	3.7	A+	4.98	1
600	USX CORP $10.75 CUM RED PFD	102.75	61,650	99	36.5	10.75	6450.00	10.4	BB-	.00	
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY					.00	.00	.0		.00	

SHEARSON
HMAN BROTHERS
An American Express company

Financial Managemen
Account

CONGRESSMAN NICK JOE RAHALL II   685 03367 17 240   03/28/88 TO 04/30/88   PAGE

PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNING PER SHR	P/
	W VA										
10.0000	F-1000 GUARANTEE FUTURE FND II					.00	.00	.0		.00	
	SUB TOTAL		154,680		91.7		8225.76				
	*** OTHER FUND POSITIONS ***										
10.0000	SHEARSON LEHMAN FUTURES 1000FD	1408.04	14,080	SD	8.3	.00	.00	.0		.00	
	OTHER FUND TOTAL		14,080		8.3		.00				
	TOTALS		168,758		100.0		8225.76				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.

BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

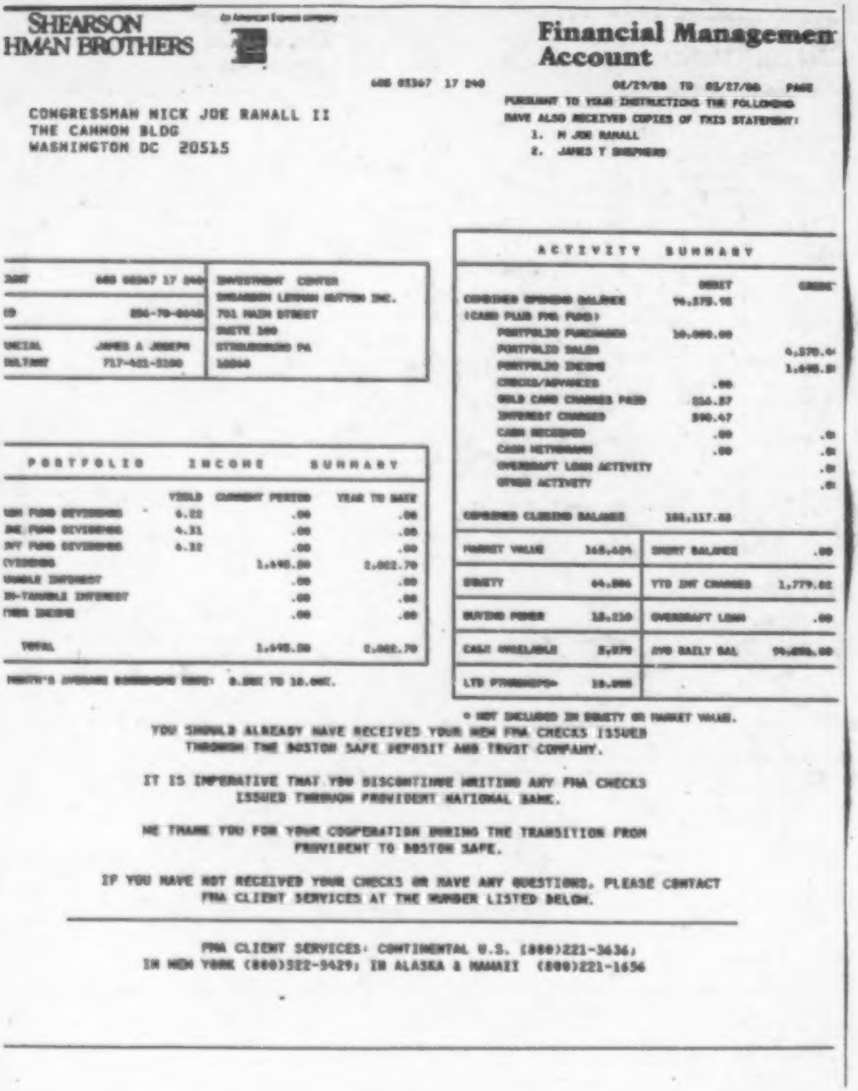
INDUSTRY CLASSIFICATION

DE	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
3	AUTOMOTIVE	1.2	2,074	62	PUBLIC UTILITIES-COMMUNICATIONS	7.2	12,112
4	BROADCASTING & TV	13.4	22,662	64	PUBLIC UTILITIES-ELECTRIC	2.1	3,500
3	FINANCE COMPANIES	5.7	9,600	83	TOBACCO	5.0	8,007
5	LEISURE TIME	3.4	5,750	99	UNCLASSIFIED ISSUES	37.7	63,601
2	MERCHANDISING	10.6	17,937	SD	COMMODITY FUNDS	8.3	14,080
5	OFFICE EQUIPMENT	7.3	12,362				

LIMITED PARTNERSHIPS

THE FOLLOWING INFORMATION, LISTING INVESTMENTS IN YOUR POSSESSION, IS PROVIDED AS A CONVENIENCE TO AID IN YOUR FINANCIAL RECORD-KEEPING. THE INFORMATION REFLECTS INITIAL PURCHASES THROUGH SHEARSON LEHMAN HUTTON OR INFORMATION THAT YOU SUPPLIED TO US. SUBSEQUENT TRANSACTIONS, IF ANY, WILL NOT BE REFLECTED UNLESS DONE THROUGH SHEARSON LEHMAN HUTTON. WE WILL CONTINUE TO SHOW EACH INVESTMENT UNTIL IT MATURES, UNLESS YOU REQUEST TO HAVE THE INVESTMENT REMOVED. THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
03/25/88	F-1000 GUARANTEE FUTURES II LP SUBJ TO CLOSE OR ACPT BY SPNSR	10,000
	TOTAL FACE AMOUNT	10,000



SHEARSON
HMAN BROTHERS

An American Express company

Financial Managemen
Account

608 05367 17 240

02/29/88 TO 03/27/88 PAGE

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. N JOE RAHALL
2. JAMES T SHEPHERD

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

UNT	608 05367 17 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC. 701 MAIN STREET SUITE 300 STROUDSBURG PA 18360
ID	236-70-6640	
NCIAL ULTANT	JAMES A JOSEPH 717-421-3100	

ACTIVITY SUMMARY

	DEBIT	CREDI
COMBINED OPENING BALANCE	96,375.98	
(CASH PLUS FMA FUND)		
PORTFOLIO PURCHASES	10,000.00	
PORTFOLIO SALES		4,370.4
PORTFOLIO INCOME		1,695.0
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	216.27	
INTEREST CHARGED	590.47	
CASH RECEIVED	.00	.0
CASH WITHDRAWN	.00	.0
OVERDRAFT LOAN ACTIVITY		.0
OTHER ACTIVITY		.0
COMBINED CLOSING BALANCE	101,117.28	

MARKET VALUE	165,404	SHORT BALANCE	.00
EQUITY	64,286	YTD INT CHARGED	1,779.02
BUYING POWER	18,210	OVERDRAFT LOAN	.00
CASH AVAILABLE	5,979	AVG DAILY BAL	96,896.00
LTD PURCHASES*	18,288		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

PORTFOLIO INCOME SUMMARY

	YIELD	CURRENT PERIOD	YEAR TO DATE
[illegible] FUND DIVIDENDS	6.22	.00	.00
[illegible] FUND DIVIDENDS	6.31	.00	.00
[illegible] FUND DIVIDENDS	6.32	.00	.00
VIDENDS		1,695.00	2,002.70
XABLE INTEREST		.00	.00
N-TAXABLE INTEREST		.00	.00
THER INCOME		.00	.00
TOTAL		1,695.00	2,002.70

MONTH'S AVERAGE BORROWING RATE: 8.00% TO 10.00%.

YOU SHOULD ALREADY HAVE RECEIVED YOUR NEW FMA CHECKS ISSUED THROUGH THE BOSTON SAFE DEPOSIT AND TRUST COMPANY.

IT IS IMPERATIVE THAT YOU DISCONTINUE WRITING ANY FMA CHECKS ISSUED THROUGH PROVIDENT NATIONAL BANK.

WE THANK YOU FOR YOUR COOPERATION DURING THE TRANSITION FROM PROVIDENT TO BOSTON SAFE.

IF YOU HAVE NOT RECEIVED YOUR CHECKS OR HAVE ANY QUESTIONS, PLEASE CONTACT FMA CLIENT SERVICES AT THE NUMBER LISTED BELOW.

FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636;
IN NEW YORK (800)522-9429; IN ALASKA & HAWAII (800)221-1656

SHEARSON
HMAN BROTHERS
An American Express company

Financial Managemen Account

CONGRESSMAN NICK JOE RAHALL II   686 03367 17 240   02/29/88 TO 03/27/88   PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FMA FUND
			**** OPENING BALANCES ****			96,378.93	.00
03/07/88			LOCKHEED CORP N/C FR LOCKHEED AIRCRAFT CORP CASH DIV ON 100 SHS REC 02/17/88 PAY 03/07/88	DIV-RECD.	35.00CR	96,340.93	
03/07/88			RJR NABISCO INC CASH DIV ON 100 SHS REC 02/10/88 PAY 03/05/88	DIV-RECD.	48.00CR	96,292.93	.00
03/14/88		100	LOCKHEED CORP N/C FR LOCKHEED AIRCRAFT CORP	44 3/8	4,370.44CR	91,922.49	.00
03/18/88			USX CORP $10.75 CUM RED PFD CASH DIV ON 600 SHS REC 02/12/88 PAY 03/15/88	DIV-RECD.	1,612.50CR	90,309.99	.00
03/21/88			INT FR 2/22 THRU 3/20 @ 8 X BAL 90,339 AVBAL 94,896	INT-CHARGE	590.47	90,900.46	.00
03/22/88			GOLD CARD CHARGES *	PAYMENT	216.57	91,117.03	.00
03/23/88	10000		F-1000 GUARANTEE FUTURES II LP SUBJ TO CLOSE/ACPT BY SPNSR	1	10,000.00	101,117.03	.00
			**** CLOSING BALANCES ****			101,117.03	.00

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
100	AMDAHL CORP	31.87	3,187	56	1.9	.20	20.00	.6	B	2.74	11
400	AMERICAN EXPRESS CO	24.50	9,800	28	5.9	.76	304.00	3.1	A-	1.20	20
200	AMERICAN TEL & TEL CO	27.12	5,425	62	3.3	1.20	240.00	4.4	A-	1.88	14
100	CRAY RESEARCH INC	76.75	7,675	56	4.6	.00	.00	.0	B+	4.68	17
100	WALT DISNEY COMPANY	60.37	6,037	43	3.6	.40	40.00	.6	B	3.30	18
223	GABELLI EQUITY TRUST INC	8.87	1,979	99	1.2	.60	133.36	6.8	NR	.00	
500	GREAT ATLANTIC&PAC TEA CO INC	34.87	17,437	52	10.5	.50	250.00	1.4	B-	2.71	11
400	*** JAGUAR PLC SPONSORED ADR	5.21	2,087	10	1.3	.21	86.40	4.1	NR	.63	8
300	LIN BROADCASTING CORP	52.25	15,675	14	9.5	.00	.00	.0	B+	1.56	33
100	MCA INC	42.87	4,287	14	2.6	.68	68.00	1.5	B	1.82	24
500	MCI COMMUNICATIONS CORP	10.75	5,375	62	3.2	.00	.00	.0	NR	.30	36
200	PHILADELPHIA ELECTRIC CO	18.62	3,725	64	2.2	2.20	440.00	11.8	A-	2.33	8

SHEARSON
HMAN BROTHERS

An American Express company

Financial Managemen Account

CONGRESSMAN NICK JOE RAHALL II   486 03347 17 240   02/29/88 TO 03/27/88   PAGE

PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/
100	RJR NABISCO INC	48.25	4,825	83	2.9	1.92	192.00	3.9	A+	5.02	1
500	TPI ENTERPRISES INC	5.50	2,750	99	1.7	.00	.00	.0	NR	.34-	
600	LDX CORP $10.75 CUM RED PFD	102.37	61,425	99	37.1	10.75	6450.00	10.5	BB-	.00	
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY W VA					.00	.00	.0		.00	
	SUB TOTAL		151,491		91.6		8225.76				
	*** OTHER FUND POSITIONS ***										
10.0000	SHEARSON LEHMAN FUTURES 1000FD	1393.23	13,932	50	8.4	.00	.00	.0		.00	
	OTHER FUND TOTAL		13,932		8.4		.00				
	TOTALS		165,424		100.0		8225.76				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.

BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
AUTOMOTIVE	1.3	2,087	62	PUBLIC UTILITIES-COMMUNICATIONS	6.5	10,000
BROADCASTING & TV	12.1	19,962	64	PUBLIC UTILITIES-ELECTRIC	2.2	3,725
FINANCE COMPANIES	5.9	9,800	83	TOBACCO	2.9	4,825
LEISURE TIME	3.6	6,037	99	UNCLASSIFIED ISSUES	39.9	66,154
MERCHANDISING	10.5	17,437	50	COMMODITY FUNDS	8.4	13,932
OFFICE EQUIPMENT	6.6	10,062				

LIMITED PARTNERSHIPS

THE FOLLOWING INFORMATION, LISTING INVESTMENTS IN YOUR POSSESSION, IS PROVIDED AS A CONVENIENCE TO AID IN YOUR FINANCIAL RECORD-KEEPING. THE INFORMATION REFLECTS INITIAL PURCHASES THROUGH SHEARSON LEHMAN HUTTON OR INFORMATION THAT YOU SUPPLIED TO US. SUBSEQUENT TRANSACTIONS, IF ANY, WILL NOT BE REFLECTED UNLESS DONE THROUGH SHEARSON LEHMAN HUTTON. WE WILL CONTINUE TO SHOW EACH INVESTMENT UNTIL IT MATURES, UNLESS YOU REQUEST TO HAVE THE INVESTMENT REMOVED. THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
03/25/88	F-1000 GUARANTEE FUTURES II LP SUBJ TO CLOSE OR ACPT BY SPNSR	10,000

SHEARSON
MAN BROTHERS

An American Express company

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II   685 03367 17 240   02/29/88 TO 03/27/88   PAGE 4

LIMITED PARTNERSHIPS (CONT)

DATE	DESCRIPTION	FACE AMOUNT
	TOTAL FACE AMOUNT	10,000

SHEARSON
HMAN BROTHERS

An American Express company

Financial Management Account

685 03367 17 240 02/01/88 TO 02/29/88 PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. N JOE RAHALL
2. JAMES T SHEPHERD

UNT	685 03367 17 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
D	236-70-8647	
NCIAL ULTANT	JAMES A JOSEPH 717-421-3100	

ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE (CASH PLUS FMA FUND)	95,886.35	
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		211.80
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	702.38	
CASH RECEIVED	.00	1.30
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE	96,375.95	

MARKET VALUE	174,143	SHORT BALANCE	.00
EQUITY	77,767	YTD INT CHARGED	1,189.35
BUYING POWER	31,146	OVERDRAFT LOAN	.00
CASH AVAILABLE	18,584	AVG DAILY BAL	95,779.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

PORTFOLIO INCOME SUMMARY

	YIELD	CURRENT PERIOD	YEAR TO DATE
SH FUND DIVIDENDS	6.18	.00	.00
MI FUND DIVIDENDS	4.40	.00	.00
VT FUND DIVIDENDS	6.40	.00	.00
VIDENDS		211.80	327.20
XABLE INTEREST		.00	.00
N-TAXABLE INTEREST		.00	.00
HER INCOME		.00	.00
TOTAL		211.80	327.20

MONTH'S AVERAGE BORROWING RATE: 8.75% TO 10.25%.

YOU SHOULD ALREADY HAVE RECEIVED YOUR NEW FMA CHECKS ISSUED THROUGH THE BOSTON SAFE DEPOSIT AND TRUST COMPANY.

IT IS IMPERATIVE THAT YOU DISCONTINUE WRITING ANY FMA CHECKS ISSUED THROUGH PROVIDENT NATIONAL BANK.

WE THANK YOU FOR YOUR COOPERATION DURING THE TRANSITION FROM PROVIDENT TO BOSTON SAFE.

IF YOU HAVE NOT RECEIVED YOUR CHECKS OR HAVE ANY QUESTIONS, PLEASE CONTACT FMA CLIENT SERVICES AS THE NUMBER LISTED BELOW.

FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636;
IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1656

SHEARSON
HMAN BROTHERS

An American Express company

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II   688 03347 17 240   02/01/88 TO 02/29/88   PAGE :

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FMA FUND
			**** OPENING BALANCES ****			95,886.35	.00
02/02/88			AMERICAN TEL & TEL CO CASH DIV ON 200 SHS REC 12/31/87 PAY 02/01/88	DIV-RECD.	60.00CR	95,826.35	
02/02/88			GREAT ATLANTIC&PAC TEA CO INC CASH DIV ON 500 SHS REC 01/15/88 PAY 02/01/88	DIV-RECD.	62.50CR	95,763.85	.00
02/05/88	11		GABELLI EQUITY TRUST INC SHS FROM DIV-REINV				
02/05/88			GABELLI EQUITY TRUST INC REMAINDER FROM DIV-REINV		1.30CR	95,762.55	.00
02/10/88			AMERICAN EXPRESS CO CASH DIV ON 400 SHS REC 01/08/88 PAY 02/10/88	DIV-RECD.	76.00CR	95,686.55	.00
02/16/88			WALT DISNEY COMPANY CASH DIV ON 100 SHS REC 01/19/88 PAY 02/15/88	DIV-RECD.	8.00CR	95,678.55	.00
02/22/88			INT FR 1/21 THRU 2/21@ 8 1/4% BAL 95,678 AVBAL 95,779	INT-CHARGE	702.38	96,380.93	
02/22/88			AMDAHL CORP CASH DIV ON 100 SHS REC 02/08/88 PAY 02/22/88	DIV-RECD.	5.00CR	96,375.93	.00
			**** CLOSING BALANCES ****			96,375.93	.00

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/E
100	AMDAHL CORP	33.75	3,375	86	1.9	.20	20.00	.5	B	2.74	12
400	AMERICAN EXPRESS CO	25.37	10,150	28	5.8	.76	304.00	2.9	A-	1.20	21
200	AMERICAN TEL & TEL CO	29.00	5,800	62	3.3	1.20	240.00	4.1	A-	1.88	15
100	CRAY RESEARCH INC	82.50	8,250	86	4.7	.00	.00	.0	B+	4.65	18
100	WALT DISNEY COMPANY	60.00	6,000	43	3.4	.40	40.00	.6	B	3.30	18
223	GABELLI EQUITY TRUST INC	8.75	1,951	99	1.1	.60	135.36	6.9	NR	.00	
500	GREAT ATLANTIC&PAC TEA CO INC	38.12	19,062	52	10.9	.50	250.00	1.3	B-	2.60	15
400	*** JAGUAR PLC SPONSORED ADR	6.00	2,400-	10	1.4	.19	78.40	3.2	NR	.55	11
300	LIN BROADCASTING CORP	51.50	15,450	14	8.9	.00	.00	.0	B+	1.56	33

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company, Inc.

SHEARSON
HMAN BROTHERS
An American Express company

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II   608 03347 17 040   02/01/88 TO 02/29/88   PAGE

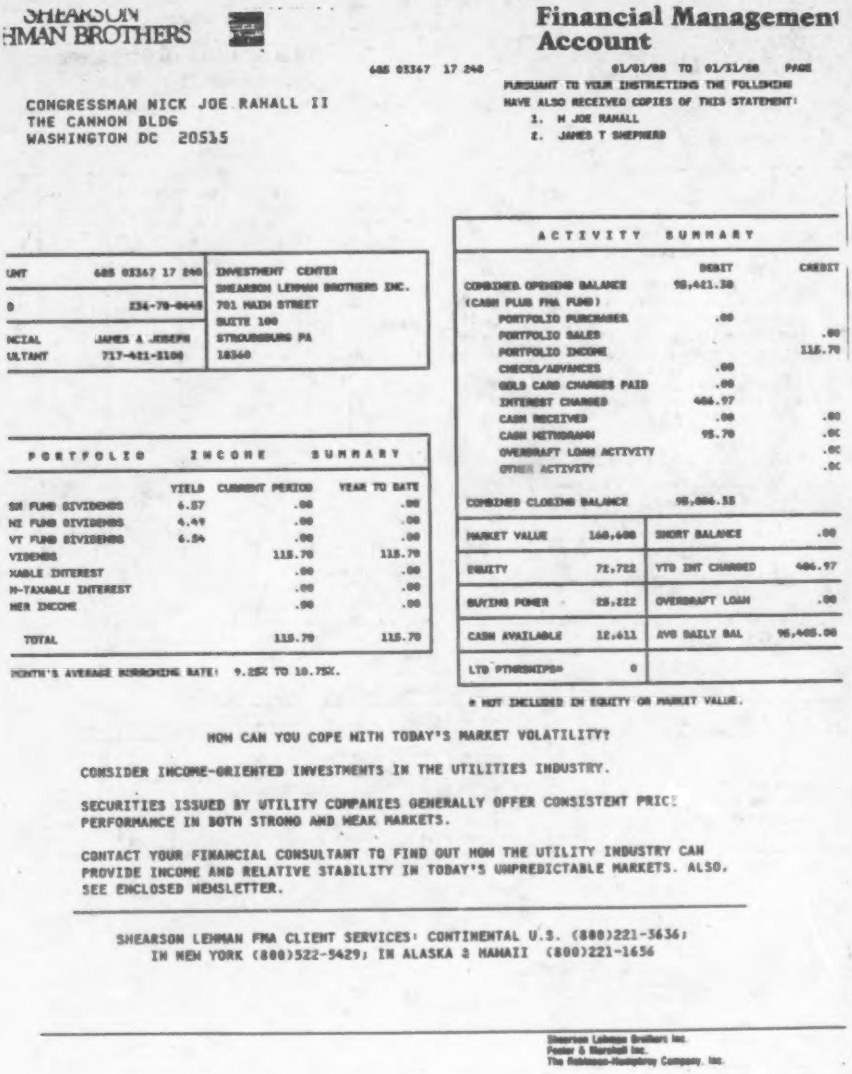
P O R T F O L I O   A N A L Y S I S (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CE	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
100	LOCKHEED CORP	43.37	4,337	02	2.5	1.40	140.00	3.2	B+	6.41	7
	N/C FR LOCKHEED AIRCRAFT CORP										
100	MCA INC	46.25	4,625	14	2.7	.60	60.00	1.4	B	1.82	25
500	MCI COMMUNICATIONS CORP	11.37	5,687	62	3.3	.00	.00	.0	NR	.30	38
200	PHILADELPHIA ELECTRIC CO	19.62	3,925	64	2.3	2.20	440.00	11.2	A-	2.33	8
100	RJR NABISCO INC	50.00	5,000	85	2.9	1.92	192.00	3.8	A+	5.02	10
500	TPI ENTERPRISES INC	5.75	2,875	99	1.7	.00	.00	.0	NR	1.52-	
600	UBK CORP $10.75 CUM RED PFD	101.75	61,050	99	35.1	10.75	6450.00	10.5	BB-	.00	
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY W VA					.00	.00	.0		.00	
	SUB TOTAL		159,938		91.8		6357.76				
	*** OTHER FUND POSITIONS ***										
10.0000	SHEARSON LEHMAN FUTURES 1000FD	1420.51	14,205	55	8.2	.00	.00	.0		.00	
	OTHER FUND TOTAL		14,205		8.2		.00				
	T O T A L S		174,143		100.0		6357.76				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.
BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

I N D U S T R Y   C L A S S I F I C A T I O N

E	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
	AEROSPACE	2.5	4,337	56	OFFICE EQUIPMENT	6.7	11,625
	AUTOMOTIVE	1.4	2,400	62	PUBLIC UTILITIES-COMMUNICATIONS	6.6	11,407
	BROADCASTING & TV	11.5	20,075	64	PUBLIC UTILITIES-ELECTRIC	2.3	3,925
	FINANCE COMPANIES	5.8	10,150	85	TOBACCO	2.9	5,000
	LEISURE TIME	3.4	6,000	99	UNCLASSIFIED ISSUES	37.8	65,876
	MERCHANDISING	10.9	19,062	55	COMMODITY FUNDS	8.2	14,205



SHEARSON
HMAN BROTHERS

Financial Managemen
Account

685 03367 17 240 01/01/88 TO 01/31/88 PAGE

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. N JOE RAHALL
2. JAMES T SHEPHERD

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

UNT	685 03367 17 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
D	234-70-0645	
NCIAL ULTANT	JAMES A JOSEPH 717-421-3100	

ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE	95,421.30	
(CASH PLUS FMA FUND)		
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		115.70
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	406.97	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	95.70	.0C
OVERDRAFT LOAN ACTIVITY		.0C
OTHER ACTIVITY		.0C
COMBINED CLOSING BALANCE	95,806.35	

MARKET VALUE	168,608	SHORT BALANCE	.00
EQUITY	72,722	YTD INT CHARGED	406.97
BUYING POWER	25,222	OVERDRAFT LOAN	.00
CASH AVAILABLE	12,611	AVG DAILY BAL	95,405.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

PORTFOLIO INCOME SUMMARY

	YIELD	CURRENT PERIOD	YEAR TO DATE
SH FUND DIVIDENDS	6.57	.00	.00
HI FUND DIVIDENDS	4.49	.00	.00
VT FUND DIVIDENDS	6.34	.00	.00
VIDENDS		115.70	115.70
XABLE INTEREST		.00	.00
N-TAXABLE INTEREST		.00	.00
HER INCOME		.00	.00
TOTAL		115.70	115.70

MONTH'S AVERAGE BORROWING RATE: 9.25% TO 10.75%.

HOW CAN YOU COPE WITH TODAY'S MARKET VOLATILITY?

CONSIDER INCOME-ORIENTED INVESTMENTS IN THE UTILITIES INDUSTRY.

SECURITIES ISSUED BY UTILITY COMPANIES GENERALLY OFFER CONSISTENT PRICE PERFORMANCE IN BOTH STRONG AND WEAK MARKETS.

CONTACT YOUR FINANCIAL CONSULTANT TO FIND OUT HOW THE UTILITY INDUSTRY CAN PROVIDE INCOME AND RELATIVE STABILITY IN TODAY'S UNPREDICTABLE MARKETS. ALSO, SEE ENCLOSED NEWSLETTER.

SHEARSON LEHMAN FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636;
IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1656

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company, Inc.

SHEARSON
HMAN BROTHERS
An American Express company

Financial Managemen
Account

CONGRESSMAN NICK JOE RAHALL II 605 03367 17 240 01/01/88 TO 01/31/88 PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FMA FUND
			***** OPENING BALANCES *****			95,421.38	.00
01/04/88			MCA INC CASH DIV ON 100 SHS REC 12/23/87 PAY 01/01/88	DIV-RECD.	17.00CR	95,404.38	.00
01/12/88			AMDAHL CORP CASH DIV ON 100 SHS REC 11/16/87 PAY 11/30/87	DIV-RECD.	5.00CR	95,399.38	.00
01/15/88			GABELLI EQUITY TRUST INC FUNDS FOR DIV REINVESTMENT		93.70	95,493.08	
01/18/88			GABELLI EQUITY TRUST INC CASH DIV ON 212 SHS REC 12/31/87 PAY 01/15/88	DIV-RECD.	22.68CR	95,470.40	
01/15/88			GABELLI EQUITY TRUST INC CASH DIV ON 212 SHS REC 12/31/87 PAY 01/15/88	DIV-RECD.	71.02CR	95,399.38	.00
01/21/88			INT FR 12/31 THRU 1/20@ 8 3/4% BAL 95,399 AVBAL 95,405	INT-CHARGE	486.97	95,886.35	.00
			**** CLOSING BALANCES ****			95,886.35	.00

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
100	AMDAHL CORP	32.62	3,262	56	1.9	.20	20.00	.6	B	2.74	12
400	AMERICAN EXPRESS CO	26.25	10,500	28	6.2	.76	304.00	2.8	A-	1.67	16
200	AMERICAN TEL & TEL CO	29.87	5,975	62	3.5	1.20	240.00	4.0	A-	1.88	16
100	CRAY RESEARCH INC	67.75	6,775	56	4.0	.00	.00	.0	B+	4.68	15
100	WALT DISNEY COMPANY	58.12	5,812	43	3.4	.40	40.00	.6	B	3.43	17
212	GABELLI EQUITY TRUST INC	8.62	1,828	99	1.1	.60	128.60	7.0	NR	.00	
500	GREAT ATLANTIC&PAC TEA CO INC	36.00	18,000	52	10.7	.50	250.00	1.3	B-	2.60	14
400	*** JAGUAR PLC SPONSORED ADR	6.06	2,424	10	1.4	.19	78.40	3.2	NR	.55	11
300	LIN BROADCASTING CORP	47.37	14,212	14	8.4	.00	.00	.0	B+	1.56	30
100	LOCKHEED CORP N/C FR LOCKHEED AIRCRAFT CORP	38.25	3,825	02	2.3	1.40	140.00	3.6	B	6.00	6
100	MCA INC	38.62	3,862	14	2.3	.60	60.00	1.7	B+	1.82	21
500	MCI COMMUNICATIONS CORP	10.75	5,375	62	3.2	.00	.00	.0	NR	1.60-	
200	PHILADELPHIA ELECTRIC CO	21.12	4,225	64	2.5	2.20	440.00	10.4	A-	2.33	
100	RJR NABISCO INC	47.12	4,712	83	2.8	1.92	192.00	4.0	A+	4.77	10

Shearson Lehman Brothers Inc.

HMAN BROTHERS

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Account

CONGRESSMAN NICK JOE RAHALL II   685 03347 17 240   01/01/88 TO 01/31/88   PAGE

P O R T F O L I O A N A L Y S I S (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/E
500	TPI ENTERPRISES INC	6.00	3,000	99	1.8	.00	.00	.0	NR	1.52-	
600	USX CORP $10.75 CUM RED PFD	102.00	61,200	99	36.3	10.75	6450.00	10.5	BB-	.00	
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY W VA					.00	.00	.0		.00	
	SUB TOTAL		154,990		91.9		6551.00				
	*** OTHER FUND POSITIONS ***										
10.0000	SHEARSON LEHMAN FUTURES 1000FD	1361.76	13,617	50	8.1	.00	.00	.0		.00	
	OTHER FUND TOTAL		13,617		8.1		.00				
	TOTALS		168,608		100.0		6551.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.
SOME PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

I N D U S T R Y C L A S S I F I C A T I O N

E	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
	AEROSPACE	2.3	3,825	56	OFFICE EQUIPMENT	6.0	10,037
	AUTOMOTIVE	1.4	2,424	62	PUBLIC UTILITIES-COMMUNICATIONS	6.7	11,350
	BROADCASTING & TV	10.7	18,075	64	PUBLIC UTILITIES-ELECTRIC	2.5	4,225
	FINANCE COMPANIES	6.2	10,500	83	TOBACCO	2.8	4,712
	LEISURE TIME	3.4	5,812	99	UNCLASSIFIED ISSUES	39.2	66,028
	MERCHANDISING	10.7	18,050	50	COMMODITY FUNDS	8.1	13,617

HCC
Hayden Commodities Corp.

17 Battery Place
13th Floor
New York, NY 10004
212 668 6877

Dear Investor

We are pleased to welcome you as a Limited Partner of F-1000 Guarantee Futures Fund L.P., Series II. Enclosed is your purchase confirmation for your records.

The primary goal of F-1000 Series II is to provide investors high profit potential through the speculative trading of commodity futures contracts.

F-1000 Guarantee Futures Fund L.P., Series II will also provide you with these important services:

> Timely Reporting. You will receive a monthly financial statement covering the Fund's performance record and financial status. You will also receive a monthly client statement which will provide you with an activity record and current evaluation of your holdings. Following the close of the Partnership's financial year, we will issue an audited financial statement and the necessary tax return information (K-1) for filing your Federal Income Tax Return.
>
> Daily Investment Update. On any day you may request from your Financial Consultant up-to-date information regarding your account.
>
> Redemption. While there is no trading market in Units of F-1000 Guarantee Futures Fund L.P., Series II, Units may be redeemed at the net asset value as of the last day of any quarter, on 15 days notice to the General Partner, beginning with the fiscal quarter ending at least twelve months after trading commences.

Again, we thank you for your confidence and will endeavor to give you the kind of results you seek. If you need information or assistance, please contact your Financial Consultant.

Sincerely,

HAYDEN COMMODITIES CORP.

Thomas M. Troy

Thomas M. Troy
Treasurer & Controller

SHEARSON
LEHMAN BROTHE

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company, I

Branch Telephone Number

Financial Consultant

HAYDEN COMMODITIES CORP
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC
17 BATTERY PLACE
NEW YORK, NEW YORK 10004

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

Confirmation

We confirm the following transaction subject to the agreement on the reverse side hereof.

Do not detach along this perforation—Return Part 2 (Blue Copy)

Transaction(s)	Trade Date	Office	Account	Type	C	FC No.	CUSIP No.	Market	Cap	S/U	P
BOUGHT	05/2[illegible]/[illegible]	[illegible]	03367			24[illegible]					

Quantity	Price	Description	Reference No.
10.0000	1,000.0000	B- 1000 GUARNTEE FUTURES FUND, II	

Total Quantity	Gross Amount	Commission/Sales Credit	St. Tax/Bond Interest	SEC Fee	Postage/Misc
[illegible]	[illegible]				

4,500
D.V. ✓#0195341
180 shs. @ $25./sh

February 16, 1988
D.V. #Common 017

Dear Stockholder:

I am pleased to enclose a check which represents the cash portion of the special dividend your Board of Directors declared on January 26. This special dividend consists of $25 in cash plus $5 principal amount of new senior subordinated debentures for each outstanding share. It is our intention to send the debenture portion of the distribution to you on March 1, 1988.

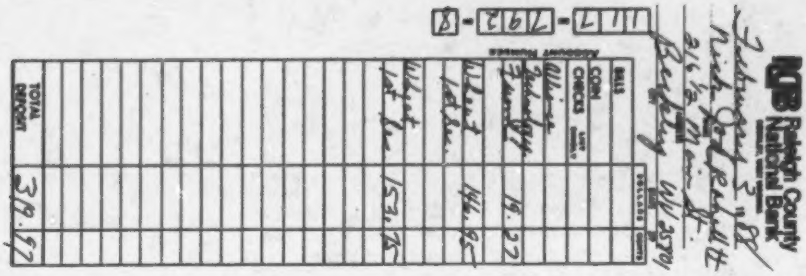
180
5
900

As we have previously advised all of our stockholders, payment of this dividend distribution is an important step in the restructuring program we began last Spring in order to maximize the value of our stockholders' investment in the Company. Your Board of Directors determined that payment of the dividend was the best way to provide added value to our stockholders in the short term, while still enabling them to benefit over the long term by retaining their ownership in what we firmly believe is a Company with good potential for future growth. We are confident that our strong positions in our core businesses of transportation, natural resources and real estate provide a solid base for your Company's future growth and success.

We look forward to our continuing relationship with you as an owner of the Company. If you have any questions, please feel free to write, or call us at (312) 786-6210.

Sincerely,

Robert D. Krebs
President and Chief Executive Officer



DEPOSITED IN

Raleigh County National Bank

February 3 1988

Nick Joe Rahall II

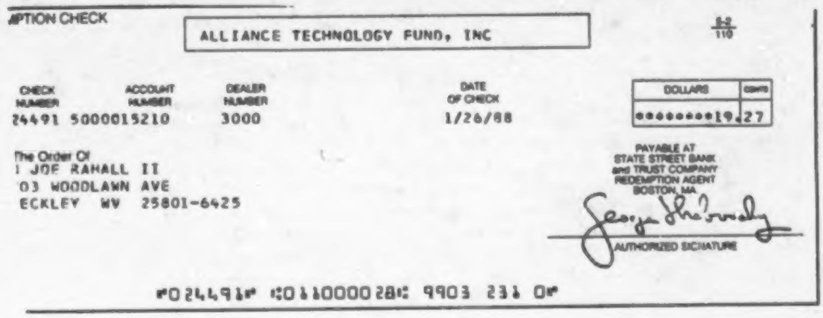
216 1/2 Main St.

Beckley WV 25801

ACCOUNT NUMBER

117-792-8

	DOLLARS	CENTS
BILLS		
COIN		
CHECKS LIST SINGLY		
Alliance Technology Fund!	19.	27
Wheat 1st Sec.	146.	95
Wheat 1st Sec.	153.	75
TOTAL DEPOSIT	319.	97



APTION CHECK

ALLIANCE TECHNOLOGY FUND, INC

5-2
110

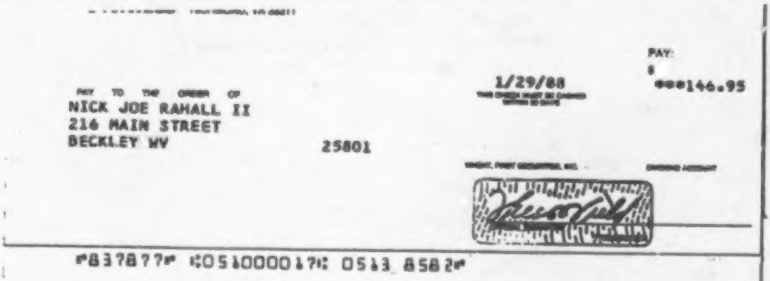
CHECK NUMBER	ACCOUNT NUMBER	DEALER NUMBER	DATE OF CHECK	DOLLARS	CENTS
24491	5000015210	3000	1/26/88	********19.	27

The Order Of
J JOE RAHALL II
03 WOODLAWN AVE
ECKLEY WV 25801-6425

PAYABLE AT
STATE STREET BANK
and TRUST COMPANY
REDEMPTION AGENT
BOSTON, MA

George [illegible]
AUTHORIZED SIGNATURE

⑈024491⑈ ⑆011000028⑆ 9903 231 0⑈

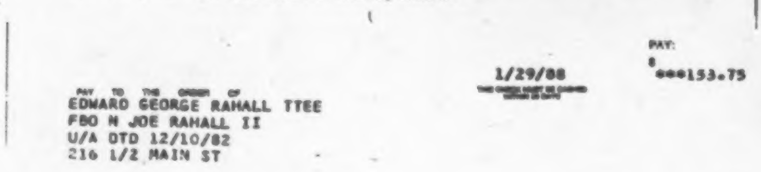


PAY:
$ ***146.95

1/29/88

PAY TO THE ORDER OF
NICK JOE RAHALL II
216 MAIN STREET
BECKLEY WV 25801

⑈837877⑈ ⑆051000017⑆ 0513 8582⑈



PAY:
$ ***153.75

1/29/88

PAY TO THE ORDER OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
216 1/2 MAIN ST

THE FOLLOWING DIVIDENDS / INTEREST ARE INCLUDED IN THIS CHECK

3K08 6539-9650 1	837877	1/29/88
DIV	WASTE MANAGEMENT INC	36.00
DIV	GENERAL ELECTRIC CO	105.00
FRAC	COLUMBIA PICTURES	5.95
		*******146.95

THE FOLLOWING DIVIDENDS / INTEREST ARE INCLUDED IN THIS CHECK

3K08 6539-8950 1	837876	1/29/88
DIV	MFS MULTIMARKET INCOME	153.75
		*******153.75

RECEIVED FEB 0 1 1988

NFIRMATION OF REDEMPTION
ER

ALLIANCE TECHNOLOGY FUND, INC

N JOE RAHALL II
703 WOODLAWN AVE
BECKLEY WV 25801-6425

RECEIVED FEB 0 1

SMITH BARNEY HARRIS UPHAM
CHARLESTON NATIONAL PLAZA
PO BOX 671
CHARLESTON W VA 25323-0671

OR SOC SEC NO 236-70-8645
UNT NO 5000015210 RAHALN----J
04E-003ERICSON, MARY

E REFER TO ABOVE ACCOUNT NUMBER IN ALL CORRESPONDENCE AND MAIL TO: ALLIANCE FUND SERVICES, INC.
P.O. BOX 4089, SECAUCUS, NJ 07094

[illegible]	TRADE DATE	TRANSACTION		DOLLAR AMOUNT OF TRANSACTION	SHARE PRICE	SHARES THIS TRANSACTION	TOTAL SHARES OWNED
		BEGINNING BALANCE					10.228
3	12/31	SHORTTERM CG REIN	1.252	12.81	20.220	.634	10.862
8	12/31	CAP GAIN REINVEST	4.48	45.82	20.220	2.266	13.128
5	1/25	SHARES REDEEMED		233.71	19.270	12.128	1.000
6	1/25	SHARES REDEEMED		19.27	19.270	1.000	.000

	YOUR DISTRIBUTION OPTION IS		SHARES YOU NOW OWN		
	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
13-3056623	REINVESTMENT	REINVESTMENT			.000

[illegible] license from the owner Alliance Capital Management Corporation

DETACH THIS CONFIRMATION AND RETAIN FOR YOUR RECORDS BEFORE CASHING OR DEPOSITING CHECK

SHEARSON
LEHMAN HUTTON

Forms 1099 and
1988 Year End Statement

Page 1 of 8

Account number 695-03367-17 240

Important tax return document enclosed.

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

NJR II

This package includes:
- *Forms 1099 for IRS tax reporting;*
- *Details of* ***Reported Earnings*** *from interest and dividends; and*
- ***Year End Statement*** *summarizing your account during the year. This information is provided for your convenience. It is not intended to be used for tax purposes. For tax advice, please consult your tax advisor.*

If you have questions about your Forms 1099, call our toll-free number 1(800) 752-1099, Monday through Friday, 9:00 a.m. to 5:00 p.m. EST. For all other questions regarding your year end statement, contact your Financial Consultant.

Respond to Section III Holdings for this Acct.

SHEARSON
LEHMAN HUTTON

Forms 1099 for 1988

Page 3 of 6

Account number 665-03367-17 249 **Tax id number 236-78-8645**

Payer

SHEARSON LEHMAN HUTTON INC.
AMERICAN EXPRESS TOWER
WORLD FINANCIAL CENTER
NEW YORK, NY 10285-1900
Tax ID number 13-2518466

Your Financial Consultant

JAMES A JOSEPH
SHEARSON LEHMAN HUTTON INC.
701 MAIN STREET
SUITE 100
STROUDSBURG PA 18360
717-421-3100

FMA client services: 1-800-634-9655

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-DIV Dividends and distributions 1988

(OMB No.1545-0110)

Gross dividends and other distributions on stock	Investment expenses included in Box 1	Capital gain distributions	Federal income tax withheld	Nontaxable distributions (if determinable)	Foreign tax paid
$ 6,734.77 Box 1	Box 2	Box 3	Box 4	Box 5	$ 19.22 Box 6

Foreign country or US possession	Liquidation distributions - cash
Various Box 7	Box 8

SHEARSON
LEHMAN HUTTON

Forms 1099 for 1988

Page 3 of 6

Account number **685-03387-17 240** ***Tax id number*** **236-76-8645**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B Proceeds from broker and barter exchange transactions 1988

(OMB No.1545-0715)

This section shows your proceeds from security trades during the year. Gross proceeds from each trade are reported individually to the IRS, after deduction of commissions. All transactions with trade dates in 1988 are reported; 1987 trades settled in 1988 are also reported. Total taxable accrued interest is reported to the IRS only on Form 1099-INT; individual accrued interest payments are shown here for your information only.

Reference number	Trade date (Box 1a)	CUSIP No. (Box 1b)	Quantity	Description (Box 5)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
2000100	07/22/88	023905102000	100	AMDAHL CORP	$ 49.50	$ 4,881.23	
2000300	03/07/88	539821108000	100	LOCKHEED CORP N/C FR LOCKHEED AIRCRAFT CORP	44.375	4,370.44	
2000400	08/14/88	802183103000	200	SANTA FE SOUTHERN PACIFIC CORP	15.625	3,051.54	
2000700	04/05/88	872623103000	500	TPI ENTERPRISES INC	5.125	2,562.50	
Totals						$ 14,865.71	

SHEARSON
LEHMAN HUTTON

Reported Earnings for 1988

Page 4 of 8

Account number 085-63367-17 249

The following earnings details show how we derived your total interest and dividends reported on forms 1099-INT and 1099-DIV.

Reported dividends and distributions 1988

Amounts displayed for gross dividends include dividend reinvestments and money fund earnings.

Reference number	Description	Gross Dividends and other distributions on stock	Investment expenses included in Box 1	Capital gain distributions	Federal income tax withheld	Nontaxable distributions	Foreign tax paid	Liquidation distributions - cash
4000100	AMDAHL CORP	$ 15.00						
4000200	AMERICAN EXPRESS CO	304.00						
4000300	AMERICAN TEL & TEL CO	240.00						
4000400	WALT DISNEY COMPANY	38.00						
4000500	GABELLI EQUITY TRUST INC	124.15						
4000600	GREAT ATLANTIC&PAC TEA CO INC	275.00						
4000700	*** JAGUAR PLC SPONSORED ADR	102.12					15.32	
4000800	LOCKHEED CORP N/C FR LOCKHEED AIRCRAFT CORP	35.00						
4000900	MCA INC	66.00						
4001000	PHILADELPHIA ELECTRIC CO	440.00						
4001100	RJR NABISCO INC	208.00						
4001200	SCHERING PLOUGH CORP	40.00						
4001300	USX CORP $10.75 CUM RED PFD	4,837.50						
Totals in Boxes 1-8 of your 1099-DIV.		$ 6,724.77					$ 15.32	

SHEARSON
LEHMAN HUTTON

1988 Year End Statement

Account number 888-83367-17 240

Shearson Lehman Hutton is pleased to provide you with this overview of the year's activity in your account. This information will help you understand the performance of your investments and manage your account with the assistance of your Shearson Lehman Hutton Financial Consultant.

Value of your portfolio

Value of your account on 12/31/88 $ 96,427.91

Amounts shown below are based on the value of your priced securities in each product category, as of 12/31/88.

Description	Amount
Combined account balance	$ -39,952.49
Stocks	111,560.60
Other investments	24,819.80

Interest you paid 1988

Description	Amount
Margin loan	$ 7,198.50

Earnings summary 1988

With year-end adjustments, these figures may not be the same as earnings totals reported on your December statement.

	Reported	Tax-exempt	Total
Dividends	$ 6,724.77		$ 6,724.77
Total	$ 6,724.77		$ 6,724.77

Summary of tax withheld 1988

The following taxes have been withheld in compliance with U.S. federal or foreign regulations.

	Amount
Foreign tax paid	$ 15.32
Total	$ 15.32

SHEARSON
LEHMAN HUTTON

1988 Year End Statement

Account number 665-03367-17 340

Investment activity 1988

This section shows your purchases and sales of securities during the year, and any accrued interest received or paid.
Only those transactions with a trade date in 1988 are shown. Commissions are included in purchases and deducted from sales.
The quantity of options traded is shown in numbers of contracts.

Trade date	Settlement date	Activity	Quantity	Description	Price	Amount
07/22/88	07/29/88	Sold	100	AMDAHL CORP	$ 49.50	$ 4,881.23*
03/24/88	03/25/88	Bought	10,000	F-1000 GUARANTEE FUTURES II LP	1.00	-10,000.00
08/07/88	08/14/88	Sold	100	LOCKHEED CORP N/C FR LOCKHEED AIRCRAFT CORP	44.375	4,370.44*
08/31/88	09/07/88	Bought	200	SANTA FE SOUTHERN PACIFIC CORP	16.75	-3,419.53
09/14/88	09/21/88	Sold	200	SANTA FE SOUTHERN PACIFIC CORP	18.625	3,651.54*
10/03/88	10/11/88	Bought	100	SCHERING PLOUGH CORP	54.25	-5,493.60
04/05/88	04/12/88	Sold	500	TPI ENTERPRISES INC	5.125	2,562.50*
	08/15/88	Redemption	600	USX CORP $10.75 CUM RED PFD		60,000.00
Total securities bought						$ -18,913.13
Total securities sold						$ 78,408.71

** Reported to the IRS on Form 1099B.*

Summary of expenses 1988

Gold Card activity *See your American Express year end statement for details.*

Total payments on your American Express Gold Card account.	$ 482.31

SHEARSON
LEHMAN BROTHERS

An American Express company

Client Statement

PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC
17 BATTERY PLACE
NEW YORK, NEW YORK 10004

ACCOUNT NO: 608-03567

SHEARSON LEHMAN FUTURES 1000 FUND

STATEMENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
02-29-88	10.0000	1,412.3900	14,123.9000

SOC SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
236-70-0445	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
08-27-85	PLAN ACCOUNT OPENED			
08-27-85	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
01-14-86	ESCROW INTEREST EARNED	260.75		

SHEARSON
LEHMAN HUTTON

An American Express company

Client Statement

PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC
17 BATTERY PLACE
NEW YORK, NEW YORK 10004

ACCOUNT NO: 685-03367

SHEARSON LEHMAN FUTURES 1000 FUND

STATEMENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
03-31-88	10.0000	1,396.4300	13,964.3000

SOC SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
236-70-0448	AUSTIN TEXAS	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
08-27-85	PLAN ACCOUNT OPENED			
08-27-85	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
01-14-86	ESCROW INTEREST EARNED	268.75		

SHEARSON
LEHMAN HUTTON

An American Express company

Client Statement

PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC
17 BATTERY PLACE
NEW YORK, NEW YORK 10004

ACCOUNT NO: 608-03367

SHEARSON LEHMAN FUTURES 1000 FUND

STATEMENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
05-31-88	10.0000	1,499.9700	14,999.7000

SOC SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
236-70-0648	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
08-27-85	PLAN ACCOUNT OPENED			
08-27-85	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
01-14-86	ESCROW INTEREST EARNED	260.75		

SHEARSON
LEHMAN HUTTON
An American Express company

Client Statement

PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC
17 BATTERY PLACE
NEW YORK, NEW YORK 10004

ACCOUNT NO: 680-03567

F- 1000 GUARANTEE FUTURES FUND, II

STATEMENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
06-30-88	10.0000	1,048.9200	10,489.2000

SOC SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
224-70-0446	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
05-20-88	PLAN ACCOUNT OPENED			
05-20-88	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
06-30-88	DECRSN INTEREST EARNED	105.87		

SHEARSON
LEHMAN BROTHERS

An American Express company

Client Statement

PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC
17 BATTERY PLACE
NEW YORK, NEW YORK 10004

ACCOUNT NO: 608-05367

SHEARSON LEHMAN FUTURES 1000 FUND

STATEMENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
06-30-86	10.0000	1,509.4300	15,094.3000

SOC SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
234-70-0646	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
08-27-85	PLAN ACCOUNT OPENED			
08-27-85	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
01-14-86	ESCROW INTEREST EARNED	240.75		

SHEARSON
LEHMAN HUTTON
An American Express company

Client Statement

PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC
17 BATTERY PLACE
NEW YORK, NEW YORK 10004

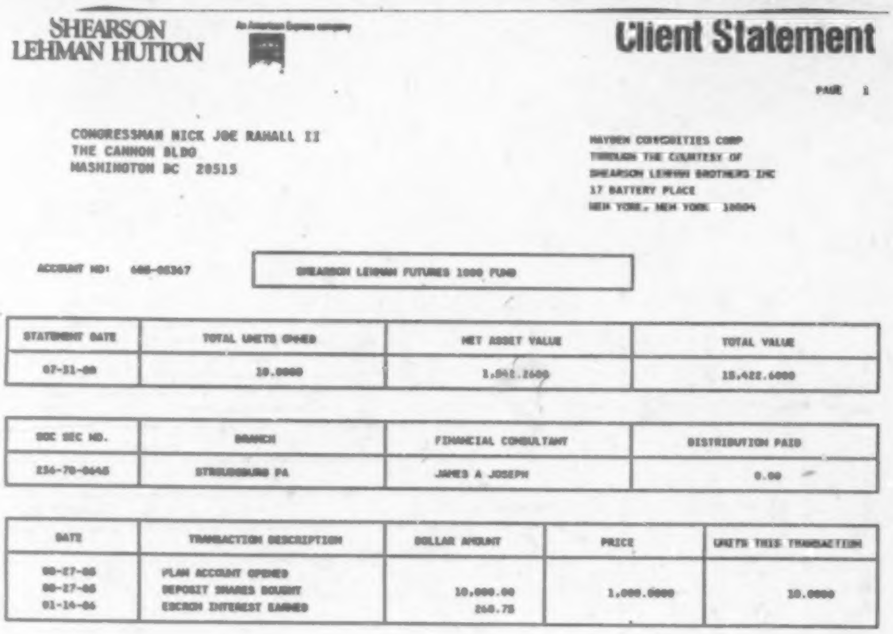
ACCOUNT NO: 606-03347

F- 1000 GUARANTEE FUTURES FUND, II

STATEMENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
07-31-88	10.0000	1,015.4000	10,154.0000

SOC SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
234-78-0445	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
03-28-88	PLAN ACCOUNT OPENED			
03-28-88	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
06-20-88	ESCROW INTEREST EARNED	103.07		



SHEARSON
LEHMAN HUTTON
An American Express company

Client Statement

PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC
17 BATTERY PLACE
NEW YORK, NEW YORK 10004

ACCOUNT NO: 686-05367

SHEARSON LEHMAN FUTURES 1000 FUND

STATEMENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
07-31-88	10.0000	1,542.2600	15,422.6000

SOC SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
236-70-0648	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
08-27-85	PLAN ACCOUNT OPENED			
08-27-85	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
01-14-86	ESCROW INTEREST EARNED	260.75		

SHEARSON
LEHMAN HUTTON

An American Express company

Client Statement

PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC
17 BATTERY PLACE
NEW YORK, NEW YORK 10004

ACCOUNT NO: 400-03367

SHEARSON LEHMAN FUTURES 1000 FUND

STATEMENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
08-31-88	10.0000	1,004.0900	10,040.9000

SOC SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
234-78-0648	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
08-27-88	PLAN ACCOUNT OPENED			
08-27-88	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
01-14-86	ESCROW INTEREST EARNED	240.75		

SHEARSON
LEHMAN HUTTON

An American Express company

Client Statement

PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC
17 BATTERY PLACE
NEW YORK, NEW YORK 10004

ACCOUNT NO: 468-03367

F- 1000 GUARANTEE FUTURES FUND, II

STATEMENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
08-31-88	10.0000	991.6500	9,916.5000

SOC SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
234-70-0445	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
03-28-88	PLAN ACCOUNT OPENED			
03-28-88	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
04-28-88	ESCROW INTEREST EARNED	103.07		

SHEARSON
LEHMAN HUTTON

An American Express company

Client Statement

PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC
17 BATTERY PLACE
NEW YORK, NEW YORK 10004

ACCOUNT NO: 685-03367

SHEARSON LEHMAN FUTURES 1000 FUND

STATEMENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
10-31-88	10.0000	1,524.9200	15,249.2000

SOC SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
236-78-8645	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
08-27-85	PLAN ACCOUNT OPENED			
08-27-85	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
01-14-86	ESCROW INTEREST EARNED	260.75		

SHEARSON
LEHMAN HUTTON
An American Express company

Client Statement

PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP
THROUGH THE COURTESY OF
SHEARSON LEHMAN HUTTON INC
17 BATTERY PLACE
NEW YORK NY 10004

ACCOUNT NO: 685-03367

F- 1000 GUARANTEE FUTURES FUND, II

STATEMENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
11-30-88	10.0000	1,014.8000	10,148.0000

SOC SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
234-70-8648	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
05-08-88	PLAN ACCOUNT OPENED			
05-08-88	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
06-20-88	ESCROW INTEREST EARNED	105.07		

SHEARSON
LEHMAN HUTTON

Client Statement

PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP
THROUGH THE COURTESY OF
SHEARSON LEHMAN HUTTON INC
17 BATTERY PLACE
NEW YORK NY 10004

ACCOUNT NO: 480-03347

SHEARSON LEHMAN FUTURES 1000 FUND

STATEMENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
11-30-88	10.0000	1,530.4000	15,304.0000

SOC SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
236-70-0648	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
08-27-88	PLAN ACCOUNT OPENED			
08-27-88	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
01-14-88	ESCROW INTEREST EARNED	268.75		

707 EAST MAIN ST., RICHMOND, VA 23219

Upon 1st Securities
Section III Holdings – Section IV
Transactions
regardless of
amount of
the [illegible]
by day
NJR

STATEMENT

ACCOUNT NUMBER	TAX I.D. NUMBER
8K06 8539-9660	226-76-8645

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
216 MAIN STREET
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
12/01/88 THRU 12/31/88	1

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$134,170.20		$92,132.37	$226,302.57

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	90,102.23	92,132.37
TOTAL MONEY MARKET		92,132.37

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	375.00CR	3,355.00CR
CORP INTEREST CREDITED	.00	875.56CR
CEF DIVIDENDS CREDITED	880.00CR	2,807.00CR
AVERAGE YIELD 11/16/88 TO 12/31/88 8.08%		
MARGIN INTEREST CHARGED	4.00DB	8.86DB
CREDIT INTEREST CHARGED	.00	44.83CR

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
12 07	2	PURCHASE	500	RADIATION SYSTEMS INC	8.7500	4,403.38	
12 07	3	SHORT SALE	3-	CALL WASTE MANAGEMENT IN $45 EXP 05/20/89	1.0625		272.52
12 08	2	DIVIDEND		ALLIED SIGNAL INC QUANTITY 500			225.00
12 14	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		3,996.88
12 15	1	DIVIDEND		COCA-COLA COMPANY QUANTITY 500			150.00
12 20	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	150.00	
12 20	1	DEPOSIT		FUNDS RECD			5,000.00
12 21	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	5,000.00	
12 28	2	MARGIN INT		INTEREST 11/28THRU 12/27		4.00	
12 28	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		4.00
12 30	1	DIVIDEND	880	REINVESTED DIVIDEND CEF			
	1	NET JOURNALS		TRF FRM CASH ACCT		3,996.88	
	2	NET JOURNALS		TRF TO MARGIN ACCT			3,996.88

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE

EQUITIES AND OPTIONS

---CONTINUED ON NEXT PAGE---

PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY UP TO THE MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.
701 EAST MAIN ST., RICHMOND, VA. 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
210 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
6 538-9850	238-78-8846

MR CK JOE RAHALL II
110 /2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
12/01/88 THRU 12/31/88	2

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
2	500		ALLIED SIGNAL INC	32.5000	16,250.00
2	100		APPLIED BIOSYSTEMS INC	28.7500	2,875.00
1	1000		CHAMPION SPORTS INC	.0313	31.30
1	500		COCA-COLA COMPANY	44.6250	22,312.50
1	585		EGR IND INC-INC UNDER THE LAWS OF THE STATE OF WEST VIRGINIA	N/A	
2	500		GENERAL ELECTRIC COMPANY	44.7500	22,375.00
1	1700		GULF BROADCAST CO LIQ	N/A	
2	300		MERRILL LYNCH & CO INC	24.0000	7,200.00
2	500		RADIATION SYSTEMS INC	8.6250	4,312.50
2	300		WASTE MANAGEMENT INC	41.3750	12,412.50
1	15		QUALIFIED HOUSING PARTNERS LIMITED PARTNERSHIP	N/A	
2		5	CALL ALLIED SIGNAL INC $40 EXP 03/18/89	.1875	93.75-
1		5	CALL COCA-COLA COMPANY $45 EXP 02/18/89	1.2500	625.00-
2		5	CALL GENERAL ELECTRIC CO $45 EXP 03/18/89	1.8125	906.25-
2		3	CALL MERRILL LYNCH & CO $30 EXP 04/22/89	.1875	56.25-
2		3	CALL WASTE MANAGEMENT IN $45 EXP 05/20/89	1.1875	356.25-
			FIXED INCOME SECURITIES		
1	50000		ALABAMA SPL CARE FAC FIN ATH MNTGMERY MTG REV FHA NO PERIODIC INT OY=9.75% CPN 0.000% DUE 4/01/08 DTD 6/01/83 CALL 4/01/93 @ 30.488	26.7690	13,384.50
1	30000		NC EDUC FACS FIN AGY DUKE UNIV PROJ REV 88A OY-6.9% NO PER INTEREST CPN 0.000% DUE 10/01/00 DTD 3/01/88 CALL 10/01/98 @ 61.673	41.3350	12,400.50
1	35000		OAK RIDGE TENN INDL DEV BRD MTG REV FHA INSRD BRITISH WOODS APT OY=10% CPN 0.000% DUE 6/01/28 DTD 6/28/88 FC 12/01/10 CALL 6/01/00 @ 103.000	N/A	

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PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY UP TO THE MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.
707 EAST MAIN ST. RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G. RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
BK06 6539-9850	238-75-8848

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
12/01/88 THRU 12/31/88	3

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
1	15000		SHONEYS INNS GRP IV INC RV APPREC APRIN REPAY 9% 1ST YR 40%ORG RV 4/15/87 CPN 0.000% DUE 4/15/01 DTD 4/15/86 PC 10/15/88	75.0000	11,250.00
1	30000		TAMPA FLA WTR & SWR REV CPN 0.000% DUE 10/01/06 DTD 8/01/83	27.4840	8,245.20
1	15000		WASHINGTON ST HSG FIN COMB SGLE FAM MTG REV SER 84A CV-11.625% CPN 0.000% DUE 1/01/16 DTD 11/15/84	4.7300	709.50
1	45000		WEST VIRGINIA ST HSG DEV FD SINGLE FAMILY MTG REV EX-CALL CPN 0.000% DUE 1/01/15 DTD 9/28/83	6.1760	2,779.20
			VALUE OF PRICED SECURITIES		134,170.20

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE UPON REQUEST.

STATEMENT OF INTEREST CHARGED
FOR PERIOD 11/28 THRU 12/27

DATE	NET BALANCE ALL MARGIN ACCTS	BALANCE IN CASH ACCOUNT	MARKET VALUE OF SHORTS	ADJUSTED DEBIT BALANCE	NUM DAYS	INTR RATE	INTEREST CHARGE
12 10	3,998.88	.00	.00	3,998.88	3	12.000	4.00
						TOTAL	4.00

YOUR CONFIDENCE, FRIENDSHIP, AND GOODWILL ARE VERY IMPORTANT TO US, AND WE SINCERELY THANK YOU FOR YOUR BUSINESS DURING THE PAST YEAR. BEST WISHES TO YOU AND YOURS FOR A HAPPY AND PROSPEROUS NEW YEAR.

---END OF STATEMENT---

PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY UP TO THE MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

707 EAST MAIN ST RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
8KO8 8539-0850	238-78-8845

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
11/01/88 THRU 11/30/88	1

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$118,606.65		$90,102.23	$208,708.88

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	86,084.92	90,102.23
TOTAL MONEY MARKET		90,102.23

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	75.00CR	2,880.00CR
CORP INTEREST CREDITED	.00	875.88CR
CEF DIVIDENDS CREDITED	430.00CR	1,827.00CR
AVERAGE YIELD 10/18/88 TO 11/15/88 7.82%		
MARGIN INTEREST CHARGED	1.28DB	2.88DB
CREDIT INTEREST CHARGED	.00	44.83CR

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
11 15	2	CHECK ISSUED		CHECK ISSUED #01814378		4,000.00	
11 16	1	DIVIDEND	430	REINVESTED DIVIDEND CEF AS OF 11/18/88			
11 16	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		4,000.00
11 18	2	COVER SHORT	5	CALL COCA-COLA COMPANY $40 EXP 11/19/88	1.6250	812.50	
11 21	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		812.50
11 21	2	SALE	200-	COCA-COLA COMPANY AS OF 11/18/88	40.0000		7,888.95
11 21	2	EXPIRED	2	CALL COCA-COLA COMPANY $40 EXP 11/19/88 AS OF 11/19/88			
11 21	2	EXPIRED	3	CALL WASTE MANAGEMENT IN $40 EXP 11/19/88 AS OF 11/19/88			
11 22	1	SALE	5-	CALL COCA-COLA COMPANY $45 EXP 02/18/88	1.0000		487.14
11 23	1	JOURNAL	500	COCA-COLA COMPANY			
11 23	1	RECEIVED	15	QUALIFIED HOUSING PARTNERS LIMITED			

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FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.
707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
216 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 6539-9850	236-78-8845

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
11/01/88 THRU 11/30/88	2

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
				PARTNERSHIP AS OF 11/21/88			
11 23	2	DIVIDEND		MERRILL LYNCH & CO INC QUANTITY 300			75.00
11 23	2	JOURNAL	500-	COCA-COLA COMPANY			
11 28	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	8,421.08	
11 28	2	MARGIN INT		INTEREST 10/27THRU 11/27		1.28	
11 29	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		1.28
	1	NET JOURNALS		TRF TO CASH ACCT			3,150.17
	2	NET JOURNALS		TRF FRM MARGIN ACCT		3,150.17	

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
2	500		ALLIED SIGNAL INC	34.1250	17,062.50
2	100		APPLIED BIOSYSTEMS INC	22.7500	2,275.00
1	1000		CHAMPION SPORTS INC	.0200	20.00
1	500		COCA-COLA COMPANY	43.3750	21,687.50
1	596		EGR IND INC-INC UNDER THE LAWS OF THE STATE OF WEST VIRGINIA	N/A	
2	500		GENERAL ELECTRIC CO	45.0000	22,500.00
1	1700		GULF BROADCAST CO LIQ	N/A	
2	300		MERRILL LYNCH & CO INC	26.3750	7,912.50
2	300		WASTE MANAGEMENT INC	40.1250	12,037.50
1	15		QUALIFIED HOUSING PARTNERS LIMITED PARTNERSHIP	N/A	
2		5	CALL ALLIED SIGNAL INC $40 EXP 03/18/89	.1875	93.75-
1		5	CALL COCA-COLA COMPANY $45 EXP 02/18/89	1.5625	781.25-
2		5	CALL GENERAL ELECTRIC CO $45 EXP 03/18/89	2.2500	1,125.00-
2		3	CALL MERRILL LYNCH & CO $30 EXP 04/22/89	.6875	206.25-
			FIXED INCOME SECURITIES		

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Wheat, First Securities, Inc.
707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 8829-6650	236-78-8846

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
11/01/88 THRU 11/30/88	3

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
1	50000		ALABAMA SPL CARE FAC FIN ATH MNTGMERY MTG REV FHA NO PERIODIC INT OY=9.75% CPN 0.000% DUE 4/01/08 DTD 6/01/83 CALL 4/01/93 @ 30.486	26.4510	13,225.50
1	30000		NC EDUC FACS FIN AGY DUKE UNIV PROJ REV 88A OY-6.9% NO PER INTEREST CPN 0.000% DUE 10/01/00 DTD 3/02/88 CALL 10/01/98 @ 91.878	41.0300	12,309.00
1	35000		OAK RIDGE TENN INDL DEV BRD MTG REV FHA INSRD BRITISH WOODS APT OY=10% CPN 0.000% DUE 6/01/28 DTD 6/28/88 FC 12/01/10 CALL 6/01/00 @ 103.000	N/A	
1	15000		SHONEYS INNS GRP IV INC RV APPREC &PRIN REPAY 9% 1ST YR 40%GRS RV 4/15/87 CPN 0.000% DUE 4/15/01 DTD 4/15/86 FC 10/15/86	N/A	
1	30000		TAMPA FLA WTR & SWR REV CPN 0.000% DUE 10/01/06 DTD 5/01/82	27.7640	8,329.20
1	15000		WASHINGTON ST HSG FIN COMM SGLE FAM MTG REV SER 84A OY-11.625% CPN 0.000% DUE 1/01/16 DTD 11/15/84	4.6850	702.7
1	45000		WEST VIRGINIA ST HSG DEV FD SINGLE FAMILY MTG REV EX-CALL CPN 0.000% DUE 1/01/15 DTD 9/28/83	6.1210	2,754.45
			VALUE OF PRICED SECURITIES		115,606.65

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE UPON REQUEST.

---CONTINUED ON NEXT PAGE---

Wheat, First Securities, Inc.
787 EAST MAIN ST. RICHMOND VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 8839-8880	238-78-8845

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
11/01/88 THRU 11/30/88	4

STATEMENT OF INTEREST CHARGED
FOR PERIOD 10/27 THRU 11/27

DATE	NET BALANCE ALL MARGIN ACCTS	BALANCE IN CASH ACCOUNT	MARKET VALUE OF SHORTS	ADJUSTED DEBIT BALANCE	NUM DAYS	INTR RATE	INTEREST CHARGE
11 15	4,000.00	.00	.00	4,000.00	1	11.500	1.28
						TOTAL	1.28

BECAUSE OF THE TAX ACT OF 1988, DECEMBER DIVIDENDS OF YOUR MONEY FUND WILL BE PAID ON 12/31/88 RATHER THAN THE REGULAR DATE OF 12/15/88. THE PERIOD COVERED IS NOVEMBER 16TH THROUGH DECEMBER 31ST. THE CHANGE IS FOR DECEMBER ONLY.

---END OF STATEMENT---

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST. RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
216 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 6539-9850	236-76-8645

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
10/01/88 THRU 10/31/88	1

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$125,417.20		$86,064.92	$211,482.12

ACCOUNT BALANCE(S)	OPENING BALANCE	CLOSING BALANCE
MARGIN ACCT - TYPE 2	105.00CR	.00
NET CLOSING BALANCE		.00

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	24,481.88	86,064.92
TOTAL MONEY MARKET		86,064.92

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	421.00CR	2,906.00CR
CORP INTEREST CREDITED	523.84CR	975.58CR
CEF DIVIDENDS CREDITED	203.00CR	1,487.00CR
AVERAGE YIELD 9/16/88 TO 10/17/88 7.48%		
MARGIN INTEREST CHARGED	.00DB	1.61DB
CREDIT INTEREST CHARGED	.00	44.93CR

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
10 03	2	DIVIDEND		COCA-COLA COMPANY QUANTITY 700			210 00
10 04	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	315.00	
10 05	1	DEPOSIT		FUNDS RECD			25,588.77
10 06	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	.25,588.77	
10 06	2	DIVIDEND		WASTE MANAGEMENT INC QUANTITY - 300			36.00
10 11	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	36.00	
10 17	1	INTEREST		SHONEYS INNS GRP IV INC RV APPREC &PRIN REPAY 8% 1ST YR 40%GRS RV 4/15/87 CPN 0.000% DUE 4/15/01 DTD 4/15/88 FC 10/15/88			523.84

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FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD S RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
BK08 8839-8880	238-78-8848

MR. NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
10/01/88 THRU 10/31/88	2

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
				QUANTITY 15000			
10 18	1	DIVIDEND	203	REINVESTED DIVIDEND CEF AS OF 10/17/88			
10 18	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	523.04	
10 20	1	JOURNAL		TFR TO 8839-8300 IRA		2,000.00	
10 21	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		2,000.00
10 24	2	SALE	400-	MC DONALDS CORP COMMON AS OF 10/21/88	45.0000		17,727.08
10 24	2	SALE	500-	PEPSICO INCORPORATED AS OF 10/21/88	38.0000		18,744.83
10 24	2	EXPIRED	4	CALL MC DONALDS CORP $45 EXP 10/22/88 AS OF 10/22/88			
10 24	2	EXPIRED	3	CALL MERRILL LYNCH & CO $30 EXP 10/22/88 AS OF 10/22/88			
10 24	2	EXPIRED	5	CALL PEPSICO INC $40 EXP 10/22/88 AS OF 10/22/88			
10 25	2	DIVIDEND		GENERAL ELECTRIC CO QUANTITY 500			175.00
10 26	2	CHECK ISSUED		CHECK ISSUED #01614313		750.00	
10 27	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		875.00
10 28	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	37,481.82	
	1	NET JOURNALS		TRF TO CASH ACCT			37,267.82
	2	NET JOURNALS		TRF FRM MARGIN ACCT		37,267.82	

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
2	500		ALLIED SIGNAL INC	34.1250	17,062.50
2	100		APPLIED BIOSYSTEMS INC	24.5000	2,450.00
1	1000		CHAMPION SPORTS INC	.0300	30.00
2	700		COCA-COLA COMPANY	43.1250	30,187.50
1	585		EGR IND INC-INC UNDER THE LAWS OF THE STATE OF WEST VIRGINIA	N/A	

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FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.
707 EAST MAIN ST. RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 6538-9880	236-78-8845

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
10/01/88 THRU 10/31/88	3

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
2	500		GENERAL ELECTRIC CO	43.6250	21,812.50
1	1700		GULF BROADCAST CO LIQ	N/A	
2	300		MERRILL LYNCH & CO INC	27.7500	8,325.00
2	300		WASTE MANAGEMENT INC	40.6250	12,187.50
2		5	CALL ALLIED SIGNAL INC $40 EXP 03/18/89	.6250	312.50-
2		7	CALL COCA-COLA COMPANY $40 EXP 11/19/88	3.5000	2,450.00-
2		5	CALL GENERAL ELECTRIC CO $45 EXP 03/18/89	2.0000	1,000.00-
2		3	CALL MERRILL LYNCH & CO $30 EXP 04/22/89	1.3750	412.50-
2		3	CALL WASTE MANAGEMENT IN $40 EXP 11/19/88	1.3750	412.50-
			FIXED INCOME SECURITIES		
1	50000		ALABAMA SPL CARE FAC FIN ATH MNTGMERY MTG REV FHA NO PERIODIC INT OY=5.75% CPN 0.000% DUE 4/01/08 DTD 6/01/83 CALL 4/01/93 @ 30.456	27.0480	13,524.00
1	30000		NC EDUC FACS FIN AGY DUKE UNIV PROJ REV 88A OY-6.9% NO PER INTEREST CPN 0.000% DUE 10/01/00 DTD 3/02/88 CALL 10/01/98 @ 81.878	41.6810	12,504.30
1	35000		OAK RIDGE TENN INDL DEV BRD MTG REV FHA INSRD BRITISH WOODS APT OY=10% CPN 0.000% DUE 6/01/25 DTD 6/28/85 FC 12/01/10 CALL 6/01/00 @ 103.000	N/A	
1	15000		SHONEYS INNS GRP IV INC RV APPREC &PRIN REPAY 9% 1ST YR 40%GRS RV 4/15/87 CPN 0.000% DUE 4/15/01 DTD 4/15/86 FC 10/15/86	N/A	
1	30000		TAMPA FLA WTR & SWR REV CPN 0.000% DUE 10/01/05 DTD 5/01/82	28.3130	8,493.90

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FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
216 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
BK08 8539-8850	236-78-8846

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
10/01/88 THRU 10/31/88	4

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
1	15000		WASHINGTON ST HSG FIN COMM SGLE FAM MTG REV SER 84A OY-11.625% CPN 0.000% DUE 1/01/16 DTD 11/15/84	4.6430	696.45
1	45000		WEST VIRGINIA ST HSG DEV FD SINGLE FAMILY MTG REV EX-CALL CPN 0.000% DUE 1/01/15 DTD 9/28/83	6.0690	2,731.05
			VALUE OF PRICED SECURITIES		125,417.30

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE FROM YOUR INVESTMENT BROKER UPON REQUEST.

WHICHEVER YOUR CHOICE MAY BE IN THE PRESIDENTIAL ELECTION, BE SURE TO REQUEST A COPY OF OUR FOLDER "FINANCIAL STRATEGIES FOR THE NEW PRESIDENTIAL ADMINISTRATION." FOR IMPORTANT INFORMATION ABOUT CDS OFFERED BY WHEAT, PLEASE SEE ENCLOSED BROCHURE.

---END OF STATEMENT---

Wheat, First Securities, Inc.
707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
BK08 6838-0880	226-78-8848

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
9/01/88 THRU 9/30/88	1

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$171,251.90	$105.00CR	$24,481.88	[illegible]

ACCOUNT BALANCE(S)	OPENING BALANCE	CLOSING BALANCE
MARGIN ACCT - TYPE 2	.00	105.00CR
NET CLOSING BALANCE		105.00CR

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	14,207.78	24,481.88
TOTAL MONEY MARKET		24,481.88

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	305.00CR	3,494.00CR
CORP INTEREST CREDITED	.00	481.72CR
CEF DIVIDENDS CREDITED	80.00CR	1,284.00CR
AVERAGE YIELD 8/16/88 TO 9/15/88 7.40%		
MARGIN INTEREST CHARGED	.00CR	1.81CR
CREDIT INTEREST CHARGED	.00	44.93CR

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
9 07	2	COVER SHORT	5	CALL GENERAL ELECTRIC CO $50 EXP 09/17/88	.0625	31.25	
9 07	2	SHORT SALE	5-	CALL GENERAL ELECTRIC CO $45 EXP 03/18/89	1.6250		798.27
9 07	2	SHORT SALE	3-	CALL MERRILL LYNCH & CO $30 EXP 04/22/89	1.1250		302.48
9 08	2	DIVIDEND		ALLIED SIGNAL INC QUANTITY 500			225.00
9 08	2	DIVIDEND		MC DONALDS CORP COMMON QUANTITY 400			80.00
9 13	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	1,308.50	
9 16	1	DIVIDEND	80	REINVESTED DIVIDEND CEF AS OF 9/15/88			
9 16	2	SHORT SALE	5-	CALL ALLIED SIGNAL INC $40 EXP 03/18/89	.5000		214.88

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FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER

EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 6539-9850	236-78-6846

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
9/01/88 THRU 9/30/88	2

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
9 19	2	SALE	300-	ARISTECH CHEMICAL CORP	29.3750		8,670.41
9 19	2	EXPIRED	5	CALL ALLIED SIGNAL INC $40 EXP 09/17/88 AS OF 9/17/88			
9 19	2	EXPIRED	3	CALL ARISTECH CHEM CORP $30 EXP 09/17/88 AS OF 9/17/88			
9 20	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	214.99	
9 26	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	8,670.41	
9 29	2	DIVIDEND		PEPSICO INCORPORATED QUANTITY 500			108.00
	1	NET JOURNALS		TRF TO CASH ACCT			10,193.90
	2	NET JOURNALS		TRF FRM MARGIN ACCT		10,193.90	

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
2	500		ALLIED SIGNAL INC	33.7500	16,875.00
2	100		APPLIED BIOSYSTEMS INC	25.7500	2,575.00
1	1000		CHAMPION SPORTS INC	.0300	30.00
2	700		COCA-COLA COMPANY	43.7500	30,625.00
1	585		EGR IND INC-INC UNDER THE LAWS OF THE STATE OF WEST VIRGINIA	N/A	
2	500		GENERAL ELECTRIC CO	43.3750	21,687.50
1	1700		GULF BROADCAST CO LIQ	N/A	
2	400		MC DONALDS CORP COMMON	47.5000	19,000.00
2	300		MERRILL LYNCH & CO INC	26.6250	7,987.50
2	500		PEPSICO INCORPORATED	39.2500	19,625.00
2	300		WASTE MANAGEMENT INC	41.7500	12,525.00
2		5	CALL ALLIED SIGNAL INC $40 EXP 03/18/89	.2500	125.00-
2		7	CALL COCA-COLA COMPANY $40 EXP 11/19/88	4.7500	3,325.00-
2		5	CALL GENERAL ELECTRIC CO $45 EXP 03/18/89	1.9370	968.50-
2		4	CALL MC DONALDS CORP $45 EXP 10/22/88	2.7500	1,100.00-

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FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 6538-9850	236-78-8848

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
9/01/88 THRU 9/30/88	3

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
2		3	CALL MERRILL LYNCH & CO $30 EXP 10/22/88	.0625	18.75-
2		3	CALL MERRILL LYNCH & CO $30 EXP 04/22/89	1.1875	356.25-
2		5	CALL PEPSICO INC $40 EXP 10/22/88	.4375	218.75-
2		3	CALL WASTE MANAGEMENT IN $40 EXP 11/19/88	2.7500	825.00-
			FIXED INCOME SECURITIES		
1	50000		ALABAMA SPL CARE FAC FIN ATH MNTGMERY MTG REV FHA NO PERIODIC INT OY=9.75% CPN 0.000% DUE 4/01/08 DTD 6/01/83 CALL 4/01/93 @ 30.486	25.9830	12,991.50
1	30000		NC EDUC FACS FIN AGY DUKE UNIV PROJ REV 88A OY-8.9% NO PER INTEREST CPN 0.000% DUE 10/01/00 DTD 3/02/88 CALL 10/01/98 @ 81.678	40.4720	12,141.60
1	35000		OAK RIDGE TENN INDL DEV BRD MTG REV FHA INSRD BRITISH WOODS APT OY=10% CPN 0.000% DUE 6/01/25 DTD 6/28/85 FC 12/01/10 CALL 6/01/00 @ 103.000	N/A	
1	15000		SHONEYS INNS GRP IV INC RV APPREC &PRIN REPAY 9% 1ST YR 40%GRS RV 4/15/87 CPN 0.000% DUE 4/15/01 DTD 4/15/88 FC 10/15/88	70.0000	10,500.00
1	30000		TAMPA FLA WTR & SWR REV CPN 0.000% DUE 10/01/05 DTD 5/01/82	27.3590	8,207.70
1	15000		WASHINGTON ST HSG FIN COMM SGLE FAM MTG REV SER 84A OY-11.625% CPN 0.000% DUE 1/01/16 DTD 11/15/84	4.7500	712.50

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Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
BK08 8538-9650	236-78-6845

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
9/01/88 THRU 9/30/88	4

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
1	45000		WEST VIRGINIA ST HSG DEV FD SINGLE FAMILY MTG REV EX-CALL CPN 0.000% DUE 1/01/15 DTD 9/28/83	6.0130	2,705.85
			VALUE OF PRICED SECURITIES		171,251.90

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE FROM YOUR INVESTMENT BROKER UPON REQUEST.

FOR AN IMPORTANT COMMENTARY ON TODAY'S EQUITY MARKET BY THE RENOWNED INVESTMENT MANAGER, JOHN TEMPLETON, SEE "A CASE FOR EQUITIES" ENCLOSED. IF YOU ARE SEEKING FEDERAL TAX-EXEMPT INCOME, ASK YOUR INVESTMENT BROKER ABOUT THE KEMPER MUNICIPAL INCOME TRUST.

---END OF STATEMENT---

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.
707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 6838-9850	236-75-6648

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
8/01/88 THRU 8/31/88	1

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$162,305.06		$14,207.78	$176,512.84

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	15,303.34	14,207.78
TOTAL MONEY MARKET		14,207.78

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	78.00CR	2,088.00CR
CORP INTEREST CREDITED	.00	481.72CR
CEF DIVIDENDS CREDITED	88.00CR	1,214.00CR
AVERAGE YIELD 7/16/88 TO 8/15/88 8.98%		
MARGIN INTEREST CHARGED	.00DB	1.81DB
CREDIT INTEREST CHARGED	.00	44.83CR

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
8 16	1	DIVIDEND	88	REINVESTED DIVIDEND CEF AS OF 8/15/88			
8 18	2	PURCHASE	300	ARISTECH CHEMICAL CORP	27.6250	8,432.18	
8 22	1	RECEIVED	15000	WASHINGTON ST HSG FIN COMM SGLE FAM MTG REV SER 84A OY-11.625% CPN 0.000% DUE 1/01/18 DTD 11/15/84			
8 22	2	SHORT SALE	3-	CALL ARISTECH CHEM CORP $30 EXP 09/17/88	1.0000		284.98
8 22	2	COVER SHORT	4	CALL MC DONALDS CORP $50 EXP 09/17/88	.0625	25.00	
8 22	2	SHORT SALE	4-	CALL MC DONALDS CORP $45 EXP 10/22/88	1.1250		402.18
8 23	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		7,790.02
8 24	2	DIVIDEND		MERRILL LYNCH & CO INC QUANTITY 300			78.00
8 26	1	DELIVERED	307-	FIRST BANCORP OF WAYNE, INC. (INC UNDER LAWS OF WV PAR VALUE .10 PER SH			

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FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.
707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 8539-8850	236-78-8845

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
8/01/88 THRU 8/31/88	2

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
8 29	1	DEPOSIT		FUNDS RECD			8,531.47
8 30	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	8,606.47	
	1	NET JOURNALS		TRF FRM CASH ACCT		7,718.02	
	2	NET JOURNALS		TRF TO MARGIN ACCT			7,718.02

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
2	500		ALLIED SIGNAL INC	33.0000	16,500.00
2	100		APPLIED BIOSYSTEMS INC	22.7500	2,275.00
2	300		ARISTECH CHEMICAL CORP	29.1250	8,737.50
1	1000		CHAMPION SPORTS INC	.0800	80.00
2	700		COCA-COLA COMPANY	40.0000	28,000.00
1	595		EQR IND INC-INC UNDER THE LAWS OF THE STATE OF WEST VIRGINIA	N/A	
2	500		GENERAL ELECTRIC CO	40.3750	20,187.50
1	1700		GULF BROADCAST CO LIQ	N/A	
2	400		MC DONALDS CORP COMMON	43.5000	17,400.00
2	300		MERRILL LYNCH & CO INC	26.6250	7,987.50
2	500		PEPSICO INCORPORATED	35.8750	17,937.50
2	300		WASTE MANAGEMENT INC	36.7500	11,025.00
2		5	CALL ALLIED SIGNAL INC $40 EXP 09/17/88	.0625	31.25-
2		3	CALL ARISTECH CHEM CORP $30 EXP 09/17/88	.8750	262.50-
2		7	CALL COCA-COLA COMPANY $40 EXP 11/19/88	1.8750	1,312.50-
2		5	CALL GENERAL ELECTRIC CO $50 EXP 09/17/88	.0625	31.25-
2		4	CALL MC DONALDS CORP $45 EXP 10/22/88	1.0000	400.00-
2		3	CALL MERRILL LYNCH & CO $30 EXP 10/22/88	.1250	37.50-
2		5	CALL PEPSICO INC $40 EXP 10/22/88	.2500	125.00-
2		3	CALL WASTE MANAGEMENT IN $40 EXP 11/19/88	.5000	150.00-
			FIXED INCOME SECURITIES		

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FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

ACCOUNT NUMBER	TAX I.D. NUMBER
BK08 6536-9650	236-76-6845

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
216 MAIN STREET
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
8/01/88 THRU 8/31/88	3

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
1	50000		ALABAMA SPL CARE FAC FIN ATH MNTGMERY MTG REV FHA NO PERIODIC INT OY=9.75% CPN 0.000% DUE 4/01/08 DTD 6/01/83 CALL 4/01/93 @ 30.458	24.8800	12,440.00
1	30000		NC EDUC FACS FIN AGY DUKE UNIV PROJ REV 88A OY-6.9% NO PER INTEREST CPN 0.000% DUE 10/01/00 DTD 3/02/88 CALL 10/01/98 @ 51.678	39.2560	11,776.80
1	35000		OAK RIDGE TENN INDL DEV BRD MTG REV FHA INSRD BRITISH WOODS APT OY=10% CPN 0.000% DUE 6/01/25 DTD 6/28/88 FC 12/01/10 CALL 6/01/00 @ 103.000	N/A	
1	15000		SHONEYS INNS GRP IV INC RV APPREC &PRIN REPAY 9% 1ST YR 40%GRS RV 4/15/87 CPN 0.000% DUE 4/15/01 DTD 4/15/88 FC 10/15/88	N/A	
1	30000		TAMPA FLA WTR & SWR REV CPN 0.000% DUE 10/01/08 DTD 6/01/82	26.4850	7,945.50
1	15000		WASHINGTON ST HSG FIN COMM SGLE FAM MTG REV SER 84A OY-11.625% CPN 0.000% DUE 1/01/16 DTD 11/15/84	N/A	
1	45000		WEST VIRGINIA ST HSG DEV FD SINGLE FAMILY MTG REV EX-CALL CPN 0.000% DUE 1/01/15 DTD 9/28/83	5.9610	2,682.45
			VALUE OF PRICED SECURITIES		182,305.06

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR
PRICING SERVICES - A CURRENT PRICE IS AVAILABLE
FROM YOUR INVESTMENT BROKER UPON REQUEST.

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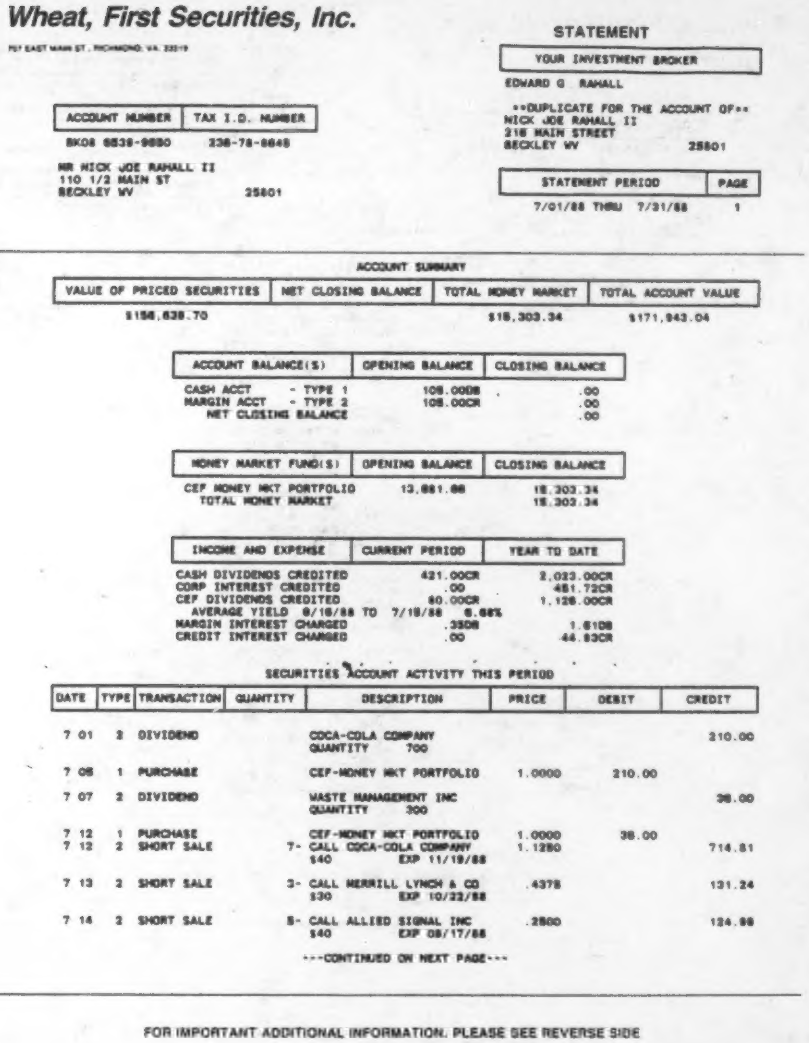
FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE



Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA. 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
216 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 6539-9650	236-78-8848

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
7/01/88 THRU 7/31/88	1

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$156,639.70		$15,303.34	$171,943.04

ACCOUNT BALANCE(S)	OPENING BALANCE	CLOSING BALANCE
CASH ACCT - TYPE 1	105.00DB	.00
MARGIN ACCT - TYPE 2	105.00CR	.00
NET CLOSING BALANCE		.00

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	13,861.86	15,303.34
TOTAL MONEY MARKET		15,303.34

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	421.00CR	2,023.00CR
CORP INTEREST CREDITED	.00	451.72CR
CEF DIVIDENDS CREDITED	60.00CR	1,128.00CR
AVERAGE YIELD 6/16/88 TO 7/15/88 6.68%		
MARGIN INTEREST CHARGED	.35DB	1.61DB
CREDIT INTEREST CHARGED	.00	44.93CR

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
7 01	2	DIVIDEND		COCA-COLA COMPANY QUANTITY 700			210.00
7 06	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	210.00	
7 07	2	DIVIDEND		WASTE MANAGEMENT INC QUANTITY 300			36.00
7 12	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	36.00	
7 12	2	SHORT SALE	7-	CALL COCA-COLA COMPANY $40 EXP 11/19/88	1.1250		714.81
7 13	2	SHORT SALE	3-	CALL MERRILL LYNCH & CO $30 EXP 10/22/88	.4375		131.24
7 14	2	SHORT SALE	5-	CALL ALLIED SIGNAL INC $40 EXP 08/17/88	.2500		124.98

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Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
BK08 6539-9850	236-78-6645

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
7/01/88 THRU 7/31/88	2

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
7 18	1	DIVIDEND	80	REINVESTED DIVIDEND CEF AS OF 7/18/88			
7 19	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	871.04	
7 20	2	SHORT SALE	3-	CALL WASTE MANAGEMENT IN $40 EXP 11/19/88	.5000		148.99
7 25	2	DIVIDEND		GENERAL ELECTRIC CO QUANTITY 500			175.00
7 26	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	324.99	
7 27	2	MARGIN INT		INTEREST 06/28THRU 07/26		.35	
7 28	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		.35
	1	NET JOURNALS		TRF TO CASH ACCT			1,648.88
	2	NET JOURNALS		TRF FRM MARGIN ACCT		1,648.88	

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
2	500		ALLIED SIGNAL INC	35.5000	17,750.00
2	100		APPLIED BIOSYSTEMS INC	24.2500	2,425.00
1	1000		CHAMPION SPORTS INC	.0800	80.00
2	700		COCA-COLA COMPANY	38.3750	26,862.50
1	585		EGR IND INC-INC UNDER THE LAWS OF THE STATE OF WEST VIRGINIA	N/A	
1	307		FIRST BANCORP OF WAYNE. INC. (INC UNDER LAWS OF WV PAR VALUE .10 PER SH	N/A	
2	500		GENERAL ELECTRIC CO	42.7500	21,375.00
1	1700		GULF BROADCAST CO LIQ	N/A	
2	400		MC DONALDS CORP COMMON	45.0000	18,000.00
2	300		MERRILL LYNCH & CO INC	27.2500	8,175.00
2	500		PEPSICO INCORPORATED	36.1250	18,062.50
2	300		WASTE MANAGEMENT INC	35.6250	10,687.50
2		5	CALL ALLIED SIGNAL INC $40 EXP 08/17/88	.1875	93.75-

---CONTINUED ON NEXT PAGE---

FOR IMPORTANT ADDITIONAL INFORMATION: PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.
707 EAST MAIN ST, RICHMOND VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
BK06 8538-9890	238-78-8848

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
7/01/88 THRU 7/31/88	3

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
2		7	CALL COCA-COLA COMPANY $40 EXP 11/19/88	1.3750	962.50-
2		5	CALL GENERAL ELECTRIC CO $50 EXP 08/17/88	.1250	62.50-
2		4	CALL MC DONALDS CORP $50 EXP 08/17/88	.2500	100.00-
2		3	CALL MERRILL LYNCH & CO $30 EXP 10/22/88	.6250	187.50-
2		5	CALL PEPSICO INC $40 EXP 10/22/88	.3750	187.50-
2		3	CALL WASTE MANAGEMENT IN $40 EXP 11/19/88	.7500	225.00-
			FIXED INCOME SECURITIES		
1	50000		ALABAMA SPL CARE FAC FIN ATH MNTGMERY MTG REV FHA NO PERIODIC INT OY=8.75% CPN 0.000% DUE 4/01/08 DTD 6/01/82 CALL 4/01/93 @ 30.488	24.7900	12,395.00
1	30000		NC EDUC FACS FIN AGY DUKE UNIV PROJ REV 88A OY=8.8% NO PER INTEREST CPN 0.000% DUE 10/01/00 DTD 3/02/88 CALL 10/01/98 @ 81.878	39.2160	11,764.80
1	35000		OAK RIDGE TENN INDL DEV SRD MTG REV FHA INSRD BRITISH WOODS APT OY=10% CPN 0.000% DUE 8/01/25 DTD 6/28/88 FC 12/01/10 CALL 6/01/00 @ 103.000	N/A	
1	15000		SHONEYS INNS GRP IV INC REV APPREC&PRINC REPAY9% 1ST YR 40%GROSS RV AFTER CPN 9.000% DUE 4/15/01 DTD 4/15/88 FC 10/15/88	N/A	
1	30000		TAMPA FLA WTR & SWR REV CPN 0.000% DUE 10/01/08 DTD 5/01/83	27.4130	8,223.90

---CONTINUED ON NEXT PAGE---

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 8839-9880	236-78-8845

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
7/01/88 THRU 7/31/88	4

RRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
1	45000		WEST VIRGINIA ST HSG DEV FD SINGLE FAMILY MTG REV EX-CALL CPN 0.000% DUE 1/01/15 DTD 9/28/83	5.9080	2,657.25

VALUE OF PRICED SECURITIES 198,639.70

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE FROM YOUR INVESTMENT BROKER UPON REQUEST.

STATEMENT OF INTEREST CHARGED
FOR PERIOD 6/28 THRU 7/28

DATE	NET BALANCE ALL MARGIN ACCTS	BALANCE IN CASH ACCOUNT	MARKET VALUE OF SHORTS	ADJUSTED DEBIT BALANCE	NUM DAYS	INTR RATE	INTEREST CHARGE
6 29	105.00CR	1,300.00	.00	1,195.00	1	10.500	.35
						TOTAL	.35

WHEAT BELIEVES THAT INTEREST RATES ARE HEADING LOWER OVER THE NEXT 24 MONTHS. SEE ENCLOSED LEAFLET. ASK YOUR INVESTMENT BROKER ABOUT THE NEW VAN KAMPEN MUNICIPAL INCOME TRUST IF YOU ARE SEEKING HIGH INCOME EXEMPT FROM FEDERAL TAXES.

---END OF STATEMENT---

FOR IMPORTANT ADDITIONAL INFORMATION. PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
BK08 8530-9850	238-78-8648

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
5/01/88 THRU 5/31/88	1

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$154,395.20		$29,446.66	$183,841.86

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	29,225.66	29,446.66
TOTAL MONEY MARKET		29,446.66

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	75.00CR	1,218.00CR
CORP INTEREST CREDITED	.00	451.72CR
CEF DIVIDENDS CREDITED	146.00CR	897.00CR
AVERAGE YIELD 4/16/88 TO 5/16/88	6.03%	
MARGIN INTEREST CHARGED	.00DB	1.26DB
CREDIT INTEREST CHARGED	.00	44.93CR

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
5 17	1	DIVIDEND	146	REINVESTED DIVIDEND CEF AS OF 5/16/88			
5 23	2	EXPIRED	3	CALL WASTE MANAGEMENT IN $45 EXP 05/21/88 AS OF 5/21/88			
5 28	2	DIVIDEND		MERRILL LYNCH & CO INC QUANTITY 300			75.00
5 31	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	75.00	
	1	NET JOURNALS		TRF TO CASH ACCT			75.00
	2	NET JOURNALS		TRF FRM MARGIN ACCT		75.00	

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
2	500		ALLIED SIGNAL INC	33.0000	16,500.00
2	100		APPLIED BIOSYSTEMS INC	23.5000	2,350.00

---CONTINUED ON NEXT PAGE---

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 6538-8880	238-78-8848

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
316 MAIN STREET
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
8/01/88 THRU 8/31/88	2

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
1	1000		CHAMPION SPORTS INC	.0700	70.00
2	700		COCA-COLA COMPANY	38.0000	26,600.00
1	888		ESM-IND INC-INC UNDER THE LAWS OF THE STATE OF WEST VIRGINIA	N/A	
1	307		FIRST BANCORP OF WAYNE, INC. (INC UNDER LAWS OF WV PAR VALUE .10 PER SH	N/A	
2	500		GENERAL ELECTRIC CO	42.0000	21,000.00
1	1700		GULF BROADCAST CO LIQ	N/A	
2	400		MC DONALDS CORP COMMON	44.0000	.17,600.00
2	300		MERRILL LYNCH & CO INC	24.1250	7,237.50
2	500		PEPSICO INCORPORATED	36.2500	18,125.00
2	300		WASTE MANAGEMENT INC	34.8750	10,462.50
2		5	CALL GENERAL ELECTRIC CO $50 EXP 08/17/88	.2500	125.00-
2		4	CALL MC DONALDS CORP $50 EXP 08/17/88	.5625	225.00-
2		5	CALL PEPSICO INC $40 EXP 10/22/88	.7500	375.00-
			FIXED INCOME SECURITIES		
1	50000		ALABAMA SPL CARE FAC FIN ATH MONTGOMERY MTG REV FHA NO PERIODIC INT OY=9.75% CPN 0.000% DUE 4/01/00 DTD 8/01/83 CALL 4/01/93 @ 30.468	23.6110	11,805.50
1	30000		NC EDUC FACS FIN AGY DUKE UNIV PROJ REV 88A OY=8.9% NO PER INTEREST CPN 0.000% DUE 10/01/00 DTD 3/08/88 CALL 10/01/98 @ 51.676	42.0000	12,600.00
1	35000		OAK RIDGE TENN INDL DEV BRD MTG REV FHA INSRD BRITISH WOODS APT OY=10% CPN 0.000% DUE 8/01/28 DTD 8/28/85 FC 12/01/10 CALL 8/01/09 @ 103.000	N/A	
1	15000		SHONEYS INNS GRP IV INC REV APPREC&PRINC REPAYE% 1ST YR 40%GROSS RV AFTER CPN 9.000% DUE 4/15/01 DTD 4/15/86 FC 10/15/86	N/A	

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FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.
707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
BK06 8830-8880	236-78-8845

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
5/01/88 THRU 5/31/88	3

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
1	30000		TAMPA FLA WTR & SWR REV CPN 0.000% DUE 10/01/06 DTD 5/01/82	26.1930	7,857.90
1	45000		WEST VIRGINIA ST HSG DEV FD SINGLE FAMILY MTG REV EX-CALL CPN 0.000% DUE 1/01/15 DTD 9/28/83	5.8040	2,611.80
			VALUE OF PRICED SECURITIES		154,085.20

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE FROM YOUR INVESTMENT BROKER UPON REQUEST.

ASK YOUR INVESTMENT BROKER ABOUT THE NEW PUTNAM INTERMEDIATE GOVERNMENT INCOME TRUST FOR INVESTORS SEEKING PRESERVATION OF CAPITAL AND HIGH INCOME. ALSO, ASK ABOUT THE LIFE OF VIRGINIA SINGLE-PREMIUM DEFERRED ANNUITY, A SAFE-MONEY ALTERNATIVE WITH TAX ADVANTAGES.

---END OF STATEMENT---

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.
707 EAST MAIN ST., RICHMOND, VA. 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
210 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
BK08 8839-9880	236-78-8848

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
4/01/88 THRU 4/30/88	1

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$116,241.23		$29,223.68	$145,464.91

ACCOUNT BALANCE(S)	OPENING BALANCE	CLOSING BALANCE
MARGIN ACCT - TYPE 2	88.00CR	.00
NET CLOSING BALANCE		.00

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	33,116.20	29,223.68
TOTAL MONEY MARKET		29,223.68

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	412.00CR	1,141.00CR
CORP INTEREST CREDITED	481.72CR	481.72CR
CEF DIVIDENDS CREDITED	180.00CR	748.00CR
AVERAGE YIELD 3/18/88 TO 4/15/88	8.93%	
MARGIN INTEREST CHARGED	1.28DB	1.28DB
CREDIT INTEREST CHARGED	.00	44.93CR

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
4 04	2	DIVIDEND		COCA-COLA COMPANY QUANTITY 700			210.00
4 05	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	298.00	
4 07	2	DIVIDEND		WASTE MANAGEMENT INC QUANTITY 300			27.00
4 12	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	27.00	
4 15	1	INTEREST		SHONEYS INNS GRP IV INC REV APPRECAPRINC REPAY8% 1ST YR 40%GROSS RV AFTER CPN 9.000% DUE 4/15/01 DTD 4/15/88 FC 10/15/88 QUANTITY 15000			481.72
4 15	1	DIVIDEND	180	REINVESTED DIVIDEND CEF AS OF 4/15/88			

---CONTINUED ON NEXT PAGE---

Wheat, First Securities, Inc.
707 EAST MAIN ST., RICHMOND, VA. 23219

STATEMENT

ACCOUNT NUMBER	TAX I.D. NUMBER
BK08 6538-9850	236-78-8848

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
4/01/88 THRU 4/30/88	2

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
4 18	2	CHECK ISSUED		CHECK ISSUED #01813831		5,000.00	
4 18	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		4,548.28
4 25	2	DIVIDEND		GENERAL ELECTRIC CO QUANTITY 500			175.00
4 26	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	175.00	
4 27	2	MARGIN INT		INTEREST 03/29THRU 04/26		1.28	
4 28	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		1.28
	1	NET JOURNALS		TRF FRM CASH ACCT		4,504.28	
	2	NET JOURNALS		TRF TO MARGIN ACCT			4,504.28

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
2	500		ALLIED SIGNAL INC	31.5000	15,750.00
2	100		APPLIED BIOSYSTEMS INC	23.2500	2,325.00
1	1000		CHAMPION SPORTS INC	.0800	80.00
2	700		COCA-COLA COMPANY	38.2500	26,775.00
1	595		EGR IND INC-INC UNDER THE LAWS OF THE STATE OF WEST VIRGINIA	N/A	
1	307		FIRST BANCORP OF WAYNE. INC. (INC UNDER LAWS OF WV PAR VALUE .10 PER SH	N/A	
2	500		GENERAL ELECTRIC CO	40.3750	20,187.50
1	1700		GULF BROADCAST CO LIQ	N/A	
2	400		MC DONALDS CORP COMMON	43.1250	17,250.00
2	300		MERRILL LYNCH & CO INC	23.6250	7,087.50
2	500		PEPSICO INCORPORATED	34.7500	17,375.00
2	300		WASTE MANAGEMENT INC	34.7500	10,425.00
2		5	CALL GENERAL ELECTRIC CO $50 EXP 09/17/88	.3750	187.50-
2		4	CALL MC DONALDS CORP $50 EXP 09/17/88	.8750	350.00-
2		5	CALL PEPSICO INC $40 EXP 10/22/88	.8750	437.50-

---CONTINUED ON NEXT PAGE---

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 0839-9850	236-78-6648

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
216 MAIN STREET
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
4/01/88 THRU 4/30/88	3

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
2		3	CALL WASTE MANAGEMENT IN $45 EXP 05/21/88	.0625	18.75-
			FIXED INCOME SECURITIES		
1	50000		ALABAMA SPL CARE FAC FIN ATH MNTGMERY MTG REV FHA NO PERIODIC INT OY=9.75% CPN 0.000% DUE 4/01/08 DTD 6/01/83 CALL 4/01/93 @ 30.488	N/A	
1	30000		NC EDUC FACS FIN AGY DUKE UNIV PROJ REV 84A OY-8.9% NO PER INTEREST CPN 0.000% DUE 10/01/00 DTD 3/02/88 CALL 10/01/98 @ 81.678	N/A	
1	35000		OAK RIDGE TENN INDL DEV BRD MTG REV FHA INSRD BRITISH WOODS APT OY=10% CPN 0.000% DUE 6/01/25 DTD 6/26/85 FC 12/01/10 CALL 6/01/00 @ 103.000	N/A	
1	15000		SHONEYS INNS GRP IV INC REV APPRECAPRINC REPAY8% 1ST YR 40%GROSS RV AFTER CPN 8.000% DUE 4/15/01 DTD 4/15/86 FC 10/15/86	N/A	
1	30000		TAMPA FLA WTR & SWR REV CPN 0.000% DUE 10/01/05 DTD 8/01/82	N/A	
1	45000		WEST VIRGINIA ST HSG DEV FD SINGLE FAMILY MTG REV EX-CALL CPN 0.000% DUE 1/01/15 DTD 8/25/83	N/A	
			VALUE OF PRICED SECURITIES		118,241.25

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE FROM YOUR INVESTMENT BROKER UPON REQUEST.

---CONTINUED ON NEXT PAGE---

Wheat, First Securities, Inc.
707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
216 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
BK06 8538-8880	238-78-8845

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
4/01/88 THRU 4/30/88	4

STATEMENT OF INTEREST CHARGED
FOR PERIOD 3/28 THRU 4/28

DATE	NET BALANCE ALL MARGIN ACCTS	BALANCE IN CASH ACCOUNT	MARKET VALUE OF SHORTS	ADJUSTED DEBIT BALANCE	NUM DAYS	INTR RATE	INTEREST CHARGE
4 18	5,000.00	451.00CR	.00	4,549.00	1	10.000	1.26
						TOTAL	1.26

INCOME. SAFETY. RETURN OF PRINCIPAL. IT ALL ADDS UP.
ASK YOUR INVESTMENT BROKER ABOUT THE ADVANTAGES OF GNMA
COLLATERALIZED BONDS. THEY PROVIDE MONTHLY INTEREST.
HIGHEST QUALITY RATING, AND EARLY REDEMPTION FEATURES.

---END OF STATEMENT---

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
216 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
BK08 6539-9880	235-72-8848

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
3/01/88 THRU 3/31/88	1

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$127,808.25	$85.00CR	$33,116.20	$161,007.45

ACCOUNT BALANCE(S)	OPENING BALANCE	CLOSING BALANCE
CASH ACCT - TYPE 1	12,784.7708	.00
MARGIN ACCT - TYPE 2	12,064.1808	85.00CR
NET CLOSING BALANCE		85.00CR

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	54,318.67	33,116.20
TOTAL MONEY MARKET		33,116.20

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	376.00CR	729.00CR
CEF DIVIDENDS CREDITED	204.00CR	589.00CR
AVERAGE YIELD 2/17/88 TO 3/16/88	6.16%	
CREDIT INTEREST CHARGED	.00	44.93CR

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
3 02	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		4,483.93
3 07	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		20,385.00
3 10	2	DIVIDEND		ALLIED SIGNAL INC DIV INT ON 500 SHS			225.00
3 14	1	SALE	5000-	MAGNET BANK F.S.B. CTFS OF DEP CPN 0.000% DUE 10/06/88 DTD 3/28/84 FC 10/06/85	50.1000		2,470.00
3 15	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	225.00	
3 16	1	DIVIDEND		GULF BROADCAST CO LIQ DIV INT ON 1700 SHS			68.00
3 16	1	DIVIDEND	204	REINVESTED DIVIDEND CEF AS OF 3/15/88			

---CONTINUED ON NEXT PAGE---

Wheat, First Securities, Inc.
707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 6839-9850	236-78-8648

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
3/01/88 THRU 3/31/88	2

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
3 18	2	SHORT SALE	4-	CALL MC DONALDS CORP $50 EXP 08/17/88	1.7500		638.48
3 21	2	EXPIRED	5	CALL ALLIED SIGNAL INC $40. EXP 03/19/88 AS OF 3/19/88			
3 21	2	EXPIRED	4	CALL MC DONALDS CORP $50. EXP 03/19/88 AS OF 3/19/88			
3 22	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	3,177.48	
3 30	2	DIVIDEND		PEPSICO INCORPORATED DIV INT ON 500 SHS			88.00
	1	NET JOURNALS		TRF FRM CASH ACCT		11,189.70	
	2	NET JOURNALS		TRF TO MARGIN ACCT			11,189.70

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
2	500		ALLIED SIGNAL INC	32.2500	16,125.00
2	100		APPLIED BIOSYSTEMS INC	24.2500	2,425.00
1	1000		CHAMPION SPORTS INC	N/A	
2	700		COCA-COLA COMPANY	38.2500	26,775.00
1	595		EGR IND INC-INC UNDER THE LAWS OF THE STATE OF WEST VIRGINIA	N/A	
1	307		FIRST BANCORP OF WAYNE, INC. (INC UNDER LAWS OF WV PAR VALUE .10 PER SH	N/A	
2	500		GENERAL ELECTRIC CO	40.3750	20,187.50
1	1700		GULF BROADCAST CO LIQ	N/A	
2	400		MC DONALDS CORP COMMON	43.5000	17,400.00
2	300		MERRILL LYNCH & CO INC	23.6250	7,087.50
2	500		PEPSICO INCORPORATED	35.0000	17,500.00
2	300		WASTE MANAGEMENT INC	34.8750	10,462.50
2		5	CALL GENERAL ELECTRIC CO $50 EXP 08/17/88	.7500	375.00-
2		4	CALL MC DONALDS CORP $50 EXP 08/17/88	1.1250	450.00-

---CONTINUED ON NEXT PAGE---

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 6830-9850	236-78-8848

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

YOUR INVESTMENT BROKER
EDWARD S RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
3/01/88 THRU 3/31/88	3

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
2		5	CALL PEPSICO INC $40 EXP 10/22/88	1.1250	562.50-
2		3	CALL WASTE MANAGEMENT IN $45 EXP 08/21/88	.0625	18.75-
			FIXED INCOME SECURITIES		
1	50000		ALABAMA SPL CARE FAC FIN ATH MNTGMERY MTG REV FHA NO PERIODIC INT OY=9.75% CPN 0.000% DUE 4/01/09 DTD 9/01/83 CALL 4/01/93 @ 30.458	N/A	
1	30000		NC EDUC FACS FIN AGY DUKE UNIV PROJ REV 88A OY=8.8% NO PER INTEREST CPN 0.000% DUE 10/01/00 DTD 3/02/88 CALL 10/01/98 @ 51.878	N/A	
1	35000		OAK RIDGE TENN INDL DEV BRD MTG REV FHA INSRD BRITISH WOODS APT OY=10% CPN 0.000% DUE 6/01/28 DTD 6/28/88 FC 12/01/10 CALL 6/01/00 @ 100.000	N/A	
1	15000		SHONEYS INNS GRP IV INC REV APPREC&PRINC REPAY8% 1ST YR 40%GROSS RV AFTER CPN 9.000% DUE 4/15/01 DTD 4/15/88 FC 10/15/88	75.0000	11,250.00
1	30000		TAMPA FLA WTR & SWR REV CPN 0.000% DUE 10/01/08 DTD 8/01/83	N/A	
1	45000		WEST VIRGINIA ST HSG DEV FD SINGLE FAMILY MTG REV EX-CALL CPN 0.000% DUE 1/01/15 DTD 9/28/83	N/A	
			VALUE OF PRICED SECURITIES		127,608.23

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE FROM YOUR INVESTMENT BROKER UPON REQUEST.

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FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
216 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
BK08 6839-9880	236-76-8646

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
2/01/88 THRU 2/29/88	1

PORTFOLIO SUMMARY

	CURRENT MARKET VALUE	ACCOUNT BALANCE	TOTAL ACCOUNT EQUITY	BUYING POWER
CASH ACCT (1)	$54,318	$12,754DR	$41,563	
MARGIN ACCT (2)	$121,068	$12,054DR	$109,014	$125,628

ACCOUNT SUMMARY

TYPE OF ACCOUNT(S)	OPENING BALANCE	TOTAL DEBITS	TOTAL CREDITS	CLOSING BALANCE
CASH ACCT (1)	21.20CR	21,226.98	8,450.98	12,754.77DR
MARGIN ACCT (2)	8,045.77CR	28,769.76	8,669.83	12,054.16DR

MONEY MARKET FUND	OPENING BALANCE	TOTAL REDEMPTIONS	TOTAL INVESTMENTS	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	45,738.21	.00	8,579.46	54,318.67

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	125.00CR	351.00CR
CEF DIVIDENDS CREDITED	258.00CR	385.00CR
AVERAGE YIELD 1/18/88 TO 2/18/88	6.48%	
CREDIT INTEREST	23.73CR	44.93CR

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
2 05	1	DIVIDEND		MC DONALDS CORP COMMON DIV INT ON 400 SHS			50.00
2 11	2	SALE	36	COLUMBIA PICTURES ENTMT INC	7.1250		256.48
2 17	1	DIVIDEND	258	REINVESTED DIVIDEND CEF AS OF 2/18/88			
2 22	1	PURCHASE	30000	NC EDUC FACS FIN AGY DUKE UNIV PROJ REV 88A OY-6.8% NO PER INTEREST CPN 0.000% DUE 10/01/00 DTD 3/02/88 CALL 10/01/98 P 61.878 AS OF 2/19/88	42.5950	12,778.50	
2 22	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	8,323.46	
2 22	2	EXPIRED	4	CALL COCA-COLA COMPANY $50 EXP 02/20/88			

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FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.
707 EAST MAIN ST . RICHMOND, VA 23219

STATEMENT

NT NUMBER	TAX I.D. NUMBER
6 8 6836-9650	236-78-8645

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
2/01/88 THRU 2/29/88	2

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
2 22	2	EXPIRED	4	AS OF 2/20/88 CALL WASTE MANAGEMENT IN $45. EXP 02/20/88 AS OF 2/20/88			
2 24	1	DIVIDEND		MERRILL LYNCH & CO INC DIV INT ON 300 SHS			75.00
2 24	2	SALE	200	GANNETT CO INC DEL	35.6250		7,000.22
2 24	2	COVER SHORT	2	CALL GANNETT CO INC DEL $40. EXP 04/16/88	.5625	112.50	
2 24	2	SHORT SALE	5	CALL PEPSICO INC $40 EXP 10/22/88	1.3750		628.58
2 25	1			AVG QUALIFIED CREDIT			23.73
2 29	1	CHECK ISSUED		CHECK ISSUED 853875		125.00	
2 29	2	PURCHASE	300	COCA-COLA COMPANY	37.0000	11,278.74	
2 29	2	PURCHASE	200	GENERAL ELECTRIC CO	44.7500	9,078.26	
2 29	2	SHORT SALE	5	CALL GENERAL ELECTRIC CO $50 EXP 09/17/88	1.6875		783.58
	1			TRF TO CASH ACCT			8,302.28
	2			TRF FRM MARGIN ACCT		8,302.28	

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
2	500		ALLIED SIGNAL INC	32.5000	16,250.00
2	100		APPLIED BIOSYSTEMS INC	27.5000	2,750.00
1	1000		CHAMPION SPORTS INC	N/A	
2	700		COCA-COLA COMPANY	37.3750	26,162.50
1	888		EGR IND INC-INC UNDER THE LAWS OF THE STATE OF WEST VIRGINIA	N/A	
1	307		FIRST BANCORP OF WAYNE, INC. (INC UNDER LAWS OF WV PAR VALUE .10 PER SH	N/A	
2	500		GENERAL ELECTRIC CO	45.5000	22,750.00
1	1700		GULF BROADCAST CO LIQ	N/A	
2	400		MC DONALDS CORP COMMON	47.5000	19,000.00
2	300		MERRILL LYNCH & CO INC	25.2500	7,575.00
2	500		PEPSICO INCORPORATED	35.5000	17,750.00
2	300		WASTE MANAGEMENT INC	36.1250	10,837.50

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Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
216 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
BK08 6539-9650	236-78-6645

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
2/01/88 THRU 2/29/88	3

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
2		5	CALL ALLIED SIGNAL INC $40. EXP 03/19/88	.0625	31.25-
2		5	CALL GENERAL ELECTRIC CO $50 . EXP 09/17/88	1.8750	937.50-
2		4	CALL MC DONALDS CORP $50. EXP 03/19/88	.6250	250.00-
2		5	CALL PEPSICO INC $40 EXP 10/22/88	1.5000	750.00-
2		3	CALL WASTE MANAGEMENT IN $45 EXP 05/21/88	.1250	37.50-
			FIXED INCOME SECURITIES		
1	50000		ALABAMA SPL CARE FAC FIN ATH MNTGMERY MTG REV FHA NO PERIODIC INT DY=9.75% CPN 0.000% DUE 4/01/08 DTD 6/01/83 CALL 4/01/93 @ 30.458	N/A	
1	5000		MAGNET BANK F.S.B. CTFS OF DEP CPN 0.000% DUE 10/06/95 DTD 3/28/84 FC 10/06/95	N/A	
1	30000		NC EDUC FACS FIN AGY DUKE UNIV PROJ REV 88A DY-6.9% NO PER INTEREST CPN 0.000% DUE 10/01/90 DTD 3/02/88 CALL 10/01/98 @ 91.875	N/A	
1	25000		OAK RIDGE TENN INDL DEV BRD MTG REV FHA INSRD BRITISH WOODS APT DY=10% CPN 0.000% DUE 8/01/28 DTD 6/28/88 FC 12/01/10 CALL 6/01/00 @ 103.000	N/A	
1	19000		SHONEYS INNS GRP IV INC REV APPREC&PRINC REPAY9% 1ST YR 40%GROSS RV AFTER CPN 9.000% DUE 4/15/01 DTD 4/15/88 FC 10/15/88	N/A	
1	30000		TAMPA FLA WTR & SWR REV CPN 0.000% DUE 10/01/06 DTD 5/01/83	N/A	

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Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 8839-8850	236-78-8848

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
2/01/88 THRU 2/29/88	4

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
1	48000		WEST VIRGINIA ST HSG DEV FD SINGLE FAMILY MTG REV EX-CALL CPN 0.000% DUE 1/01/15 DTD 9/28/83	N/A	

TOTAL MARKET VALUE OF PRICED SECURITIES 121,088.75

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE FROM YOUR INVESTMENT BROKER UPON REQUEST.

SUMMARY OF AVERAGE QUALIFIED CREDIT
FOR PERIOD ENDED 2/24

	DAYS	NET BALANCE ALL ACCOUNTS	RATE	INTEREST
1 27	1	10,713.77	4.000	1.19
1 28	1	8,213.77	4.000	.91
1 29	7	8,088.77	4.000	6.24
2 05	14	8,118.77	4.000	12.60
2 19	3	8,373.28	4.000	2.79
			TOTAL	23.73

ASK ABOUT TWO NEW INCOME FUNDS: MFS INTERMEDIATE INCOME TRUST, A CLOSED END, SHORTER TERM GOVERNMENT SECURITIES FUND FOR CAPITAL PRESERVATION AND HIGH INCOME, AND THE PUTNAM PREMIER INCOME TRUST, AN ACTIVELY-MANAGED PORTFOLIO OF HIGH-YIELDING, FIXED INCOME SECURITIES.

---END OF STATEMENT---

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

[illegible] EAST MAIN ST · RICHMOND VA 23219

STATEMENT

ACCOUNT NUMBER	TAX I.D. NUMBER
BKOB 8839-9880	238-78-8648

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
210 MAIN STREET
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
1/01/88 THRU 1/31/88	1

PORTFOLIO SUMMARY

CURRENT MARKET VALUE		ACCOUNT BALANCE	TOTAL ACCOUNT EQUITY	BUYING POWER
CASH ACCT (1)	$45,738	$21CR	$45,759	
MARGIN ACCT (2)	$106,389	$8,048CR	$114,445	$155,748

ACCOUNT SUMMARY

TYPE OF ACCOUNT(S)	OPENING BALANCE	TOTAL DEBITS	TOTAL CREDITS	CLOSING BALANCE
CASH ACCT (1)	2,000.00DR	12,877.33	14,898.53	21.20CR
MARGIN ACCT (2)	8,465.50CR	17,328.76	16,912.03	8,048.77CR

MONEY MARKET FUND	OPENING BALANCE	TOTAL REDEMPTIONS	TOTAL INVESTMENTS	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	44,131.83	5,526.00	7,133.38	45,739.21

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	228.00CR	228.00CR
CEF DIVIDENDS CREDITED	129.00CR	129.00CR
AVERAGE YIELD 1/01/88 TO 1/15/88	6.78%	
CREDIT INTEREST	21.20CR	21.20CR

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
1 04	2	DIVIDEND		PEPSICO INCORPORATED DIV INT ON 900 SHS			88.00
1 04	2	CANCEL	100	APPLIED BIOSYSTEMS INC AS OF 12/29/87		2,248.76	
1 04	2	SALE	100	APPLIED BIOSYSTEMS INC AS OF 12/29/87	23.0000		2,248.76
1 04	2	CANCEL	100	WASTE MANAGEMENT INC AS OF 12/29/87		3,871.82	
1 04	2	SALE	100	WASTE MANAGEMENT INC AS OF 12/29/87	38.3750		3,871.82
1 05	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	723.10	
1 05	2	SHORT SALE	5	CALL ALLIED SIGNAL INC $40. EXP 03/19/88	.2500		124.88
1 06	2	SHORT SALE	3	CALL WASTE MANAGEMENT IN $45 EXP 05/21/88	1.2500		334.88
1 06	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	6,281.28	

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FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.
707 EAST MAIN ST., RICHMOND, VA. 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
216 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
BK08 6539-9850	236-78-8046

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
1/01/88 THRU 1/31/88	2

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
1 07	1	DIVIDEND		WASTE MANAGEMENT INC DIV INT ON 400 SHS			36.00
1 07	2	JOURNAL		AS OF 12-31-87			8,000.00
1 08	2	SALE	100	APPLIED BIOSYSTEMS INC	24.0000		2,348.77
1 18	1	DIVIDEND	128	REINVESTED DIVIDEND CEF AS OF 1/15/88			
1 18	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		5,528.00
1 18	2	EXPIRED	3	CALL MERRILL LYNCH & CO $40 EXP 01/16/88 AS OF 1/16/88			
1 19	1			CHECK ISSUED #01613177		5,528.00	
1 25	1	DIVIDEND		GENERAL ELECTRIC CO DIV INT ON 300 SHS			108.00
1 26	1	FRACTION		COLUMBIA PICTURES ENTMT INC DIV INT ON 400 SHS			5.88
1 26	1	RECEIVED	307	FIRST BANCORP OF WAYNE, INC. (INC UNDER LAWS OF WV PAR VALUE .10 PER SH			
1 26	2	DISTRIBUTION	36	COLUMBIA PICTURES ENTMT INC			
1 27	1			AVG QUALIFIED CREDIT			21.20
1 28	2			CHECK ISSUED #01613208		2,500.00	
1 29	1	CHECK ISSUED		CHECK ISSUED 837877		146.88	
	1			TRF TO CASH ACCT			9,004.38
	2			TRF FRM MARGIN ACCT		9,004.38	

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
2	500		ALLIED SIGNAL INC	31.2500	15,625.00
2	100		APPLIED BIOSYSTEMS INC	24.0000	2,400.00
1	1000		CHAMPION SPORTS INC	N/A	
2	400		COCA-COLA COMPANY	37.6250	15,050.00
2	36		COLUMBIA PICTURES ENTMT INC	7.6250	274.50

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Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
5K06 6539-8650	236-78-8845

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
1/01/88 THRU 1/31/88	3

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
1	585		EQR IND INC-INC UNDER THE LAWS OF THE STATE OF WEST VIRGINIA	N/A	
1	307		FIRST BANCORP OF WAYNE, INC. (INC UNDER LAWS OF WV PAR VALUE .10 PER SH	N/A	
2	200		GANNETT CO INC DEL	37.0000	7,400.00
2	300		GENERAL ELECTRIC CO	45.1250	13,537.50
1	1700		GULF BROADCAST CO LIQ	N/A	
2	400		MC DONALDS CORP COMMON	45.2500	18,100.00
2	300		MERRILL LYNCH & CO INC	24.0000	7,200.00
2	500		PEPSICO INCORPORATED	33.5000	16,750.00
2	300		WASTE MANAGEMENT INC	35.8750	10,762.50
2		5	CALL ALLIED SIGNAL INC $40. EXP 03/19/88	.0625	31.25-
2		4	CALL COCA-COLA COMPANY $50 EXP 02/20/88	.0625	25.00-
2		2	CALL GANNETT CO INC DEL $40. EXP 04/16/88	1.0000	200.00-
2		4	CALL MC DONALDS CORP $50. EXP 03/19/88	.6250	250.00-
2		4	CALL WASTE MANAGEMENT IN $45. EXP 02/20/88	.0625	25.00-
2		3	CALL WASTE MANAGEMENT IN $45 EXP 05/21/88	.5625	168.75-
			FIXED INCOME SECURITIES		
1	50000		ALABAMA SPL CARE FAC FIN ATH MNTGMERY MTG REV FHA NO PERIODIC INT OY=9.75% CPN 0.000% DUE 4/01/08 DTD 6/01/83 CALL 4/01/93 @ 30.488	N/A	
1	5000		MAGNET BANK F.S.B. CTFS OF DEP CPN 0.000% DUE 10/06/95 DTD 3/29/84 FC 10/06/88	N/A	
1	35000		OAK RIDGE TENN INDL DEV BRD MTG REV FHA INSRD BRITISH WOODS APT OY=10% CPN 0.000% DUE 6/01/25 DTD 6/28/85 FC 12/01/10 CALL 6/01/00 @ 103.000	N/A	

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Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
NICK JOE RAHALL II
218 MAIN STREET
BECKLEY WV 25801

ACCOUNT NUMBER	TAX I.D. NUMBER
BK08 0538-0650	236-78-8845

MR NICK JOE RAHALL II
110 1/2 MAIN ST
BECKLEY WV 25801

STATEMENT PERIOD	PAGE
1/01/88 THRU 1/31/88	4

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
1	15000		SHONEYS INNS GRP IV INC REV APPRECAPRINC REPAYE% 1ST YR 40%GROSS RV AFTER CPN 8.000% DUE 4/15/01 DTD 4/15/86 FC 10/15/86	N/A	
1	30000		TAMPA FLA WTR & SWR REV CPN 0.000% DUE 10/01/05 DTD 5/01/82	N/A	
1	45000		WEST VIRGINIA ST HSG DEV FD SINGLE FAMILY MTG REV EX-CALL CPN 0.000% DUE 1/01/15 DTD 9/28/83	N/A	
			TOTAL MARKET VALUE		108,389.90

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE FROM YOUR INVESTMENT BROKER UPON REQUEST.

YOU SHOULD NOW HAVE YOUR 1987 ANNUAL SUMMARY FROM WHEAT. MONEY MARKET CUSTOMERS MAY RECEIVE A 1099-DIV (GROSS DIVIDENDS) AND A CONFIRMATION (SERVICE FEES PAID) FROM THE FUND FOR THE PERIOD JANUARY-OCTOBER 1987. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR INVESTMENT BROKER.

---END OF STATEMENT---

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

The attached will serve to respond to every transaction of my I.R.A. Acct. in 1988 regardless of amounts and to the penny. Sect. IV

The attached will also serve to respond to Sect. III as to the holdings in same Acct. both by month and year end.

NJR II
1988
IRA Acct.
with Shearson Lehman

SHEARSON
LEHMAN HUTTON

Individual Retirement Account
November 28 - December 31, 1988

***Account number* 025-00527-14 240 *SS #* 236-70-0545**

Your Financial Consultant

JAMES A JOSEPH
SHEARSON LEHMAN HUTTON INC.
701 MAIN STREET
SUITE 100
STROUDSBURG PA 18360
717-421-3100

As you requested, copies of this statement have also been sent to:

TERRY SHEPHERD
H JOE RAHALL

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515**

Account value

Money funds	$ 475.82
Mutual funds	2,733.60
Unit investment trusts	2,271.70
Limited partnerships	(see Portfolio detail)
Net value this period*	$ 5,481.12
Net value last period*	$ 5,371.71

*Does not include unpriced securities

Earnings summary

		This year	
	This period	Taxable	Non-taxable
Interest	$ 0.00	$ 29.84	$ 7.88
Dividends	112.83	116.57	0.00
Money funds earnings	4.90	23.20	0.00
Total	$ 117.83	$ 169.61	$ 7.88
	This period		This year
Other income	$ 0.00		$ 63.92

Note: *Any amounts listed under Taxable pertain to the tax status of specific issues and are not reported to the IRS.*

SHEARSON
LEHMAN HUTTON

Individual Retirement Account

November 28 - December 31, 1988

Account number 685-60527-14 248 ***NICK JOE RAHALL II

Combined cash and money funds balance

	Amounts added	Amounts subtracted	
Combined opening cash and money funds balance			$ 466.98
Automatic reinvestments		-108.69	
Dividends credited	112.63		
Money funds earnings credited	4.90		
Total	$ 117.53	$ -108.69	
Combined closing cash and money funds balance			$ 475.82
Money funds			475.82

IRA activity summary

Amounts added to your IRA

The IRS requires Shearson Lehman Hutton to report only the total amount contributed to your IRA during the calendar year. It is your responsibility to determine which contributions, if any, are deductible when you calculate your income tax. The designations shown below are based on information you provided to your Financial Consultant.

Contributions

Description	Deductible portion	Non-deductible portion	Unspecified	Total
Contributions for the current tax year	$ 0.00	$ 0.00	$ 0.00	$ 0.00

Portfolio details

This section shows the holdings in your account. It reflects values as of the close of business on 12/30/88.

Money funds

Description	No. of shares	Market value	Average monthly yield	Comment
SLH DAILY DIVIDEND FUND	475.82	$ 475.82	7.904%	
Total value of money funds		$ 475.82		

Mutual funds

Description	Number of shares	Price	Market value	Comment
SLH PORTFOLIO SECTOR ANALYSIS	274.734	$ 9.95	$ 2,733.60	
Total value of mutual funds			$ 2,733.60	

SHEARSON
LEHMAN HUTTON

Individual Retirement Account
November 28 - December 31, 1988

Account number 685-60527-14 240 ***NICK JOE RAHALL II

Unit investment trusts

Description	Number of units	Market price	Market value	Yield	Comment
UNITS UNCOMMON VALUES UT # 88M SER-FR UNDIV INT	2,485	$ .91	$ 2,271.70		
Total value of unit investment trusts			$ 2,271.70		

Limited partnerships *We provide the following information about limited partnerships as an aid to your financial record keeping. It reflects purchases through Shearson Lehman Hutton or information supplied to us. Subsequent transactions, if any, are not reflected unless done through Shearson Lehman Hutton. We will continue to show each investment throughout the life of the partnership, unless you request otherwise. Face amounts do not necessarily reflect current market value.*

Description	Face amount	Comment
BALCOR EQUITY PEN INV III	$ 2,000.00	Purchase date 01/28/86
BALCOR EQUITY PENSION INV II	4,000.00	Purchase date 01/10/85
Total limited partnerships	$ 6,000.00	

Transaction details

Investment activity

Automatic reinvestments

This section shows all reinvestments made by Shearson Lehman Hutton on your behalf. It includes reinvestments of dividends and capital gains, as well as purchases made through systematic investment programs.

Date	Description	Quantity	Price	Amount
12/30/88	SLH PORTFOLIO SECTOR ANALYSIS	10.923	$ 9.95	$ -108.68
Total automatic reinvestments				$ -108.68

SHEARSON
LEHMAN HUTTON

Individual Retirement Account
November 28 - December 31, 1988

Account number 685-60527-14 240 ***NICK JOE RAHALL II

arnings details

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

idends *This section includes dividends on all investments except money funds that reinvest dividends automatically.*

Date	Description	Taxable	Non-taxable	Comment	Total dividend
12/01/88	UNITS UNCOMMON VALUES UT # 88M SER-FR UNDIV INT CASH DIV ON 3485 SHS REC 11/15/88 PAY 12/01/88	$ 3.94			$ 3.94
12/30/88	SLH PORTFOLIO SECTOR ANALYSIS	108.69			108.69
Total dividends earned		$ 112.63	$ 0.00		$ 112.63

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rnings**

Date	Description	Taxable	Non-taxable	Comment	Amount
12/02/88	SHEARSON DAILY DIVIDEND INC DIV REINVESTED $2.08	$ 2.08			$ 2.08
12/30/88	SLH DAILY DIVIDEND FUND DIV REINVESTED $2.82	2.82			2.82
Total earnings from money funds		$ 4.90	$ 0.00		$ 4.90

loney funds details

All transactions are traded at $1.00 per share.

**ney funds
tivity**

Date	Activity	Description	Quantity	Amount
	Opening money funds balance			$ 466.98
12/02/88	Investment	SHEARSON DAILY DIVIDEND INC	3.94	3.94
		MONEY FUNDS EARNINGS (SEE DETAILS UNDER EARNINGS DETAILS)	4.90	4.90
	Closing money funds balance			$ 475.82

SHEARSON
LEHMAN HUTTON

Individual Retirement Account
October 31 - November 27, 1988

Page 1 of 4

***Account number* 685-60527-14 240 *SS #* 236-78-8845**

Your Financial Consultant

JAMES A JOSEPH
SHEARSON LEHMAN HUTTON INC.
701 MAIN STREET
SUITE 100
STROUDSBURG PA
717-421-3100

As you requested, copies of this statement have also been sent to:

TERRY SHEPHERD
N JOE RAHALL

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515***

Account value

Money funds	$ 466.98
Mutual funds	2,758.45
Unit investment trusts	2,145.28
Limited partnerships	*(see Portfolio details)*
Net value this period*	$ 5,371.71
Net value last period*	$ 6,862.38

*Does not include unpriced securities

Earnings summary

		This year	
	This period	Taxable	Non-taxable
Interest	$ 0.00	$ 29.84	$ 7.88
Dividends	3.94	3.94	0.00
Money funds earnings	2.57	18.30	0.00
Total	$ 6.51	$ 52.08	$ 7.88

	This period	This year
Other income	$ 0.00	$ 63.92

Individual Retirement Account
October 31 - November 27, 1988

Account number ***685-60527-14 240*** ******NICK JOE RAHALL II***

Combined cash and money funds balance

	Amounts added	Amounts subtracted	
Combined opening cash and money funds balance			$ 460.47
Dividends credited	3.94		
Money funds earnings credited	2.57		
Total	$ 6.51	$ 0.00	
Combined closing cash and money funds balance			$ 466.98
Money funds			466.98

IRA activity summary

Amounts added to your IRA

The IRS requires Shearson Lehman Hutton to report only the total amount contributed to your IRA during the calendar year. It is your responsibility to determine which contributions, if any, are deductible when you calculate your income tax. The designations shown below are based on information you provided to your Financial Consultant.

Contributions

Description	Deductible portion	Non-deductible portion	Unspecified	Total
Contributions for the current tax year	$ 0.00	$ 0.00	$ 0.00	$ 0.00

Portfolio details

This section shows the holdings in your account. It reflects values as of the close of business on 11/25/88.

Money funds

Description	No. of shares	Market value	Average monthly yield	Comment
SHEARSON DAILY DIVIDEND INC	466.98	$ 466.98	7.80%	Accrued dividends of $ 1.38
Total value of money funds		$ 466.98		

Mutual funds

Description	Number of shares	Price	Market value	Comment
** SHEARSON LEHMAN SPCL EQUITY PORTFOLIOS SECTOR ANALYSIS PORTFOLIO	263.81	$ 10.46	$ 2,759.45	
Total value of mutual funds			$ 2,759.45	

SHEARSON
LEHMAN HUTTON

Individual Retirement Account
October 31 - November 27, 1988

Account number 685-69527-14 249 ***NICK JOE RAHALL II

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Description	Number of units	Market price	Market value	Yield	Comment
UNITS UNCOMMON VALUES UT # 88M SER-FR UNDIV INT	2,485	$ .859	$ 2,145.28		
Total value of unit investment [illegible]			**$ 2,145.28**		

mited
rtnerships

We provide the following information about limited partnerships as an aid to your financial record keeping. It reflects purchases through Shearson Lehman Hutton or information supplied to us. Subsequent transactions, if any, are not reflected unless done through Shearson Lehman Hutton. We will continue to show each investment throughout the life of the partnership, unless you request otherwise. Face amounts do not necessarily reflect current market value.

Description	Face amount	Comment
BALCOR EQUITY PEN INV III	$ 2,000.00	Purchase date 01/26/86
BALCOR EQUITY PENSION INV II	4,000.00	Purchase date 01/10/85
Total limited partnerships	**$ 6,000.00**	

arnings details

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

ividends

This section includes dividends on all investments except money funds that reinvest dividends automatically.

Date	Description	Taxable	Non-taxable	Comment	Total dividend
11/01/88	UNITS UNCOMMON VALUES UT # 88M SER-FR UNDIV INT CASH DIV ON 2485 SHS REC 10/15/88 PAY 11/01/88	$ 3.94			$ 3.94
Total dividends earned		**$ 3.94**	**$ 0.00**		**$ 3.94**

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Date	Description	Taxable	Non-taxable	Comment	Amount
11/11/88	SHEARSON DAILY DIVIDEND INC DIV REINVESTED $2.57	$ 2.57			$ 2.57
Total earnings from money funds		**$ 2.57**	**$ 0.00**		**$ 2.57**

SHEARSON
LEHMAN HUTTON

Individual Retirement Account
October 31 - November 27, 1988

Page 4 of 4

Account number 665-60527-14 240 ***NICK JOE RAHALL II

loney funds details

All transactions are traded at $1.00 per share.

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tivity

Date	Activity	Description	Quantity	Amount
	Opening money funds balance			$ 400.47
11/03/88	Investment	SHEARSON DAILY DIVIDEND INC	3.94	3.94
		MONEY FUNDS EARNINGS (SEE DETAILS UNDER EARNINGS DETAILS)	2.57	2.57
	Closing money funds balance			$ 406.98

SHEARSON LEHMAN HUTTON

Individual Retirement Account

October 1 - October 30, 1988

Page 1 of 4

***Account number* 685-60527-14 240 SS # 238-78-8045**

Your Financial Consultant

JAMES A JOSEPH
SHEARSON LEHMAN HUTTON INC.
701 MAIN STREET
SUITE 100
STROUDSBURG PA
717-421-3100

As you requested, copies of this statement have also been sent to:

TERRY SHEPHERD
N JOE RAHALL

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515***

Account value

Money funds	$ 460.47
Mutual funds	2,796.39
Unit investment trusts	2,245.50
Limited partnerships	*(see Portfolio details)*
Net value this period*	**$ 5,502.36**
Net value last period*	**$ 5,394.08**

*Does not include unpriced securities

Earnings summary

		This year	
	This period	Taxable	Non-taxable
Interest	$ 3.94	$ 29.84	$ 7.88
Money funds earnings	2.77	15.73	0.00
Total	**$ 6.71**	**$ 45.57**	**$ 7.88**

	This period	This year
Other income	$ 63.92	$ 63.92

SHEARSON LEHMAN HUTTON

Individual Retirement Account
October 1 - October 30, 1988

Page 2 of 4

Account number 085-60537-14 240 ***NICK JOE RAHALL II

Combined cash and money funds balance

Combined opening cash and money funds balance			$ 389.84
	Amounts added	Amounts subtracted	
Interest credited	3.94		
Money funds earnings credited	2.77		
Other income credited	63.92		
Total	$ 70.63	$ 0.00	
Combined closing cash and money funds balance			$ 460.47
Money funds			460.47

RA activity summary

nounts added your IRA

The IRS requires Shearson Lehman Hutton to report only the total amount contributed to your IRA during the calendar year. It is your responsibility to determine which contributions, if any, are deductible when you calculate your income tax. [illegible] designations shown below are based on information you provided to your Financial Consultant.

Contributions

Description	Deductible portion	Non-deductible portion	Unspecified	Total
Contributions for the current tax year	$ 0.00	$ 0.00	$ 0.00	$ 0.00

'ortfolio details

This section shows the holdings in your account. It reflects values as of the close of business on 10/28/88.

ney funds

Description	No. of shares	Market value	Average monthly yield	Comment
SHEARSON DAILY DIVIDEND INC	460.47	$ 460.47	7.383%	Accrued dividends of $ 1.19
Total value of money funds		$ 460.47		

tual nds

Description	Number of shares	Price	Market value	Comment
** SHEARSON LEHMAN SPCL EQUITY PORTFOLIOS SECTOR ANALYSIS PORTFOLIO	263.81	$ 10.60	$ 2,796.39	
Total value of mutual funds			$ 2,796.39	

SHEARSON
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Individual Retirement Account
October 1 - October 30, 1988

***Account number* 685-60527-14 240 ***NICK JOE RAHALL II**

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Description	Number of units	Market price	Market value	Yield	Comment
UNITS UNCOMMON VALUES UT # 88M SER-FR UNDIV INT	2,495	$ .90	$ 2,245.50		
Total value of unit investment trusts			**$ 2,245.50**		

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artnerships**

We provide the following information about limited partnerships as an aid to your financial record keeping. It reflects purchases through Shearson Lehman Hutton or information supplied to us. Subsequent transactions, if any, are not reflected unless done through Shearson Lehman Hutton. We will continue to show each investment throughout the life of the partnership, unless you request otherwise. Face amounts do not necessarily reflect current market value.

Description	Face amount	Comment
BALCOR EQUITY PEN INV III	$ 2,000.00	Purchase date 01/28/88
BALCOR EQUITY PENSION INV II	4,000.00	Purchase date 01/10/85
Total limited partnerships	**$ 6,000.00**	

Earnings details

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

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Date	Description	Taxable	Non-taxable	Comment	Amount
10/03/88	UNITS UNCOMMON VALUES UT # 88M SER-FR UNDIV INT REG INT ON 2495 UNITS REC 09/15/88 PAY 10/01/88		$ 3.94		$ 3.94
Total interest earned		$ 0.00	$ 3.94		$ 3.94

**loney
ınds
arnings**

Date	Description	Taxable	Non-taxable	Comment	Amount
10/14/88	SHEARSON DAILY DIVIDEND INC DIV REINVESTED $2.77	$ 2.77			$ 2.77
Total earnings from money funds		$ 2.77	$ 0.00		$ 2.77

SHEARSON
LEHMAN HUTTON

Individual Retirement Account
October 1 - October 30, 1988

Page 4 of 4

***Account number* 685-60527-14 240 ***NICK JOE RAHALL II**

Other income

Date	Description	Comment	Amount
10/21/88	BALCOR EQUITY PEN INV III DISTRIBUTION 13251648891086		$ 31.92
10/21/88	BALCOR EQUITY PENSION INV II DISTRIBUTION 13251648835501		32.00
Total other income			$ 63.92

Money funds details

All transactions are traded at $1.00 per share.

Money funds activity

Date	Activity	Description	Quantity	Amount
	Opening money funds balance			$ 389.84
10/04/88	Investment	SHEARSON DAILY DIVIDEND INC	3.94	3.94
10/24/88	Investment	SHEARSON DAILY DIVIDEND INC	63.92	63.92
		MONEY FUNDS EARNINGS (SEE DETAILS UNDER EARNINGS DETAILS)	2.77	2.77
	Closing money funds balance			$ 460.47

SHEARSON
LEHMAN HUTTON

Individual Retirement Account

Page 1 of 4

October 31 - November 27, 1988

Account number 685-80527-14 240 SS # 236-78-8645

Your Financial Consultant

JAMES A JOSEPH
SHEARSON LEHMAN HUTTON INC.
701 MAIN STREET
SUITE 100
STROUDSBURG PA
717-421-3100

As you requested, copies of this statement have also been sent to:

TERRY SHEPHERD
N JOE RAHALL

******NICK JOE RAHALL II***
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515

Account value

Money funds	$ 466.98
Mutual funds	2,759.45
Unit investment trusts	2,145.28
Limited partnerships	*(see Portfolio details)*
Net value this period*	**$ 5,371.71**
Net value last period*	**$ 6,802.36**

**Does not include unpriced securities*

Earnings summary

		This year	
	This period	Taxable	Non-taxable
Interest	$ 0.00	$ 29.84	$ 7.88
Dividends	3.94	3.94	0.00
Money funds earnings	2.57	18.30	0.00
Total	**$ 6.51**	**$ 52.08**	**$ 7.88**

	This period	This year
Other income	$ 0.00	$ 63.92

SHEARSON
LEHMAN HUTTON

Individual Retirement Account
October 31 - November 27, 1988

*Account number 685-80527-14 240 ***NICK JOE RAHALL II*

Combined cash and money funds balance

	Amounts added	Amounts subtracted	
Combined opening cash and money funds balance			$ 400.47
Dividends credited	3.94		
Money funds earnings credited	2.57		
Total	$ 6.51	$ 0.00	
Combined closing cash and money funds balance			$ 406.98
Money funds			406.98

IRA activity summary

Amounts added to your IRA

The IRS requires Shearson Lehman Hutton to report only the total amount contributed to your IRA during the calendar year. It is your responsibility to determine which contributions, if any, are deductible when you calculate your income tax. The designations shown below are based on information you provided to your Financial Consultant.

Contributions

Description	Deductible portion	Non-deductible portion	Unspecified	Total
Contributions for the current tax year	$ 0.00	$ 0.00	$ 0.00	$ 0.00

Portfolio details

This section shows the holdings in your account. It reflects values as of the close of business on 11/25/88.

Money funds

Description	No. of shares	Market value	Average monthly yield	Comment
SHEARSON DAILY DIVIDEND INC	406.98	$ 406.98	7.60%	Accrued dividends of $ 1.38
Total value of money funds		$ 406.98		

Mutual funds

Description	Number of shares	Price	Market value	Comment
** SHEARSON LEHMAN SPCL EQUITY PORTFOLIOS SECTOR ANALYSIS PORTFOLIO	263.81	$ 10.46	$ 2,759.45	
Total value of mutual funds			$ 2,759.45	

SHEARSON
LEHMAN HUTTON

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Individual Retirement Account
October 31 - November 27, 1988

***Account number* 685-60527-14 240 ***NICK JOE RAHALL II**

Unit investment trusts

Description	Number of units	Market price	Market value	Yield	Comment
UNITS UNCOMMON VALUES UT # 88M SER-FR UNDIV INT	2,495	$ .859	$ 2,145.28		
Total value of unit investment trusts			**$ 2,148.28**		

Limited partnerships

***We provide the following information about limited partnerships as an aid to your financial record keeping. It reflects purchases through Shearson Lehman Hutton or information supplied to us. Subsequent transactions, if any, are not reflected unless done through** Shearson Lehman Hutton. We will continue to show each investment throughout the life of the partnership, unless you request otherwise. Face amounts do not necessarily reflect current market value.*

Description	Face amount	Comment
BALCOR EQUITY PEN INV III	$ 2,000.00	Purchase date 01/28/86
BALCOR EQUITY PENSION INV II	4,000.00	Purchase date 01/10/85
Total limited partnerships	**$ 6,000.00**	

Earnings details

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Dividends

This section includes dividends on all investments except money funds that reinvest dividends automatically.

Date	Description	Taxable	Non-taxable	Comment	Total dividend
11/01/88	UNITS UNCOMMON VALUES UT # 88M SER-FR UNDIV INT CASH DIV ON 2495 SHS REC 10/15/88 PAY 11/01/88	$ 3.94			$ 3.94
Total dividends earned		**$ 3.94**	**$ 0.00**		**$ 3.94**

Money funds earnings

Date	Description	Taxable	Non-taxable	Comment	Amount
11/11/88	SHEARSON DAILY DIVIDEND INC DIV REINVESTED $2.57	$ 2.57			$ 2.57
Total earnings from money funds		**$ 2.57**	**$ 0.00**		**$ 2.57**

SHEARSON
LEHMAN HUTTON

Individual Retirement Account
October 31 - November 27, 1988

*Account number 885-80337-14 240 ***NICK JOE RAHALL II*

Money funds details

All transactions are traded at $1.00 per share.

Money funds activity

Date	Activity	Description	Quantity	Amount
	Opening money funds balance			$ 460.47
11/02/88	Investment	SHEARSON DAILY DIVIDEND INC	3.94	3.94
		MONEY FUNDS EARNINGS (SEE DETAILS UNDER EARNINGS DETAILS)	2.57	2.57
	Closing money funds balance			$ 466.98

SHEARSON
HMAN HUTTON

An American Express company

Client Statemen

688 60827 14 240 08/01/88 TO 08/28/88 PAGE

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. TERRY SHEPHERD
2. N JOE RAHALL

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515

UNT	688 60827 14 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC.
D	234-78-0445	701 MAIN STREET SUITE 100
NCIAL ULTANT	JAMES A JOSEPH 717-421-1100	STROUDSBURG PA 18360

PORTFOLIO INCOME SUMMARY

	CURRENT PERIOD	YEAR TO DATE
SM FUND DIVIDENDS	2.34	10.91
NI FUND DIVIDENDS	.00	.00
VT FUND DIVIDENDS	.00	.00
VIDENDS	.00	.00
XABLE INTEREST	3.73	29.84
N-TAXABLE INTEREST	.00	.00
HER INCOME	.00	.00
TOTAL	6.07	40.75

MONTH'S AVERAGE BORROWING RATE: 10.00% TO 11.80%.

ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE (CASH PLUS MONEY FUNDS)		377.78
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		6.07
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		383.85

MARKET VALUE	5,275	SHORT BALANCE	.00
EQUITY	5,275	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

A STATEMENT THAT'S NEVER BEEN MADE BEFORE

WE HAVE CREATED A BROKERAGE STATEMENT THAT IS SERIOUS ABOUT GIVING YOU CLEAR, CONCISE INFORMATION, ONE THAT ANSWERS MORE QUESTIONS THAN IT RAISES.

OUR NEW STATEMENT IS BUT ONE EXAMPLE OF OUR COMMITMENT TO QUALITY SERVICE. LOOK FOR OTHER EXAMPLES IN THE MONTHS TO COME. BE SURE TO READ THE ENCLOSED NEWSLETTER.

SHEARSON
HMAN HUTTON

An American Express company

Client Statemen

***NICK JOE RAHALL II   685 60527 14 240   08/01/88 TO 08/28/88 PAGE

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
UNITS	40.7	2,146	58	OTHER POSITIONS	52.1	2,746
MONEY FUNDS	7.3	383				

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/86	BALCOR EQUITY PEN INV III	2,000
01/10/85	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

SHEARSON
HMAN HUTTON
An American Express company

Client Statemen

***NICK JOE RAHALL II   685 60527 14 240   08/01/88 TO 08/26/88   PAGE

INDUSTRY CLASSIFICATION

E	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
	UNITS	40.7	2,145	SB	OTHER POSITIONS	52.1	2,746
	MONEY FUNDS	7.3	385				

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/86	BALCOR EQUITY PEN INV III	2,000
01/10/85	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

SHEARSON
HMAN HUTTON

An American Express company

Client Statemen

***NICK JOE RAHALL II   685 60527 14 240   08/01/88 TO 08/26/88 PAGE

I R A

DESCRIPTION	AMOUNT
DATE ACCOUNT HAS OPENED: 03/22/83	
CONTRIBUTIONS:	
CALENDAR YEAR 1987 -	
FROM 01/01/87 THRU 12/31/87 YOUR TOTAL CONTRIBUTIONS WERE:	2,000.00
YOU DESIGNATED THESE AS FOLLOWS:	
CONTRIBUTIONS FOR TAX YEAR 1986:	2,000.00
CONTRIBUTIONS FOR TAX YEAR 1987: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
CALENDAR YEAR 1988 -	
FROM 01/01/88 THRU THE PRESENT YOUR TOTAL CONTRIBUTIONS WERE:	0.00
YOU DESIGNATED THESE AS FOLLOWS:	
CONTRIBUTIONS FOR TAX YEAR 1987: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
CONTRIBUTIONS FOR TAX YEAR 1988: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
FROM 01/01/88 THRU THE PRESENT YOUR ROLLOVER CONTRIBUTIONS WERE:	0.00
FROM 01/01/88 THRU THE PRESENT TRANSFERS FROM OTHER INSTITUTIONS WERE:	0.00
DISTRIBUTIONS:	
OUR RECORDS INDICATE THAT NO DISTRIBUTIONS WERE TAKEN FROM 01/01/87 TO PRESENT.	

HEARSON IS REQUIRED TO REPORT TO THE IRS ONLY THE TOTAL AMOUNT CONTRIBUTED TO THE IRA DURING THE CALENDAR YEAR. IT IS YOUR ESPONSIBILITY TO DESIGNATE THE DEDUCTIBILITY OF THESE CONTRIBUTIONS WHEN YOU COMPUTE YOUR TAX RETURNS. AS A SERVICE, HEARSON WILL DISPLAY INFORMATION THAT YOU PROVIDE YOUR FINANCIAL CONSULTANT AS TO WHETHER YOUR IRA CONTRIBUTIONS ARE EDUCTIBLE.

SHEARSON
EHMAN HUTTON
An American Express company

Client Statemer

685 60527 14 240

06/27/88 TO 07/31/88 PAGE

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. TERRY SHEPHERD
2. N JOE RAHALL

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515

OUNT	685 60527 14 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
ID	234-78-6645	
ANCIAL SULTANT	JAMES A JOSEPH 717-421-3100	

ACTIVITY SUMMARY

	DEBIT	CREDI
COMBINED OPENING BALANCE (CASH PLUS MONEY FUNDS)		307.6
PORTFOLIO PURCHASES	2,469.58	
PORTFOLIO SALES		2,470.4
PORTFOLIO INCOME		5.3
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	63.9
CASH WITHDRAWN	.00	.0
OVERDRAFT LOAN ACTIVITY		.0
OTHER ACTIVITY		.0
COMBINED CLOSING BALANCE		377.7

MARKET VALUE	5,743	SHORT BALANCE	.00
EQUITY	5,743	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

PORTFOLIO INCOME SUMMARY

	CURRENT PERIOD	YEAR TO DATE
ASH FUND DIVIDENDS	1.63	8.57
UNI FUND DIVIDENDS	.00	.00
OVT FUND DIVIDENDS	.00	.00
IVIDENDS	.00	.00
AXABLE INTEREST	3.73	26.11
ON-TAXABLE INTEREST	.00	.00
THER INCOME	.00	.00
TOTAL	5.36	34.68

MONTH'S AVERAGE BORROWING RATE: 9.88% TO 11.00%.

STRATEGY... IT SETS THE SERIOUS INVESTOR APART. IF YOU HAVE NOT EVALUATED YOUR RETIREMENT ACCOUNT IN THE PAST SIX MONTHS, YOU SHOULD DO SO NOW.

SUGGESTED STRATEGY: POSITION YOUR PORTFOLIO TO MAXIMIZE THE RETURN ON YOUR INVESTMENT DOLLARS. CONSULT YOUR FC TO DISCUSS YOUR INVESTMENT STRATEGY IN LIGHT OF CURRENT MARKET CONDITIONS.

IF YOU ARE ABOUT TO RECEIVE A LUMP SUM DISTRIBUTION, THE ENCLOSED STRATEGY NEWSLETTER IS ESPECIALLY IMPORTANT.

SHEARSON
:HMAN HUTTON

An American Express company

Client Statemer

****NICK JOE RAHALL II 685 60527 14 240 06/27/88 TO 07/31/88 PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	 SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	 MONEY FUN
			***** OPENING BALANCES ****			.00	307.67
07/01/88			UNITS UNCOMMON VALUES UT TR887 SER-FR UNDIVIDED INT REG INT ON 2867 UNITS REC 06/15/88 PAY 07/01/88	CORP. INT	3.73CR	3.73CR	
07/05/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	3.73	.00	
07/08/88			SHEARSON DAILY DIVIDEND INC DIV REINVESTED 01.63	DIVIDEND	 1.63CR	.00	
07/20/88			BALCOR EQUITY PEN INV III DISTRIBUTION 13251046601068	CHECK	31.90CR	31.90CR	
07/20/88			BALCOR EQUITY PENSION INV II DISTRIBUTION 13251046635891	CHECK	32.00CR	63.90CR	
07/21/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	63.90	.00	
07/25/88	2495		UNITS UNCOMMON VALUES UT # 88M SER-FR UNDIV INT ROLLOVER FULL PRICE IS .98981000	9898	2,469.58	2,469.58	
07/25/88		2867	UNITS UNCOMMON VALUES UT TR887 SER-FR UNDIVIDED INT ROLLOVER FULL PRICE IS .86167000	8616	2,470.41CR	.83CR	
07/26/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	.83	.00	
			**** CLOSING BALANCES ****			.00	377.78

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	 %	ANNUAL DIV/INT	IND. INCOME INCOME	 % YLD	S&P RTG	IND EARNING PER SHR	 P/
2,495	UNITS UNCOMMON VALUES UT # 88M SER-FR UNDIV INT	1.00	2,495	77	43.4	.00	.00	.0		.00	
	SUB TOTAL		2,495		43.4		.00				
	*** MONEY FUND POSITIONS ***										
377	SHEARSON DAILY DIVIDEND INC.	1.00	377	SA	6.6	.00	.00	.0		.00	

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An American Express company

Client Statemer

***NICK JOE RAHALL II   685 60527 14 240   06/27/88 TO 07/31/88 PAGE

PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNING PER SHR	P/
	INCLUDES ACCRUED DIVIDENDS FROM 06/13/88 THRU 07/10/88 AVG YIELD FOR PERIOD 6.73%										
	MONEY FUND TOTAL		377		6.6		.00				
	*** OTHER POSITIONS ***										
263	SHEARSON LEHMAN SPECIAL EQUITY PORTFOLIOS SECTOR ANALYSIS	10.88	2,870	SB	50.0	.00	.00	.0		.00	
	OTHER POSITIONS TOTAL		2,870		50.0		.00				
	TOTALS		5,743		100.0		.00				

BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

INDUSTRY CLASSIFICATION

DE	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
7	UNITS	43.4	2,495	SB	OTHER POSITIONS	50.0	2,870
4	MONEY FUNDS	6.6	377				

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/84	BALCOR EQUITY PEN INV III	2,000
01/10/86	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

SHEARSON
EHMAN HUTTON
An American Express company

Client Statemer

[illegible] JOE RAMALL II   685 40527 14 240   04/27/88 TO 07/31/88   PAGE

I R A

DESCRIPTION	AMOUNT
DATE ACCOUNT WAS OPENED: [illegible]	
CONTRIBUTIONS:	
CALENDAR YEAR 1987 -	
FROM 01/01/87 THRU 12/31/87 YOUR TOTAL CONTRIBUTIONS WERE:	2,000.00
YOU DESIGNATED THESE AS FOLLOWS:	
CONTRIBUTIONS FOR TAX YEAR 1986:	2,000.00
CONTRIBUTIONS FOR TAX YEAR 1987: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
CALENDAR YEAR 1988 -	
FROM 01/01/88 THRU THE PRESENT YOUR TOTAL CONTRIBUTIONS WERE:	0.00
YOU DESIGNATED THESE AS FOLLOWS:	
CONTRIBUTIONS FOR TAX YEAR 1987: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
CONTRIBUTIONS FOR TAX YEAR 1988: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
FROM 01/01/88 THRU THE PRESENT YOUR ROLLOVER CONTRIBUTIONS WERE:	0.00
FROM 01/01/88 THRU THE PRESENT TRANSFERS FROM OTHER INSTITUTIONS WERE:	0.00
DISTRIBUTIONS:	
OUR RECORDS INDICATE THAT NO DISTRIBUTIONS WERE TAKEN FROM 01/01/87 TO PRESENT.	

SHEARSON IS REQUIRED TO REPORT TO THE IRS ONLY THE TOTAL AMOUNT CONTRIBUTED TO THE IRA DURING THE CALENDAR YEAR. IT IS YOUR RESPONSIBILITY TO DESIGNATE THE DEDUCTIBILITY OF THESE CONTRIBUTIONS WHEN YOU COMPUTE YOUR TAX RETURNS. AS A SERVICE, SHEARSON WILL DISPLAY INFORMATION THAT YOU PROVIDE YOUR FINANCIAL CONSULTANT AS TO WHETHER YOUR IRA CONTRIBUTIONS ARE DEDUCTIBLE.

SHEARSON
HMAN HUTTON

An American Express company

Client Statemen

685 60527 14 240

05/31/88 TO 06/26/88 PAGE

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. TERRY SHEPHERD
2. N JOE RAHALL

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515

UNT	685 60527 14 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
D	234-76-8443	
NCIAL ULTANT	JAMES A JOSEPH 717-421-3100	

P O R T F O L I O I N C O M E S U M M A R Y	CURRENT PERIOD	YEAR TO DATE
SH FUND DIVIDENDS	1.89	6.94
NI FUND DIVIDENDS	.00	.00
VT FUND DIVIDENDS	.00	.00
VIDENDS	.00	.00
XABLE INTEREST	3.73	22.38
N-TAXABLE INTEREST	.00	.00
HER INCOME	.00	.00
TOTAL	5.62	29.32

MONTH'S AVERAGE BORROWING RATE: 9.25% TO 10.75%.

A C T I V I T Y S U M M A R Y	DEBIT	CREDIT
COMBINED OPENING BALANCE (CASH PLUS MONEY FUNDS)		327.05
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		5.62
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	25.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		307.67

MARKET VALUE	5,667	SHORT BALANCE	.00
EQUITY	5,667	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

TO MAXIMIZE THE EARNING POWER OF YOUR RETIREMENT ACCOUNT,
THE IRS PERMITS YOU TO REIMBURSE YOUR ACCOUNT FOR THE ANNUAL CUSTODIAL FEE.

ON JUNE 17, ALL IRA, KEOGH AND SEP ACCOUNTS WERE DEBITED FOR THE 1988 CUSTODIAL FEE.
TO TAKE ADVANTAGE OF THIS BENEFICIAL IRS RULE, PLEASE SEND A CHECK FOR THE EXACT AMOUNT OF THE FEE TO YOUR FINANCIAL CONSULTANT.
YOUR CHECK SHOULD BE MADE PAYABLE TO SHEARSON LEHMAN HUTTON. PLEASE INCLUDE YOUR ACCOUNT NUMBER AND THE WORDS "1988 CUSTODIAL FEE."

(HIS/IRA ACCOUNTS --HUTTON MUTUAL FUNDS ONLY-- WERE DEBITED IN NOVEMBER 1987 AND WILL BE BILLED SEPARATELY IN NOVEMBER 1988.)

SHEARSON
HMAN HUTTON

An American Express company

Client Statemen

***NICK JOE RAHALL II   685 60527 14 240   05/31/88 TO 06/26/88   PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES MONEY FUND
			**** OPENING BALANCES ****			.00	327.05
06/01/88			UNITS UNCOMMON VALUES UT TR887 SER-FR UNDIVIDED INT REG INT ON 2867 UNITS REC 05/15/88 PAY 06/01/88	CORP. INT	3.73CR	3.73CR	
./02/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	3.73	.00	
06/10/88			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $1.89	DIVIDEND	1.89CR	.00	
06/17/88			1988 CUSTODIAL FEE	FEE	25.00	25.00	
06/17/88			* SHEARSON DAILY DIVIDEND INC	REDEMPTION	25.00CR	.00	
			**** CLOSING BALANCES ****			.00	307.67

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/E
2,867	UNITS UNCOMMON VALUES UT TR887 SER-FR UNDIVIDED INT	.85	2,436	77	43.0	.00	.00	.0		.00	
	SUB TOTAL		2,436		43.0		.00				
307	*** MONEY FUND POSITIONS *** SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 05/12/88 THRU 06/12/88 AVG YIELD FOR PERIOD 6.52%	1.00	307	SA	5.4	.00	.00	.0		.00	
	MONEY FUND TOTAL		307		5.4		.00				
263	*** OTHER FUND POSITIONS *** SHEARSON LEHMAN SPECIAL EQUITY PORTFOLIOS SECTOR ANALYSIS	11.08	2,923	SB	51.6	.00	.00	.0		.00	
	OTHER FUND TOTAL		2,923		51.6		.00				
	TOTALS		5,667		100.0		.00				

BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

SHEARSON
HMAN HUTTON

An American Express company

Client Statemen

***NICK JOE RAHALL II 485 60827 14 240 05/31/88 TO 06/24/88 PAGE

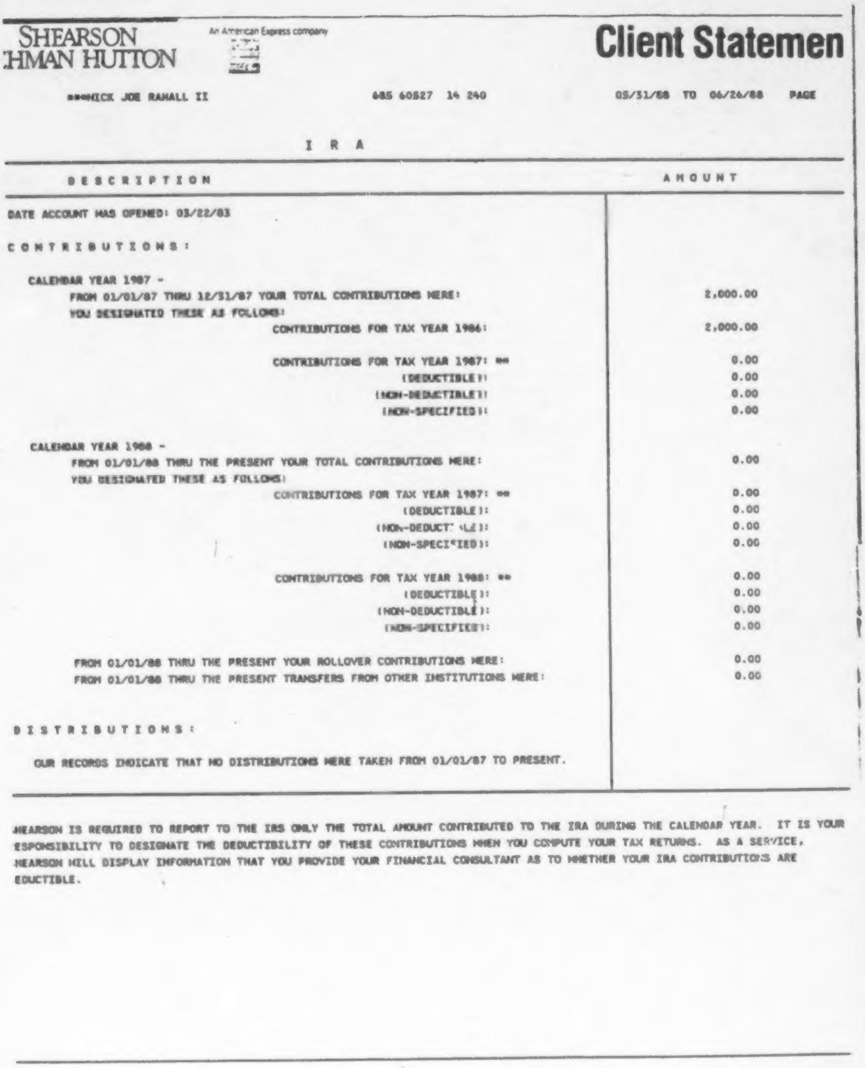
INDUSTRY CLASSIFICATION

E	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
	UNITS	43.0	2,436	SB	OTHER FUNDS	51.6	2,923
	MONEY FUNDS	5.4	307				

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/86	BALCOR EQUITY PEN INV III	2,000
01/10/85	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000



SHEARSON
HMAN HUTTON

An American Express company

Client Statemen

***NICK JOE RAHALL II   685 60527 14 240   05/31/88 TO 06/26/88   PAGE

I R A

DESCRIPTION	AMOUNT
DATE ACCOUNT WAS OPENED: 03/22/83	
CONTRIBUTIONS:	
CALENDAR YEAR 1987 -	
FROM 01/01/87 THRU 12/31/87 YOUR TOTAL CONTRIBUTIONS WERE:	2,000.00
YOU DESIGNATED THESE AS FOLLOWS:	
CONTRIBUTIONS FOR TAX YEAR 1986:	2,000.00
CONTRIBUTIONS FOR TAX YEAR 1987: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
CALENDAR YEAR 1988 -	
FROM 01/01/88 THRU THE PRESENT YOUR TOTAL CONTRIBUTIONS WERE:	0.00
YOU DESIGNATED THESE AS FOLLOWS:	
CONTRIBUTIONS FOR TAX YEAR 1987: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
CONTRIBUTIONS FOR TAX YEAR 1988: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
FROM 01/01/88 THRU THE PRESENT YOUR ROLLOVER CONTRIBUTIONS WERE:	0.00
FROM 01/01/88 THRU THE PRESENT TRANSFERS FROM OTHER INSTITUTIONS WERE:	0.00
DISTRIBUTIONS:	
OUR RECORDS INDICATE THAT NO DISTRIBUTIONS WERE TAKEN FROM 01/01/87 TO PRESENT.	

HEARSON IS REQUIRED TO REPORT TO THE IRS ONLY THE TOTAL AMOUNT CONTRIBUTED TO THE IRA DURING THE CALENDAR YEAR. IT IS YOUR ESPONSIBILITY TO DESIGNATE THE DEDUCTIBILITY OF THESE CONTRIBUTIONS WHEN YOU COMPUTE YOUR TAX RETURNS. AS A SERVICE, HEARSON WILL DISPLAY INFORMATION THAT YOU PROVIDE YOUR FINANCIAL CONSULTANT AS TO WHETHER YOUR IRA CONTRIBUTIONS ARE EDUCTIBLE.

SHEARSON
HMAN HUTTON

An American Express company

Client Statemen

605 60527 14 240

05/01/88 TO 05/31/88 PAGE

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. TERRY SHEPHERD
2. N JOE RAHALL

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515

UNT	605 60527 14 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
D	236-70-0446	
NCIAL SULTANT	JAMES A JOSEPH 717-421-3100	

P O R T F O L I O   I N C O M E   S U M M A R Y

	CURRENT PERIOD	YEAR TO DATE
SH FUND DIVIDENDS	1.59	5.05
NI FUND DIVIDENDS	.00	.00
VT FUND DIVIDENDS	.00	.00
VIDENDS	.00	.00
XABLE INTEREST	3.73	18.65
N-TAXABLE INTEREST	.00	.00
HER INCOME	.00	.00
TOTAL	5.32	23.70

MONTH'S AVERAGE BORROWING RATE: 9.00% TO 10.50%.

A C T I V I T Y   S U M M A R Y

	DEBIT	CREDIT
COMBINED OPENING BALANCE (CASH PLUS MONEY FUNDS)		264.2
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.0
PORTFOLIO INCOME		5.3
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	57.5
CASH WITHDRAWN	.00	.0
OVERDRAFT LOAN ACTIVITY		.0
OTHER ACTIVITY		.0
COMBINED CLOSING BALANCE		327.0

MARKET VALUE	5,569	SHORT BALANCE	.00
EQUITY	5,569	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

TO MAXIMIZE THE EARNING POWER OF YOUR RETIREMENT ACCOUNT, THE IRS PERMITS YOU TO REIMBURSE YOUR ACCOUNT FOR THE ANNUAL CUSTODIAL FEE.

THE 1988 CUSTODIAL FEE FOR ALL IRA/KEOGH AND SEP ACCOUNTS WILL BE DEBITED ON JUNE 17. TO TAKE ADVANTAGE OF THIS BENEFICIAL IRS RULE, PLEASE SEND A CHECK FOR THE EXACT AMOUNT OF YOUR FEE TO YOUR FINANCIAL CONSULTANT.

YOUR CHECK SHOULD BE MADE PAYABLE TO SHEARSON LEHMAN HUTTON AND INCLUDE YOUR ACCOUNT NUMBER AND THE WORDS "1988 CUSTODIAL FEE."

(HIS/IRA ACCOUNTS - MUTUAL FUNDS ONLY - WERE DEBITED IN NOVEMBER 1987 AND WILL BE BILLED SEPARATELY IN NOVEMBER 1988.)

SHEARSON
HMAN HUTTON

An American Express company

Client Statemen

****NICK JOE RAHALL II   685 60527 14 240   05/01/88 TO 05/30/88   PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES MONEY FUND
			**** OPENING BALANCES ****			.00	264.21
05/02/88			BALCOR EQUITY PENSION INV II DISTRIBUTION 13251044430891	CHECK	32.00CR	32.00CR	
05/03/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	32.00	.00	
05/03/88			UNITS UNCOMMON VALUES UT TR887 SER-FR UNDIVIDED INT REG INT ON 2867 UNITS REC 04/18/88 PAY 05/01/88	CORP. INT	3.73CR	3.73CR	
05/04/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	3.73	.00	
05/05/88			BALCOR EQUITY PEN INV III DISTRIBUTION 13251044681868	CHECK	25.52CR	25.52CR	
05/06/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	25.52	.00	
05/11/88			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $1.59	DIVIDEND	1.59CR	.00	
			**** CLOSING BALANCES ****			.00	327.05

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/E
2,867	UNITS UNCOMMON VALUES UT TR887 SER-FR UNDIVIDED INT	.81	2,322	77	41.7	.00	.00	.0		.00	
	SUB TOTAL		2,322		41.7		.00				
	*** MONEY FUND POSITIONS ***										
327	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 04/07/88 THRU 05/11/88 AVG YIELD FOR PERIOD 6.23%	1.00	327	SA	5.9	.00	.00	.0		.00	
	MONEY FUND TOTAL		327		5.9		.00				
	*** OTHER FUND POSITIONS ***										
263	SHEARSON LEHMAN SPECIAL EQUITY PORTFOLIOS SECTOR ANALYSIS	11.07	2,920	38	52.4	.00	.00	.0		.00	

SHEARSON
HMAN HUTTON

An American Express company

Client Statemen

NICK JOE RAHALL II   605 60527 14 240   05/01/88 TO 05/30/88 PAGE

PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNING PER SHR	P/E
	OTHER FUND TOTAL		2,920		52.4		.00				
	TOTALS		5,569		100.0		.00				

BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

INDUSTRY CLASSIFICATION

E	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
	UNITS	41.7	2,322	58	OTHER FUNDS	52.4	2,920
	MONEY FUNDS	5.9	327				

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/84	BALCOR EQUITY PEN INV III	2,000
01/10/86	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

SHEARSON
HMAN HUTTON

An American Express company

Client Statemen

[illegible] JOE RANALL II   605 60527 14 240   05/01/88 TO 05/30/88 PAGE

I R A

DESCRIPTION	AMOUNT
DATE ACCOUNT WAS OPENED: 05/22/83	
CONTRIBUTIONS:	
CALENDAR YEAR 1987 -	
FROM 01/01/87 THRU 12/31/87 YOUR TOTAL CONTRIBUTIONS WERE:	2,000.00
YOU DESIGNATED THESE AS FOLLOWS:	
CONTRIBUTIONS FOR TAX YEAR 1986:	2,000.00
CONTRIBUTIONS FOR TAX YEAR 1987: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
CALENDAR YEAR 1988 -	
FROM 01/01/88 THRU THE PRESENT YOUR TOTAL CONTRIBUTIONS WERE:	0.00
YOU DESIGNATED THESE AS FOLLOWS:	
CONTRIBUTIONS FOR TAX YEAR 1987: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
CONTRIBUTIONS FOR TAX YEAR 1988: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
FROM 01/01/88 THRU THE PRESENT YOUR ROLLOVER CONTRIBUTIONS WERE:	0.00
FROM 01/01/88 THRU THE PRESENT TRANSFERS FROM OTHER INSTITUTIONS WERE:	0.00
DISTRIBUTIONS:	
OUR RECORDS INDICATE THAT NO DISTRIBUTIONS WERE TAKEN FROM 01/01/87 TO PRESENT.	

HEARSON IS REQUIRED TO REPORT TO THE IRS ONLY THE TOTAL AMOUNT CONTRIBUTED TO THE IRA DURING THE CALENDAR YEAR. IT IS YOUR ESPONSIBILITY TO DESIGNATE THE DEDUCTIBILITY OF THESE CONTRIBUTIONS WHEN YOU COMPUTE YOUR TAX RETURNS. AS A SERVICE, HEARSON WILL DISPLAY INFORMATION THAT YOU PROVIDE YOUR FINANCIAL CONSULTANT AS TO WHETHER YOUR IRA CONTRIBUTIONS ARE EDUCTIBLE.

SHEARSON
HMAN HUTTON

An American Express company

Client Statemen

685 60527 14 240

03/28/88 TO 04/30/88 PAGE 1

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. TERRY SHEPHERD
2. N JOE RAHALL

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515

UNT	685 60527 14 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC.
}	236-70-0645	701 MAIN STREET SUITE 100
CIAL LTANT	JAMES A JOSEPH 717-421-3100	STROUDSBURG PA 18360

PORTFOLIO INCOME SUMMARY		
	CURRENT PERIOD	YEAR TO DATE
IN FUND DIVIDENDS	1.00	3.46
EX FUND DIVIDENDS	.00	.00
/T FUND DIVIDENDS	.00	.00
/IDENDS	.00	.00
(ABLE INTEREST	3.73	14.92
I-TAXABLE INTEREST	.00	.00
IER INCOME	.00	.00
TOTAL	4.73	18.38

NTH'S AVERAGE BORROWING RATE: 8.75% TO 10.25%.

ACTIVITY SUMMARY		
	DEBIT	CREDIT
COMBINED OPENING BALANCE (CASH PLUS MONEY FUNDS)		259.48
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		4.73
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		264.21

MARKET VALUE	5,616	SHORT BALANCE	.00
EQUITY	5,616	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

STRATEGY. IT SETS THE SERIOUS INVESTOR APART. IF YOU HAVE NOT EVALUATED YOUR IRA OR KEOGH ACCOUNT IN THE PAST SIX MONTHS, YOU SHOULD DO SO NOW.

SUGGESTED STRATEGY: POSITION YOUR PORTFOLIO TO TAKE ADVANTAGE OF CURRENT MARKET CONDITIONS. WITH TODAY'S MONEY MARKET RATES AROUND 6%, YOU SHOULD EXPLORE OTHER INVESTMENTS TO MAXIMIZE THE RETURN ON YOUR RETIREMENT DOLLARS. READ THE ENCLOSED "STRATEGY SUPPLEMENT" FOR DETAILS, AND CONSULT YOUR FC TO DISCUSS YOUR RETIREMENT INVESTMENT STRATEGY.

SHEARSON
HMAN HUTTON

An American Express company

Client Statemen

****NICK JOE RAHALL II   688 60527 14 240   03/28/88 TO 04/30/88   PAGE 2

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	 SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	 MONEY FUND
			**** OPENING BALANCES ****			.00	259.48
4/05/88			UNITS UNCOMMON VALUES UT TR887 SER-FR UNDIVIDED INT REG INT ON 2867 UNITS REC 03/15/88 PAY 04/01/88	CORP. INT	3.73CR	3.73CR	
4/06/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	3.73	.00	
4/06/88			SHEARSON DAILY DIVIDEND INC	DIVIDEND		.00	
			DIV REINVESTED $1.00		1.00CR		
			**** CLOSING BALANCES ****			.00	264.21

PORTFOLIO ANAL[illegible]IS

				POR[illegible]		[illegible]NUAL	IND. INCOME		S&P	IND EARNINGS	
QUANTITY	DESCRIPTION	PRICE	VALUE	CD	%	DIV/INT	INCOME	% YLD	RTG	PER SHR	P/E
2,867	UNITS UNCOMMON VALUES UT TR887 SER-FR UNDIVIDED INT	.84	2,408	77	42.9	.00	.00	.0		.00	
	SUB TOTAL		2,408		42.9		.00				
264	*** MONEY FUND POSITIONS *** SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 03/14/88 THRU 04/06/88 AVG YIELD FOR PERIOD 6.21%	1.00	264	SA	4.7	.00	.00	.0		.00	
	MONEY FUND TOTAL		264		4.7		.00				
263	*** OTHER FUND POSITIONS *** SHEARSON LEHMAN SPECIAL EQUITY PORTFOLIOS SECTOR ANALYSIS	11.16	2,944	SB	52.4	.00	.00	.0		.00	
	OTHER FUND TOTAL		2,944		52.4		.00				
	TOTALS		5,616		100.0		.00				

BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

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Client Statement

***NICK JOE RAHALL II   686 60527 14 240   03/28/88 TO 04/30/88   PAGE 3

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
UNITS	42.9	2,408	55	OTHER FUNDS	52.4	2,944
MONEY FUNDS	4.7	264				

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/86	BALCOR EQUITY PEN INV III	2,000
01/10/88	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

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***NICK JOE RAHALL II   686 60527 14 240   03/28/88 TO 04/30/88   PAGE 4

IRA

DESCRIPTION	AMOUNT
ATE ACCOUNT WAS OPENED: 03/22/83	
ONTRIBUTIONS:	
CALENDAR YEAR 1987 -	
FROM 01/01/87 THRU 12/31/87 YOUR TOTAL CONTRIBUTIONS WERE:	2,000.00
YOU DESIGNATED THESE AS FOLLOWS:	
CONTRIBUTIONS FOR TAX YEAR 1986:	2,000.00
CONTRIBUTIONS FOR TAX YEAR 1987: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
CALENDAR YEAR 1988 -	
FROM 01/01/88 THRU THE PRESENT YOUR TOTAL CONTRIBUTIONS WERE:	0.00
YOU DESIGNATED THESE AS FOLLOWS:	
CONTRIBUTIONS FOR TAX YEAR 1987: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
CONTRIBUTIONS FOR TAX YEAR 1988: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
FROM 01/01/88 THRU THE PRESENT YOUR ROLLOVER CONTRIBUTIONS WERE:	0.00
FROM 01/01/88 THRU THE PRESENT TRANSFERS FROM OTHER INSTITUTIONS WERE:	0.00
ISTRIBUTIONS:	
OUR RECORDS INDICATE THAT NO DISTRIBUTIONS WERE TAKEN FROM 01/01/87 TO PRESENT.	

EARSON IS REQUIRED TO REPORT TO THE IRS ONLY THE TOTAL AMOUNT CONTRIBUTED TO THE IRA DURING THE CALENDAR YEAR. IT IS YOUR SPONSIBILITY TO DESIGNATE THE DEDUCTIBILITY OF THESE CONTRIBUTIONS WHEN YOU COMPUTE YOUR TAX RETURNS. AS A SERVICE, EARSON WILL DISPLAY INFORMATION THAT YOU PROVIDE YOUR FINANCIAL CONSULTANT AS TO WHETHER YOUR IRA CONTRIBUTIONS ARE DUCTIBLE.

SHEARSON
HMAN HUTTON

An American Express company

Client Statemen

685 60327 14 240 02/29/88 TO 03/27/88 PAGE

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. TERRY SHEPHERD
2. N JOE RAHALL

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515

UNT	685 60327 14 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
D	234-78-8648	
NCIAL SULTANT	JAMES A JOSEPH 717-421-3100	

P O R T F O L I O   I N C O M E   S U M M A R Y

	CURRENT PERIOD	YEAR TO DATE
EY FUND DIVIDENDS	1.11	2.46
NI FUND DIVIDENDS	.00	.00
VT FUND DIVIDENDS	.00	.00
VIDENDS	.00	.00
XABLE INTEREST	3.73	11.19
N-TAXABLE INTEREST	.00	.00
HER INCOME	.00	.00
TOTAL	4.84	13.65

MONTH'S AVERAGE BORROWING RATE: 8.88% TO 10.00%.

A C T I V I T Y   S U M M A R Y

	DEBIT	CREDI
COMBINED OPENING BALANCE (CASH PLUS MONEY FUNDS)		254.6
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.0
PORTFOLIO INCOME		4.8
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.0
CASH WITHDRAWN	.00	.0
OVERDRAFT LOAN ACTIVITY		.0
OTHER ACTIVITY		.0
COMBINED CLOSING BALANCE		259.4

MARKET VALUE	3,683	SHORT BALANCE	.00
EQUITY	3,683	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

ONE OF THE MANY BENEFITS OF FUNDING AN IRA IS...

IN ADDITION TO TAX-DEFERRED COMPOUNDING OF YOUR EARNINGS,
YOU MAY BE ELIGIBLE FOR A FULL OR PARTIAL DEDUCTION
OF YOUR IRA CONTRIBUTION.

AND TIME IS RUNNING SHORT - DON'T MISS THE APRIL 15 DEADLINE TO FUND YOUR IRA. NO EXTENSIONS ARE ALLOWED.

ASK YOUR FINANCIAL CONSULTANT FOR MORE INFORMATION AND A COPY OF OUR BOOKLET, "THE IRA THAT OFFERS YOU MORE."

SHEARSON
HMAN HUTTON

Client Statemen

***NICK JOE RAHALL II 605 60527 14 240 02/29/88 TO 03/27/88 PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES MONEY FUN
			**** OPENING BALANCES ****			.00	254.64
03/11/88			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $1.11	DIVIDEND	1.11CR	.00	
03/23/88			UNITS UNCOMMON VALUES UT TR887 SER-FR UNDIVIDED INT REG INT ON 2867 UNITS REC 02/28/88 PAY 03/01/88	CORP. INT	3.73CR	3.73CR	
03/24/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	3.73	.00	
			**** CLOSING BALANCES ****			.00	259.48

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/E
2,867	UNITS UNCOMMON VALUES UT TR887 SER-FR UNDIVIDED INT	.84	2,408	77	42.4	.00	.00	.0		.00	
	SUB TOTAL		2,408		42.4		.00				
259	*** MONEY FUND POSITIONS *** SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 02/16/88 THRU 03/13/88 AVG YIELD FOR PERIOD 6.09%	1.00	259	SA	4.6	.00	.00	.0		.00	
	MONEY FUND TOTAL		259		4.6		.00				
263	*** OTHER FUND POSITIONS *** SHEARSON LEHMAN SPECIAL EQUITY PORTFOLIOS SECTOR ANALYSES	11.43	3,015	58	53.1	.00	.00	.0		.00	
	OTHER FUND TOTAL		3,015		53.1		.00				
	TOTALS		5,683		100.0		.00				

BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

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Client Statemer

****NICK JOE RAHALL II 405 40527 14 240 02/29/88 TO 03/27/88 PAGE

INDUSTRY CLASSIFICATION

DE	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
7	UNITS	42.4	2,408	58	OTHER FUNDS	53.1	3,018
1	MONEY FUNDS	4.6	259				

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/86	BALCOR EQUITY PEN INV III	2,000
01/10/88	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

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An American Express company

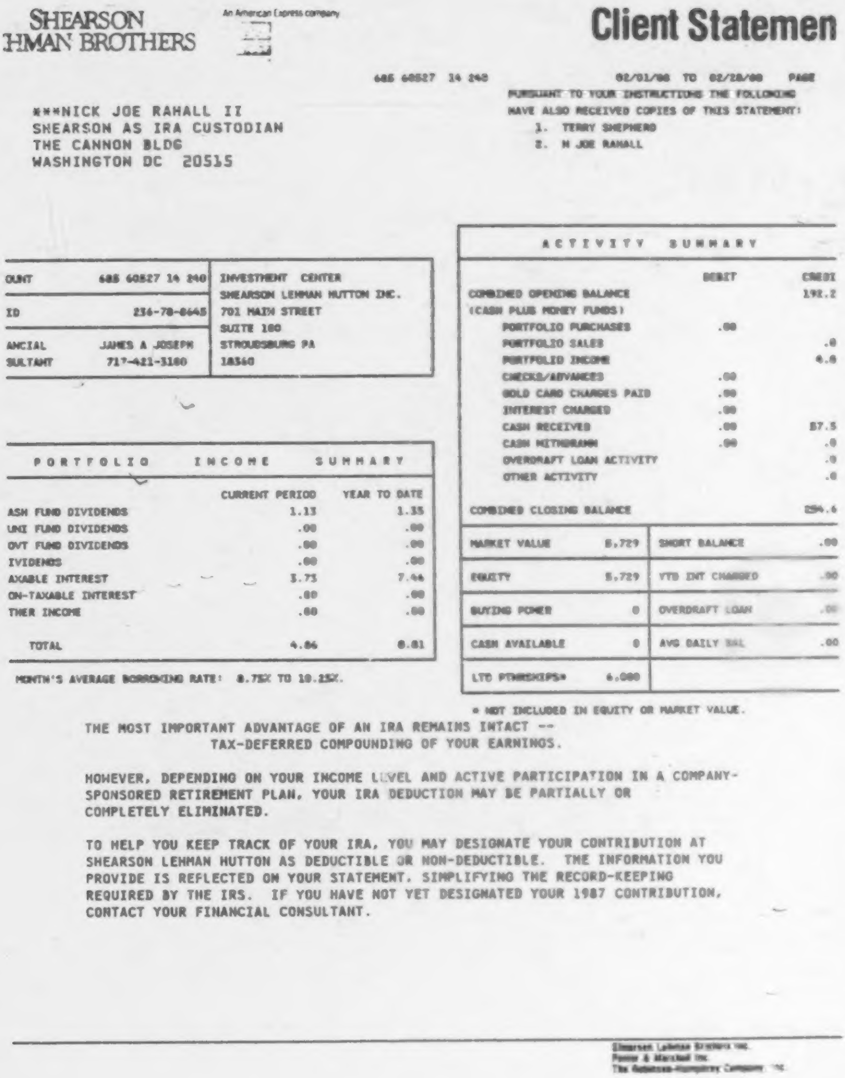
Client Statemen

[illegible]ICK JOE RAHALL II   685 60527 14 240   02/29/88 TO 03/27/88   PAGE

IRA

DESCRIPTION	AMOUNT
DATE ACCOUNT WAS OPENED: 03/22/83	
CONTRIBUTIONS:	
CALENDAR YEAR 1987 -	
FROM 01/01/87 THRU 12/31/87 YOUR TOTAL CONTRIBUTIONS WERE:	2,000.00
YOU DESIGNATED THESE AS FOLLOWS:	
CONTRIBUTIONS FOR TAX YEAR 1986:	2,000.00
CONTRIBUTIONS FOR TAX YEAR 1987: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
CALENDAR YEAR 1988 -	
FROM 01/01/88 THRU THE PRESENT YOUR TOTAL CONTRIBUTIONS WERE:	0.00
YOU DESIGNATED THESE AS FOLLOWS:	
CONTRIBUTIONS FOR TAX YEAR 1987: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
CONTRIBUTIONS FOR TAX YEAR 1988: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
FROM 01/01/88 THRU THE PRESENT YOUR ROLLOVER CONTRIBUTIONS WERE:	0.00
FROM 01/01/88 THRU THE PRESENT TRANSFERS FROM OTHER INSTITUTIONS WERE:	0.00
DISTRIBUTIONS:	
OUR RECORDS INDICATE THAT NO DISTRIBUTIONS WERE TAKEN FROM 01/01/87 TO PRESENT.	

HEARSON IS REQUIRED TO REPORT TO THE IRS ONLY THE TOTAL AMOUNT CONTRIBUTED TO THE IRA DURING THE CALENDAR YEAR. IT IS YOUR ESPONSIBILITY TO DESIGNATE THE DEDUCTIBILITY OF THESE CONTRIBUTIONS WHEN YOU COMPUTE YOUR TAX RETURNS. AS A SERVICE, HEARSON WILL DISPLAY INFORMATION THAT YOU PROVIDE YOUR FINANCIAL CONSULTANT AS TO WHETHER YOUR IRA CONTRIBUTIONS ARE EDUCTIBLE.



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An American Express company

Client Statemen

685 60527 14 240 02/01/88 TO 02/29/88 PAGE

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. TERRY SHEPHERD
2. N JOE RAHALL

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515

OUNT	685 60527 14 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
ID	236-78-6645	
ANCIAL SULTANT	JAMES A JOSEPH 717-421-3180	

P O R T F O L I O   I N C O M E   S U M M A R Y

	CURRENT PERIOD	YEAR TO DATE
ASH FUND DIVIDENDS	1.13	1.35
UNI FUND DIVIDENDS	.00	.00
OVT FUND DIVIDENDS	.00	.00
IVIDENDS	.00	.00
AXABLE INTEREST	3.73	7.46
ON-TAXABLE INTEREST	.00	.00
THER INCOME	.00	.00
TOTAL	4.86	8.81

MONTH'S AVERAGE BORROWING RATE: 8.75% TO 10.25%.

A C T I V I T Y   S U M M A R Y

	DEBIT	CREDI
COMBINED OPENING BALANCE (CASH PLUS MONEY FUNDS)		192.2
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.0
PORTFOLIO INCOME		4.8
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	57.5
CASH WITHDRAWN	.00	.0
OVERDRAFT LOAN ACTIVITY		.0
OTHER ACTIVITY		.0
COMBINED CLOSING BALANCE		254.6

MARKET VALUE	5,729	SHORT BALANCE	.00
EQUITY	5,729	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

THE MOST IMPORTANT ADVANTAGE OF AN IRA REMAINS INTACT --
TAX-DEFERRED COMPOUNDING OF YOUR EARNINGS.

HOWEVER, DEPENDING ON YOUR INCOME LEVEL AND ACTIVE PARTICIPATION IN A COMPANY-SPONSORED RETIREMENT PLAN, YOUR IRA DEDUCTION MAY BE PARTIALLY OR COMPLETELY ELIMINATED.

TO HELP YOU KEEP TRACK OF YOUR IRA, YOU MAY DESIGNATE YOUR CONTRIBUTION AT SHEARSON LEHMAN HUTTON AS DEDUCTIBLE OR NON-DEDUCTIBLE. THE INFORMATION YOU PROVIDE IS REFLECTED ON YOUR STATEMENT, SIMPLIFYING THE RECORD-KEEPING REQUIRED BY THE IRS. IF YOU HAVE NOT YET DESIGNATED YOUR 1987 CONTRIBUTION, CONTACT YOUR FINANCIAL CONSULTANT.

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company, Inc.

SHEARSON
HMAN BROTHERS
An American Express company

Client Statemen

****ICK JOE RAHALL II   685 60527 14 240   02/01/88 TO 02/29/88   PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES MONEY FUN
			**** OPENING BALANCES ****			.00	192.26
02/02/88			UNITS UNCOMMON VALUES UT TR867 SER-FR UNDIVIDED INT REG INT ON 2867 UNITS REC 01/15/88 PAY 02/01/88	CORP. INT	3.73CR	3.73CR	
02/03/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	3.73	.00	
02/11/88			BALCOR EQUITY PENSION II DISTRIBUTION 13251044635891	CHECK	32.00CR	32.00CR	
02/12/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	32.00	.00	
02/12/88			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $1.13	DIVIDEND	1.13CR	.00	
02/18/88			BALCOR EQUITY PEN INV III DISTRIBUTION 13251044601068	CHECK	25.52CR	25.52CR	
02/19/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	25.52	.00	
			**** CLOSING BALANCES ****			.00	254.64

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINC PER SHR	P/I
2,867	UNITS UNCOMMON VALUES UT TR867 SER-FR UNDIVIDED INT	.82	2,350	77	41.0	.00	.00	.0		.00	
	SUB TOTAL		2,350		41.0		.00				
	*** MONEY FUND POSITIONS ***										
254	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 01/11/88 THRU 02/18/88 AVG YIELD FOR PERIOD 6.29%	1.00	254	SA	4.4	.00	.00	.0		.00	
	MONEY FUND TOTAL		254		4.4		.00				
	*** OTHER FUND POSITIONS ***										
263	SHEARSON LEHMAN SPECIAL EQUITY PORTFOLIOS SECTOR ANALYSIS	11.84	3,123	38	54.5	.00	.00	.0		.00	

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An American Express company

Client Statemen

****NICK JOE RAHALL II   405 60527 14 240   02/01/88 TO 02/28/88 PAGE

PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/
	OTHER FUND TOTAL		3,123		54.5		.00				
	TOTALS		5,729		100.0		.00				

BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION: PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

INDUSTRY CLASSIFICATION

DE	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
7	UNITS	41.0	2,350	58	OTHER FUNDS	54.5	3,123
A	MONEY FUNDS	4.4	254				

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/86	BALCOR EQUITY PEN INV III	2,000
01/10/88	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company, Inc.

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An American Express company

Client Statemen

****NICK JOE RAHALL II 608 60527 14 240 02/01/88 TO 02/29/88 PAGE

I R A

DESCRIPTION	AMOUNT
DATE ACCOUNT WAS OPENED: 05/22/85	
CONTRIBUTIONS:	
CALENDAR YEAR 1987 -	
FROM 01/01/87 THRU 12/31/87 YOUR TOTAL CONTRIBUTIONS WERE:	2,000.00
YOU DESIGNATED THESE AS FOLLOWS:	
CONTRIBUTIONS FOR TAX YEAR 1986:	2,000.00
CONTRIBUTIONS FOR TAX YEAR 1987: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
CALENDAR YEAR 1988 -	
FROM 01/01/88 THRU THE PRESENT YOUR TOTAL CONTRIBUTIONS WERE:	0.00
YOU DESIGNATED THESE AS FOLLOWS:	
CONTRIBUTIONS FOR TAX YEAR 1987: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
CONTRIBUTIONS FOR TAX YEAR 1988: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
FROM 01/01/88 THRU THE PRESENT YOUR ROLLOVER CONTRIBUTIONS WERE:	0.00
FROM 01/01/88 THRU THE PRESENT TRANSFERS FROM OTHER INSTITUTIONS WERE:	0.00
DISTRIBUTIONS:	
OUR RECORDS INDICATE THAT NO DISTRIBUTIONS WERE TAKEN FROM 01/01/87 TO PRESENT.	

SHEARSON IS REQUIRED TO REPORT TO THE IRS ONLY THE TOTAL AMOUNT CONTRIBUTED TO THE IRA DURING THE CALENDAR YEAR. IT IS YOUR RESPONSIBILITY TO DESIGNATE THE DEDUCTIBILITY OF THESE CONTRIBUTIONS WHEN YOU COMPUTE YOUR TAX RETURNS. AS A SERVICE, SHEARSON WILL DISPLAY INFORMATION THAT YOU PROVIDE YOUR FINANCIAL CONSULTANT AS TO WHETHER YOUR IRA CONTRIBUTIONS ARE DEDUCTIBLE.

2767

SHEARSON
HMAN BROTHERS

An American Express company

Client Statemen

685 60527 14 240

01/01/88 TO 01/31/88 PAGE

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. TERRY SHEPHERD
2. N JOE RAHALL

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515

OUNT	685 60527 14 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
ID	236-78-8645	
ANCIAL SULTANT	JAMES A JOSEPH 717-421-3100	

PORTFOLIO INCOME SUMMARY

	CURRENT PERIOD	YEAR TO DATE
ASH FUND DIVIDENDS	.22	.22
UNI FUND DIVIDENDS	.00	.00
OVT FUND DIVIDENDS	.00	.00
IVIDENDS	.00	.00
AXABLE INTEREST	3.73	3.73
ON-TAXABLE INTEREST	.00	.00
THER INCOME	.00	.00
TOTAL	3.95	3.95

MONTH'S AVERAGE BORROWING RATE: 9.25% TO 10.75%.

ACTIVITY SUMMARY

	DEBIT	CREDI
COMBINED OPENING BALANCE (CASH PLUS MONEY FUNDS)		188.3
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.0
PORTFOLIO INCOME		3.9
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.0
CASH WITHDRAWN	.00	.0
OVERDRAFT LOAN ACTIVITY		.0
OTHER ACTIVITY		.0
COMBINED CLOSING BALANCE		192.2

MARKET VALUE	5,398	SHORT BALANCE	.00
EQUITY	5,398	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

CONTRIBUTIONS TO IRAS REMAIN FULLY TAX DEDUCTIBLE * IF YOU AND YOUR SPOUSE ARE NOT COVERED BY A COMPANY PENSION PLAN, OR * IF YOU HAVE AN ADJUSTED GROSS INCOME OF LESS THAN $40,000 FOR JOINT FILERS, OR * $25,000 FOR SINGLE FILERS.

A PARTIAL DEDUCTION IS ALLOWED FOR INDIVIDUALS WHO EARN BETWEEN $40,000 AND $50,000 ON A JOINT RETURN, OR BETWEEN $25,000 AND $35,000 ON A SINGLE RETURN AND PARTICIPATE IN AN EMPLOYER-SPONSORED RETIREMENT PLAN. BEYOND THESE LIMITS, THE DEDUCTION HAS BEEN ELIMINATED.

HOWEVER, WHETHER OR NOT YOUR CONTRIBUTIONS ARE DEDUCTIBLE, ALL INCOME EARNED ON YOUR IRA CONTRIBUTIONS WILL COMPOUND TAX-DEFERRED UNTIL MONEY IS WITHDRAWN.

SHEARSON
HMAN BROTHERS

An American Express company

Client Statemen

***NICK JOE RAHALL II   685 60527 14 240   01/01/88 TO 01/31/88   PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES MONEY FUN
			**** OPENING BALANCES ****			.00	188.31
01/04/88			UNITS UNCOMMON VALUES UT TR887 SER-FR UNDIVIDED INT REG INT ON 2867 UNITS REC 12/15/87 PAY 01/01/88	CORP. INT	3.73CR	3.73CR	
01/05/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	3.73	.00	
01/08/88			SHEARSON DAILY DIVIDEND INC DIV REINVESTED 0.22	DIVIDEND	.22CR	.00	
			**** CLOSING BALANCES ****			.00	192.26

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/
2,067	UNITS UNCOMMON VALUES UT TR887 SER-FR UNDIVIDED INT	.73	2,092	77	38.8	.00	.00	.0		.00	
	SUB TOTAL		2,092		38.8		.00				
	*** MONEY FUND POSITIONS ***										
192	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 01/04/88 THRU 01/10/88 AVG YIELD FOR PERIOD 6.53%	1.00	192	SA	3.6	.00	.00	.0		.00	
	MONEY FUND TOTAL		192		3.6		.00				
	*** OTHER FUND POSITIONS ***										
263	SHEARSON LEHMAN SPECIAL EQUITY PORTFOLIOS SECTOR ANALYSIS	11.80	3,112	SB	57.7	.00	.00	.0		.00	
	OTHER FUND TOTAL		3,112		57.7		.00				
	TOTALS		5,396		100.0		.00				

BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

SHEARSON
HMAN BROTHERS

An American Express company

Client Statemen

NICK JOE RAHALL II   605 60527 14 340   01/01/88 TO 01/31/88 PAGE

INDUSTRY CLASSIFICATION

DE	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
7	UNITS	38.8	2,092	38	OTHER FUNDS	57.7	3,112
4	MONEY FUNDS	3.6	192				

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/86	BALCOR EQUITY PEN INV III	2,000
01/10/86	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

SHEARSON
HMAN BROTHERS

An American Express company

Client Statemen

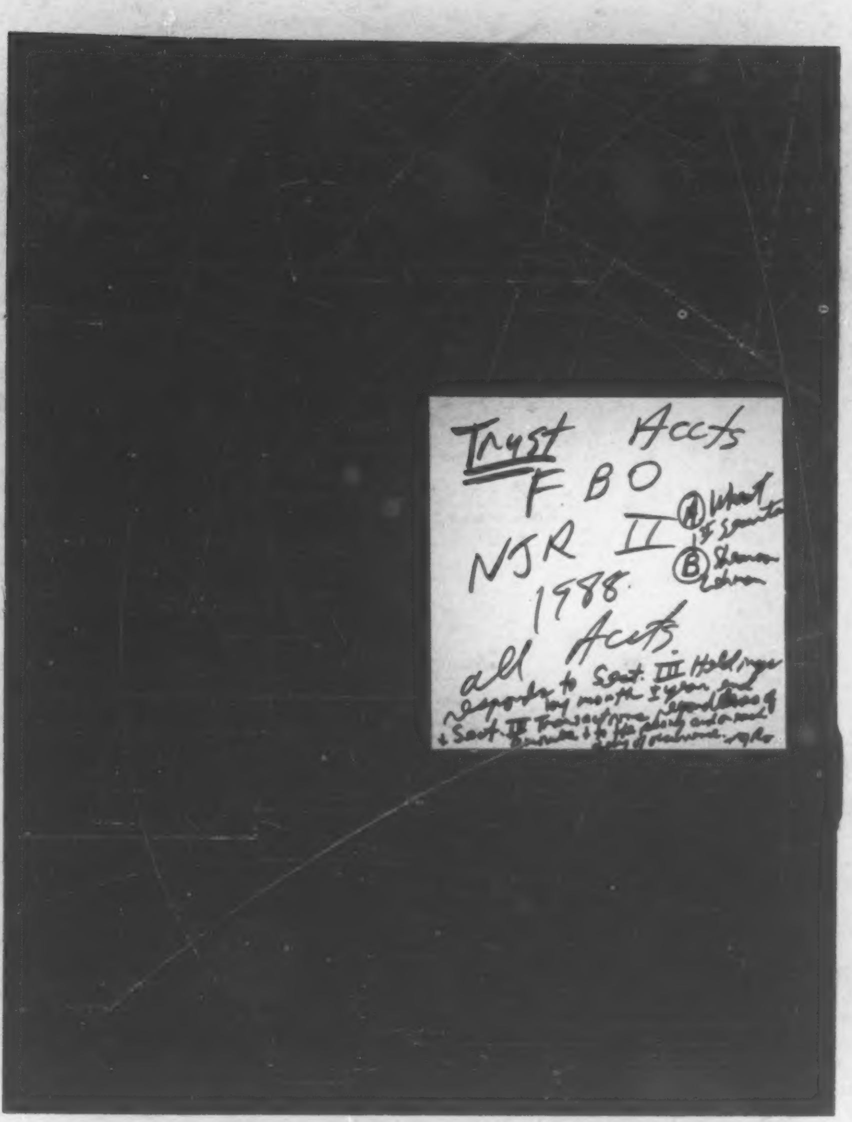
***NICK JOE RAHALL II   685 60527 14 240   01/01/88 TO 01/31/88   PAGE

I R A

DESCRIPTION	AMOUNT
DATE ACCOUNT WAS OPENED: 05/22/85	
CONTRIBUTIONS:	
CALENDAR YEAR 1987 -	
FROM 01/01/87 THRU 12/31/87 YOUR TOTAL CONTRIBUTIONS WERE:	2,000.00
YOU DESIGNATED THESE AS FOLLOWS:	
CONTRIBUTIONS FOR TAX YEAR 1986:	2,000.00
CONTRIBUTIONS FOR TAX YEAR 1987: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
CALENDAR YEAR 1988 -	
FROM 01/01/88 THRU THE PRESENT YOUR TOTAL CONTRIBUTIONS WERE:	0.00
YOU DESIGNATED THESE AS FOLLOWS:	
CONTRIBUTIONS FOR TAX YEAR 1987: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
CONTRIBUTIONS FOR TAX YEAR 1988: **	0.00
(DEDUCTIBLE):	0.00
(NON-DEDUCTIBLE):	0.00
(NON-SPECIFIED):	0.00
FROM 01/01/88 THRU THE PRESENT YOUR ROLLOVER CONTRIBUTIONS WERE:	0.00
FROM 01/01/88 THRU THE PRESENT TRANSFERS FROM OTHER INSTITUTIONS WERE:	0.00
DISTRIBUTIONS:	
OUR RECORDS INDICATE THAT NO DISTRIBUTIONS WERE TAKEN FROM 01/01/87 TO PRESENT.	

SHEARSON IS REQUIRED TO REPORT TO THE IRS ONLY THE TOTAL AMOUNT CONTRIBUTED TO THE IRA DURING THE CALENDAR YEAR. IT IS YOUR RESPONSIBILITY TO DESIGNATE THE DEDUCTIBILITY OF THESE CONTRIBUTIONS WHEN YOU COMPUTE YOUR TAX RETURNS. AS A SERVICE, SHEARSON WILL DISPLAY INFORMATION THAT YOU PROVIDE YOUR FINANCIAL CONSULTANT AS TO WHETHER YOUR IRA CONTRIBUTIONS ARE DEDUCTIBLE.

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company, Inc.



Trust Accts
F B O
NJR II
Ⓐ [illegible] & [illegible]
Ⓑ [illegible] [illegible]
1988.
all Accts.
reports to Sect. III Holdings
by month & year and
+ Sect. II Transactions [illegible]
[illegible]

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
216 1/2 MAIN ST

ACCOUNT NUMBER	TAX I.D. NUMBER
8K06 0839-8850	55-6085192

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

STATEMENT PERIOD	PAGE
12/01/88 THRU 12/31/88	1

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$78,937.50		$12,672.63	$91,610.13

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	12,546.63	12,672.63
TOTAL MONEY MARKET		12,672.63

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	487.50CR	4,808.75CR
OTHER INCOME CREDITED	.00	18.75CR -
CEF DIVIDENDS CREDITED	126.00CR	1,229.00CR
AVERAGE YIELD 11/16/88 TO 12/31/88 8.08%		

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
12 08	1	RECEIVED	20	SCOTTSDALE LAND TRUST LIMITED PARTNERSHIP			
12 29	1	DIVIDEND		MFS INTERMEDIATE INCOME TRUST SHARES OF BENEFICIAL INTEREST QUANTITY 3000			282.50
12 29	1	DIVIDEND		MFS MULTIMARKET INCOME TR SBI QUANTITY 2000			205.00
12 30	1	CHECK ISSUED		CHECK ISSUED 38321		487.50	
12 30	1	DIVIDEND	126	REINVESTED DIVIDEND CEF			

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
1	500		AMER WATER WORKS CO INC	17.1250	8,562.50
1	2000		KEMPER MUNICIPAL INCOME TRUST SHARES OF BENEFICIAL INTEREST	12.0000	24,000.00
1	3000		MFS INTERMEDIATE INCOME TRUST SHARES OF BENEFICIAL INTEREST	8.8750	26,625.00

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PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY UP TO THE MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
218 1/2 MAIN ST

ACCOUNT NUMBER	TAX I.D. NUMBER
SK06 8630-8980	55-6068192

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

STATEMENT PERIOD	PAGE
12/01/88 THRU 12/31/88	2

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
1	2000		NFS MULTIMARKET INCOME TR SBI	9.8750	19,750.00
1	2000		SOUTHERN BANKSHARES INC W VA	N/A	
1	167.5		RAHALL RLTY INC-INC UNDER WV LAWS CAP STK 175,000PAR VAL 100 PER S	N/A	
1	20		SCOTTSDALE LAND TRUST LIMITED PARTNERSHIP	N/A	

VALUE OF PRICED SECURITIES 78,837.50

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE UPON REQUEST.

OPEN ORDERS

DATE	TYPE	BUY	SELL	DESCRIPTION	LIMIT PRICE	CURRENT PRICE
11 07	1		1000.00	NFS INTERMEDIATE INCOME TRUST SHARES OF BENEFICIAL INTEREST	9.7500	

YOUR CONFIDENCE, FRIENDSHIP, AND GOODWILL ARE VERY IMPORTANT TO US, AND WE SINCERELY THANK YOU FOR YOUR BUSINESS DURING THE PAST YEAR. BEST WISHES TO YOU AND YOURS FOR A HAPPY AND PROSPEROUS NEW YEAR.

---END OF STATEMENT---

PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY UP TO THE MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST. RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
218 1/2 MAIN ST

ACCOUNT NUMBER	TAX I.D. NUMBER
8K06 6835-4880	55-0585102

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

STATEMENT PERIOD	PAGE
11/01/88 THRU 11/30/88	1

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$80,312.50		$12,546.63	$92,859.13

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	12,390.63	12,546.63
TOTAL MONEY MARKET		12,546.63

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	815.00CR	4,042.28CR
OTHER INCOME CREDITED	.00	18.78CR
CEF DIVIDENDS CREDITED	156.00CR	1,103.00CR

AVERAGE YIELD 10/18/88 TO 11/18/88 7.53%

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
11 01	1	DIVIDEND		MFS INTERMEDIATE INCOME TRUST SHARES OF BENEFICIAL INTEREST QUANTITY 3000			282.50
11 15	1	DIVIDEND		AMER WATER WORKS CO INC QUANTITY 800			68.00
11 18	1	DIVIDEND	156	REINVESTED DIVIDEND CEF AS OF 11/18/88			
11 28	1	DIVIDEND		MFS INTERMEDIATE INCOME TRUST SHARES OF BENEFICIAL INTEREST QUANTITY 3000			282.50
11 29	1	DIVIDEND		MFS MULTIMARKET INCOME TR SBI QUANTITY 2000			205.00
11 30	1	CHECK ISSUED		CHECK ISSUED 33068		815.00	

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Wheat, First Securities, Inc.
707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

ACCOUNT NUMBER	TAX I.D. NUMBER
BKO8 6539-8990	55-6065182

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

YOUR INVESTMENT BROKER
EDWARD G. RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
218 1/2 MAIN ST

STATEMENT PERIOD	PAGE
11/01/88 THRU 11/30/88	2

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
1	500		AMER WATER WORKS CO INC	17.3750	8,687.50
1	2000		KEMPER MUNICIPAL INCOME TRUST SHARES OF BENEFICIAL INTEREST	12.0000	24,000.00
1	3000		MFS INTERMEDIATE INCOME TRUST SHARES OF BENEFICIAL INTEREST	9.1250	27,375.00
1	2000		MFS MULTIMARKET INCOME TR SBI	10.1250	20,250.00
1	2000		SOUTHERN BANKSHARES INC W VA	N/A	
1	187.5		RAHALL RLTY INC-INC UNDER WV LAWS CAP STK 175,000PAR VAL 100 PER S	N/A	
			VALUE OF PRICED SECURITIES		80,312.50

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE UPON REQUEST.

OPEN ORDERS

DATE	TYPE	BUY	SELL	DESCRIPTION	LIMIT PRICE	CURRENT PRICE
11 07	1		1000.00	MFS INTERMEDIATE INCOME TRUST SHARES OF BENEFICIAL INTEREST	9.7500	

BECAUSE OF THE TAX ACT OF 1986, DECEMBER DIVIDENDS OF YOUR MONEY FUND WILL BE PAID ON 12/31/88 RATHER THAN THE REGULAR DATE OF 12/15/88. THE PERIOD COVERED IS NOVEMBER 16TH THROUGH DECEMBER 31ST. THE CHANGE IS FOR DECEMBER ONLY.

---END OF STATEMENT---

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST . RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
216 1/2 MAIN ST

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 6839-8990	55-6088192

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

STATEMENT PERIOD	PAGE
10/01/88 THRU 10/31/88	1

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$80,878.00		$12,390.83	$93,268.83

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	27,327.64	12,390.83
TOTAL MONEY MARKET		12,390.83

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	.00	3,227.28CR
OTHER INCOME CREDITED	.00	18.78CR
CEF DIVIDENDS CREDITED	211.00CR	947.00CR

AVERAGE YIELD 9/16/88 TO 10/17/88 7.48%

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
10 03	1	SALE	3000-	MFS MUNICIPAL INCOME TR SH BEN INT	9.8750		29,158.31
10 12	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	29,158.31	
10 18	1	DIVIDEND	211	REINVESTED DIVIDEND CEF AS OF 10/17/88			
10 20	1	PURCHASE	2000	KEMPER MUNICIPAL INCOME TRUST SHARES OF BENEFICIAL INTEREST	12.0000	24,000.00	
10 21	1	PURCHASE	800	MFS MULTIMARKET INCOME TR SBI	10.0000	8,122.52	
10 21	1	PURCHASE	500	MFS MULTIMARKET INCOME TR SBI	10.0000	5,078.58	
10 21	1	PURCHASE	500	MFS MULTIMARKET INCOME TR SBI	10.0000	5,078.58	
10 21	1	PURCHASE	100	MFS MULTIMARKET INCOME TR SBI	10.0000	1,018.32	
10 21	1	PURCHASE	100	MFS MULTIMARKET INCOME TR SBI	10.0000	1,018.32	
10 27	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		24,000.00
10 28	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		20,308.32

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Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G. RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
218 1/2 MAIN ST

ACCOUNT NUMBER	TAX I.D. NUMBER
BK08 6539-8990	55-0685192

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

STATEMENT PERIOD	PAGE
10/01/88 THRU 10/31/88	1

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
1	500		AMER WATER WORKS CO INC	17.5000	8,750.00
1	2000		KEMPER MUNICIPAL INCOME TRUST SHARES OF BENEFICIAL INTEREST	12.0000	24,000.00
1	3000		MFS INTERMEDIATE INCOME TRUST SHARES OF BENEFICIAL INTEREST	9.3750	28,125.00
1	2000		MFS MULTIMARKET INCOME TR SBI	10.0000	20,000.00
1	2000		SOUTHERN BANKSHARES INC W VA	N/A	
1	187.5		RAHALL RLTY INC-INC UNDER WV LAWS CAP STK 175.000PAR VAL 100 PER S	N/A	
			VALUE OF PRICED SECURITIES		80,875.00

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE FROM YOUR INVESTMENT BROKER UPON REQUEST.

WHICHEVER YOUR CHOICE MAY BE IN THE PRESIDENTIAL ELECTION, BE SURE TO REQUEST A COPY OF OUR FOLDER "FINANCIAL STRATEGIES FOR THE NEW PRESIDENTIAL ADMINISTRATION." FOR IMPORTANT INFORMATION ABOUT CDS OFFERED BY WHEAT, PLEASE SEE ENCLOSED BROCHURE.

---END OF STATEMENT---

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G. RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
216 1/2 MAIN ST

ACCOUNT NUMBER	TAX I.D. NUMBER
BK05 8539-8980	55-6068192

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

STATEMENT PERIOD	PAGE
9/01/88 THRU 9/30/88	1

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$66,562.50		$27,327.64	$93,890.14

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	27,158.64	27,327.64
TOTAL MONEY MARKET		27,327.64

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	484.50CR	3,327.25CR
OTHER INCOME CREDITED	.00	18.75CR
CEF DIVIDENDS CREDITED	169.00CR	736.00CR
AVERAGE YIELD 8/18/88 TO 9/18/88 7.40%		

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
9 16	1	DIVIDEND	169	REINVESTED DIVIDEND CEF AS OF 9/18/88			
9 28	1	DIVIDEND		MFS INTERMEDIATE INCOME TRUST SHARES OF BENEFICIAL INTEREST QUANTITY 3000			292.50
9 28	1	DIVIDEND		MFS MUNICIPAL INCOME TR SH BEN INT QUANTITY 3000			192.00
9 30	1	CHECK ISSUED		CHECK ISSUED 974187		484.50	

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
1	500		AMER WATER WORKS CO INC	16.8750	8,437.50
1	3000		MFS INTERMEDIATE INCOME TRUST SHARES OF BENEFICIAL INTEREST	9.5000	28,500.00
1	3000		MFS MUNICIPAL INCOME TR SH BEN INT	8.8750	26,625.00

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FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
216 1/2 MAIN ST

STATEMENT PERIOD	PAGE
9/01/88 THRU 9/30/88	2

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 0[illegible] 8950	55-6085192

NICK RA[illegible]L
343 CANN[illegible]N BLDG
WASHINGTON DC 20515

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
1	2000		SOUTHERN BANKSHARES INC W VA	N/A	
1	187.5		RAHALL RLTY INC-INC UNDER WV LAWS CAP STK 175,000PAR VAL 100 PER S	N/A	

VALUE OF PRICED SECURITIES 88,582.50

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE FROM YOUR INVESTMENT BROKER UPON REQUEST.

FOR AN IMPORTANT COMMENTARY ON TODAY'S EQUITY MARKET BY THE RENOWNED INVESTMENT MANAGER, JOHN TEMPLETON, SEE "A CASE FOR EQUITIES" ENCLOSED. IF YOU ARE SEEKING FEDERAL TAX-EXEMPT INCOME, ASK YOUR INVESTMENT BROKER ABOUT THE KEMPER MUNICIPAL INCOME TRUST.

---END OF STATEMENT---

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
219 1/2 MAIN ST

ACCOUNT NUMBER	TAX I.D. NUMBER
8HD8 6536-8850	55-6085182

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

STATEMENT PERIOD	PAGE
8/01/88 THRU 8/31/88	1

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$64,375.00		$27,158.84	$91,533.84

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	3,118.78	27,158.84
TOTAL MONEY MARKET		27,158.84

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	492.75CR	2,772.75CR
OTHER INCOME CREDITED	.00	18.75CR
CEF DIVIDENDS CREDITED	48.00CR	887.00CR
AVERAGE YIELD 7/18/88 TO 8/15/88 8.08%		

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
8 10	1	DEPOSIT		FUNDS RECD			28,882.09
8 11	1	PURCHASE	500	MFS INTERMEDIATE INCOME TRUST SHARES OF BENEFICIAL INTEREST	9.5000	4,888.23	
8 11	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	28,882.09	
8 15	1	DIVIDEND		AMER WATER WORKS CO INC QUANTITY 800			88.00
8 19	1	DIVIDEND	48	REINVESTED DIVIDEND CEF AS OF 8/15/88			
8 15	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		4,888.23
8 30	1	DIVIDEND		MFS INTERMEDIATE INCOME TRUST SHARES OF BENEFICIAL INTEREST QUANTITY 2500			215.75
8 30	1	DIVIDEND		MFS MUNICIPAL INCOME TR SH BEN INT QUANTITY 3000			189.00
8 31	1	CHECK ISSUED		CHECK ISSUED 888141		492.75	

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FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

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STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
216 1/2 MAIN ST

ACCOUNT NUMBER	TAX I.D. NUMBER
BK08 6639-8980	55-6085192

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

STATEMENT PERIOD	PAGE
8/01/88 THRU 8/31/88	2

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
1	500		AMER WATER WORKS CO INC	16.2500	8,125.00
1	3000		NPS INTERMEDIATE INCOME TRUST SHARES OF BENEFICIAL INTEREST	9.3750	28,125.00
1	3000		NPS MUNICIPAL INCOME TR SH BEN INT	9.3750	28,125.00
1	2000		SOUTHERN BANKSHARES INC W VA	N/A	
1	167.5		RAHALL RLTY INC-INC UNDER WV LAWS CAP STK 175,000PAR VAL 100 PER S	N/A	
			VALUE OF PRICED SECURITIES		64,375.00

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE FROM YOUR INVESTMENT BROKER UPON REQUEST.

LOCK IN LONG-TERM YIELDS NOW--BEFORE INTEREST RATES FALL. SEE THE ENCLOSED BROCHURE AND CALL YOUR INVESTMENT BROKER FOR SPECIFIC RECOMMENDATIONS.

---END OF STATEMENT---

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 0539-8980	55-6085192

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
216 1/2 MAIN ST

STATEMENT PERIOD	PAGE
7/01/88 THRU 7/31/88	1

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$80,000.00		$3,116.78	$83,116.78

ACCOUNT BALANCE(S)	OPENING BALANCE	CLOSING BALANCE
CASH ACCT - TYPE 1	4,780.000B	.00
NET CLOSING BALANCE		.00

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	7,834.78	3,116.78
TOTAL MONEY MARKET		3,116.78

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	404.75CR	2,280.00CR
OTHER INCOME CREDITED	.00	18.75CR
CEF DIVIDENDS CREDITED	42.00CR	521.00CR
AVERAGE YIELD 6/16/88 TO 7/15/88 6.68%		

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
7 06	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		4,780.00
7 15	1	DIVIDEND	42	REINVESTED DIVIDEND CEF AS OF 7/15/88			
7 28	1	DIVIDEND		MFS INTERMEDIATE INCOME TRUST SHARES OF BENEFICIAL INTEREST QUANTITY 2500			218.75
7 28	1	DIVIDEND		MFS MUNICIPAL INCOME TR SH BEN INT QUANTITY 3000			186.00
7 29	1	CHECK ISSUED		CHECK ISSUED 938588		404.75	

---CONTINUED ON NEXT PAGE---

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
218 1/2 MAIN ST

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 6539-8950	55-6085192

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

STATEMENT PERIOD	PAGE
7/01/88 THRU 7/31/88	2

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
1	500		AMER WATER WORKS CO INC	16.2500	8,125.00
1	2500		MFS INTERMEDIATE INCOME TRUST SHARES OF BENEFICIAL INTEREST	9.5000	23,750.00
1	3000		MFS MUNICIPAL INCOME TR SH BEN INT	9.3750	28,125.00
1	2000		SOUTHERN BANKSHARES INC W VA	N/A	
1	187.5		RAHALL RLTY INC-INC UNDER WV LAWS CAP STK 175,000PAR VAL 100 PER S	N/A	
			VALUE OF PRICED SECURITIES		60,000.00

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE FROM YOUR INVESTMENT BROKER UPON REQUEST.

OPEN ORDERS

DATE	TYPE	BUY	SELL	DESCRIPTION	LIMIT PRICE	CURRENT PRICE
6 03	1		500.00	MFS INTERMEDIATE INCOME TRUST SHARES OF BENEFICIAL INTEREST	10.0000	9.5000

WHEAT BELIEVES THAT INTEREST RATES ARE HEADING LOWER OVER THE NEXT 24 MONTHS. SEE ENCLOSED LEAFLET. ASK YOUR INVESTMENT BROKER ABOUT THE NEW VAN KAMPEN MUNICIPAL INCOME TRUST IF YOU ARE SEEKING HIGH INCOME EXEMPT FROM FEDERAL TAXES.

---END OF STATEMENT---

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
218 1/2 MAIN ST

STATEMENT PERIOD	PAGE
6/01/88 THRU 6/30/88	1

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 6539-8950	56-6085192

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$80,582.50	$4,780.00DB	$7,834.78	$83,637.28

ACCOUNT BALANCE(S)	OPENING BALANCE	CLOSING BALANCE
CASH ACCT - TYPE 1	.00	4,780.00DB
NET CLOSING BALANCE		4,780.00DB

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	15,247.23	7,834.78
TOTAL MONEY MARKET		7,834.78

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	342.75CR	1,875.25CR
OTHER INCOME CREDITED	.00	16.75CR
CEF DIVIDENDS CREDITED	78.00CR	479.00CR
AVERAGE YIELD 5/17/88 TO 6/15/88 6.34%		

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
6 03	1	SALE	500-	SCANA CORP	33.5000		16,506.52
6 07	1	PURCHASE	1000	MFS MUNICIPAL INCOME TR SH BEN INT	9.3750	9,587.30	
6 07	1	PURCHASE	900	MFS MUNICIPAL INCOME TR SH BEN INT	9.3750	8,628.57	
6 07	1	PURCHASE	100	MFS MUNICIPAL INCOME TR SH BEN INT	9.3750	988.73	
6 14	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		2,698.08
6 16	1	DIVIDEND	78	REINVESTED DIVIDEND CEF AS OF 6/15/88			
6 20	1	PURCHASE	500	MFS MUNICIPAL INCOME TR SH BEN INT	9.3750	4,823.37	
6 27	1	PURCHASE	500	MFS MUNICIPAL INCOME TR SH BEN INT	9.2500	4,780.00	
6 27	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		4,823.37

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Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/62
218 1/2 MAIN ST

STATEMENT PERIOD	PAGE
9/01/88 THRU 9/30/88	2

ACCOUNT NUMBER	TAX I.D. NUMBER
9K06 6539-8350	55-6065152

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
9 29	1	DIVIDEND		MFS INTERMEDIATE INCOME TRUST SHS BEN INT QUANTITY 2500			218.75
9 29	1	DIVIDEND		MFS MUNICIPAL INCOME TR SH BEN INT QUANTITY 2000			124.00
9 30	1	CHECK ISSUED		CHECK ISSUED 821418		342.75	

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
1	500		AMER WATER WORKS CO INC	15.5000	7,750.00
1	2500		MFS INTERMEDIATE INCOME TRUST SHS BEN INT	9.8750	24,687.50
1	3000		MFS MUNICIPAL INCOME TR SH BEN INT	9.3750	28,125.00
1	2000		SOUTHERN BANKSHARES INC W VA	N/A	
1	167.5		RAHALL RLTY INC-INC UNDER WV LAWS CAP STK 175,000PAR VAL 100 PER S	N/A	
			VALUE OF PRICED SECURITIES		60,562.50

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE FROM YOUR INVESTMENT BROKER UPON REQUEST.

OPEN ORDERS

DATE	TYPE	BUY	SELL	DESCRIPTION	LIMIT PRICE	CURRENT PRICE
9 03	1		500.00	MFS INTERMEDIATE INCOME TRUST SHARES OF BENEFICIAL INTEREST	10.0000	9.8750

ASK YOUR INVESTMENT BROKER ABOUT THE NEW MFS MULTIMARKET TOTAL RETURN TRUST FOR INVESTORS WHO WISH TO MAXIMIZE THE TOTAL RETURN ON THEIR INVESTMENT FROM A COMBINATION OF INTEREST INCOME AND CAPITAL APPRECIATION.

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

797 EAST MAIN ST., RICHMOND, VA. 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
218 1/2 MAIN ST

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 6838-8990	55-6085182

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

STATEMENT PERIOD	PAGE
5/01/88 THRU 5/31/88	1

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$44,625.00		$15,247.23	$63,872.23

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	15,174.23	15,247.23
TOTAL MONEY MARKET		15,247.23

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	285.00CR	1,532.50CR
OTHER INCOME CREDITED	18.75CR	18.75CR
CEF DIVIDENDS CREDITED	73.00CR	403.00CR
AVERAGE YIELD 4/16/88 TO 5/16/88 6.03%		

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
5 16	1	DIVIDEND		AMER WATER WORKS CO INC QUANTITY 500			85.00
5 17	1	DIVIDEND	73	REINVESTED DIVIDEND CEF AS OF 5/16/88			
5 27	1	CAPITAL GAIN		MFS INTERMEDIATE INCOME TRUST SHS BEN INT QUANTITY 2500			18.75
5 27	1	DIVIDEND		MFS INTERMEDIATE INCOME TRUST SHS BEN INT QUANTITY 2500			200.00
5 31	1	CHECK ISSUED		CHECK ISSUED 902353		303.75	

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
1	300		AMER WATER WORKS CO INC	15.0000	7,500.00

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FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.
707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
318 1/2 MAIN ST

ACCOUNT NUMBER	TAX I.D. NUMBER
[illegible]	[illegible]

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

STATEMENT PERIOD	PAGE
8/01/88 THRU 8/31/88	2

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
1	2500		MFS INTERMEDIATE INCOME TRUST SHS BEN INT	9.8750	24,687.50
1	500		SCANA CORP	32.8750	16,437.50
1	2000		SOUTHERN BANKSHARES INC W VA	N/A	
1	167.5		RAHALL RLTY INC-INC UNDER WV LAWS CAP STK 170,000PAR VAL 100 PER S	N/A	

VALUE OF PRICED SECURITIES 41,125.00

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE FROM YOUR INVESTMENT BROKER UPON REQUEST.

ASK YOUR INVESTMENT BROKER ABOUT THE NEW PUTNAM INTERMEDIATE GOVERNMENT INCOME TRUST FOR INVESTORS SEEKING PRESERVATION OF CAPITAL AND HIGH INCOME. ALSO, ASK ABOUT THE LIFE OF VIRGINIA SINGLE-PREMIUM DEFERRED ANNUITY, A SAFE-MONEY ALTERNATIVE WITH TAX ADVANTAGES.

END OF STATEMENT

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
316 1/2 MAIN ST

ACCOUNT NUMBER	TAX I.D. NUMBER
BK06 6839-8880	55-6065182

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

STATEMENT PERIOD	PAGE
4/01/88 THRU 4/30/88	1

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$40,082.50		$18,174.23	$58,256.73

ACCOUNT BALANCE(S)	OPENING BALANCE	CLOSING BALANCE
CASH ACCT - TYPE 1	7,385.62DB	.00
NET CLOSING BALANCE		.00

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	20,209.19	18,174.23
TOTAL MONEY MARKET		18,174.23

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	180.00CR	1,247.80CR
CEF DIVIDENDS CREDITED	107.00CR	330.00CR
AVERAGE YIELD 3/16/88 TO 4/15/88	6.63%	

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
4 04	1	DIVIDEND		SCANA CORP QUANTITY 300			180.00
4 04	1	PURCHASE	200	TIMES MIRROR COMPANY	34.0000	6,920.83	
4 06	1	PURCHASE	100	TIMES MIRROR COMPANY	33.3750	3,418.83	
4 07	1	SALE	200-	TRIBUNE CO NEW 10/83	38.5000		7,780.38
4 08	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		7,385.62
4 11	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		6,920.83
4 12	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		3,418.83
4 13	1	SALE	300-	TIMES MIRROR COMPANY	35.1250		10,380.87
4 15	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	7,780.38	
4 18	1	DIVIDEND	107	REINVESTED DIVIDEND CEF AS OF 4/15/88			
4 18	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		9,700.00

---CONTINUED ON NEXT PAGE---

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

ACCOUNT NUMBER	TAX I.D. NUMBER
BK04 6838-8850	55-6055182

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
218 1/2 MAIN ST

STATEMENT PERIOD	PAGE
4/01/88 THRU 4/30/88	2

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
4 18	1	CHECK ISSUED		CHECK ISSUED #01813839		9,700.00	
4 21	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	10,398.87	
4 28	1	CHECK ISSUED		CHECK ISSUED 888317		180.00	

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
1	500		AMER WATER WORKS CO INC	16.6250	8,312.50
1	2500		WFS INTERMEDIATE INCOME TRUST SHS BEN INT	10.0000	25,000.00
1	500		SCANA CORP	31.5000	15,750.00
1	2000		SOUTHERN BANKSHARES INC W VA	N/A	
1	167.5		RAHALL RLTY INC-INC UNDER WV LAWS CAP STK 175,000PAR VAL 100 PER S	N/A	
			VALUE OF PRICED SECURITIES		49,062.50

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE FROM YOUR INVESTMENT BROKER UPON REQUEST.

INCOME, SAFETY, RETURN OF PRINCIPAL. IT ALL ADDS UP. ASK YOUR INVESTMENT BROKER ABOUT THE ADVANTAGES OF GNMA COLLATERALIZED BONDS. THEY PROVIDE MONTHLY INTEREST, HIGHEST QUALITY RATING, AND EARLY REDEMPTION FEATURES.

---END OF STATEMENT---

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
218 1/2 MAIN ST

ACCOUNT NUMBER	TAX I.D. NUMBER
8KO8 6539-8850	55-6088192

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

STATEMENT PERIOD	PAGE
3/01/88 THRU 3/31/88	1

ACCOUNT SUMMARY

VALUE OF PRICED SECURITIES	NET CLOSING BALANCE	TOTAL MONEY MARKET	TOTAL ACCOUNT VALUE
$66,778.00	$7,383.82DB	$24,334.18	$73,718.37

ACCOUNT BALANCE(S)	OPENING BALANCE	CLOSING BALANCE
CASH ACCT - TYPE 1	.00	7,383.82DB
NET CLOSING BALANCE		7,383.82DB

MONEY MARKET FUND(S)	OPENING BALANCE	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	40,402.24	24,334.18
TOTAL MONEY MARKET		24,334.18

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	.00	1,087.50CR
CEF DIVIDENDS CREDITED	172.00CR	222.00CR
AVERAGE YIELD 2/17/88 TO 3/16/88	6.15%	

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
3 01	1	SALE	1800-	MFS MULTIMARKET INCOME TR SBI	10.2500		18,084.08
3 08	1	PURCHASE	100	SCANA CORP	31.0000	3,167.08	
3 08	1	PURCHASE	100	SCANA CORP	31.0000	3,167.08	
3 08	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	18,084.08	
3 10	1	PURCHASE	2500	MFS INTERMEDIATE INCOME TRUST SHS BEN INT	10.0000	25,000.00	
3 16	1	DIVIDEND	172	REINVESTED DIVIDEND CEF AS OF 3/16/88			
3 16	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		8,334.10
3 17	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		25,000.00
3 31	1	PURCHASE	200	TRIBUNE CO NEW 10/83	36.3750	7,383.82	

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FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.
707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
216 1/2 MAIN ST

ACCOUNT NUMBER	TAX I.D. NUMBER
BK08 6838-8880	55-6085192

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

STATEMENT PERIOD	PAGE
3/01/88 THRU 3/31/88	2

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
1	500		AMER WATER WORKS CO INC	16.2500	8,125.00
1	2500		MFS INTERMEDIATE	9.8750	24,687.50
			INCOME TRUST		
			SHS BEN INT		
1	500		SCANA CORP	31.1250	15,562.50
1	3000		SOUTHERN BANKSHARES	N/A	
			INC W VA		
1	200		TRIBUNE CO NEW 10/83	37.0000	7,400.00
1	187.5		RAHALL RLTY INC-INC	N/A	
			UNDER WV LAWS CAP STK		
			175,000PAR VAL 100 PER S		
			VALUE OF PRICED SECURITIES		55,775.00

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE FROM YOUR INVESTMENT BROKER UPON REQUEST.

ASK YOUR INVESTMENT BROKER ABOUT THE HARTFORD DIRECTOR II AND THE PUTNAM MASTER INTERMEDIATE INCOME TRUST. PLEASE SEE ENCLOSED BROCHURES.

---END OF STATEMENT---

Wheat, First Securities, Inc.
707 EAST MAIN ST., RICHMOND, VA 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
218 1/2 MAIN ST

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 6539-8980	55-6065182

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

STATEMENT PERIOD	PAGE
2/01/88 THRU 2/29/88	1

PORTFOLIO SUMMARY

CURRENT MARKET VALUE	ACCOUNT BALANCE	TOTAL ACCOUNT EQUITY	BUYING POWER
CASH ACCT (1) $73,102		$73,102	

ACCOUNT SUMMARY

TYPE OF ACCOUNT(S)	OPENING BALANCE	TOTAL DEBITS	TOTAL CREDITS	CLOSING BALANCE
CASH ACCT (1)	.00	48,385.01	48,385.01	.00

MONEY MARKET FUND	OPENING BALANCE	TOTAL REDEMPTIONS	TOTAL INVESTMENTS	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	5,537.49	.00	34,864.75	40,402.24

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	739.79CR	1,067.50CR
CEF DIVIDENDS CREDITED	31.00CR	51.00CR
AVERAGE YIELD 1/18/88 TO 2/18/88	6.45%	

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
2 01	1	DIVIDEND		BELLSOUTH CORP DIV INT ON 300 SHS			186.00
2 01	1	DIVIDEND		CAROLINA PWR & LIGHT CO DIV INT ON 300 SHS			207 00
2 01	1	DIVIDEND		CENTEL CORPORATION DIV INT ON 300 SHS			129.00
2 03	1	SALE	300	CENTEL CORPORATION	39.0000		11,528.83
2 08	1	PURCHASE	300	TRIBUNE CO NEW 10/83	35.5000	10,811.51	
2 09	1	SALE	300	CAROLINA PWR & LIGHT CO	35.6250		10,545.48
2 10	1	SALE	300	BELLSOUTH CORP	41.3750		12,231.84
2 12	1	SALE	300	TRIBUNE CO NEW 10/83	38.3750		11,341.34
2 16	1	DIVIDEND		AMER WATER WORKS CO INC DIV INT ON 500 SHS			85.00
2 17	1	DIVIDEND	31	REINVESTED DIVIDEND CEF AS OF 2/18/88			

---CONTINUED ON NEXT PAGE---

Wheat, First Securities, Inc.
707 EAST MAIN ST., RICHMOND, VA. 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
218 1/2 MAIN ST

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 6539-8950	55-6065182

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

STATEMENT PERIOD	PAGE
2/01/88 THRU 2/29/88	2

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
2 22	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	23,492.41	
2 23	1	DELIVERED	2000	SOUTHERN BANKSHARES INC W VA			
2 23	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	11,341.34	
2 26	1	DIVIDEND		MFS MULTIMARKET INCOME TR SBI DIV INT ON 1500 SHS			153.78
2 26	1	RECEIVED	2000	SOUTHERN BANKSHARES INC W VA			
2 29	1	CHECK ISSUED		CHECK ISSUED 853874		739.78	

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
1	500		AMER WATER WORKS CO INC	15.3750	7,687.50
1	1500		MFS MULTIMARKET INCOME TR SBI	10.1250	15,187.50
1	300		SCANA CORP	32.7500	9,825.00
1	2000		SOUTHERN BANKSHARES INC W VA	N/A	
1	187.5		RAHALL RLTY INC-INC UNDER WV LAWS CAP STK 175,000PAR VAL 100 PER S	N/A	
			TOTAL MARKET VALUE OF PRICED SECURITIES		32,700.00

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR
PRICING SERVICES - A CURRENT PRICE IS AVAILABLE
FROM YOUR INVESTMENT BROKER UPON REQUEST.

OPEN ORDERS

DATE	TYPE	BUY	SELL	DESCRIPTION	LIMIT PRICE	CURRENT PRICE
2 25	1		1500.00	MFS MULTIMARKET INCOME TR SBI	10.2500	10.1250

ASK ABOUT TWO NEW INCOME FUNDS: MFS INTERMEDIATE INCOME TRUST, A CLOSED END, SHORTER TERM GOVERNMENT SECURITIES FUND FOR CAPITAL PRESERVATION AND HIGH INCOME, AND THE PUTNAM PREMIER INCOME TRUST, AN ACTIVELY-MANAGED PORTFOLIO OF HIGH-YIELDING, FIXED INCOME SECURITIES.

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA. 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
218 1/2 MAIN ST

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 6539-8950	55-6085192

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

STATEMENT PERIOD	PAGE
1/01/88 THRU 1/31/88	1

PORTFOLIO SUMMARY

CURRENT MARKET VALUE	ACCOUNT BALANCE	TOTAL ACCOUNT EQUITY	BUYING POWER
CASH ACCT (1) $73,837		$73,837	

ACCOUNT SUMMARY

TYPE OF ACCOUNT(S)	OPENING BALANCE	TOTAL DEBITS	TOTAL CREDITS	CLOSING BALANCE
CASH ACCT (1)	11,049.18DR	327.78	11,376.96	.00

MONEY MARKET FUND	OPENING BALANCE	TOTAL REDEMPTIONS	TOTAL INVESTMENTS	CLOSING BALANCE
CEF MONEY MKT PORTFOLIO	16,392.67	11,049.18	194.00	5,537.49

INCOME AND EXPENSE	CURRENT PERIOD	YEAR TO DATE
CASH DIVIDENDS CREDITED	327.78CR	327.78CR
CEF DIVIDENDS CREDITED	20.00CR	20.00CR
AVERAGE YIELD 1/01/88 TO 1/15/88	6.78%	

SECURITIES ACCOUNT ACTIVITY THIS PERIOD

DATE	TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
1 04	1	DIVIDEND		SCANA CORP DIV INT ON 300 SHS			174.00
1 04	1	SALE		CEF-MONEY MKT PORTFOLIO	1.0000		11,049.18
1 05	1	PURCHASE		CEF-MONEY MKT PORTFOLIO	1.0000	174.00	
1 08	1	DIVIDEND		MFS MULTIMARKET INCOME TR SBI DIV INT ON 1800 SHS			153.78
1 18	1	DIVIDEND	20	REINVESTED DIVIDEND CEF AS OF 1/15/88			
1 29	1	CHECK ISSUED		CHECK ISSUED 837876		153.78	

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FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

Wheat, First Securities, Inc.

707 EAST MAIN ST., RICHMOND, VA. 23219

STATEMENT

YOUR INVESTMENT BROKER
EDWARD G RAHALL

DUPLICATE FOR THE ACCOUNT OF
EDWARD GEORGE RAHALL TTEE
FBO N JOE RAHALL II
U/A DTD 12/10/82
218 1/2 MAIN ST

ACCOUNT NUMBER	TAX I.D. NUMBER
8K08 8839-8950	55-6085192

NICK RAHALL
343 CANNON BLDG
WASHINGTON DC 20515

STATEMENT PERIOD	PAGE
1/01/88 THRU 1/31/88	2

CURRENT PORTFOLIO

TYPE	LONG	SHORT	DESCRIPTION	PRICE	VALUE
			EQUITIES AND OPTIONS		
1	500		AMER WATER WORKS CO INC	16.5000	8,250.00
1	300		BELLSOUTH CORP	42.0000	12,600.00
1	300		CAROLINA PWR & LIGHT CO	35.6250	10,687.50
1	300		CENTEL CORPORATION	38.0000	11,400.00
1	1500		MFS MULTIMARKET INCOME TR SBI	10.2500	15,375.00
1	300		SCANA CORP	32.6250	9,787.50
1	2000		SOUTHERN BANKSHARES INC W VA	N/A	
1	187.5		RAHALL RLTY INC-INC UNDER WV LAWS CAP STK 175,000PAR VAL 100 PER S	N/A	
			TOTAL MARKET VALUE		68,100.00

N/A - MONTH END PRICE NOT AVAILABLE THROUGH OUR REGULAR PRICING SERVICES - A CURRENT PRICE IS AVAILABLE FROM YOUR INVESTMENT BROKER UPON REQUEST.

YOU SHOULD NOW HAVE YOUR 1987 ANNUAL SUMMARY FROM WHEAT. MONEY MARKET CUSTOMERS MAY RECEIVE A 1099-DIV (GROSS DIVIDENDS) AND A CONFIRMATION (SERVICE FEES PAID) FROM THE FUND FOR THE PERIOD JANUARY-OCTOBER 1987. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR INVESTMENT BROKER.

---END OF STATEMENT---

FOR IMPORTANT ADDITIONAL INFORMATION, PLEASE SEE REVERSE SIDE

SHEARSON
LEHMAN HUTTON

Client Statement
November 28 - December 31, 1988

Page 1 of 8

Account number 885-00087-15 240 Tax Id number 55-6085192

Your Financial Consultant

JAMES A JOSEPH
SHEARSON LEHMAN HUTTON INC.
701 MAIN STREET
SUITE 100
STROUDSBURG PA 18360
717-421-3100

As you requested, copies of this statement have also been sent to:

GERALD T. ROLFE
MANAGER'S COPY
JAMES T SHEPHERD
CONGRESSMAN NICK J. RAHALL II
SHEARSON ASSET MGMT ADVISORY

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
216 MAIN STREET
BECKLEY WV 25801

Account value

Cash balance	$ 1.75
Money funds	185,085.96
Stocks	224,506.25
Options, rights and warrants	850.00
Limited partnerships	*(see Portfolio details)*
Net value this period*	**$ 410,443.96**
Net value last period*	**$ 383,766.96**

**Does not include unpriced securities*

Earnings summary

	This period	This year Taxable	This year Non-taxable
Interest	$ 1.75	$ 37.04	$ 0.00
Dividends	380.50	3,351.00	0.00
Money funds earnings	1,897.86	13,124.34	0.00
Total	**$ 2,280.11**	**$ 16,512.38**	**$ 0.00**

	This period	This year
Other income	$ 197.93	$ 197.93

Borrowing potential

You can borrow against the value of your portfolio to buy additional securities or for other purposes. For more information, please call your Financial Consultant.

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SHEARSON
LEHMAN HUTTON

Client Statement
November 28 - December 31, 1988

***Account number* 685-08087-15 240 EDWARD GEORGE RAHALL TRUSTEE**

Combined cash and money funds balance

	Amounts added	Amounts subtracted	
Combined opening cash and money funds balance			$ 182,609.66
Interest credited	1.75		
Dividends credited	380.50		
Money funds earnings credited	1,897.86		
Other income credited	197.93		
Total	$ 2,478.04	$ 0.00	
Combined closing cash and money funds balance			$ 185,087.70
Cash			1.75
Money funds			185,085.95

Portfolio details

This section shows the holdings in your account. It reflects values as of the close of business on 12/30/88.

Money funds

Description	No. of shares	Market value	Average monthly yield	Comment
SLH DAILY DIVIDEND FUND	185,085.95	$ 185,085.95	7.904%	
Total value of money funds		$ 185,085.95		

Stocks

Common stocks

Description	Exchange	No. of shares	Market price per share	Market value	Anticipated annual income	Comment
BRISTOL MYERS CO	NYSE	400	$ 45.25	$ 18,100.00	$ 800.00	
CAPITAL CITIES/ABC INC	NYSE	100	362.25	36,225.00	20.00	
COMMERCIAL CREDIT GROUP INC FORMERLY COMMERCIAL CREDIT CO	NONE	1,000	21.75	21,750.00	280.00	
CONTEL CORPORATION	NYSE	400	40.375	16,150.00	832.00	
GREAT ATLANTIC&PAC TEA CO INC	NYSE	50	44.625	2,231.25	30.00	
ELI LILLY & CO	NYSE	300	85.50	25,650.00	810.00	
LIN BROADCASTING CORP	OTC	50	71.50	3,575.00		
MERCK & CO INC	NYSE	300	57.75	17,325.00	444.00	
TYCO LABORATORIES INC	NYSE	800	35.75	28,600.00	224.00	

SHEARSON LEHMAN HUTTON

Page 3 of 5

Client Statement
November 28 - December 31, 1988

Account number 685-08887-15 240 EDWARD GEORGE RAHALL TRUSTEE

Stocks
continued

Common stocks

Description	Exchange	No. of shares	Market price per share	Market value	Anticipated annual income	Comment
UPJOHN CO	NYSE	600	$ 28.75	$ 17,250.00	$ 528.00	
WAL-MART STORES INC	NYSE	1,200	31.375	37,650.00	192.00	
Total value of stocks				$ 294,906.38		

Options, rights and warrants

Rights and warrants

Description	Type	Expiration date	No. of rights/warrants	Price	Market value	Comment
WTS SCI HOLDINGS INC	Warrant	12/05/88	200	$ 4.25	$ 850.00	
Total value of options, rights and warrants					$ 850.00	

Limited partnerships

We provide the following information about limited partnerships as an aid to your financial record keeping. It reflects purchases through Shearson Lehman Hutton or information supplied to us. Subsequent transactions, if any, are not reflected unless done through Shearson Lehman Hutton. We will continue to show each investment throughout the life of the partnership, unless you request otherwise. Face amounts do not necessarily reflect current market value.

Description	Face amount	Comment
SCOTTSDALE LAND TRUST L P	$ 20,000.00	Purchase date 09/30/88
Total limited partnerships	$ 20,000.00	

Transaction details

Other security activity

Date	Activity	Description	Quantity
12/16/88	Journal	GREAT ATLANTIC&PAC TEA CO INC FROM 03355 TO 08087	50
12/16/88	Journal	LIN BROADCASTING CORP FROM 03355 TO 08087	50
12/30/88	Adjustment	SCOTTSDALE LAND TRUST L P ACCEPTANCE HAS BEEN ISSUED FACE AMOUNT: 20,000	

SHEARSON LEHMAN HUTTON

Page 4 of 6

Client Statement
November 28 - December 31, 1988

Account number 685-08087-15 240 EDWARD GEORGE RAHALL TRUSTEE

Earnings details

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Interest credited

Date	Description	Taxable	Non-taxable	Comment	Amount
12/21/88	INTEREST ON CREDIT BALANCE AT 8.019% 11/21 THRU12/20	$ 1.75			$ 1.75
Total interest earned		$ 1.75	$ 0.00		$ 1.75

Dividends

This section includes dividends on all investments except money funds that reinvest dividends automatically.

Date	Description	Taxable	Non-taxable	Comment	Total dividend
11/30/88	CONTEL CORPORATION CASH DIV ON 400 SHS REC 11/15/88 PAY 11/30/88	$ 206.00			$ 206.00
12/12/88	ELI LILLY & CO CASH DIV ON 300 SHS REC 11/15/88 PAY 12/10/88	172.50			172.50
Total dividends earned		$ 378.50	$ 0.00		$ 378.50

Money funds earnings

Date	Description	Taxable	Non-taxable	Comment	Amount
12/02/88	SHEARSON DAILY DIVIDEND INC DIV REINVESTED $802.90	$ 802.90			$ 802.90
12/30/88	SLH DAILY DIVIDEND FUND DIV REINVESTED $1,094.96	1,094.96			1,094.96
Total earnings from money funds		$ 1,897.86	$ 0.00		$ 1,897.86

Other income

Date	Description	Comment	Amount
12/02/88	SCOTTSDALE LAND TRUST L P PREFORMATION 02243		$ 197.93
Total other income			$ 197.93

SHEARSON LEHMAN HUTTON

Client Statement
November 28 - December 31, 1988

Account number 885-08087-15 240 EDWARD GEORGE RAHALL TRUSTEE

Money funds details

All transactions are traded at $1.00 per share.

Money funds activity

Date	Activity	Description	Quantity	Amount
	Opening money funds balance			$ 182,232.06
12/05/88	Autoinvest	SHEARSON DAILY DIVIDEND INC	783.54	783.54
12/19/88	Autoinvest	SHEARSON DAILY DIVIDEND INC	172.50	172.50
		MONEY FUNDS EARNINGS (SEE DETAILS UNDER EARNINGS DETAILS)	1,897.96	1,897.96
	Closing money funds balance			$ 185,086.06

Credit resources

Please retain this information for your records.

Borrowing potential

You can borrow on margin against the value of your portfolio to buy additional securities or for an unspecified purpose. Through Express Credit you may borrow an even greater amount. The amount you can borrow is based on the types of investments in your portfolio.

The interest rate we charge on the borrowed money depends on several factors, including how much is borrowed. Last period's average borrowing rate was from 10.50 % to 12.00 %. Amounts borrowed on margin decrease the amount you can borrow for other purposes.

SHEARSON LEHMAN HUTTON

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/16/82 FBO
N JOE RAHALL II
216 MAIN STREET
BECKLEY WV 25801

Page 1 of 8

Client Statement
October 31 - November 27, 1988

***Account number* 655-68687-15 249 *Tax id number* 55-6066192**

Your Financial Consultant

JAMES A JOSEPH
SHEARSON LEHMAN HUTTON INC
701 MAIN STREET
SUITE 100
STROUDSBURG PA
717-421-3100

As you requested, copies of this statement have also been sent to:

GERALD T. ROLFE
MANAGER'S COPY
JAMES T SHEPHERD
CONGRESSMAN NICK J. RAHALL II
SHEARSON ASSET MGMT ADVISORY

Account value

Cash balance	$ 377.61
Money funds	182,232.06
Stocks	210,275.00
Options, rights and warrants	825.00
Limited partnerships	(see Portfolio details)
Net value this period*	$ 393,709.66
Net value last period*	$ [illegible].11

*Does not include unpriced securities

Earnings summary

		This year	
	This period	Taxable	Non-taxable
Interest	$ 1.28	$ 35.29	$ 0.00
Dividends	370.00	2,970.50	0.00
Money funds earnings	1,042.76	11,228.48	0.00
Total	$ 1,414.08	$ 14,232.27	$ 0.39

Borrowing potential

You can borrow against the value of your portfolio to buy additional securities or for other purposes. For more information, please call your Financial Consultant.

SHEARSON LEHMAN HUTTON

Client Statement
October 31 - November 27, 1988

Account number 865-06687-15 246 EDWARD GEORGE RAHALL TRUSTEE

Combined cash and money funds balance

	Amounts added	Amounts subtracted	
Combined opening cash and money funds balance			$ 181,195.61
Interest credited	1.29		
Dividends credited	370.00		
Money funds earnings credited	1,042.76		
Total	$ 1,414.05	$ 0.00	
Combined closing cash and money funds balance			$ 182,609.66
Cash			377.61
Money funds			182,232.05

Portfolio details

This section shows the holdings in your account. It reflects values as of the close of business on 11/25/88.

Money funds

Description	No. of shares	Market value	Average monthly yield	Comment
SHEARSON DAILY DIVIDEND INC	182,232.05	$ 182,232.05	7.60%	Accrued dividends of $ 531.00
Total value of money funds		$ 182,232.05		

Stocks

Common stocks

Description	Exchange	No. of shares	Market price per share	Market value	Anticipated annual income	Comment
BRISTOL MYERS CO	NYSE	400	$ 42.875	$ 17,150.00	$ 672.00	
CAPITAL CITIES/ABC INC	NYSE	100	346.625	34,662.50	20.00	
COMMERCIAL CREDIT GROUP INC FORMERLY COMMERCIAL CREDIT CO	NYSE	1,000	21.50	21,500.00	280.00	
CONTEL CORPORATION	NYSE	400	37.875	15,150.00	832.00	
ELI LILLY & CO	NYSE	300	87.50	26,250.00	690.00	
MERCK & CO INC	NYSE	300	54.875	16,462.50	444.00	
TYCO LABORATORIES INC	NYSE	800	33.25	26,600.00	224.00	

SHEARSON LEHMAN HUTTON

Client Statement

October 31 - November 27, 1988

Account number 685-08087-15 240 EDWARD GEORGE RAHALL TRUSTEE

Stocks *continued*

Common stocks

Description	Exchange	No. of shares	Market price per share	Market value	Anticipated annual income	Comment
UPJOHN CO	NYSE	600	$ 27.75	$ 16,650.00	$ 528.00	
WAL-MART STORES INC	NYSE	1,200	29.875	35,850.00	192.00	
Total value of stocks				$ 210,275.00		

Options, rights and warrants

Rights and warrants

Description	Type	Expiration date	No. of rights/warrants	Price	Market value	Comment
WTS SCI HOLDINGS INC	Warrant	12/05/93	200	$ 4.125	$ 825.00	
Total value of options, rights and warrants					$ 825.00	

Limited partnerships

We provide the following information about limited partnerships as an aid to your financial record keeping. It reflects purchases through Shearson Lehman Hutton or information supplied to us. Subsequent transactions, if any, are not reflected unless done through Shearson Lehman Hutton. We will continue to show each investment throughout the life of the partnership, unless you request otherwise. Face amounts do not necessarily reflect current market value.

Description	Face amount	Comment
SCOTTSDALE LAND TRUST L P SUBJ TO CLOSE OR ACPT BY SPNSR	$ 20,000.00	Purchase date 09/30/88
Total limited partnerships	$ 20,000.00	

Earnings details

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Interest credited

Date	Description	Taxable	Non-taxable	Comment	Amount
11/21/88	INTEREST ON CREDIT BALANCE AT 7.527% 10/21 THRU11/20	$ 1.29			$ 1.29
Total interest earned		$ 1.29	$ 0.00		$ 1.29

Client Statement
October 31 - November 27, 1988

***Account number* 685-08087-15_240_ EDWARD GEORGE RAHALL TRUSTEE**

Dividends

This section includes dividends on all investments except money funds that reinvest dividends automatically.

Date	Description	Taxable	Non-taxable	Comment	Total dividend
11/01/88	BRISTOL MYERS CO CASH DIV ON 400 SHS REC 10/07/88 PAY 11/01/88	$ 168.00			$ 168.00
11/01/88	UPJOHN CO CASH DIV ON 600 SHS REC 10/06/88 PAY 11/01/88	132.00			132.00
11/25/88	COMMERCIAL CREDIT GROUP INC FORMERLY COMMERCIAL CREDIT CO CASH DIV ON 1000 SHS REC 11/04/88 PAY 11/25/88	70.00			70.00
Total dividends earned		**$ 370.00**	**$ 0.00**		**$ 370.00**

Money funds earnings

Date	Description	Taxable	Non-taxable	Comment	Amount
11/11/88	SHEARSON DAILY DIVIDEND INC DIV REINVESTED $1,042.76	$ 1,042.76			$ 1,042.76
Total earnings from money funds		**$ 1,042.76**	**$ 0.00**		**$ 1,042.76**

Money funds details

All transactions are traded at $1.00 per share.

Money funds activity

Date	Activity	Description	Quantity	Amount
	Opening money funds balance			**$ 101,185.29**
		MONEY FUNDS EARNINGS (SEE DETAILS UNDER EARNINGS DETAILS)	1,042.76	1,042.76
	Closing money funds balance			**$ 102,228.05**

SHEARSON LEHMAN HUTTON

Page 1 of 8

Client Statement
October 1 - October 30, 1988

***Account number* 685-08087-15 240 *Tax id number* 55-6085192**

Your Financial Consultant

JAMES A JOSEPH
SHEARSON LEHMAN HUTTON INC.
701 MAIN STREET
SUITE 100
STROUDSBURG PA
717-421-3100

As you requested, copies of this statement have also been sent to:

GERALD F. ROLFE
MANAGER'S COPY
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CONGRESSMAN NICK J. RAHALL II

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
216 MAIN STREET
BECKLEY WV 25801

Account value

Cash balance	$ 6.32
Money funds	181,169.29
Stocks	222,537.50
Options, rights and warrants	550.00
Limited partnerships	*(see Portfolio details)*
Net value this period*	**$ 404,263.11**
Net value last period*	**$ 401,[illegible]**

*Does not include unpriced securities

Earnings summary

		This year	
	This period	Taxable	Non-taxable
Interest	$ 1.32	$ 34.00	$ 0.00
Dividends	212.00	2,600.50	0.00
Money funds earnings	1,410.64	10,183.72	0.00
Total	$ 1,623.96	$ 12,818.22	$ 0.00

Borrowing potential

You can borrow against the value of your portfolio to buy additional securities or for other purposes. For more information, please call your Financial Consultant.

SHEARSON LEHMAN HUTTON

Client Statement
October 1 - October 30, 1988

Account number 685-88887-15 240 EDWARD GEORGE RAHALL TRUSTEE

Combined cash and money funds balance

	Amounts added	Amounts subtracted	
Combined opening cash and money funds balance			$ 194,973.68
Securities bought and other subtractions		-15,402.03	
Securities sold and other additions	0.00		
Interest credited	1.32		
Dividends credited	212.00		
Money funds earnings credited	1,410.64		
Total	$ 1,623.96	$ -15,402.03	
Combined closing cash and money funds balance			$ 181,195.61
Cash			6.32
Money funds			181,189.29

Portfolio details

This section shows the holdings in your account. It reflects values as of the close of business on 10/28/88.

Money funds

Description	No. of shares	Market value	Average monthly yield	Comment
SHEARSON DAILY DIVIDEND INC	181,189.29	$ 181,189.29	7.363%	Accrued dividends of $ 513.85
Total value of money funds		**$ 181,189.29**		

Stocks

Common stocks

Description	Exchange	No. of shares	Market price per share	Market value	Anticipated annual income	Comment
BRISTOL MYERS CO	NYSE	400	$ 44.375	$ 17,750.00	$ 672.00	
CAPITAL CITIES/ABC INC	NYSE	100	359.00	35,900.00	20.00	
COMMERCIAL CREDIT GROUP INC FORMERLY COMMERCIAL CREDIT CO	NYSE	1,000	23.75	23,750.00	280.00	
CONTEL CORPORATION	NYSE	400	37.625	15,050.00	832.00	
ELI LILLY & CO	NYSE	300	89.50	26,850.00	600.00	
MERCK & CO INC	NYSE	300	57.125	17,137.50	444.00	
TYCO LABORATORIES INC	NYSE	800	36.00	28,800.00	192.00	

SHEARSON LEHMAN HUTTON

Client Statement
October 1 - October 30, 1988

Account number* 685-08087-15 240 *EDWARD GEORGE RAHALL TRUSTEE

Stocks
continued

Common stocks

Description	Exchange	No. of shares	Market price per share	Market value	Anticipated annual income	Comment
UPJOHN CO	NYSE	600	$ 32.50	$ 19,500.00	$ 528.00	
WAL-MART STORES INC	NYSE	1,200	31.50	37,800.00	192.00	
Total value of stocks				**$ 222,537.50**		

Options, rights and warrants

Rights and warrants

Description	Type	Expiration date	No. of rights/warrants	Price	Market value	Comment
WTS SCI HOLDINGS INC	Warrant	12/05/95	200	$ 2.75	$ 550.00	
Total value of options, rights and warrants					**$ 660.00**	

Limited partnerships

We provide the following information about limited partnerships as an aid to your financial record keeping. It reflects purchases through Shearson Lehman Hutton or information supplied to us. Subsequent transactions, if any, are not reflected unless done through *Shearson Lehman Hutton. We will continue to show each investment throughout the life of the partnership, unless you request otherwise. Face amounts do not necessarily reflect current market value.*

Description	Face amount	Comment
SCOTTSDALE LAND TRUST L P SUBJ TO CLOSE OR ACPT BY SPNSR	$ 20,000.00	Purchase date 09/30/88
Total limited partnerships	**$ 20,000.00**	

Transaction details

Investment activity

Date	Activity	Description	Quantity	Price	Amount
10/07/88	Bought	CONTEL CORPORATION	400	$ 38.00	$ -15,402.03
Total securities bought and other subtractions					**$ -15,402.03**
Total securities sold and other additions					**$ 0.00**

SHEARSON
LEHMAN HUTTON

Client Statement
October 1 - October 30, 1988

Account number 685-08087-15 240 EDWARD GEORGE RAHALL TRUSTEE

Earnings details

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

	Date	Description	Taxable	Non-taxable	Comment	Amount
Interest credited	10/21/88	INTEREST ON CREDIT BALANCE AT 7.237% 9/21 THRU10/20	$ 1.32			$ 1.32
	Total interest earned		$ 1.32	$ 0.00		$ 1.32

Dividends *This section includes dividends on all investments except money funds that reinvest dividends automatically.*

Date	Description	Taxable	Non-taxable	Comment	Total dividend
10/03/88	MERCK & CO INC CASH DIV ON 300 SHS REC 09/02/88 PAY 10/03/88	$ 111.00			$ 111.00
10/03/88	TYCO LABORATORIES INC CASH DIV ON 800 SHS REC 09/08/88 PAY 10/03/88	48.00			48.00
10/03/88	WAL-MART STORES INC CASH DIV ON 1200 SHS REC 09/02/88 PAY 10/03/88	48.00			48.00
10/24/88	CAPITAL CITIES/ABC INC CASH DIV ON 100 SHS REC 10/03/88 PAY 10/24/88	5.00			5.00
Total dividends earned		$ 212.00	$ 0.00		$ 212.00

	Date	Description	Taxable	Non-taxable	Comment	Amount
Money funds earnings	10/14/88	SHEARSON DAILY DIVIDEND INC DIV REINVESTED $1,410.64	$ 1,410.64			$ 1,410.64
	Total earnings from money funds		$ 1,410.64	$ 0.00		$ 1,410.64

SHEARSON
LEHMAN HUTTON

Client Statement
October 1 - October 30, 1988

Account number 885-08887-15 240 EDWARD GEORGE RAHALL TRUSTEE

Money funds details

All transactions are traded at $1.00 per share.

Money funds activity

Date	Activity	Description	Quantity	Amount
	Opening money funds balance			$ 194,973.68
10/07/88	Redemption	* SHEARSON DAILY DIVIDEND INC	- -15,195.03	-15,195.03
		MONEY FUNDS EARNINGS (SEE DETAILS UNDER EARNINGS DETAILS)	1,410.64	1,410.64
	Closing money funds balance			$ 181,189.29

Credit resources

Please retain this information for your records.

Borrowing potential

You can borrow on margin against the value of your portfolio to buy additional securities or for an unspecified purpose. Through Express Credit you may borrow an even greater amount. The amount you can borrow is based on the types of investments in your portfolio.

The interest rate we charge on the borrowed money depends on several factors, including how much is borrowed. Last period's average borrowing rate was from 10.25 % to 11.75 %. Amounts borrowed on margin decrease the amount you can borrow for other purposes.

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Client Statement

685 08087 15 240 08/01/88 TO 08/26/88 PAGE 1

CONGRESSMAN NICK J. RAHALL II
THE CANNON BUILDING
WASHINGTON, DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. GERALD T. ROLFE
2. MANAGER'S COPY
3. JAMES T SHEPHERD
4. CONGRESSMAN NICK J. RAHALL II

NT	685 08087 15 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
	58-6065192	
CIAL LTANT	JAMES A JOSEPH 717-421-3100	

ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE (CASH PLUS MONEY FUNDS)		211,913.64
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		1,657.75
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		213,571.39

MARKET VALUE	408,219	SHORT BALANCE	.00
EQUITY	408,221	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

PORTFOLIO INCOME SUMMARY

	CURRENT PERIOD	YEAR TO DATE
N FUND DIVIDENDS	1,379.57	7,613.61
E FUND DIVIDENDS	.00	.00
T FUND DIVIDENDS	.00	.00
IDENDS	276.00	2,146.00
ABLE INTEREST	2.18	32.36
-TAXABLE INTEREST	.00	.00
ER INCOME	.00	.00
TOTAL	1,657.75	9,791.97

NTH'S AVERAGE BORROWING RATE: 10.00% TO 11.50%.

FOR ACCOUNT OF:
EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
~~216 MAIN STREET~~
BECKLEY WEST VIRGINIA 25801

SHEARSON
MAN HUTTON

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Client Statement

EDWARD GEORGE RAHALL TRUSTEE 685 00007 15 240 08/01/88 TO 08/26/88 PAGE 2

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/PRICE	AMOUNT	BALANCES CASH	BALANCES MONEY FUND
			**** OPENING BALANCES ****			442.47CR	211,471.17
8/01/88			BRISTOL MYERS CO CASH DIV ON 400 SHS REC 07/01/88 PAY 08/01/88	DIV-RECD.	168.00CR	610.47CR	
8/01/88			UPJOHN CO CASH DIV ON 600 SHS REC 07/07/88 PAY 08/01/88	DIV-RECD.	108.00CR	718.47CR	
8/10/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	718.47	.00	
8/12/88			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $1,379.57	DIVIDEND	1,379.57CR	.00	
8/22/88			INTEREST ON CREDIT BALANCE AT 6.916% 7/21 THRU 8/21	INT-EARNED	2.10CR	2.10CR	
			**** CLOSING BALANCES ****			2.10CR	213,569.21

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
400	BRISTOL MYERS CO	42.00	16,800	22	4.1	1.68	672.00	4.0	A+	2.69	16
100	CAPITAL CITIES/ABC INC	312.50	31,250	14	7.7	.20	20.00	.0	A	20.04	16
1,000	COMMERCIAL CREDIT GROUP INC FORMERLY COMMERCIAL CREDIT CO	25.25	25,250	28	6.2	.28	280.00	1.1	NR	2.04	12
300	ELI LILLY & CO	83.62	25,087	22	6.1	2.30	690.00	2.7	A+	4.88	17
300	MERCK & CO INC	55.37	16,612	22	4.1	1.48	444.00	2.6	A+	2.64	21
800	TYCO LABORATORIES INC	30.75	24,600	26	6.0	.24	192.00	.7	A-	1.93	16
600	UPJOHN CO	31.00	18,600	22	4.6	.72	432.00	2.3	A+	1.76	18
1,200	WAL-MART STORES INC	30.37	36,450	52	8.9	.16	192.00	.5	A+	1.27	24
	*** UNPRICED SECURITIES ***										
200	MTS SCI HOLDINGS INC EXP 11-8-95					.00	.00	.0		.00	
	SUB TOTAL		194,650		47.7		2922.00				
	*** MONEY FUND POSITIONS ***										
213,569	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 07/11/88 THRU 08/14/88	1.00	213,569	SA	52.3	.00	.00	.0		.00	

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Client Statement

EDWARD GEORGE RAHALL TRUSTEE 685 08087 15 240 08/01/88 TO 08/28/88 PAGE 3

PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
	AVG YIELD FOR PERIOD 6.08%										
	MONEY FUND TOTAL		213,549		52.3		.00				
	TOTALS		408,219		100.0		2922.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.

BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
BROADCASTING & TV	7.7	31,250	2B	FINANCE COMPANIES	6.2	25,250
DRUG & COSMETICS	18.9	77,100	5Z	MERCHANDISING	8.9	36,400
ELECTRONICS	6.0	24,600	5A	MONEY FUNDS	52.3	213,549

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Client Statemen

685 08087 15 240

04/27/88 TO 07/31/88 PAGE 1

CONGRESSMAN NICK J. RAHALL II
THE CANNON BUILDING
WASHINGTON, DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. GERALD T. ROLFE
2. MANAGER'S COPY
3. JAMES T SHEPHERD
4. CONGRESSMAN NICK J. RAHALL II

IT	685 08087 15 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
	55-6085192	
:IAL .TANT	JAMES A JOSEPH 717-421-3100	

PORTFOLIO INCOME SUMMARY

	CURRENT PERIOD	YEAR TO DATE
(FUND DIVIDENDS	1,060.87	6,234.04
[FUND DIVIDENDS	.00	.00
' FUND DIVIDENDS	.00	.00
:DENDS	197.00	1,870.00
\BLE INTEREST	1.92	30.18
-TAXABLE INTEREST	.00	.00
:R INCOME	.00	.00
TOTAL	1,259.79	8,134.22

NTH'S AVERAGE BORROWING RATE: 9.50% TO 11.00%.

ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE (CASH PLUS MONEY FUNDS)		$10,653.85
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		1,259.79
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		$11,913.64

MARKET VALUE	414,380	SHORT BALANCE	.00
EQUITY	414,801	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

FOR ACCOUNT OF:

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
216 MAIN STREET
BECKLEY WEST VIRGINIA 25801

SHEARSON
HMAN HUTTON

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Client Statement

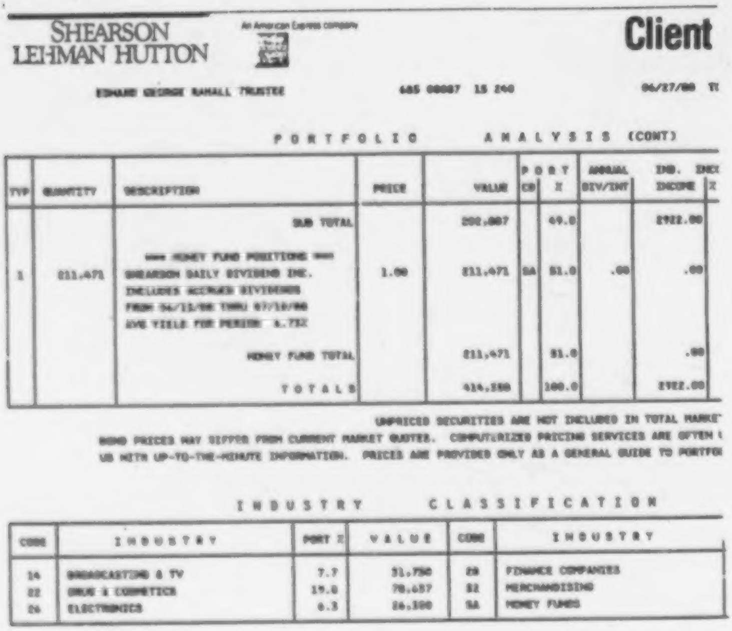
EDWARD GEORGE RAHALL TRUSTEE   685 08087 15 240   06/27/88 TO 07/31/88   PAGE 2

TRANSACTION ANALYSIS

ATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES MONEY FUND
			**** OPENING BALANCES ****			243.55CR	210,410.30
/01/88			TYCO LABORATORIES INC CASH DIV ON 800 SHS REC 06/07/88 PAY 07/01/88	DIV-RECD.	48.00CR	291.55CR	
/05/88			MERCK & CO INC CASH DIV ON 300 SHS REC 06/09/88 PAY 07/05/88	DIV-RECD.	96.00CR	387.55CR	
/08/88			WAL-MART STORES INC CASH DIV ON 1200 SHS REC 06/17/88 PAY 07/08/88	DIV-RECD.	48.00CR	435.55CR	
/08/88			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $1,060.87	DIVIDEND	1,060.87CR	435.55CR	
/18/88			CAPITAL CITIES/ABC INC CASH DIV ON 100 SHS REC 06/30/88 PAY 07/18/88	DIV-RECD.	5.00CR	440.55CR	
/21/88			INTEREST ON CREDIT BALANCE AT 6.617% 6/21 THRU 7/20	INT-EARNED	1.92CR	442.47CR	
			**** CLOSING BALANCES ****			442.47CR	211,471.17

PORTFOLIO ANALYSIS

UANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
400	BRISTOL MYERS CO	43.12	17,250	22	4.2	1.68	672.00	3.8	A+	2.69	16
100	CAPITAL CITIES/ABC INC	317.50	31,750	14	7.7	.20	20.00	.0	A	20.04	16
1,000	COMMERCIAL CREDIT GROUP INC FORMERLY COMMERCIAL CREDIT CO	26.75	26,750	28	6.5	.28	280.00	1.0	NR	2.04	13
300	ELI LILLY & CO	83.75	25,125	22	6.1	2.30	690.00	2.7	A+	4.88	17
300	MERCK & CO INC	55.87	16,762	22	4.0	1.48	444.00	2.6	A+	2.66	21
800	TYCO LABORATORIES INC	32.87	26,300	26	6.3	.24	192.00	.7	A-	1.93	17
600	UPJOHN CO	32.50	19,500	22	4.7	.72	432.00	2.2	A+	1.76	18
1,200	WAL-MART STORES INC	32.87	39,450	32	9.5	.16	192.00	.4	A+	1.19	28
	*** UNPRICED SECURITIES ***										
200	MTS SCI HOLDINGS INC EXP 12-5-95					.00	.00	.0		.00	



SHEARSON
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EDWARD GEORGE RAHALL TRUSTEE   685 08087 15 240   06/27/88 T

P O R T F O L I O   A N A L Y S I S (CONT)

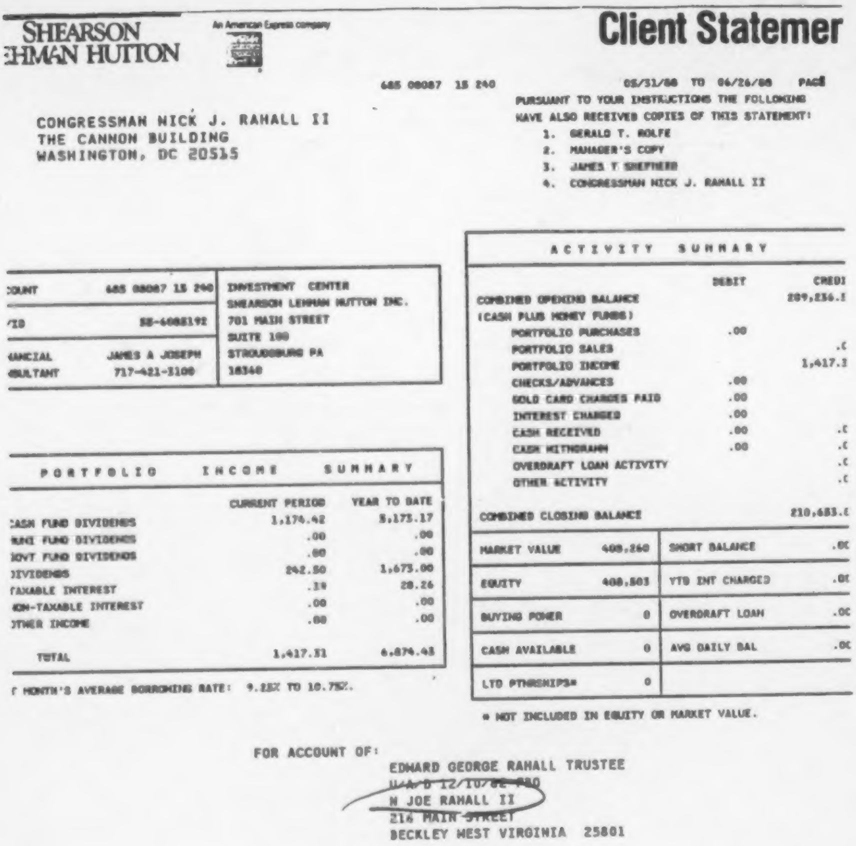
TYP	QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME	INC %
		SUB TOTAL		202,887		49.0		2922.00	
		*** MONEY FUND POSITIONS ***							
1	211,471	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 06/13/88 THRU 07/10/88 AVG YIELD FOR PERIOD 6.73%	1.00	211,471	SA	51.0	.00	.00	
		MONEY FUND TOTAL		211,471		51.0		.00	
		T O T A L S		414,358		100.0		2922.00	

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKE

BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN
US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFO

I N D U S T R Y   C L A S S I F I C A T I O N

CODE	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY
14	BROADCASTING & TV	7.7	31,750	28	FINANCE COMPANIES
22	DRUG & COSMETICS	19.0	78,657	52	MERCHANDISING
26	ELECTRONICS	6.3	26,300	SA	MONEY FUNDS



SHEARSON
EHMAN HUTTON

An American Express company

Client Statemer

685 08087 15 240

05/31/88 TO 06/26/88 PAGE

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. GERALD T. ROLFE
2. MANAGER'S COPY
3. JAMES T SHEPHERD
4. CONGRESSMAN NICK J. RAHALL II

CONGRESSMAN NICK J. RAHALL II
THE CANNON BUILDING
WASHINGTON, DC 20515

COUNT	685 08087 15 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
/ID	58-6088192	
ANCIAL SULTANT	JAMES A JOSEPH 717-421-3100	

PORTFOLIO INCOME SUMMARY

	CURRENT PERIOD	YEAR TO DATE
ASH FUND DIVIDENDS	1,174.42	5,173.17
UNI FUND DIVIDENDS	.00	.00
OVT FUND DIVIDENDS	.00	.00
IVIDENDS	242.50	1,673.00
AXABLE INTEREST	.19	28.26
ON-TAXABLE INTEREST	.00	.00
THER INCOME	.00	.00
TOTAL	1,417.31	6,874.43

MONTH'S AVERAGE BORROWING RATE: 9.25% TO 10.75%.

ACTIVITY SUMMARY

	DEBIT	CREDI
COMBINED OPENING BALANCE (CASH PLUS MONEY FUNDS)		209,236.
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.
PORTFOLIO INCOME		1,417.3
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.
CASH WITHDRAWN	.00	.
OVERDRAFT LOAN ACTIVITY		.
OTHER ACTIVITY		.
COMBINED CLOSING BALANCE		210,683.

MARKET VALUE	408,260	SHORT BALANCE	.0
EQUITY	408,503	YTD INT CHARGED	.0
BUYING POWER	0	OVERDRAFT LOAN	.0
CASH AVAILABLE	0	AVG DAILY BAL	.0
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

FOR ACCOUNT OF:

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
214 MAIN STREET
BECKLEY WEST VIRGINIA 25801

SHEARSON
HMAN HUTTON

An American Express company

Client Statemen

EDWARD GEORGE RAHALL TRUSTEE   685 03087 15 240   05/31/88 TO 06/24/88   PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES MONEY FUN
			**** OPENING BALANCES ****			.66CR	209,235.88
06/03/88	200		MERCK & CO INC STK SPLIT ON 100 SHS	DISTRIBUTN		.66CR	
06/10/88			ELI LILLY & CO CASH DIV ON 300 SHS REC 05/13/88 PAY 06/10/88	DIV-RECD.	172.50CR	173.16CR	
06/10/88			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $1,174.42	DIVIDEND	1,174.42CR	173.16CR	
06/17/88			COMMERCIAL CREDIT CO CASH DIV ON 1000 SHS REC 06/01/88 PAY 06/17/88	DIV-RECD.	70.00CR	243.16CR	
06/21/88			INTEREST ON CREDIT BALANCE AT 6.431% 5/23 THRU 6/20	INT-EARNED	.39CR	243.55CR	
			**** CLOSING BALANCES ****			243.55CR	210,410.3

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNING PER SHR	P/
400	BRISTOL MYERS CO	40.12	16,050	22	3.9	1.68	672.00	4.1	A+	2.59	1
100	CAPITAL CITIES/ABC INC	313.75	31,375	14	7.7	.20	20.00	.0	A	19.19	1
1,000	COMMERCIAL CREDIT GROUP INC FORMERLY COMMERCIAL CREDIT CO	27.25	27,250	28	6.7	.28	280.00	1.0	NR	.75	3
300	ELI LILLY & CO	83.75	25,125	22	6.2	2.30	690.00	2.7	A+	4.63	1
300	MERCK & CO INC	55.25	16,575	22	4.1	1.28	384.00	2.3	A+	2.42	2
800	TYCO LABORATORIES INC	33.50	26,800	26	6.6	.24	192.00	.7	A-	1.20	2
600	UPJOHN CO	31.62	18,975	22	4.6	.72	432.00	2.2	A+	1.71	1
1,200	WAL-MART STORES INC	29.75	35,700	52	8.7	.16	192.00	.5	A+	1.19	2
	*** UNPRICED SECURITIES ***										
200	MTS SCI HOLDINGS INC EXP 12-5-95					.00	.00	.0		.00	
	SUB TOTAL		197,850		48.5		2862.00				
	*** MONEY FUND POSITIONS ***										
210,410	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS	1.00	210,410	SA	51.5	.00	.00	.0		.00	

SHEARSON
HMAN HUTTON

An American Express company

Client Statemen

EDWARD GEORGE RAHALL TRUSTEE 685 08087 15 240 05/31/88 TO 06/26/88 PAGE

P O R T F O L I O A N A L Y S I S (CONT)

	QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	% YLD	S&P RTG	IND EARNINGS PER SHR	P/
		FROM 05/12/88 THRU 06/12/88 AVG YIELD FOR PERIOD 6.52%										
		MONEY FUND TOTAL		210,410		51.5		.00				
		T O T A L S		408,260		100.0		2842.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.

BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

I N D U S T R Y C L A S S I F I C A T I O N

DE	I N D U S T R Y	PORT %	V A L U E	CODE	I N D U S T R Y	PORT %	V A L U E
.4	BROADCASTING & TV	7.7	31,375	28	FINANCE COMPANIES	6.7	27,200
:2	DRUG & COSMETICS	18.8	76,725	52	MERCHANDISING	8.7	35,700
:6	ELECTRONICS	6.6	26,000	SA	MONEY FUNDS	51.5	210,410

SHEARSON
HMAN HUTTON

An American Express company

Client Statemen

685 08087 18 240

05/01/88 TO 05/30/88 PAGE

CONGRESSMAN NICK J. RAHALL II
THE CANNON BUILDING
WASHINGTON, DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. GERALD T. ROLFE
2. MANAGER'S COPY
3. JAMES T SHEPHERD
4. CONGRESSMAN NICK J. RAHALL II

OUNT	685 08087 18 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
ID	88-6065192	
ANCIAL SULTANT	JAMES A JOSEPH 717-421-3100	

PORTFOLIO INCOME SUMMARY

	CURRENT PERIOD	YEAR TO DATE
ASH FUND DIVIDENDS	1,235.88	3,998.75
UNI FUND DIVIDENDS	.00	.00
OVT FUND DIVIDENDS	.00	.00
IVIDENDS	276.00	1,430.50
AXABLE INTEREST	.66	27.87
ON-TAXABLE INTEREST	.00	.00
THER INCOME	.00	.00
TOTAL	1,512.54	5,457.12

MONTH'S AVERAGE BORROWING RATE: 9.00% TO 10.50%.

ACTIVITY SUMMARY

	DEBIT	CREDI
COMBINED OPENING BALANCE (CASH PLUS MONEY FUNDS)		287,724.0
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.0
PORTFOLIO INCOME		1,512.5
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.0
CASH WITHDRAWN	.00	.0
OVERDRAFT LOAN ACTIVITY		.0
OTHER ACTIVITY		.0
COMBINED CLOSING BALANCE		289,236.5

MARKET VALUE	385,073	SHORT BALANCE	.0
EQUITY	385,074	YTD INT CHARGED	.0
BUYING POWER	0	OVERDRAFT LOAN	.0
CASH AVAILABLE	0	AVG DAILY BAL	.0
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

FOR ACCOUNT OF:

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
216 MAIN STREET
BECKLEY WEST VIRGINIA 25801

SHEARSON
HMAN HUTTON
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EDWARD GEORGE HAHALL TRUSTEE   665 08887 15 240   05/01/88 TO 05/31/88   PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES MONEY FUN
			**** OPENING BALANCES ****			274.02CR	207,468.90
05/02/88			BRISTOL MYERS CO CASH DIV ON 400 SHS REC 03/31/88 PAY 05/01/88	DIV-RECD.	160.00CR	434.02CR	
05/02/88			UPJOHN CO CASH DIV ON 600 SHS REC 04/07/88 PAY 05/02/88	DIV-RECD.	100.00CR	534.02CR	
05/04/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	534.02	.00	
05/11/88			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $1,235.08	DIVIDEND	1,235.08CR	.00	
05/23/88			INTEREST ON CREDIT BALANCE AT 6.113% 4/21 THRU 5/22	INT-EARNED	.66CR	.66CR	
			**** CLOSING BALANCES ****			.66CR	209,238.00

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/
400	BRISTOL MYERS CO	40.25	16,100	22	4.2	1.68	672.00	4.1	A+	2.59	1
100	CAPITAL CITIES/ABC INC	301.00	30,100	14	7.8	.20	20.00	.0	A	19.19	1
1,000	COMMERCIAL CREDIT CO	24.62	24,625	20	6.4	.28	280.00	1.1	NR	.75	3
300	ELI LILLY & CO	77.87	23,362	22	6.1	2.30	690.00	2.9	A+	4.63	1
100	MERCK & CO INC	50.75	5,075	22	1.3	1.28	128.00	2.5	A+	2.42	2
800	TYCO LABORATORIES INC	31.12	24,900	26	6.5	.24	192.00	.7	A-	1.20	2
600	UPJOHN CO	31.62	18,975	22	4.9	.72	432.00	2.2	A+	1.71	1
1,200	WAL-MART STORES INC	27.25	32,700	52	8.5	.16	192.00	.5	A+	1.19	2
	*** UNPRICED SECURITIES ***										
200	HTS SCI HOLDINGS INC EXP 12-8-95				-	.00	.00	.0		.00	
	SUB TOTAL		175,837		45.7		2606.00				
	*** MONEY FUND POSITIONS ***										
209,238	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 04/07/88 THRU 05/11/88 AVG YIELD FOR PERIOD 6.23%	1.00	209,238	SA	54.3	.00	.00	.0		.00	

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EDWARD GEORGE RANALL TRUSTEE   685 06087 15 240   06/01/88 TO 06/30/88   PAGE

PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CS	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNIN PER SHR	P/
	MONEY FUND TOTAL		209,235		54.3		.00				
	TOTALS		385,073		100.0		2606.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.

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INDUSTRY CLASSIFICATION

DE	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
4	BROADCASTING & TV	7.8	30,100	28	FINANCE COMPANIES	6.4	24,625
2	DRUG & COSMETICS	16.5	63,512	52	MERCHANDISING	8.5	32,700
6	ELECTRONICS	6.5	24,900	5A	MONEY FUNDS	54.3	209,235

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685 08087 15 240

03/28/88 TO 04/30/88 PAGE

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3. JAMES T SHEPHERD
4. CONGRESSMAN NICK J. RAHALL II

CONGRESSMAN NICK J. RAHALL II
THE CANNON BUILDING
WASHINGTON, DC 20515

UNT	685 08087 15 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
ID	55-6085192	
NCIAL SULTANT	JAMES A JOSEPH 717-421-3130	

PORTFOLIO INCOME SUMMARY

	CURRENT PERIOD	YEAR TO DATE
ASH FUND DIVIDENDS	828.44	2,762.87
MI FUND DIVIDENDS	.00	.00
VT FUND DIVIDENDS	.00	.00
IVIDENDS	197.00	1,154.50
XABLE INTEREST	.74	27.21
N-TAXABLE INTEREST	.00	.00
THER INCOME	.00	.00
TOTAL	1,026.18	3,944.58

MONTH'S AVERAGE BORROWING RATE: 8.75% TO 10.25%.

ACTIVITY SUMMARY

	DEBIT	CREDI
COMBINED OPENING BALANCE (CASH PLUS MONEY FUNDS)		206,697.8
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.0
PORTFOLIO INCOME		1,026.1
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.0
CASH WITHDRAWN	.00	.0
OVERDRAFT LOAN ACTIVITY		.0
OTHER ACTIVITY		.0
COMBINED CLOSING BALANCE		207,724.0

MARKET VALUE	393,483	SHORT BALANCE	.00
EQUITY	394,111	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

FOR ACCOUNT OF:

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
216 MAIN STREET
BECKLEY WEST VIRGINIA 25801

SHEARSON
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EDWARD GEORGE RAHALL TRUSTEE   685 08087 15 240   03/28/88 TO 04/30/88 PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES MONEY FUN
			**** OPENING BALANCES ****			60.28CR	206,637.54
04/04/88			MERCK & CO INC CASH DIV ON 100 SHS REC 03/04/88 PAY 04/04/88	DIV-RECD.	96.00CR	156.28CR	
04/04/88			TYCO LABORATORIES INC CASH DIV ON 800 SHS REC 03/08/88 PAY 04/01/88	DIV-RECD.	48.00CR	204.28CR	
04/06/88			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $828.44	DIVIDEND	828.44CR	204.28CR	
04/11/88			WAL-MART STORES INC CASH DIV ON 1200 SHS REC 03/23/88 PAY 04/11/88	DIV-RECD.	48.00CR	252.28CR	
04/18/88			CAPITAL CITIES/ABC INC CASH DIV ON 100 SHS REC 03/28/88 PAY 04/18/88	DIV-RECD.	5.00CR	257.28CR	
04/21/88			INTEREST ON CREDIT BALANCE AT 5.845% 3/21 THRU 4/20	INT-EARNED	.74CR	258.02CR	
			**** CLOSING BALANCES ****			258.02CR	207,465.98

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNING PER SHR	P/
400	BRISTOL MYERS CO	40.62	16,250	22	4.1	1.68	672.00	4.1	A+	2.59	1
100	CAPITAL CITIES/ABC INC	325.00	32,500	14	8.3	.20	20.00	.0	A	19.19	1
1,000	COMMERCIAL CREDIT CO	24.00	24,000	28	6.1	.24	240.00	1.0	NR	.75	3
300	ELI LILLY & CO	80.00	24,000	22	6.1	2.30	690.00	2.8	A+	4.63	1
100	MERCK & CO INC	157.37	15,737	22	4.0	3.84	384.00	2.4	A+	7.27	2
800	TYCO LABORATORIES INC	29.00	23,200	26	5.9	.24	192.00	.8	A-	1.20	2
600	UPJOHN CO	29.00	17,400	22	4.4	.72	432.00	2.4	A+	1.71	1
1,200	WAL-MART STORES INC	27.75	33,300	52	8.5	.16	192.00	.5	A+	1.11	2
	*** UNPRICED SECURITIES ***										
200	MTS SCI HOLDINGS INC EXP 12-5-95					.00	.00	.0		.00	
	SUB TOTAL		184,387		47.3		2822.00				

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EDWARD GEORGE RAHALL TRUSTEE 685 08087 15 240 03/26/88 TO 04/30/88 PAGE

PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/
	*** MONEY FUND POSITIONS ***										
207,465	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 03/14/88 THRU 04/06/88 AVG YIELD FOR PERIOD 6.21%	1.00	207,465	SA	52.7	.00	.00	.0		.00	
	MONEY FUND TOTAL		207,465		52.7		.00				
	TOTALS		393,883		100.0		2822.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.

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INDUSTRY CLASSIFICATION

E	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
	BROADCASTING & TV	8.3	32,500	28	FINANCE COMPANIES	6.1	24,000
	DRUG & COSMETICS	18.6	73,387	52	MERCHANDISING	8.5	33,300
	ELECTRONICS	5.9	23,200	SA	MONEY FUNDS	52.7	207,465

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685 08087 15 240

02/29/88 TO 03/27/88 PAGE

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3. JAMES T SHEPHERD
4. CONGRESSMAN NICK J. RAHALL II

CONGRESSMAN NICK J. RAHALL II
THE CANNON BUILDING
WASHINGTON, DC 20515

COUNT	685 08087 15 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
/ID	58-6085192	
NANCIAL NSULTANT	JAMES A JOSEPH 717-421-3100	

PORTFOLIO INCOME SUMMARY

	CURRENT PERIOD	YEAR TO DATE
CASH FUND DIVIDENDS	928.65	1,934.43
MUNI FUND DIVIDENDS	.00	.00
GOVT FUND DIVIDENDS	.00	.00
DIVIDENDS	232.50	957.50
TAXABLE INTEREST	.28	26.47
NON-TAXABLE INTEREST	.00	.00
OTHER INCOME	.00	.00
TOTAL	1,161.43	2,918.40

T MONTH'S AVERAGE BORROWING RATE: 8.50% TO 10.00%.

ACTIVITY SUMMARY

	DEBIT	CRED
COMBINED OPENING BALANCE (CASH PLUS MONEY FUNDS)		205,536.
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.
PORTFOLIO INCOME		1,161.
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.
CASH WITHDRAWN	.00	.
OVERDRAFT LOAN ACTIVITY		.
OTHER ACTIVITY		.
COMBINED CLOSING BALANCE		206,697.

MARKET VALUE	402,087	SHORT BALANCE	.0
EQUITY	402,147	YTD INT CHARGED	.0
BUYING POWER	0	OVERDRAFT LOAN	.0
CASH AVAILABLE	0	AVG DAILY BAL	.0
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

FOR ACCOUNT OF:

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
216 MAIN STREET
BECKLEY WEST VIRGINIA 25801

Shearson Lehman Brothers Inc
Foster & Marshall Inc.
The Robinson-Humphrey Company, Inc.

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EDWARD GEORGE RAHALL TRUSTEE 685 08087 15 240 02/29/88 TO 03/27/88 PAGE

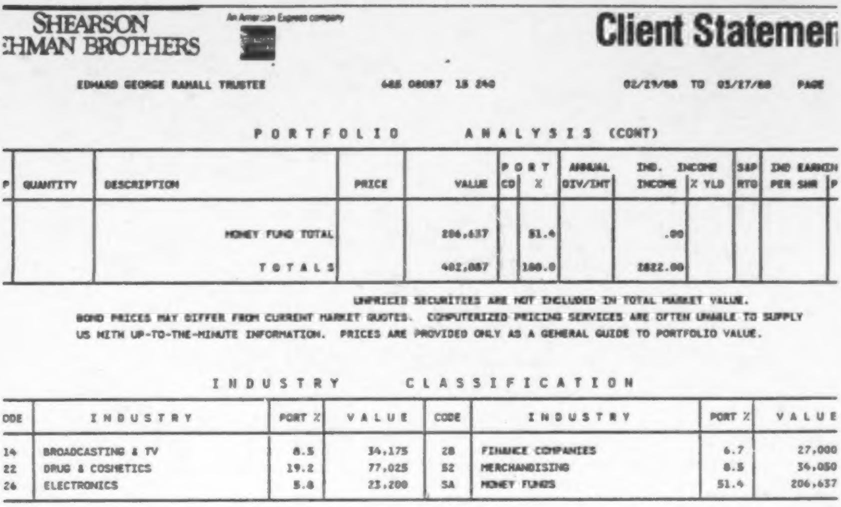
TRANSACTION ANALYSIS

P	DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES MONEY FUN
				**** OPENING BALANCES ****			89.56CR	203,446.8
	03/10/88			ELI LILLY & CO CASH DIV ON 300 SHS REC 02/16/88 PAY 03/10/88	DIV-RECD.	172.50CR	262.06CR	
	03/11/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	262.06	.00	
	03/11/88			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $928.65	DIVIDEND	928.65CR	.00	
	03/21/88			INTEREST ON CREDIT BALANCE AT 5.661% 2/22 THRU 3/20	INT-EARNED	.28CR	.28CR	
	03/25/88			COMMERCIAL CREDIT CO CASH DIV ON 1000 SHS REC 03/01/88 PAY 03/25/88	DIV-RECD.	60.00CR	60.28CR	
				**** CLOSING BALANCES ****			60.28CR	206,637.5

PORTFOLIO ANALYSIS

P	QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNIN PER SHR	P
	400	BRISTOL MYERS CO	42.12	16,850	22	4.2	1.68	672.00	3.9	A+	2.47	
	100	CAPITAL CITIES/ABC INC	341.75	34,175	14	8.5	.20	20.00	.0	A	16.46	
	1,000	COMMERCIAL CREDIT CO	27.00	27,000	28	6.7	.24	240.00	.8	NR	.48	
	300	ELI LILLY & CO	85.75	25,725	22	6.4	2.30	690.00	2.6	A+	4.41	1
	100	MERCK & CO INC	161.50	16,150	22	4.0	3.84	384.00	2.3	A+	6.68	2
	800	TYCO LABORATORIES INC	29.00	23,200	26	5.8	.24	192.00	.8	A-	.99	2
	600	UPJOHN CO	30.50	18,300	22	4.6	.72	432.00	2.3	A+	1.63	1
	1,200	WAL-MART STORES INC	28.37	34,050	52	8.5	.16	192.00	.5	A+	1.11	2
		*** UNPRICED SECURITIES ***										
	200	NTS SCI HOLDINGS INC EXP 12-5-95					.00	.00	.0		.00	
		SUB TOTAL		195,450		48.6		2822.00				
		*** MONEY FUND POSITIONS ***										
	206,637	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 02/16/88 THRU 03/13/88 AVG YIELD FOR PERIOD 6.09%	1.00	206,637	SA	51.4	.00	.00	.0		.00	

Shearson Lehman Brothers Inc
Foster & Marshall Inc.
The Robinson-Humphrey Company, Inc.



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EDWARD GEORGE RAHALL TRUSTEE　　685 08087 15 240　　02/29/88 TO 03/27/88　　PAGE

PORTFOLIO ANALYSIS (CONT)

P	QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNIN PER SHR	P
		MONEY FUND TOTAL		206,637		51.4		.00				
		TOTALS		402,087		100.0		2822.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.
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INDUSTRY CLASSIFICATION

ODE	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
14	BROADCASTING & TV	8.5	34,175	28	FINANCE COMPANIES	6.7	27,000
22	DRUG & COSMETICS	19.2	77,025	52	MERCHANDISING	8.5	34,050
26	ELECTRONICS	5.8	23,200	5A	MONEY FUNDS	51.4	206,637

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02/01/88 TO 02/28/88 PAGE

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4. CONGRESSMAN NICK J. RAHALL II

CONGRESSMAN NICK J. RAHALL II
THE CANNON BUILDING
WASHINGTON, DC 20515

OUNT	685 00087 15 240	INVESTMENT CENTER SHEARSON LEHMAN HUTTON INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
ID	[illegible]	
ANCIAL SULTANT	JAMES A JOSEPH 717-421-3100	

P O R T F O L I O   I N C O M E   S U M M A R Y

	CURRENT PERIOD	YEAR TO DATE
ASH FUND DIVIDENDS	865.12	1,005.78
UNI FUND DIVIDENDS	.00	.00
OVT FUND DIVIDENDS	.00	.00
IVIDENDS	340.00	725.00
AXABLE INTEREST	25.56	26.19
ON-TAXABLE INTEREST	.00	.00
THER INCOME	.00	.00
TOTAL	1,230.68	1,756.97

MONTH'S AVERAGE BORROWING RATE: 8.75% TO 10.25%.

A C T I V I T Y   S U M M A R Y

	DEBIT	CREDI
COMBINED OPENING BALANCE (CASH PLUS MONEY FUNDS)		137,598.0
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		66,707.6
PORTFOLIO INCOME		1,230.6
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.0
CASH WITHDRAWN	.00	.0
OVERDRAFT LOAN ACTIVITY		.0
OTHER ACTIVITY		.0
COMBINED CLOSING BALANCE		205,536.3

MARKET VALUE	398,434	SHORT BALANCE	.00
EQUITY	398,523	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

FOR ACCOUNT OF:

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
210 MAIN STREET
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Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company, Inc.

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EDWARD GEORGE RANKLL TRUSTEE   685 08087 15 240   02/01/88 TO 02/29/88 PAGE

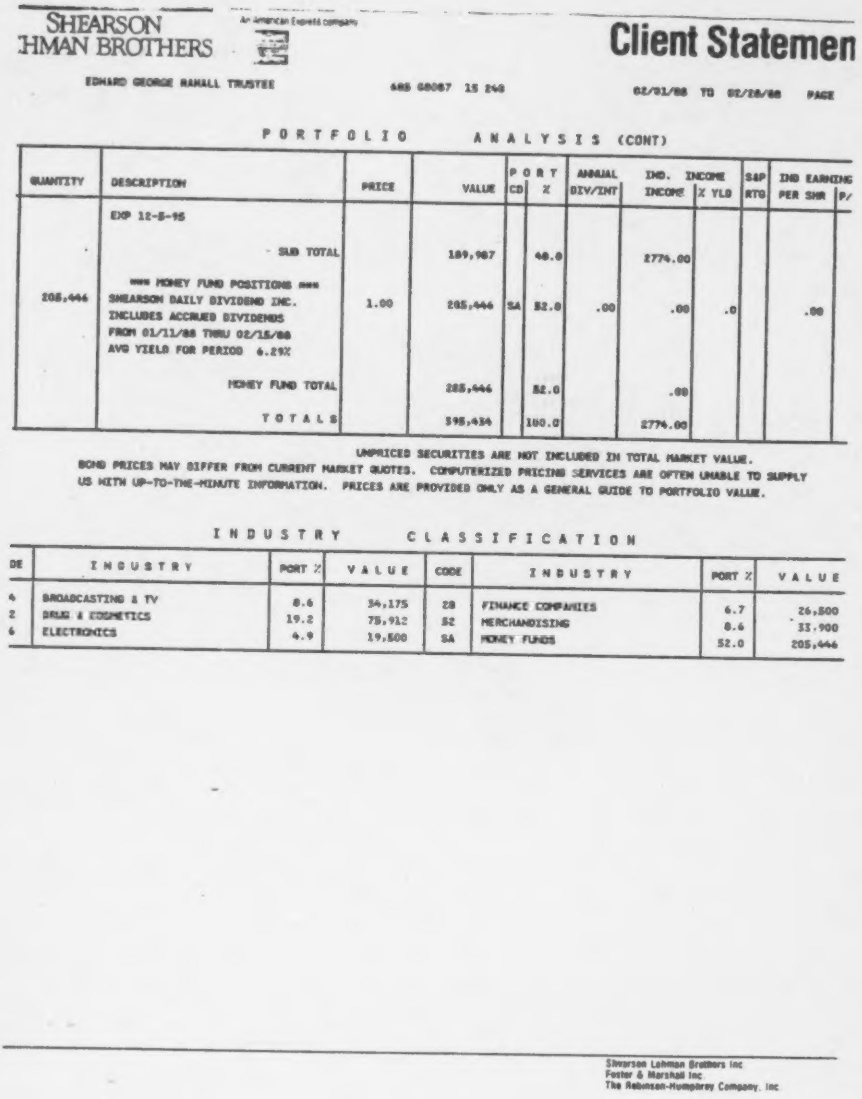
TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/PRICE	AMOUNT	BALANCES CASH	BALANCES MONEY FUN
			**** OPENING BALANCES ****			.00	137,598.06
02/01/88		300	RALSTON PURINA CO	67 1/2	20,014.12CR	20,014.12CR	
02/02/88			UPJOHN CO CASH DIV ON 600 SHS REC 01/11/88 PAY 02/01/88	DIV-RECD.	108.00CR	20,122.12CR	
02/03/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	20,122.12	.00	
02/03/88			BRISTOL MYERS CO CASH DIV ON 400 SHS REC 01/08/88 PAY 02/01/88	DIV-RECD.	168.00CR	168.00CR	
02/03/88		800	WALT DISNEY COMPANY	58 7/8	46,693.53CR	46,861.53CR	
02/08/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	46,861.53	.00	
02/12/88			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $868.12	DIVIDEND	868.12CR	.00	
02/16/88			WALT DISNEY COMPANY CASH DIV ON 800 SHS REC 01/19/88 PAY 02/15/88	DIV-RECD.	64.00CR	64.00CR	
02/22/88			INTEREST ON CREDIT BALANCE AT 5.765% 1/21 THRU 2/21	INT-EARNED	25.56CR	89.56CR	
			**** CLOSING BALANCES ****			89.56CR	205,446.83

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNING PER SHR	P/E
400	BRISTOL MYERS CO	43.50	17,400	22	4.4	1.68	672.00	3.8	A+	2.47	1
100	CAPITAL CITIES/ABC INC	341.75	34,175	14	8.6	.20	20.00	.0	A	16.46	2
1,000	COMMERCIAL CREDIT CO	26.50	26,500	28	6.7	.24	240.00	.9	NR	.48	5
300	ELI LILLY & CO	77.87	23,362	22	5.9	2.30	690.00	2.9	A+	4.41	1
100	MERCK & CO INC	161.75	16,175	22	4.1	3.84	384.00	2.3	A+	6.68	2
800	TYCO LABORATORIES INC	24.37	19,500	26	4.9	.24	192.00	.9	A-	.99	2
600	UPJOHN CO	31.62	18,975	22	4.8	.72	432.00	2.2	A+	1.63	1
1,200	WAL-MART STORES INC	28.25	33,900	52	8.6	.12	144.00	.4	A+	1.00	2
	*** UNPRICED SECURITIES ***										
200	MTS SCI HOLDINGS INC					.00	.00	.0		.00	

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company Inc



SHEARSON
:HMAN BROTHERS

An American Express company

Client Statemen

EDWARD GEORGE RAHALL TRUSTEE 6A5 68087 15 240 02/01/88 TO 02/28/88 PAGE

PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	INCOME % YLD	S&P RTG	IND EARNING PER SHR	P/
	EXP 12-5-95										
	- SUB TOTAL		189,987		48.0		2774.00				
	*** MONEY FUND POSITIONS ***										
205,446	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 01/11/88 THRU 02/15/88 AVG YIELD FOR PERIOD 6.29%	1.00	205,446	SA	52.0	.00	.00	.0		.00	
	MONEY FUND TOTAL		205,446		52.0		.00				
	TOTALS		395,434		100.0		2774.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.
BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

INDUSTRY CLASSIFICATION

DE	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
4	BROADCASTING & TV	8.6	34,175	28	FINANCE COMPANIES	6.7	26,500
2	DRUG & COSMETICS	19.2	75,912	52	MERCHANDISING	8.6	33,900
6	ELECTRONICS	4.9	19,500	SA	MONEY FUNDS	52.0	205,446

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company, Inc

SHEARSON
HMAN BROTHERS

An American Express company

Client Statemen

685 08087 15 240

01/01/88 TO 01/31/88 PAGE

CONGRESSMAN NICK J. RAHALL II
THE CANNON BUILDING
WASHINGTON, DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. GERALD T. ROLFE
2. MANAGER'S COPY
3. JAMES T SHEPHERD
4. CONGRESSMAN NICK J. RAHALL II

UNT	685 08087 15 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC.
ID	55-6085192	701 MAIN STREET SUITE 100
ANCIAL SULTANT	JAMES A JOSEPH 717-421-3100	STROUDSBURG PA 18360

PORTFOLIO INCOME SUMMARY

	CURRENT PERIOD	YEAR TO DATE
ASH FUND DIVIDENDS	140.66	140.66
UNI FUND DIVIDENDS	.00	.00
OVT FUND DIVIDENDS	.00	.00
IVIDENDS	385.00	385.00
AXABLE INTEREST	.63	.63
ON-TAXABLE INTEREST	.00	.00
THER INCOME	.00	.00
TOTAL	526.29	526.29

MONTH'S AVERAGE BORROWING RATE: 9.25% TO 10.75%.

ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE (CASH PLUS MONEY FUNDS)		112,613.9
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		24,457.8
PORTFOLIO INCOME		526.2
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.0
CASH WITHDRAWN	.00	.0
OVERDRAFT LOAN ACTIVITY		.0
OTHER ACTIVITY		.0
COMBINED CLOSING BALANCE		137,598.0

MARKET VALUE	392,723	SHORT BALANCE	.00
EQUITY	392,723	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

FOR ACCOUNT OF:

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
216 MAIN STREET
BECKLEY WEST VIRGINIA 25801

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company Inc

SHEARSON
HMAN BROTHERS

An American Express company

Client Statemen

EDWARD GEORGE RAHALL TRUSTEE 685 08087 15 240 01/01/88 TO 01/31/88 PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	MONEY FUN
			**** OPENING BALANCES ****			75.09CR	112,538.04
01/04/88			MERCK & CO INC CASH DIV ON 100 SHS REC 12/07/87 PAY 01/04/88	DIV-RECD.	80.00CR	155.09CR	
01/04/88			TYCO LABORATORIES INC CASH DIV ON 800 SHS REC 12/04/87 PAY 01/04/88	DIV-RECD.	48.00CR	203.09CR	
01/04/88			WAL-MART STORES INC CASH DIV ON 1200 SHS REC 12/11/87 PAY 01/04/88	DIV-RECD.	36.00CR	239.09CR	
01/08/88			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $140.66	DIVIDEND	140.66CR	239.09CR	
01/19/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	239.09	.00	
01/21/88			INTEREST ON CREDIT BALANCE AT 5.844% 12/31 THRU 1/20	INT-EARNED	.63CR	.63CR	
01/25/88			CPC INTERNATIONAL INC CASH DIV ON- 600 SHS REC 01/04/88 PAY 01/25/88	DIV-RECD.	216.00CR	216.63CR	
01/25/88			CAPITAL CITIES/ABC INC CASH DIV ON 100 SHS REC 01/04/88 PAY 01/25/88	DIV-RECD.	5.00CR	221.63CR	
01/28/88		600	CPC INTERNATIONAL INC	41 1/4	24,457.84CR	24,679.47CR	
01/29/88			SHEARSON DAILY DIVIDEND INC	INVESTMENT	24,679.47	.00	
			**** CLOSING BALANCES ****			.00	137,598.06

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	%	ANNUAL DIV/INT	IND. INCOME INCOME	% YLD	S&P RTG	IND EARNINGS PER SHR	P/
400	BRISTOL MYERS CO	44.00	17,600	22	4.5	1.68	672.00	3.8	A+	2.47	1
100	CAPITAL CITIES/ABC INC	337.75	33,775	14	8.6	.20	20.00	.0	A	14.48	2
1,000	COMMERCIAL CREDIT CO	26.75	26,750	28	6.8	.24	240.00	.8	NR	.40	5
800	WALT DISNEY COMPANY	58.12	46,500	43	11.8	.40	320.00	.6	B	3.43	1
300	ELI LILLY & CO	76.50	22,950	22	5.8	2.30	690.00	3.0	A+	4.43	1
100	MERCK & CO INC	150.75	15,075	22	3.8	3.20	320.00	2.1	A+	6.68	2

SHEARSON
HMAN BROTHERS

Client Statemen

EDWARD GEORGE RAHALL TRUSTEE   685 08087 15 240   01/01/88 TO 01/31/88   PAGE

PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/
300	RALSTON PURINA CO	69.25	20,775	30	5.3	1.50	450.00	2.1	A+	5.21	1
800	TYCO LABORATORIES INC	23.62	18,900	26	4.8	.24	192.00	1.0	A-	.99	2
600	UPJOHN CO	33.25	19,950	22	5.1	.72	432.00	2.1	A+	1.59	2
1,200	WAL-MART STORES INC	27.37	32,850	52	8.4	.12	144.00	.4	A+	1.00	2
	*** UNPRICED SECURITIES ***										
200	MTS BCI HOLDINGS INC EXP 12-5-95					.00	.00	.0		.00	
	SUB TOTAL		255,125		65.0		3480.00				
	*** MONEY FUND POSITIONS ***										
137,598	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 01/04/88 THRU 01/10/88 AVG YIELD FOR PERIOD 6.55%	1.00	137,598	SA	35.0	.00	.00	.0		.00	
	MONEY FUND TOTAL		137,598		35.0		.00				
	TOTALS		392,723		100.0		3480.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.
BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

INDUSTRY CLASSIFICATION

DE	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
4	BROADCASTING & TV	8.6	33,775	30	FOOD & BEVERAGE	5.3	20,775
2	DRUG & COSMETICS	19.2	75,875	43	LEISURE TIME	11.8	46,500
6	ELECTRONICS	4.8	18,900	52	MERCHANDISING	8.4	32,850
8	FINANCE COMPANIES	6.8	26,750	SA	MONEY FUNDS	35.0	137,598

0 3 3 7 1 2 3 1 4 1 9

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 MAY 15 AM 9:37

FORM A—For use by Members, officers, and employees

Charles Bernard Rangel
(Full Name)

2252 Rayburn House Office Bldg.
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 16 State New York

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

0 9 0 7 0 2 3 1 4 3 0

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
The Brookings Institution	6/8/88	Honoraria	$ 300.	
The New York Times	1/22/88	"	150.	
Hospital Assn of New York	3/21/88	"	500.	
Lester L. Wolff Consulting Co.	2/8/88	"	1,000.	
Coalition Against Regressive Taxation	2/23/88	"	2,000.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
New England Mutual Life	interest	A
The Bowery Savings Bank	"	A
Wright Patman Cong. Federal Credit Union	"	A
74 West 132nd Street, NY, NY 10037	rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Punta Cana Yacht Club, Punta Cana, Dominican Republic	D
74 West 132nd Street, New York, NY	D
(a brownstone containing six apartments, one of which is my residence)	

0 9 7 7 0 2 3 1 4 5 1

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Punta Cana Operating Company, Santo Domingo, Dominican Republic	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

8 9 0 7 0 2 3 1 4 5 2

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Alpha Phi Alpha $1,852.	airfare for self and spouse for speech at Annual Dinner on 12/5/87 in St Thomas, VI
Group Health Assn of America $656.	airfare for self for conference on 2/22/88 in Cincinnati, OH

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

89070231453

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Charles Bernard Rangel
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II.A.	Group Health Assn of America	3/2/88	Honoraria	1,000.
	McDonald's Corporation	4/12/88	"	1,000.
	Organization Management, Inc.	3/4/88	"	2,000.
	NYS Food Merchants Assn.	3/7/88	"	2,000.
	Securities Industry Assn.	3/29/88	"	2,000.
	Nat'l. Assn. of Small Business Investment Companies	3/9/88	"	1,000.
	Nat'l. Assn. of Life Underwriters	3/23/88	"	2,000.
	Citibank	3/23/88	"	2,000.
	Puerto Rico Hospital Assn.	3/11/88	"	1,500.
	Women In Government Relations	4/12/88	"	1,000.
	American Council for Capital Formation	4/12/88	"	2,000.
	Avon	5/17/88	"	1,000.
	American Society of Anesthesiologists	5/3/88	"	2,000.
	Dean Witter Realty, Inc.	6/9/88	"	2,000.
	The New York Times	6/2/88	"	150.
	Shearson Lehman Hutton	6/23/88	"	2,000.(1,750charity
	S.C.S. Business & Technical Institute	6/17/88	"	2,000.-charity
	E. Bruce Harrison Company Inc	6/29/88	"	2,000.-charity
	JPMorgan	7/8/88	"	1,000.-charity
	Pacific Mutual Life Ins. Co.	7/11/88	"	1,000.-charity
	American Psychological Assn	8/24/88	"	1,000.-charity
	Brookings Institution	9/20/88	"	400.-charity
	Howard University	9/26/88	"	2,000.-charity
	Nat'l. School Boards Assn.	10/12/88	"	1,000.-charity
	Nat'l. Assn. of Foreign-Trade Zones	11/17/88	"	2,000.-charity
	Assn of Local Housing Finance Agencies	12/1/88	"	1,000.-charity
	Newsday	12/20/88	"	100.-charity
VII.	NYS Food Merchants Assn., Inc. re: 3/7/88	$1,070.97	airfare for self for Winter Conference in San Juan, PR	
	American Psychologial Assn. re: 8/14/88	$604.00	airfare for self for Annual Convention in Atlanta, GA	
	ABC $198. re: 9/13/88		airfare for self for participation on THE KOPPEL REPORT	
	Johnson Publishing Co. re: 11/20/88	$2,752.	airfare for self and spouse to receive EBONY Black Achievement Award in Los Angeles	

GPO: 1987 73-848 (m)

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MAY 19 1989

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

ARTHUR RAVENEL JR
(Full Name)

635 East Bay Street
(Mailing Address)
Charleston, South Carolina 29403

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District First State SC

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Arthur Ravenel jr & Co [spouse]	Salary		
See Land Corp Recd 11/02/88	Honoraria	2 000	
Chicago Board of Trade Recd 08/26/88	Honoraria	500	
Chicago Mercantile Exchange Recd 08/26/88	Honoraria	500	
Giant Cement Company Recd 12/27/88	Honoraria	1 000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See continuation sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See continuation sheet	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See continuation sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
SC Federal Savings Bank [Drake St Mortgage]	B
Cityfed Mortgage Co [Rutledge Mortgage]	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	West Ashley Awning & Mfg Co, Charleston SC
President	Arthur Ravenel jr & Co, Charleston SC
President	Fidelity Investment & Trust Inc, Charleston SC
President	The Charleston Corporation, Charleston SC

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 8, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ARTHUR RAVENEL JR
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B	UNEARNED INCOME	Type	Category
	Real Estate Mortgage V. Staubes	Interest	E
	SC National Bank	Interest	A
	The Bank of SC	Interest	A
	First Union National Bank	Interest	A
	Liberty National Bank	Interest	A
	Interstate Securities	Interest	B
	Merrill-Lynch	Interest	A
	Manufacturers Hanover	Dividends	B
	Chase Manhatten	Dividends	B
	Maxus Energy	Dividends	A
	TJX Companies	Dividends	A
	General Motors Corporation	Dividends	C
	Eastman Kodak	Dividends	A
	Zweig Fund	Dividends	C
	55 Drake St, Charleston SC	Rent	Gross C/Net A
	185 Rutledge St, Charleston SC	Rent	Gross D/Net A
	Commercial Bldg, Union SC	Rent	Gross C/Net A
	Acreage Spring Grove SC	Rent	B
	28 Blake St, Charleston SC	Capital Gain	E
III	HOLDINGS		
	West Ashley Awning & Mfg Co	Common Stock	F
	Arthur Ravenel jr & Co	Common Stock	E
	V Staubes - Audubon Arms Apts Charleston SC	Real Estate Mortgage	F
	Ryan's Family Steak Houses	Common Stock	E
	Chase Manhattan	Common Stock	B
	Eastern Kodak	Common Stock	B
	General Motors Corporation	Common Stock	D
	Manufacturers Hanover	Common Stock	B
	TJX Companies	Common Stock	B
	Zweig Fund	Common Stock	C
	55 Drake St, Charleston SC	Rental Property	D
	185 Rutldge St, Charleston SC	Rental Property	E
	Commercial Property, Union SC	Rental Property	C
	527 Acres, Spring Grove SC		F
IV	Transactions		
	>>> BOUGHT		
	Maxus Energy	Jan	C
	Eastman Kodak	Feb	B
	Ryan's Family Steak Houses	Apr/Jun/Jul	E
	Zweig Fund	Mar/Apr	C
	Chase Manhattan	Jan	B
	Manufactures Hanover	Jan	B
	527 Acres, Spring Grove SC	Feb	F
	>>> SOLD		
	Maxus Energy	Feb	C
	Source Capital	Feb	C
	Paine Weber	Feb	B
	Ryan's Family Steak Houses	Jan/Aug/Sep	E
	28 Blake St, Charleston SC	Feb	E

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JUN 12 1989

OFFICE OF RECORDS & REGISTRATION
1989 JUN 13 AM 10: 04
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

635 East Bay Street
Charleston, S C 29403
June 9, 1989

Clerk, U S House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D C 20515

✓
MC

Dear Sir:

The Honorable Arthur Ravenel jr, House of Representatives Member from South Carolina, wishes to amend his recently filed Financial Disclosure Statement as set forth in this letter.

These amendments and explanations are prompted by your FINANCIAL DISCLOSURE CHECKLIST--FORM A, also enclosed.

PART III. HOLDINGS

This Part is amended by adding thereto:

Merrill Lynch	Cash Management Fund	A
Interstate Securities	Cash Fund	C

PART IV. TRANSACTIONS

55 Drake Street was acquired in 1977, 185 Rutledge Street was acquired in 1973 and the commercial property in Union, S C was acquired in 1947.

We somehow failed to list these rental properties in the previous Disclosure Statements. The properties have now been listed in "PART III. HOLDINGS".

We apologize for any inconvenience these omissions may have caused.

In the interest of time, I am signing this letter for and on behalf of Congressman Ravenel who will, at your request, provide any confirmation you may require.

Yours very truly,

S C Rountree jr

S C Rountree jr,
Personal Accountant
for Mr Ravenel

2845

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

MAY 10 PM 4:48

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Richard B. Ray
(Full Name)

425 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State Georgia

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Section II Attachment			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Section II Attachment		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Section III Attachment	

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Section IV Attachment		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Section V Attachment	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Section VII Attachment	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Richard B. Ray	May 10th - 89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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ATTACHMENT TO SECTION II

INCOME

A. EARNED INCOME

DATE	SOURCE	TYPE	AMOUNT
1/88	The Boeing Company	Honoraria	$2000.00
2/88	General Dynamics	Honoraria	$2000.00
2/88	Monitor Aerospace Corporation	Honoraria	$1000.00
2/88	Ellanef Manufacturing Corporation	Honoraria	$1000.00
6/88	Sumter Electric Membership Corporation	Honoraria	$ 250.00
10/88	Goldkist, Inc.	Honoraria	$1000.00
12/88	Waste Management, Inc.	Honoraria	$2000.00
12/88	E-Systems, Inc	Honoraria	$1500.00
12/88	LTV Aerospace and Defense Company	Honoraria	$1500.00

B. UNEARNED INCOME

SOURCE	TYPE	CATEGORY
CMA Government Fund	Dividend	A
Columbus Bank & Trust, Columbus, GA	Interest	A
Middle Ga Bank of Byron, Byron , GA	Interest	A
McMullen Mortuary, Inc, Columbus, GA	Interest	A
First American Bank of Va, McLean, VA	Interest	A
Municipal Interest......Tax Exempt	Interest	E
Duplex-#5 Maryland Court, Highland Hill Subdivision, Columbus, GA	Rents	D
Historic Prop-Land Lot 114 & Bldgs, 6th District, Peach Co, Rum Rd, Byron, GA	Rents	D
2 Houses & Bldg-1895, 1915 & 2090 2nd Street, Macon, GA	Rents	D
McMullen Mortuary, Inc, Columbus, GA	S-Corp	LOSS
Bond - Clayton Co Ga Development Auth	Cap Gain	D
Pecan Sales	Misc	A

ATTACHMENT TO SECTION III

HOLDINGS

STOCKS AND BONDS:

NAME	FAIR MARKET VALUE	DATE OF VALUATION
BONDS:		
11 GA Municipal Electric	F	12/31
3 Burke Co, GA	E	12/31
Savannah, GA	B	12/31
Waycross, GA	C	12/31
Monroe Co, GA	C	12/31
Clayton Co, GA	B	2/29
Fulton Co, GA	C	12/31

REAL ESTATE:

DESCRIPTION	FAIR MARKET VALUE
In Byron, GA:	
- 6 acres with 2 houses and 2 barns	F
In Columbus, GA: Duplex at #5 Maryland Court	E
In Macon, GA:	
1895 2nd Street	C
1915 2nd Street	B
2090 2nd Street	B

INTEREST BEARING ACCOUNTS:

DESCRIPTION:	CATEGORY
Columbus Bank & Trust	B
First American Bank of VA.	A
Merrill Lynch - IRA	B
Merrill Lynch - Cash Management Acct.	A

INVESTMENTS:

	CATEGORY
McMullen Mortuary Inc, Columbus, GA	B

ATTACHMENT TO SECTION IV

TRANSACTIONS

DESCRIPTION	DATE	CATEGORY
BONDS SOLD:		
Clayton Co, GA	2/29	B
BONDS PURCHASED:		
Ga Municipal Electric Auth	2/29	B

ATTACHMENT TO SECTION V

LIABILITIES

NAME	CATEGORY	TYPE
Bank of Perry, GA	B	Personal
Columbus Bank & Trust, GA	C	Personal
	C	Personal
First South, Ft. Valley, GA	B	Personal
Middle Georgia Bank, Byron, GA	B	Personal
	E	Mortgage
	E	Mortgage
Riggs National Bank, Washington, D.C.	B	Personal
Citizens Bank of Fort Valley, GA	C	Personal
Decatur Federal, GA	E	Mortgage
First Union National, Columbus, GA	B	Commercial
Crossroads Bank of Ga, Perry, GA	B	Personal

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ATTACHMENT TO SECTION VII

REIMBURSEMENTS

1/13/88	Boeing: Speaking engagement, tour, and briefings at Seattle facility. Airfare from Macon to Seattle to San Diego. Overnight lodging.
1/14/88	General Dynamics: Speaking engagement, tour, and briefings at Pomona and Valley Systems Divisions in California. Airfare from Los Angeles to Macon. No lodging.
2/29/88	Grumman: Speaking engagement, tour, and briefings at Calverton and Bethpage facilities in New York. Air transport from D.C. to New York and back. No lodging.
12/7/88	E- Systems: Speaking engagement, tour, and briefings at Dallas facility. Airfare from D.C. to Dallas. Overnight lodging.
12/8/88	LTV: Speaking engagement, tour, and briefings at Dallas facility. Airfare from Dallas to D.C. Overnight lodging.
12/15/88	Waste Management, Inc: Speaking engagement, tour, briefings at Chicago facility. Roundtrip airfare from Macon to Chicago. Overnight lodging.

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Ralph Regula
(Full Name)

2207 Rayburn HOB
(Mailing Address)
Washington, D. C. 20515

MC (Office Use Only)

MAY 15 AM 10:40

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 16 State Ohio

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ADDITIONAL SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE ADDITIONAL SHEET		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE ADDITIONAL SHEET	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Undivided 1/2 int. Part of Lot 68 (50x73')Basin	Sold	
St., Navarre Village, Stark County, Ohio	5/20/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First Savings & Loan (business loan) Regula Bros PTSHP	C
United Bank Mortgage (business loan) Regula Bros PTSHP	C
United Bank (business loan) Regula Bros PTSHP	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ADDITIONAL SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Regula Brothers Partnership
Trustee	Mt. Union College
Trustee	Stark Wilderness Center

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 5, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Part 5 of 6

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

RALPH REGULA
Continuation Sheet

II. INCOME

A. SOURCE	TYPE	AMOUNT	
Legal Services		$ 2200.	
Regula Bros. Partnership	Partners Share	2850.	
American Iron & Steel Institute	Honoraria	2000.	6/29/88
Tally Defense Systems, Inc.	Honoraria	2000.	8/25/88
R[illegible]heon Company	Honoraria	1000.	10/24/88
Southern Company Services Inc.	Honoraria	1000.	10/18/88
(All of the above honoraria contributed to charity)			
Van Ness, Feldman, Sutcliff & Custis	Honoraria	1000.	3/22/88
Search Group Inc.	Honoraria	1000.	7/ 7/88
American Council on Education	Honoraria	1000.	5/17/88
Copeland, Wickersham, Wiley & Co. Inc.	Honoraria	2000.	6/ 9/88
National Ocean Industries Assoc.	Honoraria	500.	4/15/88
National Coal Assoc.	Honoraria	500.	3/24/88
Brookings Institute	Honoraria	300.	4/28/88

B. SOURCE	TYPE	CATEGORY
Maple Park Manor Partnership		B
U. S. Treasury (OPM)	Interest	C
Navarre Baptist Temple	Interest	B
Apartment and land rent (1/2 int. 122 acres, Sec. 17, Beth. Twp., Stark County, Ohio)	Rent	B
Office - Basin Street, Navarre, Ohio	Rent	A
United Bank	Dividends	B
Van Dorn	Dividends	B
Navarre Deposit Bank	Dividends & Interest	A
J. M. Smucker Co.	Dividends	A
Franklin Oil & Gas (Mary Regula, Spouse) Farm land described below	Royalty	B
Ashland Oil (Mary Regula, Spouse) Farm land described below	Royalty	A
Duquesne Light	Dividends	A
Ohio Public Employees Retirement System	Employer-Employee Deposit	C
Florida House (1/2 int) (6 mos) Dorothy Lane, Largo, Florida	Rent	A
Undivided 1/2 int. Part of Lot 68 (50x73') Basin St. Navarre Village, Stark County, Ohio (Sold 1988)		C

III. HOLDINGS

Undivided 1/2 int. Part of Lot 68 (50x73') Basin St., Navarre Village, Stark County, Ohio (Sold 1988)		C
Undivided 1/2 int. in 122 acres Sec. 17, Twp., 9, R. 9, Erie Ave. S.W., Bethlehem Twp., Stark County, O.		D
Van Dorn, Inc.	Common Stock	C
United Bank	Common Stock	C
J. M. Smucker Co.	Common Stock	B
Navarre Deposit Bank	Common Stock	B
Regula Brothers	Partnership	D
Maple Park Manor	Partnership	B
200 Acres Sec. 19, Beth. Twp., Stark County, Ohio (Exclusive of residence)		D
Duquesne Light	Common Stock	A
Navarre Baptist Church	Mortgage (Real Estate)	C
Florida Dwelling (Und. 12) Dorothy Lane, Largo, Florida		C

Part 6 of 6

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

RALPH REGULA

Continuation Sheet

VII. REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION
Montana Energy Research (Fact Finding)	Member & Spouse - Meals, lodging (one night) and airfacre Grand Canyon to Butte, Montana to Phoenix, Arizona
Search Group, Inc. (Speech)	Member - Meals, lodging (one night), airfare Washington, D. C. to Boston, Mass. and return
McDonald Douglas Corp., Allied Signal Aerospace Co. (Fact Finding)	Member & Spouse - Airfare Cleveland, Ohio to Phoenix, Arizona, 3 days food and lodging
Talley Industries (Speech)	Member & Spouse - Airfacre Phoenix, Arizona to Cleveland, Ohio

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

John Jacob Rhodes, III
(Full Name)

412 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

'89 MAY 12 PM 4:27

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State AZ

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
The President's Dinner - spouse	Salary		
The Susan Davis Companies - spouse	Salary		
American Mining Congress 3-23-88	Hon.	$ 250.00	None
Greyhound Corporation 8-25-88	Hon.	$1000.00	None
Chicago Mercantile Exchange 8-29-88	Hon.	$ 500.00	None
See attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Valley National Bank	Interest	A
Congressional Fed. Credit Union	Interest	A
See attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Chevron Corporation	A
See attached	

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Acquired by gift to spouse 120 shs Chevron Corp.	1-2-88	A
Sold 120 shs Chevron Corp.	1-22-88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Valley National Bank - personal	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Phoenix Cardinals Football Club	Tickets to one game for Member, spouse, Member's parents, two of Member's children

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Jack Davis for Congress Committee	Roundtrip air transportation Phoenix/Chicago
Jim Inhofe for Congress Committee	Air transportation Chicago/Tulsa
The Congressional Institute, Inc.	Roundtrip air transportation D.C./Houston, 3 days food/lodging
The Congressional Institute, Inc.	Roundtrip trans. D.C./Easton, MD. 1 day food/lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-11-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Jacob Rhodes, III
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II A	Chicago Board of Trade	8-29-88	Hon.	$500.00	None
II A	INTEL Corporation	8-22-88	Hon.	$500.00	None
II A	American Road and Transportation Builders Assn.	11-03-88	Hon.	$1000.00	None
II A	Arizona Assn. of Technical Schools	5-06-88	Hon.	$1000.00	None
II B	Philadelphia Savings Fund (dependent son)			Interest	A
II B	Investment Co. of America (dependent son)			Dividend	A
II B	Webster Cash Reserve (dependent son)			Dividend	A
II B	US West (dependent son)			Dividend	A
II B	NYNEX (dependent son)			Dividend	A
II B	Bellsouth (dependent son)			Dividend	A
II B	Bell Atlantic (dependent son)			Dividend	A
II B	Shearson Lehman (dependent son)			Dividend	B
III	Philadelphia Savings Fund (dependent son)				B
III	Sun National Bank (dependent son)				B
III	Investment Co. of America (dependent son)				B
III	Webster Cash Reserve (dependent son)				B
III	US West (dependent son)				B
III	NYNEX (dependent son)				B
III	Bellsouth (dependent son)				B
III	Bell Atlantic (dependent son)				B
III	Shearson Lehman (dependent son)				C

INSIDE MAIL

1989 JUN 15 AM 11: 08

JOHN J. RHODES III
1st District, Arizona

Offices
412 Cannon House Office Building
Washington, DC 20515
(202) 225-2635

2345 South Alma School Road
Suite 108, Box 8
Mesa, AZ 85210
(602) 831-6433

Congress of the United States
House of Representatives
Washington, DC 20515

COMMITTEE ON INTERIOR
AND INSULAR AFFAIRS

CHAIRMAN, REPUBLICAN TASK FORCE
ON INDIAN AFFAIRS

SUBCOMMITTEES
WATER AND POWER RESOURCES
ENERGY AND THE ENVIRONMENT
NATIONAL PARKS AND
PUBLIC LANDS

COMMITTEE ON SMALL BUSINESS

SUBCOMMITTEES
PROCUREMENT, INNOVATION AND
MINORITY ENTERPRISE DEVELOPMENT
ANTITRUST, IMPACT OF DEREGULATION
AND PRIVATIZATION

REPUBLICAN POLICY COMMITTEE

June 13, 1989

✓
MC

The honorable Donnald K. Anderson
Clerk
U.S. House of Representatives
Office of Records and Registration
1036 Longworth H.O.B.
Washington, D.C. 20515

Dear Mr. Anderson:

It has just come to my attention that I inadvertently omitted an item from my 1988 Financial Disclosure Statement, filed on May 12, 1989. This letter constitutes an amendment to that statement.

III. HOLDINGS	CATEGORY
Exxon Shipping Co. (dependent son)	B

IV. TRANSACTIONS	DATE	CATEGORY
Exxon Shipping Co. Guranteed Deferred Interest Debentures (matures 9/1/2012). Acquired by gift to dependent son from his grandparents.	9/1/88	B

If you have any questions regarding this amendment or require further information, please let me know.

Sincerely,

John J. Rhodes, III
Member of Congress

8 9 3 7 3 2 3 2 1 5 2

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

William B. Richardson
(Full Name)

1918 Belmont Rd., N.W.
(Mailing Address)

Washington, D.C. 20009

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State NM

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Tobacco Institute 1/20/88	Honorarium	$1,000	None
American Insurance Association 2/5/88	"	500	"
Internat'l Management & Development Institute 2/5/88	"	1,000	"
Proprietary Association 2/5/88	"	2,000	"
National Association of Insurance Brokers 2/9/88	"	1,000	"

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Merrill Lynch CMA Fund	Interest	B
Congressional Federal Credit Union	Interest	A
Office of Personnel Management-retirement fund	Interest	C
Condominium-2700 Vista Grande, NW, Albuquerque NM	Rental	Gross-D; Net-A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA A

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Merrill Lynch CMA Fund	C
Condominium- 2700 Vista Grande, NW, Albuquerque, NM	D

A 9 3 7 3 2 3 2 1 5 1

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage - First Interstate Bank	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Lonrho Corporation	Transportation from Luanda, Angola to Nairobi, Kenya for official business

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Tobacco Institute (speech) 1/15-1/16/88	Self & spouse;food & lodging 1 night; self airfare: Los Angeles/Palm Springs/ Alb.NM; spouse airfare:Los Angeles/ Palm Springs/DC
National Assn. of Wholesaler-Distributors (speech) 1/11/88-1/13/88	Self & spouse;food & lodging 3 nights; airfare: DC/Kauai, Hawaii/Los Angeles

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director (1 of 5)	University of the Americas Foundation, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William B Richardson	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William B. Richardson
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II-A	Cosmetic, Toiletry & Fragrance Assn. 2/9/88	Honorarium	$2,000	None
	Nat'l Assn. of Wholesaler-Distributors 2/19/88	"	2,000	"
	Comsat 2/19/88	"	2,000	"
	Matthew Thornton Society 3/23/88	"	1,000	"
	RJR Nabisco 3/23/88	"	2,000	"
	Nevada Resort Association 3/23/88	"	1,000	"
	American National Standards Institute 3/29/88	"	1,000	"
	Lightnet 3/31/88	"	1,000	"
	National Assn. of Chemical Distributors 4/13/88	"	1,000	"
	Pharmaceutical Manufacturers Assn. 4/15/88	"	1,000	"
	Avon Products, Inc. 5/16/88	"	1,000	"
	National Assn. of Chain Drug Stores 5/19/88	"	2,000	"
	Uranium Producers of America 5/19/88	"	1,000	"
	Pfizer 5/19/88	"	1,000	
	Drexel Burnham Lambert 5/19/88	"	$1,000 ($650 to Charity)	
	Nat'l Assn. of Wholesaler-Distributors 5/24/88	"	$1,000 (Charity)	
	Mutual Broadcasting System 6/29/88	"	$2,000	None
	Associated Equipment Distributors 6/29/88	"	$1,000 (Charity)	
	National Cable Television 6/29/88	"	$1,500 (Charity)	
	BellSouth Corp. 9/8/88	"	$2,000 (Charity)	
	National Assn. of Broadcasters 9/19/88	"	$1,000 (Charity)	
	ENRON Corp. 9/30/88	"	$1,000 (Charity)	
	American Medical Assn. 9/30/88	"	$1,000 (Charity)	
	American Bankers Assn. 9/30/88	"	$2,000 (Charity)	
VII	Cosmetic,Toiletry & Fragrance Assn.(speech)-Self & spouse-2 nights food & lodging; airfare: Phoenix,AZ/DC, 1/6-1/8/88			
	Proprietary Assn. (speech) 1/88; airfare: DC/New York/DC			
	Nevada Resort Assn. (speech)-Self & spouse-food & hotel 2 nights, airfare: DC/Las Vegas, Nev./Tucson, AZ 3/10-3/11/88			
	Pharmaceutical Manufacturers Assn. (speech) 3/12-3/14/88-Self & spouse-food & lodging 2 nights; airfare: Tucson,AZ/DC			
	Pfizer (speech) 4/24-4/25/88 - Self - hotel & food 1 night; Airfare: Taos,NM/Alb./New York/DC			
	Drexel Burnham Lambert (Speech) 4/14-4/15/88-Self & Aide-1 night hotel & lodging; airfare self: DC/Los Angeles/Phoenix; airfare aide:RT DC/Los Angeles			
	National Cable Television (Speech) 5/1-5/2/88-Self & Aide-1 night hotel & food; airfare self: Alb./Los Angeles/DC; airfare aide: RT Alb/Los Angeles			
	BellSouth Corp. (Speech)-7/15-7/16/88-Self & Spouse-1 night food & hotel; airfare: DC/Miami,FLA/Atlanta,GA			
	Steel Service Center Institute (Speech)-11/10-11/11/88-Self & aide-1 night food & hotel; airfare self: Alb./Los Angeles/DC; airfare aide: RT Alb./Los Angeles			
	American European Parliament Association (Panel & Seminars) 12/1-12/4/88 Self & Spouse - 2 days hotel & food; airfare: DC/Brussels, Belgium/DC			

GPO 1987 79-046 (m)

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

TOM RIDGE
(Full Name)

1714 Longworth Bldg.
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

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Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 21 State PA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Mortgage Bankers Assoc.	Honorarium	$1,000	2/2/88
American Bankers Association	"	2,000	2/6/88
Burson-Marsteller	"	2,000	3/2/88
American Iron & Steel Institute	"	1,000	3/17/88
Mortgage Insurance Companies	"	1,500	6/16/88
Labor Policy Association, Inc.	"	1,000	9/6/88
National Erectors Association	"	1,000	9/19/88

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
IDS Savings Account		A
IDS Securities Account		A
Perpetual Bank Certificate of Deposit		A
Wright Patman Congressional Federal Credit Union		A
Marine Bank Certificate of Deposit		A
Philadelphia Sewer Authority Bond		A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
IDS Savings Account	C
Penn Attorney Tital Insurance Company	A
Carlisle XIII	B
IDS Securities Account	C
USAir	A
Chrysler	A
Reebok	A
Upjohn	A
Philadelphia Sewer Authority Bond	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Marine Bank, Erie, PA (West Palm Beach, FL	
1/2 interest - Prestwick Case #19)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
American Bankers Association-Legislative Liaison	
Advisory Committee Conference, Palm Springs, CA	Transportation/Lodging/Food

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
N/A	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Thomas J Ridge	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
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1036 Longworth House Office Building
Washington, D.C. 20515

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U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A For use by Members, officers, and employees

Matthew J. Rinaldo
(Full Name)

142 Headley Terrace
(Mailing Address)

Union, New Jersey 07083

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7 State New Jersey

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
1/4/88	Securities Industry Assoc. Inc.	Honoraria	$2,000	
1/5/88	The Tobacco Institute	"	1,000	
1/7/88	Competitive Telecommunications Assn.	"	1,000	
1/11/88	Public Securities Assoc. Inc.	"	2,000	
1/25/88	Television & Radio PAC	"	1,500	

See Continuation Sheet

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Condominium 500 So. Ocean Blvd. Boca Raton, Fla.	Rental Prop.	D
Union Center National Bank (Center Bancorp)	Interest	B
Perpetual Savings & Loan	"	A
Congressional FCU	"	A
Detroit Edison	Dividend	A
Florida Power & Light	"	B

See Continuation Sheet

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Condominium - 500 So. Ocean Blvd. Boca Raton, Fla.		E
Union Center National Bank (Center Bancorp)	Stock	C
City Fed Financial Corp.	Stock	A
Congressional FCU	Savings	B
Perpetual Savings & Loan	Savings	B
Union Center National Bank (Center Bancorp)	Savings	B

See continuation sheet

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sales:		
City Fed Financial Corp.	12/7/88	A
N.J. State GO Bond	6/15/88	C
Asbury Park GO Bond	2/1/88	A
Randolph Township Bond	3/1/88	C
See continuation sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Securities Industry Assn. (speech)	Round trip air fare & Lodging Boca Raton, Florida
The Tobacco Institute (speech)	Round trip air fare & Lodging Rancho Mirage, Ca.
Public Securities Assn. (speech) See Continuation Sheet)	Round trip air fare & Lodging Phoenix, Arizona

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Watergate South Cooperative Assn.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Matthew J. Rinaldo	5-15-89

WHERE TO FILE:

**RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:**

**The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515**

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

MATTHEW J. RINALDO

Continuation Sheet

Part
II A

1/28/88	U.S. Telephone Assocaition	Honoraria	$1,000
2/12/88	Rutgers, The State Univesity	"	2,000
2/8/88	Drexel Burnham Lambert	"	1,000
2/10/88	AT&T	"	2,000
2/24/88	Coalition for Regional Banking & Economic Development	"	500
2/29/88	Motion Picture Assn. of America	"	2,000
2/29/88	Chicago Mercantile Exchange	"	1,000
3/4/88	Independent Lubricant Mfg. Assn.	"	250
3/7/88	New Jersey Bell	"	2,000
3/11/88	T. Boone Pickens, USA Foundation for Research and Education	"	1,000
2/25/88	T. Boone Pickens United Shareholders Assn.	"	500
2/25/88	T. Boone Pickens USA Foundation for Research and Education	"	500
3/16/88	Southwestern Bell Corp.	"	1,000
3/16/88	Television & Radio PAC	"	1,000
3/25/88	Chicago Board Options Exchange	"	1,000
3/27/88	Financial Management Div. SIA	"	2,000
4/13/88	AT&T	"	2,000
4/15/88	Board of Trade, Chicago	"	1,000
4/15/88	Connell Rice & Sugar Co., Inc.	"	2,000
4/19/88	Akin, Gump, Strauss, Hauer & Feld	"	2,000
4/23/88	National Assn. of Broadcasters	"	1,500
5/6/88	Pfizer, Inc.	"	1,000
5/20/88	National Cable Television Assn.	"	1,500
6/23/88	Association of Independent Television Stations	"	1,000

(Donations various charities $11,050)

Part

II B

City Federal Financial Corp.	Div.	A
Union Center National Bank (Center Bancorp.)	"	C
Evergreen Total Return Fund	"	A
N.J. Economic Devel. Authority - Maher - Bond	Interest	B
Howell Township - Bond	"	B
Cape May County - Bond	"	B
Board of Education - Caldwell - Bond	"	B
Asbury Park - Bond	"	A
Township of Lower -Bond	"	B
Vernon Township BOE - Bond	"	B
Randolph Township -Bond	"	B
Gloucester County - Bond	"	B
Paulsboro -- Bond	"	B
Borough of Highlands- Bond	"	B
Clayton - Bond	"	B
N.J. Economic Development Auth. Bond - Manhattan	"	B
Randolph Township	"	A
Shearson Lehman Hutton Money Fund	"	B
New Jersey State GO -Bond	"	B
New Jersey - EDA - PAVEY	"	B
Atlantic County - Bond	"	B
Washington Township -Bond	"	A
Ridgewood - Bond	"	A
Morris Hills - Bond	"	B
Jersey City - Bond	"	B
N.J. HCF Muhlenberg - Bond	"	A
N.J. HCF Muhlenberg - Bond	"	B

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Page 2 of 2

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

MATTHEW J. RINALDO

Continuation Sheet

Part

III

Detroit Edison	Stock	B
Florida Power & Light	Stock	C
Evergreen Total Return Fund	Fund	B
New Jersey Economic Development Auth. Maher	Bond	B
N.J. Economic Development Auth. Manhattan	Bond	C
Howell Township	Bond	C
Cape May County	Bond	C
BOE - Caldwell	Bond	C
Asbury Park	Bond	A
Township of Lower	Bond	C
Vernon Township	Bond	C
Randolph Township	Bond	C
Gloucester County	Bond	C
Paulsboro	Bond	C
Borough of Highlands	Bond	C
Randolph Township	Bond	C
Clayton	Bond	C
N.J. State GO	Bond	C
Atlantic County	Bond	C
Washington Township	Bond	B
Ridgewood	Bond	B
Morris Hills	Bond	C
Jersey City	Bond	C
N.J. HCF - Muhlenberg	Bond	A
N.J. HCF - Muhlenberg	Bond	C
N.J. Economic Development Auth. Pavey	Bond	C
House of Representatives	Checking	A
Shearson Lehman Hutton Money Fund	Fund	C

IV

Purchases

Borough of Clayton	4/8/88	Bond	C
N.J. HCF Muhlenberg	4/5/88	Bond	A
N.J. HCF Muhlenberg	4/5/88	Bond	C

VII

Source	Brief Description
National Association of Broadcasters	Round trip air fare and Lodging - Hawaii, Hawaii
U.S. Telephone Assn.	Round trip air fare and Lodging - Amelia Island, Fla.
Drexel Burnham Lambert	Round trip air fare to San Diego
Motion Picture Assn.	Round Trip air fare and Lodging - Los Angeles
Chicago Mercantile Exchange	Round trip air fare and lodging - Boca Raton, Fla
Chicago Board Options Exchange	Round trip air fare and Lodging Chicago
Securities Industry Assn.	Round trip air fare and Lodging -- Orlando, Fla
National Assn. Broadcasters	Round trip air fare and Lodging - Las Vegas
National Cable Television	Round trip air fare and Lodging - Los Angeles

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HAND DELIVERED
MATTHEW J. RINALDO
7TH DISTRICT, NEW JERSEY

COMMITTEES
ENERGY AND COMMERCE
SUBCOMMITTEES
TELECOMMUNICATIONS AND FINANCE
(RANKING MINORITY MEMBER)
COMMERCE, CONSUMER PROTECTION
AND COMPETITIVENESS
SELECT COMMITTEE
ON AGING
(RANKING MINORITY MEMBER)

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
2469 Rayburn House Office Building
Washington, DC 20515
(202) 225-5361

DISTRICT OFFICES
1961 Morris Avenue
Union, NJ 07083
(201) 687-4235

290 Route 22
Green Brook, NJ 08812
(201) 981-9090

1989 MAY 23 PM 2:27
U.S. HOUSE OF REPRESENTATIVES

May 23, 1989

✓
MC

Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Gentlemen:

I wish to make the following amendment to my Financial Disclosure Statement for 1989:

On page 1 of the Continuation Sheet, Part II A, Donations various charities should read $11,400.

Thank you.

Sincerely yours,

Matthew J. Rinaldo

MATTHEW J. RINALDO
Member of Congress

MJR/bb

HAND DELIVERED 8 9 0 7 0 2 8 2 4 / 0

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

DON RITTER
(Full Name)

2447 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

1989 MAY 15 [illegible] 3:08

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 15th State PA

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
~~(Wife-Edie) Manufacturing Services Extension Center~~			
~~Lehigh University~~	~~Salary~~		
~~(Wife-Edie) Member, Board of Directors~~			
~~Lehigh Valley Bank~~	~~Non-employee Compensation~~		
~~See Attached (Item IIA)~~			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Attached (Item IIB)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached (Item IIIB)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: *A computer printout may* be attached to this *form if* it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Attached (Item IV)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
1/2 D.C. Condo (mortgage holder-Goldome Realty Credit Corp.)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attached (Item VII)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Don Ritter	5/13/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page_____ of_____

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

DON RITTER

Continuation Sheet

IIA.	Source	Type	Amount
5/23/88	AT&T	Honorarium	$2,000.
10/26/88	American Inst. of Physics	"	500.
11/29/88	American Soc. of Mechanical Eng.	"	2,000.
4/22/88	American Soc. for Quality Control	"	2,000.
6/21/88	Bell Labs	"	2,000.
4/3/88	Bell Telecommunications Research	"	2,000.
1/27/88	Chemical Manufacturing Association	"	500.
4/12/88	Council of Science Society Presidents	"	500.
4/6/88	Council on Superconductivity for Amer.Comp.	"	500.
3/4/88	DOW Chemical	"	2,000.
1/19/88	Electrical Industries Association	"	1,000.
4/15/88	Heritage Foundation	"	300.
3/2/88	Hillsdale College	"	1,000.
10/3/88	Inst. of Financial Management Technologies	"	2,000.
5/20/88	National Cable TV Association	"	1,500.
7/13/88	Northrop	"	2,000.
7/21/88	Rockwell International	"	2,000.
1/16/88	US Telephone Association	"	1,000.

IIB.	Source	Type	Category
	Merrill Lynch Ready Assets	Interest	A
	Custodial Acct. GNMA/Son	Interest	A
	Custodial Acct. GNMA/Daughter	Interest	A
	Baxter Travenol	Dividend	A
	Eastman Kodak	Dividend	A
	J.P. Morgan	Dividend	A
	Tenneco	Dividend	A
	Mass Mun. Wholesale	Dividend	A
	Puerto Rico Commonwealth Bond	Dividend	A
	Northampton County Bond	Dividend	A
	Piedmont Utility Bond	Dividend	A
	Polaris Income Fund	Dividend	A
	Home/Farm Land	Rental	A
	1/2 DC Condo	Rental	D

Page_____ of_____

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

DON RITTER

Continuation Sheet

III.

Identity	Type	Category
Baxter Travenol	Stock	B
Citicorp	Stock	A
Custodial Account for son GNMA	Savings	B
Custodial Account for daughter GNMA	Savings	B
Eastman Kodak	Stock	B
Forum Group Inc.	Stock	A
J.P. Morgan	Stock	A
Louisiana Pacific	Stock	A
Mass Muni. Wholesale	Bond	B
Merrill Lynch Ready Assets	Savings	A
National Educ. Corp.	Stock	B
Northampton County Bond	Bond	A
Piedmont Utility	Bond	B
Polaris	Bond	A
Puerto Rico Comm. Bond	Bond	C
Syncor Int'l Corp.	Stock	A
Tenneco	Stock	A
U.S. Surgical Corp.	Stock	B
1/2 DC Condo., 212 3rd St.,NE, Wash.,DC	Rent	D
Home/Farm (50 acres), Coopersburg, PA	Rent	A

IV.

Brief Description			Date	Category
Harrisburg Pa ElRexoro	Bond	Bought	11/21/88	B
Interspec Inc.	Stock	Bought	4/14/88	A
Philips Petroleum	Stock	Bought	11/1/88	B
Schlumberger Ltd.	Stock	Bought	8/10/88	A
Zenith Electronics Corp.	Stock	Bought	8/11/88	A
Mass Mun. Wholesale	Bond	Sold	11/1/88	B
Northampton County	Bond	Sold	7/1/88	A
U.S. Surgical	Stock	Sold	4/14/88	B
1/2 D.C.Condo		Rental		D

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Page_____ of_____

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

DON RITTER

Continuation Sheet

VII.	Source	Brief Description
	Amer. Inst. of Physics	Round trip air travel: Coopersburg-White Plains
	Amer. Society for Quality Control	Air travel: ABE-Dallas-DC 1 night lodging/food
	DOW Chemical	Round trip air travel: DC-Midland, MI
	EIA	Round trip air travel: ABE-Las Vegas 1 night lodging/food
	Hillsdale College	Round trip air travel: ABE-Detroit 1 night lodging/food
	Inst. of Financial Mngmt.Tech.	Round trip air travel: DC-Lancaster
	Nat'l Cable TV Assn.	Round trip air travel: DC-Los Angeles 3 nights lodging/food (with spouse)
	Northrop	Air travel: Long Beach, CA-Palmdale,CA-LA-Philadelphia and ground transportation to Coopersburg/food
	Rockwell Int'l	Air travel: ABE-Milwaukee-Los Angeles 2 nights lodging/food
	US Telephone Assn.	Air travel: ABE-Ontario, CA-DC 4 nights lodging/food (with spouse)
	World Cong. on Superconductivity	Air travel: ABE-Houston-DC 1 night lodging/food
	Young President's Organization	Air travel: ABE-SanFrancisco-DC 2 nights lodging/food (with spouse)
	Amer. Soc. of Mechanical Eng.	Round trip air travel: ABE-Chicago-ABE 2 nights lodging/food

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

MAY 15 PM 4:57

FORM A—For use by Members, officers, and employees

Pat Roberts
(Full Name)

2203 White Oaks Drive
(Mailing Address)

Alexandria, Virginia, 22306

MC (Office Use Only)

Check the appropriate box and fill in the blanks:

☒ Member of the U.S. House of Representatives—District 1 State Kansas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Spouse	Commission		
Honorariums (see attached)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Perpetual Amer. Savings Bank	Interest	B
River Towers Condo	Rent	D
Nuveen Mun. Bonds	Div. Reinvst.	B
20th Century Mut. Fund	"	A
Alleghany Bonds	Interest	A
Putnam Convt. Mutual Fund	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
River Towers Condo, Alexandria, Va.	D
J&K Ranch and Realty, Dodge City, Ks., (shareholder-2% int.)	B
Commercial lot, Sumter, S.C. 1/5th int.	D
Zero Coupon Bonds (CD Columbia S&L)	B
Perpetual American Savings Bank (savings)	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		
Lot 10, Country Club Heights, Dodge City, Ks.		
one half interest.	Aug. 4,	B (sold)
Software AG (stock)	April 15,	A (sold)

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bloomfield Mortage Co., Bloomfield Minn.	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(see attached)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Vice President	J&K Ranch and Realty Corporation Dodge City, Kansas

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Pat Roberts	Date: May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

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U.S. GOVERNMENT PRINTING OFFICE: 1988

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Page 5 of 6

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Pat Roberts
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II Income	A Honorariums		
	FMC CORP.	1/27	$2,000
	Independent Bakers	3/13	1,000
	Wallace & Edwards	4/8	1,000
	Chicago Mercantile Exchange	5/8	500
	Chicago Board of Trade	5/8	500
	Nat'l Ag Chemical Assoc.	4/18	750
	Term. Elevators Grain Merchants	5/6	1,000
	Furr's	5/20	2,000
	Texas Cattle Feeders	5/21	1,000
	Nat'l Council, Pork Producers	6/12	1,000
	FMC Corp.	6/17	2,000
	Commodity Exch. Inc.	6/19	1,000
	Grocery Manuf. Association	6/29	2,000
	CSMA	6/20	500
	Milk Industry Found.	6/22	2,000
	Fertilizer Inst.	8/4	1,000
	Washington Caucus	9/22	500
	Nat'l Assoc. of Wheatgrowers	10/7	1,000
	American Bankers Assoc.	11/20	1,000
	Nat'l Grain & Feed Assn.	12/5	2,000
III Holdings			
	20th Century Mut. Fund	B	
	Gulf States Utilities	A	
	Alleghaney Bonds	B	
	Manor Care	A	
	Marriott Corp.	A	
	Corp. Trust High Yield Fund	A	
	Ryans Steak House	A	
	Family Steak House (Fla)	A	
	Unit Guaranteed Hotel Inv. Trust	A	
	Legg Mason Growth Fund	A	
	Putnam Convert. Mutual Fund	A	
	Hutton VIP Fixed Fund	B	
	HIS Government Securities Fund	A	
	Evergreen Mutual Fund	A	
	Ethyl Corp.	A	
	Inherited following stocks:		
	Merrill Lynch Fed. Securities	C	
	Phila. Electric	B	
	Eaton Vance Govt. Obligations	B	
VII Reimbursements			
	FMC Corp.,Jan. 27, (speaker)travel		
	to Tampa Fla, reimbursed.		
	Ks. State Bd. of Ag., Jan. 13, (speaker) travel		
	to Topeka, Ks., reimbursed.		
	Independent Bakers, March 13, (speaker) travel		
	lodging and meals paid directly.		
	Wallace and Edwards, April 8, (speaker and		
	fact f inding) travel to Raleigh, N.C. paid directly.		
	Chicago Merc. Exchange, May 8, (speaker and fact		
	finding) travel to Chicago paid directly.		
	Terminal Elevators Grain Merchants, May 6, (speaker)		
	travel to Tucson, Ariz. reimbursed.		
	Furrs and Texas Cattle Feeders, May 20, (speaker		
	and fact finding) Lubbock Tecas, reimbursed.		
	Natl. : Council of Pork Producers, June 12, (speaker)		
	travel to Des Moines reimbursed.		

Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Pat Roberts
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VII REIMBURSEMENTS	
	Commodity Exchange Inc., (speaker) travel to N.Y. reimbursed, June 19.
	Nat'l. Ag Chemicals Association , (speaker and fact f inding) travel, meals, loding, Greenbrier West Virginia., Spt. 23.
	Natl. Assoc. of Wheatgrowers, (speaker) travel to San Diego, Oct. 7 reimbursed, meals and loding paid directly.
	Nat'l. Grain and Feed Assn, (speaker) travel to LFort Worth, Texas, paid directly plus meals and lodging. Dec. 5.

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HAND DELIVERED

PAT ROBERTS
1ST DISTRICT, KANSAS

AGRICULTURE COMMITTEE

HOUSE ADMINISTRATION
COMMITTEE

Congress of the United States
House of Representatives
Washington, DC 20515

1323 LONGWORTH HOUSE OFFICE BUILDING
AREA CODE 202: 225-2715

LEROY TOWNS
ADMINISTRATIVE ASSISTANT

MERRY M. TOBIN
EXECUTIVE ASSISTANT

MAY 22 PM 2:26

May 18, 1989

✓
Mc

Honorable Donnald K. Anderson
Clerk, US House of Representatives
1036 Longworth HOB

Dear Donn:

I would like to amend my Financial Disclosure Statement filed on May 15, 1989, as follows:

Part III: The no box should be checked with regard to trust holdings.

Nuveen Municipal Bonds listed in Part II should be listed in Part III, Assets.

Part VII: Reimbursements should be listed as follows:

FMC Corporation (speaker): Round trip air fare Wash/Tampa, FL Overnight lodging January 26 & 27, 1988./
Kansas State Board of Agriculture (speaker): January 13, 1988. Air fare Wash/Topeka and K.C. to Wash., no lodging.
Independent Bakers Assn. (speaker): Round trip air fare Wash/Palm Beach, FL. Overnight lodging March 13, 1988.
Wallace & Edwards (speaker & fact finding): Round trip air fare Wash/Raleigh, NC, April 8, 1988. No lodging.
Chicago Mercantile Exchange (speaker & fact finding): Round trip air fare Wash/Chicago, IL, overnight lodging May 1, 1988.
Terminal Elevators Grain Merchants Assn. (speaker): Round trip air fare Wash/Tucson, AZ, overnight lodging May 5, 1988.
Furr's and Texas Cattle Feeders (speaker & fact finding): Air fare Wash/Lubbock/Amarillo/Wichita/Wash, overnight lodging May 20, 1988.
National Council of Pork Producers (speaker): June 12, 1988. Air fare Wash/Des Moines/Hays/Wash. No lodging.
Commodity Exchange, Inc. (speaker): Round trip air fare Wash/NY Overnight lodging June 19, 1988.
National Agric. Chemicals Assn. (speaker & fact finding): Round trip air fare Wash/Greenbrier. Overnight lodging September 23 & 24, 1988.
National Association of Wheat Growers (speaker): Round trip air fare Wash/San Diego, CA. Overnight lodging October 7, 1988
National Grain & Feed Association (speaker): Round trip air fare Wash/Dallas, TX. Overnight lodging December 4, 1988.

Thank you for your assistance in this regard.

Sincerely,

Pat Roberts

Pat Roberts

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Rep. Tommy Franklin Robinson
(Full Name)

1541 Longworth HOB, Washington, D.C. 20515
(Mailing Address)

Mc (Office Use Only)

'89 MAY 11 PM 1:58

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State AR

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO XX NA _____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1-26-88 Jacksonville Doctors Group- Jacksonville,AR	Honoraria	$600.00	
3-3-88 National Restaurant Assoc.- Wash.,D.C.	Honoraria	$1,000.00	
3-16-88 Food Service & Lodging Inst.-Wash., D.C.	Honoraria	$500.00	
4-12-88 Tobacco Institute- Wash.,D.C.	Honoraria	$1,000.00	
4-20-88 Alltel Co.- Little Rock, AR	Honoraria	$2,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
J.R. Agricultural Enterprises Inc.	Farming Receipts	F

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
913 acres Farmland Monroe County, AR	F
963 acres Farmland Monroe County, AR	F
343 acres Farmland Monroe County, AR	E
40 acres Railroad Right-of-way Monroe County, AR	B
Farm equipment (Tractors, Combines, Trucks, etc.) Monroe County, AR	F
Farm Shop & equipment Monroe County, AR - JR Agri. Inc.	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
913 acres- Farmland- Monroe County, AR purchased	3-16-88	F
963 acres- Farmland- Monroe County, AR purchased	5-13-88	F
40 acres- Railroad right-of-way- Monroe County, AR purchased	6-28-88	B
343 acres- Farmland- Monroe County, AR purchased	11-15-88	E
Cash rent &share of crop arrangements on additional 1,000+ acres of farm land- Monroe County, AR		D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
	D
American Savings & Loan- Chicago, IL	C
Stephens Security Bank- Stephens, AR	C
Worthern Bank & Trust- Little Rock, AR	E
Jerral W. Jones- Little Rock, AR	E
Collier & Cain- Augusta, AR	E
Dr. and Mrs. Robert A. Calcote, Lonoke, AR	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
4-18-88 Reynolds Metal Fact Find- Richmond, VA	Travel
4-20-88 Alltel Co.- Little Rock, AR	Travel & food for Honoraria trip
8-29-88 Warren Lambert-Greenwood, S.C.	Transportation, food, & lodging reimbursed for speech
9-30-88 YPO- Bermuda	Transportation, food, & lodging furnished for speech

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	J.R. Agricultural Enterprises Inc.
General Partner	J.R. Farms

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Tommy F. Robinson	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 16-707 (o)

Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Tommy F. Robinson
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II.	4-29-88	S.W. Bell Co.- Little Rock, AR	Honoraria	$500.00
	5-1-88	Rand Food Fair- Little Rock, AR	Honoraria	$500.00
	6-11-88	Ark. Poultry Federation- Hot Springs, AR	Honoraria	$2000.00
	6-16-88	Federal Express- Memphis, TN	Honoraria	$500.00
	6-18-88	National Restaurant Assoc.-Atlanta, GA	Honoraria	$1000.00
	6-20-88	Tyson Foods- Pine Bluff, AR	Honoraria	$1000.00
	6-21-88	AA Guage- Wash.,D.C.	Honoraria	$2000.00
	6-24-88	Ark. pharmacist- Little Rock, AR	Honoraria	$500.00
	7-20-88	Lockhead Systems- Atlanta, GA	Honoraria	$1000.00
	9-29-88	AMI- Little Rock, AR	Honoraria	$2000.00
	11-7-88	Ark. Electric Coop- Little Rock, AR	Honoraria	$500.00
	11-16-88	George's Inc.- Fayetteville, AR	Honoraria	$2000.00
	12-21-88	Highland Corporation- Camden, AR	Honoraria	$1000.00
	12-21-88	Ark. Reclamation Co.- Camden, AR	Honoraria	$1000.00
VII.	11-16-88	George's Inc.- Fayetteville, AR	Transportation furnished for Honoraria	

GPO 1987 79-046 (m)

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Tommy Franklin Robinson
(Full Name)

1541 Longworth HOB, Washington, D.C. 20515
(Mailing Address)

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State AR

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1-26-88 Jacksonville Doctors Group- Jacksonville, AR	Honoraria	$600.00	
3-3-88 National Restaurant Assoc.- Wash., D.C.	Honoraria	$1,000.00	
3-16-88 Food Service & Lodging Inst.- Wash.,D.C.	Honoraria	$500.00	
4-12-88 Tobacco Institute- Wash., D.C.	Honoraria	$1,000.00	
4-20-88 ALLTEL Corporation- Little Rock, AR	Honoraria	$2,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
J.R. Agricultural Enterprises Inc.	Farming Receipts	F

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
913 acres Farmland Monroe County, AR	F
963 acres Farmland Monroe County, AR	F
343 acres Farmland Monroe County, AR	E
40 acres Railroad Right-of-way Monroe County, AR	B
Farm equipment (Tractors, Combines, Trucks, etc.) Monroe County, AR	F
Farm Shop & equipment Monroe County, AR- JR Agri. Inc.	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
913 acres- Farmland- Monroe County, AR purchased	3-16-88	F
963 acres- Farmland- Monroe County, AR purchased	5-13-88	F
40 acres- Railroad right-of-way- Monroe County, AR purchased	6-28-88	B
343 acres- Farmland- Monroe County, AR purchased	11-15-88	E
Cash rent & share of crop arrangements on additional 998 acres of farmland- Monroe County, AR	1989 crop year	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
American Savings & Loan- Chicago, IL Personal Note	D
Stephens Security Bank- Stephens, AR Agricultural-Business Note	C
Worthen Bank & Trust- Little Rock, AR Personal Note	C
Jerral W. Jones Little Rock, AR Agricultural-Business -Personal Note	F
Collier & Cain- Augusta, AR Agricultural-Business Note	F
Dr. and Mrs. Robert A. Calcote- Lonoke, AR Agricultural-Business Note	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
4-18-88 Reynolds Metal- Richmond, VA	Travel for 1 day fact-finding trip
4-20-88 ALLTEL Corporation- Little Rock, AR	Travel & food for 1 day Honoraria trip
8-29-88 Warren Lambert- Greenwood, S.C.	Transportation, food, & lodging reimbursed for 2-day speech
9-30-88 Young Presidents' Organization- Bermuda	Transportation, food, & lodging furnished for 2-day speech

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	J.R. Agricultural Enterprises Inc.
General Partner	J.R. Farms

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Tommy F. Robinson M.C.	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Tommy F. Robinson
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II.	4-29-88	S.W. Bell Co.- Little Rock, AR	Honoraria	$500.00
	5-1-88	Rand Food Fair- Little Rock, AR	Honoraria	$500.00
	6-11-88	Ark. Poultry Federation- Hot Springs, AR	Honoraria	$2000.00
	6-16-88	Federal Express- Memphis, TN	Honoraria	$500.00
	6-18-88	National Restaurant Assoc.-Atlanta, GA	Honoraria	$1000.00
	6-20-88	Tyson Foods- Pine Bluff, AR	Honoraria	$1000.00
	6-21-88	AA Gauge- Wash., D.C.	Honoraria	$2000.00
	6-24-88	Ark. Pharmacists- Little Rock, AR	Honoraria	$500.00
	7-20-88	Lockheed Systems- Atlanta, GA	Honoraria	$1000.00
	9-29-88	AMI- Little Rock, AR	Honoraria	$2000.00
	11-7-88	Ark. Electric Coop.- Little Rock, AR	Honoraria	$500.00
	11-16-88	George's Inc.- Fayetteville, AR	Honoraria	$2000.00
	12-21-88	Highland Corporation- Camden, AR	Honoraria	$1000.00
	12-21-88	Ark. Reclamation Co. - Camden, AR	Honoraria	$1000.00
VII.	11-16-88	George's Inc. - Fayetteville, AR	Transportation furnished for 1 day Honoraria trip.	

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Robert A. Roe
(Full Name)

P.O. Box 407
(Mailing Address)

Wayne, New Jersey 07470

MC (Office Use Only)

1989 MAY -3 AM 11: 29
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8 State New Jersey

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA __X__

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of New Jersey Public Employees Retirement System	Pension	$ 6,380	
Honoraria - per schedule attached		26,500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Ramapo Bank - Wayne, New Jersey	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Ramapo Bank - Wayne, New Jersey - Money Market Account	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Schedule attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Carol Associates, Wayne, NJ (construction consultants)
Trustee	Chilton Memorial Hospital, Pompton Plains, NJ
Director	Yanrocorp, Inc. Paterson, NJ (inactive corporation)
Director	Cyrocorp, Inc. Paterson, NJ (inactive corporation)
Director	Tara Land Company, Wayne, NJ (inactive corporation)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
Nov. 1969	State of New Jersey Public Employees Retirement System	Public pension at "normal" retirement age based on employment through November 1969. Payments began March 1, 1984.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date 5/1/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert A. Roe
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II	University of New Mexico	1/21/88	Honorarium	$ 1,000
II	Council of Engineers and Scientists Organizations	2/4/88	Honorarium	1,000
II	Pennsylvania State University	3/24/88	Honorarium	1,000
II	Lockheed Corporation	4/15/88	Honorarium	500
II	Boeing Company	3/31/88	Honorarium	2,000
II	McDonnell Douglas Corp.	4/6/88	Honorarium	2,000
II	Hughes Aircraft Company	4/26/88	Honorarium	2,000
II	International Union of Operating Engineers	4/11/88	Honorarium	2,000
II	Gannett Outdoor Advertising	3/21/88	Honorarium	1,000
II	General Dynamics Corp.	4/1/88	Honorarium	2,000
II	Outdoor Advertising Association of New Jersey	4/6/88	Honorarium	1,000
II	RCA Government Systems - GE Astro Electronics	6/2/88	Honorarium	2,000
II	DNA Plant Technology Corp.	6/21/88	Honorarium	2,000
II	Air Products and Chemicals, Inc.	6/24/88	Honorarium	2,000
II	American Trucking Association	7/21/88	Honorarium	2,000
II	American Institute for Aeronautics and Astronautics	7/11/88	Honorarium	2,000
II	Zel, Lipsen, Hamberger & Whitten	8/4/88	Honorarium	1,000
	Total honorarium			$26,500
VII	University of New Mexico (5th Symposium on Space Nuclear Power Systems)	1/10-1/11/88 rountrip airfare, Newark to Albuquerque, New Mexico one night lodging.		
VII	Boeing Company, Seattle, Washington; McDonnell Douglas Corp. Los Angeles, California; Hughes Aircraft Co., Los Angeles, California; General Dynamics Corp., San Diego, California	Airfare Washington, D.C. to Seattle 4/4/88. Seattle to Los Angeles 4/6/88. Los Angeles to San Diego 4/7/88 paid by noted firms. Lodging paid 4/4 and 4/5 by Boeing; 4/6 and 4/7 by McDonnell Douglas and Hughes; 4/7 and 4/8 by General Dynamics.		
VII	International Union of Operating Engineers	4/10-4/12/88 airfare San Diego to San Francisco; San Francisco to Washington, D.C.; two nights lodging in San Francisco		
VII	RCA Government Systems - GE Astro Electronics	6/7/88 Newark - Valley Forge, Pennsylvania; Valley Forge, Pennsylvania - Washington, D.C. via GE helicopter		

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Harold D. Rogers
(Full Name)

434 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

[illegible] MAY 15 [illegible] 13

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State Kentucky

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts: such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Citizens National Bank, Somerset, KY	Dir Fee, Misc	18,756.50	Charity(1,910)
The Tobacco Institute (2/1/88)	Honorarium	1,000.00	
National Association of Broadcasters (3/1/88) Television and Radio Political Education Committee	Honorarium	1,500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Ham and Bailiff (formerly Rogers and Ham)	Capital Gain	B
First Commerce Bancorp Inc.	Dividends	A
Citizens National Bank	Bank Stock	A
Citizens National Bank	Interest	A
24 Sixth Street, S.E., Washington, D.C.	Rent	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Rogers and Ham, Attorneys at Law	Contract Sale	A
Cumberland Security Bank(formerly Bank of Somerset)	Stock	B
Citizens Bancshares	Stock	F
Citizens National Bank	Stock	A
Southern Belle Dairy	Stock	A
24 Sixth Street, S.E., Washington, D.C.	Property(home/rental)	F

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
Condominium, Alexandria, Virginia	SOLD	3/31/88	E
House, Washington, D.C.	PURCHASED	4/13/88	F

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
Citizens National Bank of Somerset	Home Mortgage	D
Citicorp Mortgage, Inc.	Home Mortgage	E
Dallas Wood	Home Shared Equity	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Congress of Tomorrow(conference) Houston	Roundtrip airfare; D.C. to Houston, 3 days food/lodging for self and spouse.
Grumman Corporation (Speech)	Roundtrip airfare; D.C.-New York
National Association of Broadcasters (conference, speech)	Roundtrip airfare: Lexington-LasVegas D.C., four days food and lodging for self and spouse.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Citizens National Bank, Somerset, KY
Director	Citizens Bancshares, Inc., Somerset, KY
Director and CoChairman,	Sunbelt Research Coalition, Inc. (Sunbelt Institute)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Harold D. Rogers	May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Harold D. Rogers
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. A.	Coast Line International Distributors Lindenhurst, NY (4/15/88)	Honorarium	$500.00
	Decatel Installation Corp. Hauppauge, NY (4/14/88)	Honorarium	500.00
	Miltope Corporation Melville, NY (4/20/88)	Honorarium	500.00
	Contract Alterations Corp. Uniondale, NY (4/19/88)	Honorarium	500.00
	National Association of Broadcasters (4/18/88)	Honorarium	1500.00
	Brown and Williamson Tobacco Corporation (5/12/88)	Honorarium	2000.00
	Illinois Ambassadors, Chicago, IL (6/15/88)	Honorarium	1000.00
	United States Telephone Association (11/10/88)	Honorarium	1000.00
VII.	National Association of Development Organizations (speech, conference)	Roundtrip transportation: Somerset, KY-Hilton Head, SC; food/lodging, 4 days	
	Illinois Ambassadors (congressional visit program)	Roundtrip transportation: Lexington, KY-Chicago-D.C. 3 days food/lodging	
	United States Telephone Association (meeting/speech)	Roundtrip transportation: Lexington-Boca Raton, FL, 4 days food and lodging	
	Citizens National Bank (conference)	Roundtrip transportation: D.C.-Hawaii, 5 days food and lodging (spouse also)	
	Brown & Williamson Tobacco Corporation (speech)	One way transportation: D.C.-Louisville, KY	

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HAROLD ROGERS
5TH DISTRICT, KENTUCKY

WASHINGTON OFFICE
434 CANNON BUILDING
WASHINGTON, DC 20515
(202) 225-4601

DISTRICT OFFICE
203 EAST MOUNT VERNON STREET
SOMERSET, KY 42501
(606) 679-8346 OR
1-800-632-8588

Congress of the United States
House of Representatives
Washington, DC 20515

COMMITTEE ON
APPROPRIATIONS

SUBCOMMITTEE
COMMERCE, JUSTICE, STATE
AND JUDICIARY
VICE CHAIRMAN

COMMITTEE ON THE BUDGET

CHAIRMAN
HOUSE TASK FORCE ON
COAL EXPORTS

HAND DELIVERED

May 17, 1989

MC

Mr. Donnald K. Anderson, Clerk
U.S. House of Representatives
H-105, The Capitol
INSIDE MAIL

Dear Mr. Anderson

I need to clarify my 1988 Financial Disclosure Statement with the following additions: ADDITIONS ARE BETWEEN THE ".

1) The Trust Holdings box at the bottom of page 2 should be checked "No."

2) Part II. Unearned Income: First Commerce Bancorp Inc. "(Cumberland Security Bank)"

If you have any other questions or need further clarification, please do not hesitate to let me know.

Sincerely

Hal Rogers

HAROLD ROGERS
Member of Congress

HR:ssl

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERE[illegible]

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Dana Tyrone Rohrabacher
(Full Name)

1017 Longworth HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

MAY 15 PM 2:10

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 42nd State California

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,036, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Dept of Treasury (White House)	Salary	$21,965.40	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
W.R. Grace Co.	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Dean Witter/Sears Liquid Assets Fund (held in IRA)	A
SCE Corp. stock (held in IRA)	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
W. R. Grace Co. stock sold	5-27-88	A
Northrop Corp. stock sold (from IRA)	5-27-88	B
SCE Corp. stock sold (from IRA)	5-27-88	A
Dean Witter Option Income Trust shares sold (from IRA)	5-27-88	B
SCE Corp. stock purchased (for IRA)	7-27-88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	None

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Dana Rohrabacher	May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Charles Grandison Rose, III
(Full Name)

2230 Rayburn
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

[illegible] MAY 15 [illegible] 11:23

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7th State NC

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO x NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached List of Income			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001–$2,500; C—$2,501–$5,000; D—$5,001–$15,000; E—$15,001–$50,000; F—$50,001–$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Federal Credit Union - Interest	savings/ capitol/checking	A
1/2 Interest, Bald Head Island, N.C., condo	rental income	(Gross) D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001–$15,000; C—$15,001–$50,000; D—$50,001–$100,000; E—$100,001–$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 9.

IDENTITY	CATEGORY
1/2 Interest, Bald Head Island, N.C., condo	D
Cascade Mountain, VA, ski lot, 3/4 acre	B
Wright Patman Federal Credit Union - savings/capitol/checking	C
Sergeant at Arms - Checking	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Southern National Bank, Fayetteville, N.C. - note	C
Southern National Bank, Fayetteville, N.C. - note	C
Peoples Federal Savings and Loan, Southport, N.C. - note	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the *nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988,* is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attached List of Reimbursements	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Charles G. Rose III	Date 15 May 89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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II. INCOME
Financial Disclosure Statement, 1988
Congressman Charlie Rose
2230 Rayburn

January 11, 1988
The American Farm Bureau Federation
$1,000.00, Honorarium

January 13, 1988
The Tobacco Institute
$2,000.00, Honorarium

January 22, 1988
The Georgia Peanut Commission
$1,500.00, Honorarium

February 22, 1988
National Association for Biomedical Research
$1,000.00, Honorarium

June 13, 1988
Southeastern Peanut Association
$1,750.00, Honorarium

June 17, 1988
NC Association of Electric Cooperatives
$1,000.00, Honorarium
National Rural Electric Cooperative Association
$500.00, Honorarium
$1,500.00, Total, Honorarium

June 24, 1988
Phillip Morris
$1,000.00, Honorarium

June 27, 1988
Chicago Mercantile Exchange
$500.00, Honorarium
Board of Trade, City of Chicago
$500.00, Honorarium
$1,000.00, Total, Honorarium

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August 31, 1988
Peanut Growers Cooperative Marketing Association
$500.00, Honorarium

September 26, 1988
Connell Rice & Sugar Co., Inc.
$2,000.00, Honorarium

October 12, 1988
Smokeless Tobacco Council, Inc.
$1,000.00, Honorarium

October 13, 1988
R.J. Reynolds Nabisco
$2,000.00, Honorarium

December 14, 1988
American Sugarcane League
$1,000.00, Honorarium

December 21, 1988
Phillip Morris
$2,000.00, Honorarium

December 22, 1989
National Cable Television Association, Inc.
$1,500.00, Honorarium

Total Honoraria 1988:
$20,750.00

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VII. REIMBURSEMENTS
Financial Disclosure Statement, 1988
Congressman Charlie Rose
2230 Rayburn

Source	**Brief Description**
American Farm Bureau (speech)	Airfare: Wash., DC to New Orleans, LA. One day food/lodging. Member/spouse.
Tobacco Institute (speech)	Airfare: New Orleans, LA to Palm Springs, CA to Wash., DC. Two days food/lodging. Member/spouse.
Georgia Peanut Commodity Commission (speech)	Roundtrip Airfare, Wash., DC, to Albany, GA.
Global Forum of Spiritual and Parliamentary Leaders on Human Survival (speech)	Roundtrip Airfare, Wash., DC, to London, England. Ground transportation.
Southeastern Peanut Association (speech)	Roundtrip Airfare, Wash., DC to Hilton Head, SC. One day food/lodging.
Chicago Board of Trade (speech)	Airfare, Wash., DC to Chicago, ILL to Wilmington, NC.
Wallace & Edwards (speech)	Roundtrip Airfare, Wash., DC to New Orleans, LA.

Phillip Morris (speech)	Airfare, Wash., DC to NYC to Fayetteville, NC. One day food/lodging.

* * *

Under $250.00 Disclosure Value

Connell Rice & Sugar (speech)	Roundtrip Airfare, Wash., DC to Newark, NJ.

MAY 15 1989

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Dan Rostenkowski
(Full Name)

2111 - Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

989 MAY 24 AM 11:03

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 08 State Illinois

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see Statement #1, attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
see Statement #2, attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES X NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
see Statement #3, attached	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
see Statement #4, attached		

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V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
see Statement #5, attached		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see Statement #6, attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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HOUSE OF REPRESENTATIVES

DAN ROSTENKOWSKI

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

STATEMENT 1 - II. Income - Part A.

SOURCE & DATE	TYPE	AMOUNT
Public Securities Association, Inc. - 10/14/88	Honorarium	$7500.*
Burkhardt Advertising - 1/25/88	Honorarium	$500.
Tax Executives Institute - 5/13/88	Honorarium	$2000.*
Board of Trade of the City of Chicago - 3/25/88	Honorarium	$5500.*
Business International Corporation - 2/3/88	Honorarium	$2000.
American Bankers Association - 10/05/88	Honorarium	$7500.*
Campbell-Raupe, Inc. - 4/22/88	Honorarium	$5500.*
Conference of Insurance Legislators - 1/26/88	Honorarium	$2000.
American Medical Association - 2/24/88	Honorarium	$5500.*
Emergency Committee for American Trade - 3/14/88	Honorarium	$2000.
Centel Corporation - 5/20/88	Honorarium	$7500.*
Public Securities Association, Inc. - 1/20/88	Honorarium	$2000.
Euromoney, Inc. - 6/30/88	Honorarium	$7500.*
The Cosmetic, Toiletry & Fragrance Assn. - 4/15/88	Honorarium	$1500.*
American Medical Association - 2/24/88	Honorarium	$2000.
Smokeless Tobacco Council, Inc. - 3/23/88	Honorarium	$1500.*
Whiteco Metrocom - 1/25/88	Honorarium	$1000.
Chicago Research & Trade Group, Ltd. - 7/6/88	Honorarium	$7500.*
Deloitte, Haskins & Sells - 1/13/88	Honorarium	$2750.*
Aetna - 3/3/88	Honorarium	$2000.
American Bar Association - 5/16/88	Honorarium	$7500.*
Business International Corporation - 12/08/88	Honorarium	$7500.*
Hotel Employees & Restaurant Employee Int'l. Union - 2/24/88	Honorarium	$2000.
Public Securities Assoc. - 1/20/88	Honorarium	$5500.*
American Bankers Association - 3/11/88	Honorarium	$7500.*
The Harry Walker Agency, Inc. - 5/10/88	Honorarium	$14000.*
National Association of Life Underwriters - 3/14/88	Honorarium	$2000.
Campbell-Raupe, Inc. - 5/12/88	Honorarium	$2000.*
Conference of Insurance Legislators - 1/26/88	Honorarium	$5500.*
American Gas Association - 8/24/88	Honorarium	$2000.*
National Realty Committee, Inc. - 9/19/88	Honorarium	$7500.*
Emergency Committee for American Trade - 3/14/88	Honorarium	$5500.*
Computer & Communications Industry Association - 5/23/88	Honorarium	$7500.*
U.S.F. & G. - 1/28/88	Honorarium	$1500.
Business International Corporation - 2/3/88	Honorarium	$5500.*
Southwest Gas Corporation - 8/24/88	Honorarium	$5500.*
American Horse Council Speech - 3/10/88	Honorarium	$2000.
Hardware/Home Improv. Ind. Counc. for the City of Hope 8/9/88	Honorarium	$7500.*
Council of Infrastructure Financing Authorities - 5/25/88	Honorarium	$7500.*
Tax Executives Institute, Inc. - 4/1/88	Honorarium	$5500.*
The Beer Institute - 5/20/88	Honorarium	$7500.*
The Tobacco Institute - 1/16/88	Honorarium	$2000.
Paul, Hastings, Janofsky & Walker - 2/22/88	Honorarium	$2750.*
Gannett Outdoor of Chicago - 1/25/88	Honorarium	$500.
National Association of Life Underwriters - 3/14/88	Honorarium	$5500.*
National Association of Chain Drug Stores, Inc. 2/24/88	Honorarium	$7500.*
Coalition Against Regressive Taxation - 5/11/88	Honorarium	$6000.*
Irvine Company Speech - 1/14/88	Honorarium	$2000.
The Cosmetic, Toiletry & Fragrance Assoc. - 4/15/88	Honorarium	$ 500.**
Board of Trade of Chicago - 5/11/88	Honorarium	$2000.*
Confidence Insurance Agency (Mrs. Rostenkowski)	Wages	$1900.

* Denotes Charitable Contribution
** $115 of this amount is a Charitable Contribution

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DAN ROSTENKOWSKI

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

STATEMENT 2 - II. Income - Part B.

SOURCE	TYPE	CATEGORY
Real Estate Rental Income	Rent	D
Athlone, Inc.	Dividend	B
Deluxe Check Printers	Dividend	B
Qualified Blind Trust	Trust Distribution	E
Security Federal Savings & Loan	Interest	D
Northwestern Savings & Loan	Interest	C
National Security Bank	Interest	D
Internal Revenue Service	Interest	A

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DAN ROSTENKOWSKI

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

STATEMENT 3 - III. Holdings

IDENTITY	CATEGORY
Athlone Industries Stock	C
Deluxe Checkprinters Stock	C
Egyptian Trotting Association Stock	B
GSE Laboratories Stock	A
Equity Ownership in Ridgemoor Country Club	A
Northwestern Savings & Loan Association Savings Account	C
Security Federal Savings & Loan of Chicago Savings Account	C
Ahmanson H F & Co.	A
Amdahl Corp.	A
Borden Chems & Plastics Ltd. Partnership	A
Bristol Myers Company	A
Digital Equipment Corp	A
Ethyl Corp.	A
First Bk. Sys. Inc.	A
Great Lakes Chemical	A
Helene Curtis Indust	A
Himont, Inc.	A
International Business Machs Corp.	A
Kennametal Inc.	A
IBP, Inc.	A
Marsh & McLennan Cos. Inc.	A
Morgan J P & Co., Inc.	A
Norfolk Southn Corp.	A
Omnicom Group, Inc.	A
Parker-Hannifin Corp.	A
Pfizer, Inc.	A
Servicemaster Ltd Pship	A
Tokheim Corp.	A
Ust, Inc.	A
Wallace Computer Service, Inc.	A
Willamette Inds, Inc.	A
Wnc, Inc.	A
	A

REAL PROPERTY	
1/3 Interest in Lot #36-24-16-0010-05800-0000, Unit 6, Lot 26, Pasco County, Florida	B
Hernando County, Block Unit 20, Lots 7-14, Spring Hills, Florida	D
Collier County, #49801200002, Marco Island, Florida	C
2158 North Damen Avenue, Chicago, IL	C
N.E. Corner of Blackhawk and Noble Streets, Chicago, IL	A
1362 West Evergreen Avenue, Chicago, IL	A
Corner of Ada and Evergreen Streets, Chicago, IL	A
Evergreen & Noble Street, Chicago, IL	D
Corner of Haddon & Noble Streets, Chicago, Il	A

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U.S. HOUSE OF REPRESENTATIVES

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U.S. HOUSE OF REPRESENTATIVES

DAN ROSTENKOWSKI

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

STATEMENT 4 - IV. Transactions

BRIEF DESCRIPTION	DATE	CATEGORY
THOMSON MC KINNON SECURITIES, INC., FOR PURCHASE OF THE FOLLOWING STOCKS:	12/14/88	
Ahmanson H F & Co.		A
Amdahl Corp.		A
Borden Chems & Plastics Ltd. Partnership		A
Bristol Myers Company		A
Digital Equipment Corp		A
Ethyl Corp.		A
First Bk. Sys. Inc.		A
Great Lakes Chemical		A
Helene Curtis Indust		A
Himont, Inc.		A
International Business Machs Corp.		A
Kennametal Inc.		A
IDP, Inc.		A
Marsh & McLennan Cos. Inc.		A
Morgan J P & Co., Inc.		A
Norfolk Southn Corp.		A
Omnicom Group, Inc.		A
Parker-Hannifin Corp.		A
Pfizer, Inc.		A
Servicemaster Ltd Pship		A
Tokheim Corp.		A
Ust, Inc.		A
Wallace Computer Service, Inc.		A
Willamette Inds, Inc.		A
THOMSON MC KINNON SECURITIES, INC., FOR PURCHASE OF THE FOLLOWING STOCK:	12/16/88	
Unc, Inc.		A

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DAN ROSTENKOWSKI

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

STATEMENT 5 - VI. GIFTS- PART B

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REPRESENTATIVES

SOURCE	BRIEF DESCRIPTION
BOB HOPE DESERT CLASSIC	GOLF CLOTHING & ACCESSORIES - $250
KEMPER PRO-AM	GOLF CLOTHING & ACCESSORIES - $316
DANNY THOMPSON MEMORIAL GOLF TOURNAMENT	GOLF CLOTHING & ACCESSORIES - $150
CHRYSLER PRO-AM	GOLF CLOTHING & ACCESSORIES - $200

DAN ROSTENKOWSKI

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

STATEMENT 6 - VII. REIMBURSEMENTS

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U.S. HOUSE OF REPRESENTATIVES

SOURCE	BRIEF DESCRIPTION
Business International Corporation	Travel & Lodging
Irvine Company	Travel
Tobacco Institute	Lodging (spouse also)
Public Securities Association	Lodging (spouse also)
Outdoor Advertising Association	Lodging (spouse also)
Hotel, Restaurant Employees International Union	Lodging (spouse also)
Harvard University	Travel & Lodging
American Horse Council	Travel & Lodging
Aetna Insurance Company	Travel & Lodging
Futures Industry Association	Travel & Lodging (spouse also)
American Bankers Assoc.	Travel & Lodging (spouse also)
Cosmetic, Toiletry & Fragrance Association	Travel & Lodging (spouse also)
National Association of Chain Drugstores	Travel & Lodging
Merrill Lynch Symposium	Travel & Lodging
Hyatt Hotels Corporation	Travel & Lodging (spouse also)
American Bar Association	Travel
MCA, Inc.	Travel & Lodging
Centel Corporation	Travel
Euromoney	Travel
Chicago Research Trading Group	Travel
Hardware & Home Improvement Association	Travel
American Bankers Association	Travel & Lodging (spouse also)
Public Securities Association	Travel & Lodging (spouse also)
Business International Association	Travel (spouse also)
USF&G	Travel & Lodging (spouse also)
Coopers & Lybrand	Travel
Bob Hope Desert Classic	Travel (spouse also)
Labor of Love Tournament	Travel
Helene Curtis Pro Am	Travel & Lodging
Danny Thompson Memorial Tournament	Travel & Lodging
J. C. Penney Classic	Travel
Chrysler Pro Am	Travel

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Toby Roth
(Full Name)

9524 Locust Hill Drive
(Mailing Address)

Great Falls VA 22066

MC (Office Use Only)

1989 MAY 12 PM 3 11

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 08 State WI

☐ Officer or Employee—Employing Office ________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Secura Companies	Director Fee	$7000.	
See attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Valley Bank (Account Closed 6/88)	Interest	C
Crestar Bank	Interest	B
Real Estate (See attached)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 6.

IDENTITY	CATEGORY
See attached	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First Wisconsin Bank, Appleton WI (Mortgage)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Secura Companies

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Toby Roth	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Congressman Toby Roth
Financial Disclosure - 1988
Due: May 15, 1989

PART II INCOME

Organization	Received	Honorarium	
Natl Assn of Home Builders	01.19.88	$ 2000.	
Edison Electric Institute	02.18.88	250.	
Conservative Digest	02.20.88	200.	
Assn of Bank Holding Cos	03.14.88	2000.	
Hopkins & Sutter	03.21.88	1000.	
Chicago Board of Trade	03.21.88	500.	
Chicago Mercantile Exchange	03.24.88	500.	
Wisconsin Credit Union League	04.26.88	1000.	
Shawmut Bank	05.03.88	1500.	
Textron	05.03.88	1000.	
First National Bank of Boston	05.04.88	1500.	
BATUS Inc	05.08.88	2000.	
Assoc Credit Bureaus Inc	05.10.88	1000.	
International Insurance Council	05.14.88	250.	
Bank Capital Markets Assn	06.09.88	1500.	
Computer & Communications Industry Assn	06.04.88	1000.	
Grocery Manufacturers of America	06.04.88	1000.	
Second Opinion Publications	07.28.88	150.	
First Bank National Association Disposition: Charity	12.28.88	1000.	$1000
Foley & Lardner Disposition: Charity	12.28.88	2000.	$1000

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Congressman Toby Roth
Financial Disclosure - 1988
Due: May 15, 1989

PART IIB UNEARNED INCOME, AND,
PART III HOLDINGS

Real Estate Description:	PART IIB Net Income	PART III Holdings
972-974 Ida Street, Menasha WI (Duplex)	C	C
739 S Fairview, Appleton WI (Sgl Family)	B	C
1734-1736 E Amelia, Appleton WI (Duplex)	C	C
1366-1368 Home Avenue, Appleton WI (Duplex)	B	C
1525 W Wisconsin Ave, Appleton WI(Sgl Family)	B	C
1521 W Kampe Avenue, Appleton WI (Fourplex)	D	E
417-419 E Longview, Appleton WI (Duplex)	C	D

Checking Account:		
Crestar Bank, Great Falls VA		A

Congressman Toby Roth
Financial Disclosure - 1988
Due: May 15, 1989

PART VII REIMBURSEMENTS

Natl Assn of Home Builders Speech; expenses Member/spouse	Airfare,round trip: WDC to Dallas TX, 2 days food/lodging
Chicago Mercantile Exchange Speech/Fact-Finding Visit	Airfare: WDC-Chicago IL; Chicago-Appleton; Appleton -WDC, 1 day
Shawmut Bank Speech	Airfare, round trip: WDC to Boston MA, 1 day food
BATUS Inc Speech; expenses Member/spouse	Airfare, round trip: Louisville KY, 2 days food/lodging
Bank Capital Markets Assn Speech	Airfare: WDC to Chicago; Chicago-Appleton; Appleton-WDC, 1 day food/lodging
First Bank National Assn Speech	Airfare: Appleton WI to Minneapolis MN; Return to Green Bay WI, 1 day food/lodging
Foley & Lardner Speech	Airfare, round trip: WDC to Milwaukee WI, 1 day food
International Management and Development Institute Conference	Airfare: WDC-London; Frankfurt; Stockholm; return WDC and 7 days food/lodging
Chicago Council of Foreign Relations Atlantic Conference Airfare: Member only Food/lodging: Member/spouse	Airfare, round trip: WDC-Jacksonville FL, 3 days food/lodging

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

1989 MAY -9 PM 1:22
U.S. HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

MARGARET ROUKEMA
(Full Name)

249 GREENWAY ROAD
(Mailing Address)

RIDGEWOOD, NEW JERSEY 07450

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State NEW JERSEY

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
UNIVERSITY OF MEDICINE and DENTISTRY OF NJ - WAGES OF SPOUSE		---	---
MEDICAL PRACTICE - SCHEDULE C INCOME OF SPOUSE		---	---
HONORARIA - CONTINUATION SHEET		5,300.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
CONTINUATION SHEET		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
CONTINUATION SHEET	

8 9 0 7 1 2 7 1 0 5 3

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
CONTINUATION SHEET		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

8 9 0 7 1 2 7 4 0 5 4

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
CONGRESSIONAL INSTITUTE, INC.	ROUNDTRIP AIRFARE TO
(SEMINAR PARTICIPANT)	ST.LOUIS CONFERENCE REIMBURSED

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
PROFESSOR (SPOUSE)	UNIVERSITY OF MEDICINE and DENTISTRY OF N.J.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 12.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Marge Roukema	Date 5/8/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

MARGARET ROUKEMA
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A	HONORARIA:		AMOUNT
	1-19-88 NEW JERSEY BELL		$2,000.00
	2-25-88 EQUAL EMPLOYMENT ADVISORY COUNCIL		500.00
	3-30-88 AMERICAN MEDICAL ASSOCIATION		2,000.00
	4-18-88 NATIONAL ASSOCIATION OF BANK WOMEN		200.00
	5-25-88 THE BROOKINGS INSTITUTE		300.00
	10-11-88 PRINCETON UNIVERSITY		300.00
			$5,300.00
II-B	UNEARNED INCOME:	TYPE	CATEGORY
	RIDGEWOOD SAVINGS AND LOAN	INTER[illegible]	A
	EQUITABLE LIFE ASSURANCE CO.	INTERE[illegible]	A
	NATIONAL COMMUNITY BANK (CHECK & SAVINGS)	INTEREST	A
	D.L.J. PENN EIGHT ASSOCIATES (L.P.)	INTEREST	A
	PUBLIC SERVICE ENTERPRISE GROUP	DIVIDEND	A
	ALLIANCE TAX EXEMPT RESERVES	INTEREST	B
	MUNICIPAL BONDS	INTEREST	D
III	HOLDINGS:		
	SPOUSE'S RETIREMENT PLANS		E
	SAVINGS ACCOUNTS:		
	NATIONAL COMMUNITY BANK		A
	RIDGEWOOD SAVINGS and LOAN ASSOCIATION		B
	CHECKING ACCOUNT - INTEREST BEARING		
	NATIONAL COMMUNITY BANK		A
	D.L.J. PENN EIGHT ASSOCIATES, LP (see note)		B
	MUNICIPAL BONDS and TAX EXEMPT BOND FUNDS:		
	STATE OF N.J. CTFS 6-15-90		C
	SIOUX CITY, IOWA HOSP. REV. REF 7-1-94		C
	PIEDMONT MUNI POWER AGENCY 1-1-22		C
	ALLIANCE TAX EXEMPT RESERVES GEN. PORT.		C

NOTE: D.L.J. PENN EIGHT ASSOCIATES, LP IS AN INTEREST IN A REAL ESTATE LIMITED PARTNERSHIP THAT INVESTS IN RENTAL REAL ESTATE IN PHILADELPHIA, PA. SPOUSE'S INTEREST IS AS A LIMITED PARTNER. CATEGORY BASED ON PARTNER'S CAPITAL ACCOUNT BALANCE AT 12-31-88.

Part			
IV	TRANSACTIONS:		
	ALLIANCE TAX-EXEMPT RESERVES:		
	PURCHASE	1-6-88	A
	SOLD	3-7-88	B
	PURCHASE	4-26-88	B
	PURCHASE	5-26-88	A
	PURCHASE	6-17-88	A
	PURCHASE	7-6-88	A
	PURCHASE	7-11-88	B
	SOLD	9-7-88	B
	PURCHASE	11-8-88	A
	SOLD	11-9-88	C
	SOLD	12-22-88	B

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

J. ROY ROWLAND
(Full Name)

423 Cannon House Office Bldg.
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

89 MAY 15 PM 3 58

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8th State Georgia

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see continuation Sheet	honoraria	$7,650.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Continuation Sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
see continuation sheet	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE *during calendar year* 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
none		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value *of all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

8 9 0 7 1 2 8 2 4 2 9

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Retired Partner	Medical Center, Dublin, Georgia
Director	Dublin Medical Arts, Inc., Dublin, GA
Director	J-Mal, Inc., Dublin, GA

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
9-01-82	Medical Center and Member	see continuation sheet

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-11-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

J. ROY ROWLAND
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value
(As Applicable)

II A.

Earned Income: Honoraria

Source:	Amount	Received
Private Practice-The Physician Journal	$ 400.00	01-14-88
The Tobacco Institute	1,000.00	01-15-88
Association of Oil Pipe Lines	1,000.00	02-25-88
PSI-Chapter-Georgia Washington U.	100.00	03-04-88
Sixth District Medical Society	500.00	03-11-88
Emory University	200.00	04-26-88
Georgia Washington University	200.00	05-12-88
American College of Emergency Phys.	2,000.00	09-14-88
China Clay Producers Assn.	250.00	10-18-88
National Council of Comm. Hospitals	2,000.00	12-06-88
total	$7,650.00	
Dublin Medical Arts Center, Inc. Director's Fee	$7,350.00	

II B.

Unearned Income:

Source:	Type	Category
Medical Center (A partnership)	compensation for equity in Partnership	E
Metropolitan Life Insurance	Cash Surrender	D
Citizens and Southern Bank	Interest	A
Reliance Trust Company	Interest	A
F & M Bank	Interest	B
Medical Center	Interest	A
Paine Webber	Dividends	D

III.

Holdings:	Category
Farm (46 acres): Laurens Co., GA	C
Farm (212 acres): Johnson Co., GA	D
Dublin Medical Arts Center, Inc., Dublin, GA - Common Stock	D
J-Mal, Inc.: Dublin, GA: Common Stock	D
Pine Forest Methodist Church: Dublin, GA-Bonds	A
Medical Center (partnership), Dublin, GA 2% interest in earnings	A
IRA-Citizens and Southern Bank, Dublin, GA	C
IRA-Citizens and Sourthern Bank, Dublin, GA	A
SEP-(Simplified Employee Pension) Citizens and Southern Bank, Dublin, GA	B
Keogh (Medical Center), Citizens and Sourthern Nat. Bank, Atlanta, GA	E
Farmers and Merchants Bank, Dublin, GA, Money Market Account	C
Paine Webber: Cash Fund (Money Market Fund)	D

GPO: [illegible]

R90/0282431

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

J. ROY ROWLAND
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII.	Source	Brief Description
	Tobacco Institute	speech(travel and lodging-Palm Springs,CA) Jan. 11-13-88—member and spouse-meals
	Tulane and LSU Medical Students	speech(travel and lodging-New Orleans,La) Jan. 29-30-88—member only-meals
	Medical Assn. of GA Leg. Seminar	speech(lodging only-Jekyll Island, GA) August 19-21-88 —Member and spouse
	Danny Thompson Memorial Leukemia Fund	Participation in Charity Golf Tourn.(travel and lodging Sun Valley, Idaho) -meals Aug. 23-28-88—member and spouse
	Am. College of Emergency Physicians	speech(travel and lodging, New Orleans, La) Sept. 25-26-88—member only
	China Clay Producers Assn.	speech(lodging, Jekyll Island, GA) Oct. 14-16-88—member and spouse
	National Council of Community Hospitals	speech(travel and lodging, Palm Springs, Ca) Nov. 9-11-88—member and spouse-meals
	Australian Meat and Live-Stock Corporation/New Zealand Dairy Board/New Zealand Meat Producers Board/ Quantas Airways Limited/ New Zealand Day, Meat Producers, Wool, and Apple and Pear Mkt. Bds./ CSR-Australian Sugar Industry	fact finding trip(travel, lodging, meals)Australia/New Zealand— Nov.11-23-88—member and spouse
IX.	Agreements: On 09-01-82, member withdrew and retired from the partnership (Medical Center, Dublin, GA) Pursuant to agreement, member will be reimbursed for equity by participating in division of Partnership earnings (based on a point system adopted by said partnership) and also participate in the partnership retirement (Keogh) Plan through February 29, 1992.	

GPO: 1987 [illegible]

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

John Grosvenor Rowland
(Full Name)

86 Cables Avenue
(Mailing Address)

Waterbury, CT 06710

MC (Office Use Only)

89 MAY 15 AM 9 50
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State CT

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL-INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Northrop Corp.	Honorarium	$2000.00	
Blue Cross/Blue Shield	"	1000.00	
Conn. State Association of Letter Carriers	"	500.00	
U.S. Tobacco	"	1000.00	
New England Council	"	2000.00	

See also Continuation Sheet

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Centerbank	Interest	A
Cong. Federal Credit Union	"	A
Shearson Mutual Fund	Dividends	A
ITT/Shearson	Capital Gain	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Centerbank Savings Account	A
Shearson Mutual Fund	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of ITT Stock	10/25/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
US Tobacco - Greenwich, CT	Air travel & Lodging for 3 day conference (4/15-4/17/88) in Palm Beach, Florida

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Advisory Board	Westport Group (Business) - Middlebury, CT
" "	Centerbank - Waterbury, CT

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John G. Rowland	5/12/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John G. Rowland
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II A	UNC Inc. - Honorarium - $1000.00
INCOME	Textron " 1000.00
	UNISYS " 500.00
	Excaliber Group " 2000.00*
	Norwalk Catholic Mens Club - " 1000.00*
	Westport Group - Director Fees - $3000.00
	Centerbank " " 600.00
	*DONATED TO CHARITY

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MAY 15 1989

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Honorable Edward R. Roybal
(Full Name)
2211 Rayburn House Office Building
(Mailing Address)
Washington, D.C. 20515

Mc (Office Use Only)

1989 MAY 16 AM 8 20
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 25 State CA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
419 N. Hill Street, Pasadena, CA	Rental	E
348 Euclid Ave, Oakland, CA	½ Owner	D
609 Massachussetts Ave, Washington, D.C.		E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
5 unit apartment, 419 N. Hill Street, Pasadena, CA	E
6 unit apartment, ½ owner, 348 Euclid Ave., Oakland, CA	D
3 unit apartment, 609 Massachussetts Ave, Washington, D.C.	E
Columbia Savings and Loan	E
Citizens Bank of Maryland	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
~~NONE~~ house 311 Maryland Ave N.E. 3 unit apt. (sold on time)	10-21-86	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Great Western Savings and Loan	F
First Interstate Bank	G
Chase Bank of Maryland	F
Citicorp Bank	G

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National Association of Social Workers	Speaking Engagement (travel & lodging) 1 day; self; 11/09 & 11/10 return
NALEO 1988 Conference	Speaking Engagement & Fact-Finding Event 2 days; self & spouse; 06/10, 06/11, 06/12 return(travel & lodging)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Edward R. Roybal Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Edward R. Roybal	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

1989 MAY 31 PM 4:31
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
OFFICE OF RECORDS & REGISTRATION

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Honorable Edward R. Roybal
(Full Name)

2211 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

✓ MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 25 State CA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NONE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
419 N. Hill Street, Pasadena, CA	Rental	E
348 Euclid Ave, Oakland, CA	½ Owner Rental	D
609 Massachussetts Ave, Washington, D.C.	Rental	E
Columbia S/L	Interest-Dividends	D
National Bank of Washington	Interest	D
Burnham Lambert	Dividends	B
Congressional Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
5 unit apartment, 419 N. Hill Street, Pasadena, CA	E
6 unit apartment, ½ owner, 348 Euclid Ave, Oakland, CA	D
3 unit apartment, 609 Massachussetts Ave, Washington, D.C.	E
Columbia Savings and Loan	E
Citizens Bank of Maryland	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
311 Maryland Ave N.E., Washington, D.C. 5 unit apt.	10-21-86	E
(sold on time)		
609 Massachussetts Ave N.E. Washington D.C. purchase	09-19-88	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calender year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
Great Western Savings and Loan	1st Trust 419 N. Hill, Pasadena, CA	F
First Interstate Bank	2nd Trust 419 N. Hill, Pasadena, CA	G
Chase Bank of Maryland	2nd Trust 328 Constitution NE Wash. D.C.	E
Citicorp Bank	1st Trust 609 Massachussetts, Wash. D.C.	G

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
NONE	

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National Association of Social Workers	Speaking Engagement(travel & lodging)
	1 day; self; 11/09 & 11/10 return
NALEO	Speaking Engagement & Fact-Finding Event
	2 days; self & spouse; 06/10, 06/11
	06/12 return (travel & lodging)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Edward R. Roybal Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Edward R Roybal	05/31/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

HAND DELIVERY

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Martin A. Russo
(Full Name)

2233 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3d State Illinois

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO x NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(see attached sheet)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Townhouse located at 3538 Gunston Rd., Alexandria, VA	Rent	D
Exchange Bank of River Oaks	Interest	B
Polaris Trust IV	Interest	A
Baton Rouge Associates	Interest	A
Polaris Trust IV	Capital gain	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Townhouse located at 3538 Gunston Road, Alexandria, VA	D
Polaris Trust IV	C
Baton Rouge Associates	C
PruTech	A
Exchange Bank of River Oaks (savings account)	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Baton Rouge (purchase)	12/28/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Townhouse located at 3538 Gunston Road, Alexandria, VA	D
*Mortgage held by Fedstar Savings Bank, PO Box 149, Alex., VA	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the doner. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Midlothian Country Club	Honorary membership/golfing privileges	$400
Bob Hope Classic	Golf clothing & accessories	350
Kemper Open	Golf clothing & accessories	316
Chrysler Team Championship	Golf clothing & accessories	200
Jerry Ford Invitational	Golf clothing & accessories	150

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(see attached sheet)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Of Counsel	Coffield, Ungaretti, Harris & Slavin
Citizens Board	Illinois Masonic Medical Center

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Marty Russo	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

89377231477

MARTIN A. RUSSO

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

VII. REIMBURSEMENTS

Source	Brief description
U.S. Sugar and Florida Power and Light	Round trip air fare between Chicago, Illinois and West Palm Beach, Florida. 6 days lodging and travel expenses.
Hotel Employees & Restaurant Employees International Union	Round trip air fare between Chicago, Illinois and Palm Springs, CA
Chicago Board of Trade	Round trip air fare between Washington, DC and West Palm Beach, Fla. Spouse round trip air fare between Chicago and Ft. Lauderdale, Fla. 4 days lodging & travel expenses.
Crowley Maritime Corporation and Wine Institute	Round trip air fare between Chicago, IL and San Francisco, CA. 5 days lodging and travel expenses (spouse also).
Liz Robbins Associates	Air fare, New York, NY to Chicago, IL. Spouse air fare Chicago, IL-WashDC-New York, NY-Chicago, IL
Chinese National Association of Commerce and Industry	Round trip air fare, Chicago, IL-San Francisco-Taipei-Tokyo-Chicago. (Spouse also) 8 days lodging and travel expenses
Goldman Sachs	3 days Tokyo lodging and travel expenses
Jerry Ford Invitational Golf Tournament	Transportation by air from Chicago, Illinois to Vail, Colorado and return. 4 days lodging
Chrysler Team Championship	Transportation by air from Washington, DC to West Palm Beach and return to Chicago, IL. 4 days lodging

MARTIN A. RUSSO

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

II. INCOME

Date	Source	Type	Amount	Disposition
1/15	Illinois Bell	Honorarium	$2,000	None
1/25	Hotel Employees & Restaurant International Union	Honorarium	2,000	Donated to charity
2/2	National Venture Capital Assoc.	Honorarium	1,000	Charity
2/19	Pfizer, Inc.	Honorarium	1,000	Charity
3/8	National Association of Small Business Investment Companies	Honorarium	1,000	Charity
3/12	Chicago Board of Trade	Honorarium	1,000	Charity
10/17	Spiegel, Inc.	Honorarium	1,000	Charity
12/15	Occidental Chemical Corporation	Honorarim	500	Charity
12/20	Chicago Board of Trade	Honorarium	2,000	Charity
**Donated to charity in addition to the above - $150				
1988	Coffield, Ungaretti, Harris & Slavin	Salary	25,000	
1988	Chicago Board of Education	Spouse salary		

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AND DELIVERED.

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Martin Olav Sabo
(Full Name)

U.S. House of Representatives
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State Minnesota

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Pratt and Whitney Aircraft	Honorarium	$1,000.	
1825 Eye Street, N.W., Suite 700			
Washington, D.C. 20006			
(Speech 1/7/88 at executive lunch meeting in West			
Palm Beach, Florida)			

* See continuation sheet

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Government Investors, Arlington, Virginia	Interest	B
James Heckman, Divide County, North Dakota	Farm Rent	A
IDS Mutual Fund, Minneapolis, Minnesota	Dividend	A

*See continuation sheet

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
IDS Mutual Fund, Inc., Minneapolis, Minnesota	A
237 acres farm land (owned in fee) Clinton Township, Divide, County, N.D.	C
316 acres farm land (remainder interest; life estate in Klara Sebo	D
in Clinton Township, Divide County, North Dakota)	
Government Investors Trust, Arlington, Virginia	C
*See continuation sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Pratt and Whitney Aircraft	Roundtrip airfares plus lodging
1825 Eye Street, N.W., Suite 700	and food for self and spouse for
Washington, D.C. 20006	speech 1/7/88
*See continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Martin Olav Sabo	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Martin Olav Sabo
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II. A (Income)	TRW 1000 Wilson Boulevard, Suite 2800 Arlington, Virginia 22209 (Speech 1/11/88 to executives in Los Angeles, California) Honorarium, $2,000.
II. A (Income)	Hughes Aircraft Company 1100 Wilson Boulevard Arlington, Virginia 22209 (Speech 1/11/88 at senior management conference luncheon in Los Angeles, California) Honorarium, $2,000.
II. A (Income)	McDonnell Douglas Corporation 1225 Jefferson Davis Highway, Suite 800 Arlington, Virginia 22202 (Speech 1/12/88 to executives in Los Angeles, California) Honorarium, $2,000.
II. A (Income)	FMC Corporation 1627 K Street, N.W., Suite 500 Washington, D.C. 20006 (Speech 1/13/88 to managers in San Jose, California) Honorarium, $1,000.
II. A (Income)	National Restaurant Association 311 First Street, N.W. Washington, D.C. 20001 (Speech 2/9/88 at Issues Forum in Washington, D.C.) Honorarium, $1,000.
II. A (Income)	Akin, Gump, Strauss, Hauer and Feld 1333 New Hampshire Avenue, N.W., Suite 400 Washington, D.C. 20036 (Speech 2/17/88 at Issues Forum luncheon in Washington, D.C.) Honorarium, $2,000.
II. A (Income)	The Illinois Ambassadors 233 South Wacker Drive 6300 Sears Tower Chicago, Illinois 60606 (To speak to business leaders 3/16/88 in Chicago, Illinois) Honorarium, $1,000.
II. A (Income)	Brown and Williamson Tobacco Company 1500 Brown and Williamson Tower P.O. Box 35090 Louisville, Kentucky 40232 (Speech 3/17/88 to corporate executives in Washington, D.C.) Honorarium, $1,000.
II. A. (Income)	United Technologies USBI Booster Production Company, Inc. P.O. Box 1900 188 Sparkman Drive Huntsville, Alabama 35807 (Speech 3/28/88 to senior managers in Huntsville, Alabama) Honorarium, $1,000.
II. A (Income)	Westinghouse Electric Corporation 1801 K Street, N.W. Washington, D.C. 20006 (Speech 4/18/88 to executives in Baltimore, Maryland) Honorarium, $1,000.
II. A. (Income)	The Tobacco Institute 1875 Eye Street, N.W. Washington, D.C. 20006 (Speech 5/10/88 to company representatives in Washington, D.C.) Honorarium, $1,000.
Continued	

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Page 2 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Martin Olav Sabo
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II. A. (Income)	Sikorski 1825 Eye Street, N.W. Washington, D.C. 20006 (Speech 5/20/88 to executives in Stratford, Connecticut) Honorarium, $1,000.
II. A. (Income)	Naegele Outdoor Advertising, Inc. 713 Broad Street Augusta, Georgia 30901 (Speech 5/26/88 at breakfast forum in Washington, D.C.) Honorarium, $1,000.
II. A. (Income)	Turner Collie and Braden, Inc. P.O. Box 130089 Houston, Texas 77219 (Participation 6/22/88 in dinner discussion to Chamber of Commerce & interested businessmen in Houston, Texas) Honorarium, $2,000
II. A. (Income)	Opperman and Paquin 100 Washington Avenue South, #2200 Minneapolis, Minnesota 55401 (Speech 6/27/88 to clients at luncheon series) Honorarium, $2,000
II. A. (Income)	Textron, Inc. 1090 Vermont Avenue, N.W., Suite 1100 Washington, D.C. 20005 (Speech 7/8/88 to management group in New Orleans, Louisiana) Honorarium, $1,000.
II. A. (Income)	Control Data Corporation 1201 Pennsylvania Avenue, N.W., Suite 370 Washington, D.C. 20004 (Speech 7/25/88 to management committee in Minneapolis, Minnesota) Honorarium, $2,000.
II. A. (Income)	Miller and Schroeder Northwestern Financial Center P.O. Box 789 Minneapolis, Minnesota 55440 (Speech 9/19/88 at monthly Issues Forum in Minneapolis, Minnesota) Honorarium, $2,000. Charity ($500.)
II. A. (Income)	Light's Fantastic 6825 Tennyson Drive McLean, Virginia 22101 Spouse's salary
II. A. (Income)	Diet Center 370 Maple Avenue West Vienna, Virginia 22180 Spouse's salary
II. B. (Income)	Federal Crop Insurance, Category: A

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Page 3 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Martin Olav Sabo
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II.B.(Income)	Commodity Credit Corporation, Type: deficiency payment, Category A
II. B.(Income)	First American Bank in Vienna, Virginia, Type: Interest, Category A (spouse
II. B.(Income)	Oil Lease in Clinton Township, Divide County, North Dakota Type: Oil lease, Category A
II. B.(Income)	Wright Patman Federal Credit Union, Washington, D.C., Category A
II. B.(Income)	Providence Savings and Loan, Vienna, Virginia, Category A
II. B.(Income)	Fortuna Farmers Elevator, Fortuna, North Dakota, Category B
III.(Holdings)	First American Bank in Vienna, Virginia, spouse savings, Category B
III.(Holdings)	Perpetual Savings and Loan, Vienna, Virginia, Category B
III.(Holdings)	Wright Patman Federal Credit Union, Category B
III.(Holdings)	Keystone Savings and Loan Association, Lampasis, Texas, Category B(spouse), (Savings)
III.(Holdings)	NSP Stock, Category B (spouse)

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Page 4 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Martin Olav Sabo
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VII. (Reimbursements)	TRW 1000 Wilson Boulevard Arlington, Virginia 22209 (For ½ roundtrip airfare to Los Angeles plus one day lodging and food for speech 1/11/88 in Los Angeles, California)
VII. (Reimbursements)	Hughes Aircraft Company 1100 Wilson Boulevard Arlington, Virginia 22209 (For ½ roundtrip airfare to Los Angeles plus one day lodging and food for speech 1/11/88 in Los Angeles, California)
VII. (Reimbursements)	McDonnell Douglas Corporation 1225 Jefferson Davis Highway, Suite 800 Arlington, Virginia 22202 (For ½ roundtrip airfare to Los Angeles for speech 1/12/88 in Los Angeles, California)
VII. (Reimbursements)	FMC Corporation 1627 K Street, N.W., Suite 500 Washington, D.C. 20006 (For ½ roundtrip airfare to Los Angeles, and one-way air travel to San Jose plus one day lodging and food for speech 1/13/88 in San Jose, California)
VII. (Reimbursements)	Puerto Rico U.S.A. Foundation 1701 Pennsylvania Avenue, N.W., Suite 1200 Washington, D.C. 20006 (For roundtrip airfare for self and spouse, plus lodging and food for conference 1/14/88 - 1/17/88 in Puerto Rico visiting American companies)
VII. (Reimbursements)	University of North Dakota Center for Aerospace Science Box 8216 University Station Grand Forks, North Dakota 58201 (For roundtrip airfare to tour University of North Dakota's Center for Aerospace Science 3/11/88)
VII. (Reimbursements)	United Technologies' USBI Booster Production Company P.O. Box 1900, 188 Sparkman Drive, Huntsville, Alabama 35807 (for roundtrip airfare for speech 3/28/88 in Huntsville, Alabama)
VII. (Reimbursements)	Electronic Industries Association 2001 Eye Street, N.W. Washington, D.C. 20006 (For roundtrip airfare plus lodging and food for self and spouse to participate in four day legislative roundtable April 4 - 7, 1988 for EIA members in Captiva Island, Florida)
continued	continued

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Martin Olav Sabo
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VII (Reimbursements)	Sikorski
	1825 Eye Street, N.W.
	Washington, D.C. 20006 (For roundtrip airfare to Stratford,
	Connecticut for speech 5/20/88 in Stratford, Connecticut)
VII. (Reimbursements)	Textron, Inc.
	1[illegible] Vermont Avenue, N.W., Suite 1100
	Washington, D.C. 20005 (Roundtrip airfare for speech
	7/8/88 to New Orleans, Louisiana)
VII. (Reimbursements)	IRECO Incorporated
	11th Floor Crossroads Tower
	Salt Lake City, Utah 84144 (for roundtrip airfare to New York
	City for self and spouse for speech 9/11/88 to board members
	in New York, New York)

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 MAY 15 AM 9 15

FORM A—For use by Members, officers, and employees

Patricia F Saiki
(Full Name)

1609 Longworth
(Mailing Address)

Washington DC 20575

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State Hawaii

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Amfac Inc - Retired 4/18/88	Director Fees	40,972.70	
Less amount distributed to charity		14,125	charity
American Trust Co. (Spouse)	pension		
Social Security (spouse)	pension		
State Retirement Fund	pension	8,929.58	

* See continuation sheet

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Prudential-Bache Securities	Dividend	E
6710 Hawaii-Kai Drive #910	Rent	D
Mt. Thomas-Wailuku, Maui, 50% partner	Rent	C
First Hawaiian Bank	Interest	A
Congressional Federal Credit Union	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Colorado Properties - Joint venture	C
6710 Hawaii-Kai Dr. #910 - Honolulu	E
Mt. Thomas Condo - Wailuku, Maui - 50% partner	D
Pension Fund - American Trust - SPOUSE	F
First Hawaiian Bank - Checking Account	B
Congressional Federal Credit Union - DC.	C

* See continuation sheet

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Amfac Stock + Share Purchase Rights - SOLD	12/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
International Savings + Loan - mortgage	C
Hawaii National Bank - mortgage	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Securities Industry Assoc Fact-finding – N.Y.	Air fare, lodging, meals

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Hawaii Pacific College
Trustee	Ferguson Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
Nov 1982	Hawaii State Legislature	Elected Official – Pension
Nov 1982	Hawaii State Legislature	Elected Official – HMSA Health Plan

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Patricia F Saiki	5/12/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Patricia F. Saiki
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
III	Prudential-Bache - Hawaii State Bonds.	E
	Prudential - Bache - National Municipal Bonds -	E
	Prudential - Bache - Mutual Fund	E
	Hawaiian Airlines Common Stock	B
II - A	National Assoc of Business Women - Honorarium 2/10/88	$500
	Securities Industry Assoc. 6/8/88 - Honorarium	$2,000

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 MAY 15 AM 9 15
CLERK OF THE HOUSE
U S HOUSE OF REPRESENTATIVES

FORM A—For use by Members, officers, and employees

Patricia F Saiki
(Full Name)

1609 Longworth
(Mailing Address)

Washington DC 20575

✓
MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State Hawaii

☐ Officer or Employee—Employing Office ____________

☒ Check if amended Statement.

1989 MAY 17 PM 2:25
U.S. HOUSE OF REPRESENTATIVES

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organizatinn described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE. AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Amfac Inc. - Retired 11/18/88	Director Fees	40,912.70	
Less amount distributed to charity		16,522.70 PS.	Charity
American Trust Co. (Spouse)	pension		
Social Security (spouse)	pension		
State Retirement Fund	pension	8,425.55	

* See continuation sheet

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Prudential - Bache Securities	Dividend	E
6710 Hawaii-Kai Drive #910	Rent	D
Mt. Thomas - Wailuku, Maui, 50% partner	Rent	C
First Hawaiian Bank	Interest	A
Congressional Federal Credit Union	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X PS. NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Colorado Properties - Joint venture	C
6710 Hawaii-Kai Dr. #910 - Honolulu	E
Mt. Thomas Condo - Wailuku, Maui - 50% partner	D
Pension Fund - American Trust - Spouse	F
First Hawaiian Bank - Checking Account	B
Congressional. Federal Credit Union - DC.	C

* See continuation sheet

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Amfac Stock & Share Purchase Rights - SOLD	12/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
International Savings + Loan - mortgage	C
Hawaii National Bank - mortgage	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Securities Industry Assoc Fact-finding – NY.	Air fare, lodging, meals for one night P.S.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Hawaii Pacific College
Trustee	Ferguson Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
Nov. 1982	Hawaii State Legislature	Elected Official – Pension
Nov. 1982	Hawaii State Legislature	Elected Official – HMSA Health Plan

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Patricia F. Saiki	5/12/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page ___ of ___

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Patricia F Saiki
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	Prudential - Bache - Hawaii State Bonds .	E
	Prudential - Bache - National Municipal Bonds -	E
	Prudential - Bache - Mutual Fund	E
	Hawaiian Airlines Common Stock	B
II - A	National Assoc of Business Women - Honorarium 2/10/88	$500
	Securities Industry Assoc. 6/8/88 - Honorarium	$2,000

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

MAY 12 1989

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

GEORGE E. SANGMEISTER
(Full Name)

Rt. 4 - Box 87
(Mailing Address)

Mokena, Illinois 60448

MC (Office Use Only)

1989 MAY 22 PM 2 38

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State Illinois

☐ Officer or Employee—Employing Office ________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO XXX NA _____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
McKewn, Fitzgerald, Zollner, Buck, Sangmeister & Hutchison	Legal Fees	111,142	None
Frankfort State Bank	Dir. Fees	3,800	None
State of Illinois	Pension	26,683	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Simmons Enterprises	Interest	D
Frankfort State Bank, Mokena State Bank & Chesterfield Savings & Loan	Interest	C
Martin Marietta - IBM-Frankfort Bank Shares	Dividends	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO XXX NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Frankfort State Bank - Savings Acct. & C.D.	C
Frankfort Township - Vacant 80 acres -(10% interest)	B
2½ vacant lots - Village of Frankfort (2/3rd interest)	E
Glenwood Building , 2455 Glenwood St., Joliet, Il. (14% interest)	C
Building 26-28 Kansas St, Frankfort, Il. (1/3rd interest)	C
27 acres - vacant - Minooka, Il. (14% interest)	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of Villa Park, Villa Park, Il. - Business Loan	D
First Midwest Bank, Joliet, Il. - Business Loan	C
Frankfort State Bank, Frankfort, Il. - Personal Loan	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Frankfort State Bank, Frankfort, Il.
Board Member	Joliet/Will County Center for Economic Development, Joliet, IL.
Board Member	Silver Cross Hospital Foundation
Board Member	Joliet Junior College Foundation
Partner	McKewn, Fitzgerald, Zollner, Buck, Sangmeister & Hutchison - Law Firm

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Geo E. Sangmeister	May 9, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

GEORGE E. SANGMEISTER
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
PART II	HOLDINGS
	Village of Frankfort - Bowen Street -1 1/2 acres vacant - C
	Martin Marietta - Common Stock - A
	I.B.M. - Common Stock - A
	Frankfort Bank Shares - B
	Simmons Enterprise (Joint Venture) - D

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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

GPO: 1987 78-045 (m)

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GEORGE E. SANGMEISTER
4TH DISTRICT, ILLINOIS

COMMITTEES
JUDICIARY
VETERANS' AFFAIRS

HAND DELIVERED

Congreſs of the United States
House of Representatives
Washington, DC 20515

DISTRICT OFFICES:
JOLIET
☐ 101 North Joliet Avenue
Joliet, IL 60431
(815) 740-2028

PARK FOREST
☐ 274 Centre
Park Forest, IL 60466
(312) 481-2343

AURORA
☐ 84A E. Downer Place
Aurora, IL 60505
(312) 859-3554

OFFICE OF RECORDS & REGISTRATION
1989 JUN -5 PM 2:47
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

May 31, 1989

MC ✓

The Honorable Julian Dixon
Chairman
Standards of Official Conduct
HT-2, U.S. Capitol
Washington, D.C. 20515

Dear Congressman Dixon:

This letter is in response to your letter of May 26, 1989 concerning my Financial Disclosure Statement.

In Part II. B I have listed accounts at Frankfort State Bank, Mokena State Bank, and Chesterfield Savings and Loan. I indicated the type of unearned income to be "interest". I thought it was apparent on its face, however, these assets are a checking account at Chesterfield Savings and Loan and a savings account at Frankfort State Bank and a savings account at Mokena State Bank.

Under Part IV - Transactions there should be listed IBM shares of stock, dated February, 1988, category A.

This should answer the questions you have raised.

Yours truly,

Geo E. Sangmeister
George E. Sangmeister
Member of Congress

GES:mkd
enc:

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UNITED STATES HOUSE OF REPRESENTATIVES

MAY 12 1989

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

William Clarence Sarpalius
(Full Name)

P.O. Box 7926
(Mailing Address)

Amarillo, Texas 79114

MC (Office Use Only)

1989 MAY 15 AM 9 49

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 13 State Texas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Senate of Texas	Salary	7,655.76	
CEPEX, Inc.	Salary	16,384.62	
Travelers Insurance Co.	Pension	2,833.36	
Masters Diversified Investments	Commission	10,500.00	
Lafayette Brokers	Consulting fees	10,000.00	
Honoraria	(see attached schedule)		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
First National Bank - Amarillo, Tx	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Lyric Energy, Inc. Stock	A
Denver Western Stock	A
Three Registered Quarter Horses	B

80070231495

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 16.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Consultant	Masters Diversified Investments
Public Relations Employee	CEPEX, Inc.
Consultant	Lafayette Brokers

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bill Sarpalius	5-12-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1989

William Clarence Sarpalius

Section II Income

1988

Honoraria

Methodist Hospital	(12/14/88)	$ 1,000.00
State of Ohio - Dept. of Education	(9/7/88)	1,000.00
National Agricultural Chemicals Assn.	(12/16/88)	500.00
Arkansas Future Farmers of America	(6/8/88)	500.00
Furr's, Inc.	(12/14/88)	2,000.00
		$ 5,000.00

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

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U.S. HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR

FORM A—For use by Members, officers, and employees

GUS SAVAGE
(Full Name)

1121 L.H.O.B.
(Mailing Address)
WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State ILLINOIS

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67.038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
CHICAGO OPTIONS EXCHANGE BOARD	HONORARIUM	$1,000.00	NONE
GREATER HARRISBURG AREA NAACP	HONORARIA	$1,000.00	NONE
NATIONAL BUSINESS LEAGUE	HONORARIA	$1,000.00	NONE
CONG. WILLIAM GRAY	HONORARIA	$1,000.00	NONE

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE	X	X

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	X

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE	X	X

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
INDEPENDENCE BANK OF CHICAGO $10,000.00	LOAN

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
~~[illegible]~~ NONE	NONE
~~[illegible]~~	~~[illegible] FOR SPEECH, MINN., MINN.~~
~~NATIONAL [illegible] LEAGUE~~	~~[illegible] HOTEL FOR SPEECH TO NATIONAL [illegible] LEAGUE~~
~~$[illegible]~~	
~~HARRISBURG DEMOCRATIC COMMITTEE~~	— TRAVEL TO HARRISBURG

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NORTHWESTERN GOLF CO.	GOLF CLUBS	$250.00

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, *and reimbursements paid from campaign funds, need not be reported.*

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
HONEYWELL CORP. —	TRAVEL FOR SPEECH TO
NATIONAL BUSINESS LEAGUE —	MIN[illegible]SEMINAR. MINORITY CONTRACTORS,
	TRAVEL AND HOTEL FOR SPEECH
HARRISBURG (PA.) DEMOCRATIC COMMITTEE	TRAVEL TO HARRISBURG, PA.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	NONE

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		X

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Gus Savage	4/13/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

HAND DELIVERED

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HON. GUS SAVAGE
2nd DISTRICT, ILLINOIS

CHAIRMAN, SUBCOMMITTEE:
ECONOMIC DEVELOPMENT

MEMBER COMMITTEES
SMALL BUSINESS
PUBLIC WORKS AND
TRANSPORTATION

MEMBER SUBCOMMITTEES
SURFACE TRANSPORTATION
WATER RESOURCES
SBA, GENERAL ECONOMY
AND MINORITY ENTERPRISE

Congress of the United States
House of Representatives
Washington, D.C. 20515

WASHINGTON OFFICE
1121 LONGWORTH BUILDING
WASHINGTON, D.C. 20515
(202) 225-0773

DISTRICT OFFICE
115TH & HALSTED CENTER
CHICAGO, ILLINOIS 60628
(312) 660-2000

LOUANNER PETERS
NATIONAL CHIEF OF STAFF

DONNOVAN DUNKLEY
LEGISLATIVE DIRECTOR

VINCENT GILBERT
DISTRICT DIRECTOR

WILLIAM MARSHALL
PRESS SECRETARY

OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES
1989 MAY 31 PM 4:05
OFFICE OF RECORDS & REGISTRATION

May 26, 1989

MC

Mr. Don Anderson
Clerk of the House
U.S. House of Representatives
Washington, D.C. 20515

Dear Mr. Clerk:

This letter amends my Financial Disclosure Statement for 1988 to clarify earlier submitted information.

Under Section A of Part II, the honararia was received on the following dates:

1) June 27, 1988 -- Chicago Options Exchange Board

2) October 1, 1988 -- Greater Harrisburg Area Branch NAACP

3) October 15, 1988 -- National Business League

4) November 28, 1988 -- Committee for Democratic Opportunity (Cong. William Gray's Committee, not him personally)

For Part III, I have no holdings, nor spouse nor dependent children. For Part V, the liability was a Category B personal loan.

The days for each trip and location of the National Business League travel as requested in Part VII are:

- MORE -

1) November 1, 1988, Minneapolis, MN, with a staff aide.

2) October 14-15, 1988, Richmond, VA (National Business League) by automobile, one night stay for self and staff aide.

3) October 1, 1988, Harrisburg, PA by automobile.

Sincerely,

Gus Savage

Gus Savage, M.C.

8 9 3 7 0 2 8 2 4 / 2

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

THOMAS C. SAWYER
(Full Name)

1298 N. HOWARD ST.,
(Mailing Address)

AKRON, OHIO 44310

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 14 State OHIO

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
COPLEY-FAIRLAWN City SCHOOLS (spouse)	salary	—	—
6/13/88 Brookings Institute	honorarium	300.	—
12/14/88 OHIO BELL	honorarium	1,000.	The Vernon F. Cook Endowment Fund

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Summit FEDERAL CREDIT UNION	Interest	A
National City Bank	Interest	A
Wilson Plumbing and Heating (Paul Wilson)	Interest and principal (on 158 Edgerton property)	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ [✓ NO] NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SAVINGS ACCOUNT - NATIONAL City BANK	B
SAVINGS ACCOUNT - Summit FEDERAL CREDIT UNION	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. **INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Thomas C Sawyer	Date 5-6-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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UNITED STATES HOUSE OF REPRESENTATIVES

MAY 15 1989

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Hugh James Saxton
(Full Name)

12 Meadowyck Lane
(Mailing Address)

Vincentown, NJ 08088

MC
(Office Use Only)

1989 MAY 18 AM 8 42
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 13 State N.J.

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
H. James Saxton Realty, Inc.	Commissions	$26,849.	Gross

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
911 Route 206, Bordentown, NJ	Rent	D
Bank of Mid-Jersey, Bordentown, NJ	Dividend	A
Bank of Mid-Jersey, Bordentown, NJ	Interest	A
Congressional Credit Union, Washington, DC (Wright-Patman)	Interest	B
Mount Holly State Bank, Mount Holly, NJ	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
H. James Saxton, Inc. - Common Stock	A
Jim Saxton Realty of Bordentown, Inc. - Common Stock	A
Jim Saxton Realty of Florence, Inc. - Common Stock	A
911 Route 206, Bordentown, NJ - Commercial Real Estate	E
Bank of Mid-Jersey, Bordentown, NJ - Common Stock	A
Bank of Mid-Jersey, Bordentown, NJ - 2A	B
Mount Holly State Bank, Mount Holly, NJ - Certificate of Deposit	B
Mount Holly State Bank, Mount Holly, NJ - Savings	A
Wright-Patman Credit Union, Washington, DC - Savings	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of Mid-Jersey, Mortgage, 911 Route 206, Bordentown, NJ	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Community Relations Committee of the United Jewish Federation of MetroWest	Round trip plane fare to Israel; lodging, meals & other program expenses
National Council of Savings Institutions	Round trip plane fare to New York; ground transportation; lodging & breakfast

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	H. James Saxton, Inc. (No compensation)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
12/18/84	Dale Reising and Cindy Egeli	May return to real estate business and share one-third of profits.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

H. James Saxton

Date
May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Daniel L. Schaefer (Dan Schaefer)
(Full Name)

19755 West Iliff Avenue
(Mailing Address)

Lakewood, Colorado 80228

MC (Office Use Only)

MAY 12 [illegible] 09

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6th State Colorado

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Jefferson County Public Schools (spouse)	Salary		
Smokeless Tobacco (Feb 11)	Honoraria	1,000	
Ntl Restaurant Assn (March 19)	Honoraria	1,000	
Int Research Center (April 7)	Honoraria	1,000	
University of Denver (April 8)	Honoraria	1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
½ Interest Commercial Rental	Rent	D
First Bank of Westland	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Public Employees Retirement Association (spouse)	C
½ Interest Commercial Rental - Grand Junction CO	E
Investors Partnership Group - Undeveloped Lnad - Golden, CO	C
Adams Investors Group - Real Estate Investment - Northglen, CO	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Sovran Mortgage - Virginia Townhouse Mortgage - ½ interest	C
Colorado National Bank - Lakewood CO - personal loan	B
1st Bank Westland - Lakewood CO - business & personal loan	D
Maynard Westblade & Don D Park - undeveloped land - business loan	B
1st National Bank of Grand Junction - commercial business loan	E
United Bank of Lakewood - Lakewood CO - business loan	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
	None	

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Ntl Broadcasters	Travel, Food & Lodging to Las Vegas April 9
Ntl Cable TV Assn	Travel, Food & Lodging to California April 30
NAB	Travel, Food & Lodging to Las Vegas May 15

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Investors Partnership Group
Partner	Adams Investment Group

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/12/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Daniel L. Schaefer
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. INCOME	SOURCE	TYPE	AMOUNT
	Ntl Broadcasters (April 9)	Honoraria	1,500
	Tobacco Institute (April 13)	Honoraria	2,000
	Ntl Cable TV Assn (April 30)	Honoraria	1,500
	NAB (May 15)	Honoraria	1,500
	Nabisco (June 10)	Honoraria	2,000
	BFI (June 20)	Honoraria	2,000
	Ntl Coal Assn (June 25)	Honoraria	1,000
	Co Cable Television (July 1)	Honoraria	1,000
	US Tobacco (July 26)	Honoraria	1,000
	Phillip Morris (August 15)	Honoraria	1,000
	American Nuclear Energy Council (Sept 15)	Honoraria	300
	Ntl Assn of Rehab (Oct 15)	Honoraria	1,000
	Co Equip. Dealers (Oct 17)	Honoraria	500
V. LIABILITIES			CATEGORY
	Colorado Student Obligation Bond Authority (student loan)		C
	Jeffco Credit Union		A
VII. REIMBURSEMENTS	SOURCE	BRIEF DESCRIPTION	
	BFI	Travel, Food & Lodging to Houston June 20	
	NAB Convention	Food & Lodging - Vail - July 16	

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DAN SCHAEFER
6TH DISTRICT, COLORADO

1317 LONGWORTH BUILDING
WASHINGTON, DC 20515
(202) 225-7882

3615 SOUTH HURON STREET, #101
ENGLEWOOD, COLORADO 80110
(303) 762-8890

Congress of the United States
House of Representatives
Washington, D.C.

ENERGY AND COMMERCE
COMMITTEE

SUBCOMMITTEES
OVERSIGHT AND INVESTIGATIONS
ENERGY AND POWER
TRANSPORTATION, TOURISM, AND
HAZARDOUS MATERIALS

OFFICE OF RECORDS & REGISTRATION
1989 MAY 24 AM 7:34
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

May 23, 1989

The Honorable Donnald K. Anderson
Clerk of the House
U.S. House of Representatives
Office of Records and Registration
1036 Longworth H.O.B.
Washington, D.C. 20515

MC

Dear Mr. Anderson:

Pursuant to a request by the Committee on Standards of Official Conduct, this letter serves as an amendment to my 1988 Financial Disclosure Statement.

Part IV (Transactions) is amended as follows: my interest in DLM Joint Venture was sold March 31, 1988, for a total price of less than $1,000, which falls below the threshold for purposes of reporting financial transactions. Hence, I did not report the transaction in my original filing.

I trust this answers the committee's questions.

Best regards.

Sincerely,

Dan Schaefer
Member of Congress

DS:bf

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

James H. Scheuer, M.C.
(Full Name)

2466 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8 State NY

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Malino & Metcalf, Inc. (Spouse)	Salary		
The New York Times (op-ed article)	Honorarium	$150.00	Press Secy.

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached Schedule II B - Income		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached Schedule III - Holdings	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached Schedule IV - Transactions		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Grantor - Trust under Agreement DTD 2/28/69,	
James H. Scheuer (Grantor):	
a) Capitol Towers, Unit II Trust, Sacramento, CA (Business)	F
b) Overseas Discount Corp. & 511 Equities Corp. Re: Capitol	F
Mall Tract III, Sacramento, CA (Business)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Transportation & Lodging (w/spouse) - Hot Springs, VA	10/88 Bd. Mtg. of North American Friends of IOLR (Israel Oceanographic & Limnological Rsch. Inst)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
See attached Schedule VIII - Positions	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/23/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Schedule II Income Part B

Page 5 of 19

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James H. Scheuer, M.C.
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

Security	Type	Category
ACS Enterprises, Inc.	Capital Gain	A
Air Express International Corp.	Capital Gain	B
Alleco, Inc.	Capital Gain	D
American Standard, Inc.	Capital Gain	C
Asamera, Inc.	Capital Gain	A
Best Products Co., Inc.	Capital gain	A
CBS Inc.	Capital Gain	D
CNW Corp.	Capital Gain	B
Cablevision Systems Corp. Class A	Capital Gain	B
Cedar Fair L.P. Depository Receipt Unit	Capital Gain	A
Chi-Chi's Inc.	Capital Gain	B
Chrysler Corp.	Capital Gain	D
Comcast Cablevision of Maryland	Capital Gain	E
Deere & Co.	Capital Gain	C
DiGiorgio Corp.	Capital Gain	D
Farm Fresh, Inc.	Capital Gain	C
Federated Department Stores, Inc.	Capital Gain	D
Foster Wheeler Corp.	Capital Gain	D
The Henley Group, Inc.	Capital Gain	B
Interco Inc.	Capital Gain	D
International Kings Table Inc.	Capital Gain	B
Internorth Inc. 10.50% due 6/1/08	Capital Gain	D
Maxxam Inc.	Capital Gain	C
Maxxam Group Inc.	Capital Gain	C
MCO Holdings Inc. 13.50% due 5/20/2000	Capital Gain	B
Metro Mobile Cts. Inc. Class A	Capital Gain	D
Mobil Corp.	Capital Gain	D
Mohasco Corp.	Capital Gain	D
Musicland Group, Inc.	Capital Gain	C
National Data Corp.	Capital Gain	B
North American Communications Corp.	Capital Gain	A
Royal Palm Beach Colony LP	Capital Gain	C
RPC Energy Services, Inc.	Capital Gain	D
Russ Berrie & Co., Inc.	Capital Gain	B
Sprague Technologies, Inc.	Capital Gain	C
USX Corp.	Capital Gain	C
United Cable Television Corp.	Capital Gain	C
Valley Federal Savings & Loan Assoc.	Capital Gain	B
Williams Companies, Inc.	Capital Gain	D
Wisconsin Central Railroad 4.50% due 1/1/2029	Capital Gain	E
Wrather Corp.	Capital Gain	C
Cabot Corp.	Capital Gain	B
61 Cable Associates.	Capital Gain	G

Schedule II Income Part B 5 9

Page 6 of 19

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James H. Scheuer M.C.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

Security	Type	Category
Aetna Life & Casualty 6.50% due 3/1/88	Interest	A
American Telephone & Telegraph 6.50% due 2/9/88	Interest	A
American Telephone & Telegraph 6.30% due 3/30/88	Interest	A
American Telephone & Telegraph Credit Corp. 8.20% due 10/17/88	Interest	A
American Telephone & Telegraph Credit Corp. 7.95% due 11/29/88	Interest	B
Coca Cola Co. 6.70% due 2/23/88	Interest	C
Coca Cola Co. 6.45% due 3/2/88	Interest	A
Coca Cola Co. 6.40% due 4/12/88	Interest	C
Coca Cola Co. 6.65% due 6/24/88	Interest	B
Coca Cola Co. 7.625% due 9/20/88	Interest	A
Coca Cola Co. 7.85% due 10/12/88	Interest	A
Consolidated Oil & Gas Debentures 11.50% due 2/1/93	Interest	D
DuPont (E.I.) DeNemours 6.60% due 4/25/88	Interest	A
DuPont (E.I.) DeNemours 6.75% due 6/22/88	Interest	B
DuPont (E.I.) DeNemours 7.35% due 8/23/88	Interest	B
DuPont (E.I.) DeNemours 7.97% due 12/14/88	Interest	B
Eastman Kodak 6.50% due 4/18/88	Interest	B
Eastman Kodak 6.50% due 5/2/88	Interest	A
Eastman Kodak 6.75% due 6/1/88	Interest	A
Eastman Kodak 6.85% due 6/7/88	Interest	A
Eastman Kodak 7.40% due 8/1/88	Interest	B
Eastman Kodak 7.75% due 9/15/88	Interest	B
Eastman Kodak 7.40% due 9/23/88	Interest	A
Federated Department Stores 8.15% due 1/19/88	Interest	A
First Boston Corp. 7.35% due 7/20/88	Interest	B
First Boston Corp. 8.125% due 12/21/88	Interest	A
First Boston Corp. 9.05% due 1/24/89	Interest	A

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Schedule II Income 2+3

Page 1 of 19

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

James H. Scheuer, M.C.
(Name)

Continuation Sheet

Part — Source, Type, Amount, Category, Description or Value (As Applicable)

Security	Type	Category
International Business Machines 6.85% due 7/11/88	Interest	A
Internorth Inc. 10.50% due 6/1/08	Interest	D
Lionel Corp. Sub. Deb. 8.00% due 7/15/2007	Interest	D
MCO Holdings Inc. 13.50% due 5/20/2000	Interest	A
Mite Corp. Sub. Deb. 10% due 7/15/97	Interest	B
Monon Railroad 6% due 1/1/2007	Interest	C
Philip Morris 7.20% due 1/5/88	Interest	B
Philip Morris Credit Corp. 6.50% due 5/3/88	Interest	A
Phillips Petroleum 14.75% due 3/15/2000	Interest	D
Joseph Seagram 6.70% due 2/29/88	Interest	B
Joseph Seagram 6.60% due 3/2/88	Interest	A
South Central Bell Telephone 7.80% due 1/8/88	Interest	A
Southwest Bell Telephone 6.50% due 2/2/88	Interest	A
Unilever Capital Corp. 6.55% due 3/8/88	Interest	B
Unilever Capital Corp. 8.00% due 12/9/88	Interest	A
Wisconsin Central Railroad 4.50% due 1/1/2029	Interest	B
Xerox Corp. 6.42% due 4/28/88	Interest	B

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Schedule II Income Part B

Page 8 of 19

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James H. Scheuer, M.C.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value
(As Applicable)

Security	Type	Category
A.O. Smith Corp. Class B	Dividend	A
American National Insurance Co.	Dividend	A
Brunswick Corp.	Dividend	A
CBS Inc.	Dividend	B
Cabot Corp.	Dividend	A
Cedar Fair L.P. Depository Receipts Units	Dividend	D
Charter Co.	Dividend	A
Chrysler Corp.	Dividend	A
Commercial Credit Group Inc.	Dividend	A
Deere & Co.	Dividend	B
DiGiorgio Corp.	Dividend	A
Falcon Cable Systems Co.	Dividend	C
Foster Wheeler Corp.	Dividend	A
GAF Corp.	Dividend	A
Interco Inc.	Dividend	A
Lawter International Inc.	Dividend	A
Mobil Corp.	Dividend	A
Mohasco Corp.	Dividend	A
Orion Capital Corp. $2.125 exch. pfd.	Dividend	D
Palm Beach Inc. 10% Exch. Pfd.	Dividend	A
Petrolane Partners L.P. Depository Unit	Dividend	D
Salomon Inc.	Dividend	A
Williams Companies Inc.	Dividend	A
Houston Oil Trust	Other Income	A

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Schedule III - Special Holdings

Page 9 of 19

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James H. Scheuer, M.C.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value
(As Applicable)

Common Stocks	Type	Category
A.O. Smith Corp. Class B		C
Adams Russell Electronics Co.		C
American Dredging Co.		A
American National Insurance Co.		C
Avatar Holdings, Inc.		C
Century Communications Corp. Class A		C
Charter Co.		C
Commtron Corp. Class A		A
Deere & Co.		D
Essex Communications Corp. Class A		A
Falcon Cable Systems Co. LTD. Partnership		E
GAF Corp.		D
Gulf Broadcast Liquidating Trust		A
Hearx Ltd. units		B
The Henley Group Inc.		C
Jewelmasters Inc. Class A		B
Maxxam Inc.		C
Mesa Offshore Trust UBI		A
Michael Anthony Jewelers Inc.		B
Mohasco Corp.		A
Motts Supermarkets Inc.		B
Penn Central Corp.		C
Petrolane Partners L.P. Depositary Units		E
Porta Systems Corp.		B
Primerica Corp.		C
A.H. Robins Inc.		C
Royal Palm Beach Colony L.P.		B
Salomon Inc.		D
Southwest Realty Ltd. Depositary Receipts		A
USX Corp.		D
US West Inc.		C
View-Master Ideal Group Inc.		A
Wang Laboratories Inc. Class B		C
Westmarc Communications Inc. Class A		C

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Schedule III Special Holdings

Page 10 of 19

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James H. Scheuer, M.C.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value
(As Applicable)

Preferred Stocks	Category
Allegheny International Inc. $11.25 cv Pfd.	B
First Capital Holdings Corp. $2.0265 Exch. Pfd.	C
Orion Capital Corp. $2.125 cv Pfd.	C
SPI Holding Inc. 16% Pfd.	A
Viacom Inc. $3.875 Exch. Pfd.	E

8 3 3 7 3 2 9 1 3 5 1

Schedule III Special Holdings

Page 11 of 19

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James H. Scheuer, M.C.
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

Corporate Bonds	Category
Consolidated Oil & Gas Debenture 11.50% due 2/1/93	C
Lionel Corp. Sub. Deb. 8% due 7/15/07	D
Mite Corp. Sub. Deb. 10% due 7/15/97	C
Monon Railroad 6% due 1/1/2007	C
Phillips Petroleum 14.75% due 3/15/2000	D

8 9 0 7 0 2 9 1 5 5 5

Schedule III Special Holdings

Page 12 of 19

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James H. Scheuer, M.C.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value
(As Applicable)

Commercial Paper	Category
DuPont (E.I.) de Nemours 8.85% due 2/14/89	E
Eastman Kodak 8.20% due 1/17/89	A
First Boston Corp. 9.05% due 1/24/89	C
International Business Machines 8.95% due 1/13/89	C
Philip Morris 9.25% due 1/30/89	C

8 9 0 7 0 2 9 1 5 5 5

Schedule III Special Holdings

Page 13 of 19

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James H. Scheuer, M.C.
Name

Continuation Sheet

Part | *Source, Type, Amount, Category, Description or Value (As Applicable)*

Partnership Interest	Category
Air Rights Hotel	D
Horn Finkelstein Ltd. Partnership	B
Maryland Salisbury Associates	D
Passaic Carlton Tower Ltd. Partnership	F
Park Drive Manor Philadelphia Associates	C
61 Communications Associates	C
61 Lisle Associates	E
Wisconsin Associates	D

Sub Chapter S Corporation	
Base Line Inc	C
Bethesda Arc Corp	A
Crescent Property Services Inc	F

Trusts

Trust F/B/O James Scheuer U/D 6/1/26 (Assets, Transactions and income shown separately)

Trust F/B/O James & Emily Scheuer U/D 1/30/80 (Assets, Transactions and income shown separately)

Grantor Trust Under Agreement DTD 2/28/69 (James H. Scheuer, Grantor):	
a) 99% interest in Capitol Mall Tract III, Joint Venture, Sacramento, California	F
b) 100% interest in Capitol Towers, Unit I Trust, Sacramento, California	F
c) 100% interest in Capitol Towers, Unit II Trust, Sacramento, California	F

8 9 0 7 0 2 9 1 5 6 7

Schedule IV Transactions

Page 14 of 19

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James H. Scheuer, M.C.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

Security	Type	Date	Category
ACS Enterprises, Inc.	Sale	1/27/88	A
Adams Russell Electronics Co.	Purchase	2/1/88	C
Aetna Life & Casualty 6.50% due 3/1/88	Purchase	1/29/88	C
Aetna Life & Casualty 6.50% due 3/1/88	Sale	3/1/88	C
Air Express International Corp.	Purchase	3/31/88	C
Air Express International Corp.	Sale	4/11/88	C
Alleco, Inc.	Purchase	3/18/88	B
Alleco,Inc.	Purchase	3/21/88	B
Alleco, Inc.	Sale	7/1/88	C
American Standard, Inc.	Purchase	1/29/88	D
American Standard, Inc.	Sale	2/5/88	D
American Telephone & Telegraph 6.50% due2/9/88	Purchase	1/8/88	E
American Telephone & Telegraph 6.50% due 2/9/88	Purchase	1/26/88	B
American Telephone & Telegraph 6.50% due 2/9/88	Sale	1/12/88	E
American Telephone & Telegraph 6.50% due 2/9/88	Sale	2/4/88	C
American Telephone & Telegraph 6.50% due 2/9/88	Sale	2/9/88	C
American Telephone & Telegraph 6.30% due 3/30/88	Purchase	2/9/88	C
American Telephone & Telegraph 6.30% due 3/30/88	Sale	3/30/88	C
American Telephone & Telegraph 6.40% due 5/10/88	Purchase	3/23/88	B
American Telephone & Telegraph 6.40% due 5/10/88	Sale	5/10/88	B
American Telephone & Telegraph 6.50% due 5/17/88	Purchase	4/5/88	A
American Telephone & Telegraph 6.50% due 5/17/88	Sale	5/3/88	A
American Telephone & Telegraph Credit Corp 8.20% due 10/17/88	Purchase	8/16/88	D
American Telephone & Telegraph Credit Corp 8.20% due 10/17/88	Sale	8/19/88	B
American Telephone & Telegraph Credit Corp 8.20% due 10/17/88	Sale	8/26/88	A
American Telephone & Telegraph Credit Corp 8.20% due 10/17/88	Sale	10/17/88	D
American Telephone & Telegraph Credit Corp 7.95% due 11/29/88	Purchase	10/12/88	E
American Telephone & Telegraph Credit Corp 7.95% due 11/29/88	Sale	11/29/88	E
Animed, Inc.	Sale	12/23/88	A
Asamera, Inc.	Purchase	3/25/88	C
Asamera, Inc.	Sale	4/18/88	C
Best Products Co., Inc.	Purchase	10/28/88	B
Best Products Co., Inc.	Sale	11/9/88	B
Brunswick Corp.	Purchase	5/6/88	C
Brunswick Corp.	Purchase	5/11/88	B
Brunswick Corp.	Sale	11/5/88	B
Brunswick Corp.	Sale	11/29/88	B
Brunswick Corp.	Sale	12/14/88	B
CBS, Inc.	Sale	9/28/88	D
Cablevision Systems Corp. CL A	Purchase	2/4/88	C
Cablevision Systems Corp. Cl A	Sale	11/17/88	C
Cabot Corp.	Sale	7/28/88	C
Cedar Fair L.P. Depository Receipts Units	Sale	7/20/88	C
Cedar Fair L.P. Depository Receipts Units	Sale	7/28/88	D
Century Communications Corp. Cl A	Purchase	8/23/88	C
Chemical Bank [illegible] due 1/4/88	Sale	1/4/88	D
Chemical Bank 6.70% due 5/2/88	Purchase	4/29/88	A
Chemical Bank 6.70% due 5/2/88	Sale	5/2/88	B
Chi-Chi's Inc.	Purchase	2/23/88	C
Chi-Chi's Inc.	Sale	4/8/88	D
Chrysler Corp.	Purchase	6/9/88	C
Chrysler Corp.	Sale	10/28/88	C
Chrysler Corp.	Sale	12/7/88	C
CNW Corp.	Sale	7/5/88	B
Coca Cola Co. 6.70% due 2/23/88	Purchase	1/5/88	F
Coca Cola Co. 6.70% due 2/23/88	Purchase	1/21/88	B
Coca Cola Co. 6.70% due 2/23/88	Purchase	1/22/88	C
Coca Cola Co. 6.70% due 2/23/88	Purchase	1/26/88	D
Commercial Credit Group Inc	Purchase	9/23/88	C
Commercial Credit Group Inc.	Purchase	9/26/88	C

8 9 3 7 0 2 9 1 5 5 8

Schedule IV Transactions

Page 15 of 17

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James H. Scheuer, M.C.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

Security	Type	Date	Category
Coca Cola Co. 6.70% due 2/23/88	Sale	2/12/88	A
Coca Cola Co. 6.70% due 2/23/88	Sale	2/23/88	F
Coca Cola Co. 6.45% due 3/2/88	Purchase	1/26/88	E
Coca Cola Co. 6.45% due 3/2/88	Sale	2/8/88	C
Coca Cola Co. 6.45% due 3/2/88	Sale	3/2/88	E
Coca Cola Co. 6.40% due 4/12/88	Purchase	2/23/88	F
Coca Cola Co. 6.40% due 4/12/88	Sale	3/25/88	C
Coca Cola Co. 6.40% due 4/12/88	Sale	3/28/88	C
Coca Cola Co. 6.40% due 4/12/88	Sale	4/12/88	F
Coca Cola Co. 6.65% due 5/24/88	Purchase	4/15/88	F
Coca Cola Co. 6.65% due 5/24/88	Sale	4/25/88	B
Coca Cola Co. 6.65% due 5/24/88	Sale	5/19/88	C
Coca Cola Co. 6.65% due 5/24/88	Sale	5/24/88	E
Coca Cola Co. 7.625% due 9/20/88	Purchase	8/2/88	C
Coca Cola Co. 7.625% due 9/20/88	Sale	9/20/88	C
Coca Cola Co. 7.85% due 10/12/88	Purchase	9/15/88	D
Coca Cola Co. 7.85% due 10/12/88	Sale	10/5/88	B
Coca Cola Co. 7.85% due 10/12/88	Sale	10/12/88	D
Comcast Cablevision of Maryland	Sale	2/10/88	D
Deere & Co.	Purchase	6/21/88	C
Deere & Co.	Sale	5/19/88	B
DiGiorgio Corp.	Sale	3/16/88	A
DiGiorgio Corp.	Sale	3/18/88	C
DuPont (E.I.) de Nemours 6.60% due 4/25/88	Purchase	3/2/88	D
DuPont (E.I.) de Nemours 6.60% due 4/25/88	Sale	4/25/88	D
DuPont (E.I.) de Nemours 6.75% due 6/22/88	Purchase	4/28/88	E
DuPont (E.I.) de Nemours 6.75% due 6/22/88	Sale	5/19/88	C
DuPont (E.I.) de Nemours 6.75% due 6/22/88	Sale	6/15/88	B
DuPont (E.I.) de Nemours 6.75% due 6/22/88	Sale	6/22/88	E
DuPont (E.I.) de Nemours 7.40% due 8/16/88	Purchase	6/29/88	A
DuPont (E.I.) de Nemours 7.40% due 8/16/88	Sale	8/16/88	A
DuPont (E.I.) de Nemours 7.35% due 8/23/88	Purchase	6/22/88	E
DuPont (E.I.) de Nemours 7.35% due 8/23/88	Sale	6/27/88	C
DuPont (E.I.) de Nemours 7.35% due 8/23/88	Sale	7/26/88	E
DuPont (E.I.) de Nemours 7.35% due 8/23/88	Sale	8/8/88	C
DuPont (E.I.) de Nemours 7.35% due 8/23/88	Sale	8/22/88	A
DuPont (E.I.) de Nemours 7.97% due 12/14/88	Purchase	10/18/88	E
DuPont (E.I.) de Nemours 7.97% due 12/14/88	Purchase	12/6/88	E
DuPont (E.I.) de Nemours 7.97% due 12/14/88	Sale	10/28/88	B
DuPont (E.I.) de Nemours 7.97% due 12/14/88	Sale	11/2/88	B
DuPont (E.I.) de Nemours 7.97% due 12/14/88	Sale	12/2/88	C
DuPont (E.I.) de Nemours 7.97% due 12/14/88	Sale	12/6/88	C
DuPont (E.I.) de Nemours 7.97% due 12/14/88	Sale	12/14/88	E
DuPont (E.I.) de Nemours 8.85% due 2/14/89	Purchase	12/15/88	E
Eastman Kodak 6.50% due 4/18/88	Purchase	2/29/88	E
Eastman Kodak 6.50% due 4/18/88	Sale	3/8/88	D
Eastman Kodak 6.50% due 4/18/88	Sale	4/5/88	B
Eastman Kodak 6.50% due 4/18/88	Sale	4/18/88	E
Eastman Kodak 6.50% due 5/2/88	Purchase	3/31/88	C
Eastman Kodak 6.50% due 5/2/88	Sale	4/27/88	C
Eastman Kodak 6.50% due 5/2/88	Sale	5/2/88	A
Eastman Kodak 6.75% due 6/1/88	Purchase	4/18/88	C
Eastman Kodak 6.75% due 6/1/88	Sale	4/27/88	A
Eastman Kodak 6.75% due 6/1/88	Sale	5/18/88	B
Eastman Kodak 6.75% due 6/1/88	Sale	6/1/88	C
Eastman Kodak 6.85% due 6/7/88	Purchase	5/3/88	C
Eastman Kodak 6.85% due 6/7/88	Sale	5/24/88	A
Eastman Kodak 6.85% due 6/7/88	Sale	6/7/88	B
Eastman Kodak 7.40% due 8/1/88	Purchase	6/1/88	E
Eastman Kodak 7.40% due 8/1/88	Sale	7/26/88	D
Eastman Kodak 7.40% due 8/1/88	Sale	8/1/88	C
Eastman Kodak 7.75% due 9/15/88	Purchase	7/29/88	E
Eastman Kodak 7.75% due 9/15/88	Sale	8/23/88	C
Eastman Kodak 7.75% due 9/15/88	Sale	8/30/88	B

8 9 0 7 0 2 9 1 5 5 9

Schedule IV Transactions

Page 16 of 19

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James H. Scheuer, M.C.

(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

Security	Type	Date	Category
Eastman Kodak 7.75% due 9/15/88	Sale	9/15/88	D
Eastman Kodak 7.40% due 9/23/88	Purchase	6/7/88	B
Eastman Kodak 7.40% due 9/23/88	Sale	7/22/88	B
Eastman Kodak 8.20% due 1/17/89	Purchase	11/3/88	B
Eastman Kodak 8.20% due 1/17/89	Sale	12/29/88	A
Falcon Cable Systems Co. Ltd. Partnership	Purchase	6/29/88	C
Falcon Cable Systems Co. Ltd. Partnership	Purchase	6/30/88	C
Falcon Cable Systems Co. Ltd. Partnership	Purchase	7/1/88	C
Farm Fresh Inc.	Purchase	3/21/88	A
Farm Fresh Inc.	Sale	10/12/88	B
Farm Fresh Inc. 16.50% due 9/22/04	Purchase	10/11/88	B
Farm Fresh Inc. 16.50% due 9/22/04	Sale	10/31/88	B
Federated Department Stores Inc.	Purchase	2/25/88	C
Federated Department Stores Inc.	Sale	5/4/88	C
Federated Department Stores Inc. 8.15% due 1/19/88	Sale	1/19/88	E
First Boston Corp. 7.35% due 7/20/88	Purchase	5/13/88	E
First Boston Corp. 7.35% due 7/20/88	Sale	6/2/88	C
First Boston Corp. 7.35% due 7/20/88	Sale	7/5/88	C
First Boston Corp. 7.35% due 7/20/88	Sale	7/7/88	C
First Boston Corp. 7.35% due 7/20/88	Sale	7/20/88	D
First Boston Corp. 8.125% due 12/21/88	Purchase	10/26/88	C
First Boston Corp. 8.125% due 12/21/88	Sale	12/21/88	C
First Boston Corp. 9.05% due 1/24/89	Purchase	11/30/88	E
First Boston Corp. 9.05% due 1/24/89	Sale	12/1/88	B
First Boston Corp. 9.05% due 1/24/89	Sale	12/19/88	E
First Boston Corp. 9.05% due 1/24/89	Sale	12/29/88	B
First Capital Holdings Corp. $2.0265 exch pfd.	Purchase	11/22/88	C
Foster Wheeler Corp.	Purchase	4/7/88	B
Foster Wheeler Corp.	Purchase	4/15/88	D
Foster Wheeler Corp.	Sale	6/1/88	C
Foster Wheeler Corp.	Sale	6/7/88	C
Foster Wheeler Corp.	Sale	6/8/88	B
GAF Corp.	Purchase	3/1/88	D
GAF Corp.	Purchase	3/18/88	C
Hearx Ltd. units	Purchase	2/3/88	B
The Henley Group Inc.	Purchase	5/12/88	C
The Henley Group Inc.	Purchase	5/16/88	C
The Henley Group Inc.	Sale	10/4/88	D
Instron Corp.	Sale	6/9/88	A
Instron Corp.	Sale	6/10/88	B
Interco Inc.	Sale	5/31/88	C
International Business Machines 6.85% due 7/11/88	Purchase	5/10/88	C
International Business Machines 6.85% due 7/11/88	Sale	5/13/88	C
International Business Machines 6.85% due 7/11/88	Sale	6/8/88	A
International Business Machines 6.85% due 7/11/88	Sale	7/11/88	C
International Business Machines 7.55% due 9/13/88	Purchase	7/11/88	A
International Business Machines 7.55% due 9/13/88	Sale	9/13/88	A
International Business Machines 7.90% due 10/28/88	Purchase	9/23/88	C
International Business Machines 7.90% due 10/28/88	Sale	9/27/88	B
International Business Machines 7.90% due 10/28/88	Sale	9/29/88	C
International Business Machines 8.95% due 1/13/89	Purchase	12/30/88	C
International Kings Table Inc.	Purchase	1/25/88	D
International Kings Table Inc.	Sale	1/26/88	D
Internorth Inc. 10.50% due 6/1/88	Sale	5/31/88	E

Schedule IV Transactions

Page 17 of 19

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

James H. Scheuer, M.C.

(Name)

Continuation Sheet

Part Security	Source, Type, Amount, Category, Description or Value (As Applicable) Type	Date	Category
LTV Corp. 14% due 8/15/04	Purchase	2/12/88	C
LTV Corp. 14% due 8/15/04	Sale	2/29/88	C
Lawter International Inc.	Purchase	3/1/88	C
Lawter International Inc.	Sale	8/23/88	C
Lotus Development Corp.	Purchase	8/16/88	C
Lotus Development Corp.	Sale	10/7/88	C
MCO Holdings Inc. 13.5% due 5/20/2000	Exchange	5/20/88	B
MCO Holdings Inc. 13.50% due 5/20/2000	Sale	6/16/88	A
MCO Holdings Inc. 13.50% due 5/20/2000	Sale	6/23/88	B
Mattel Inc.	Sale	5/27/88	B
Maxxam Inc.	Exchange	5/20/88	A
Maxxam Inc.	Purchase	7/21/88	B
Maxxam Inc.	Sale	10/21/88	B
Maxxam Group Inc.	Exchange	5/20/88	A
Mesabi Trust	Sale	12/9/88	A
Metro Mobile Cts. Inc. Cl A	Purchase	1/25/88	C
Metro Mobile Cts. Inc. Cl A	Sale	1/11/88	B
Metro Mobile Cts. Inc. Cl A	Sale	1/27/88	C
Metro Mobile Cts. Inc. Cl A	Sale	1/28/88	B
Michael Anthony Jewelers Inc.	Sale	8/25/88	A
Mobil Corp.	Sale	5/19/88	C
Mohasco Corp.	Purchase	2/24/88	C
Mohasco Corp.	Sale	7/12/88	C
Musicland Group Inc.	Purchase	3/1/88	C
Musicland Group Inc.	Purchase	4/15/88	C
Musicland Group Inc.	Sale	9/6/88	D
National Data Corp.	Purchase	9/27/88	B
National Data Corp.	Sale	10/6/88	B
North American Communications Corp.	Sale	1/4/88	A
Palm Beach Inc. 10% exch. pfd.	Sale	9/23/88	A
Palm Beach Inc. 10% exch. pfd.	Sale	9/27/88	A
Penn Central Corp.	Purchase	8/2/88	C
Philip Morris 7.20% due 1/5/88	Sale	1/5/88	E
Philip Morris 9.25% due 1/30/89	Purchase	12/12/88	C
Philip Morris Credit Corp. 6.50% due 5/3/88	Purchase	3/16/88	C
Philip Morris Credit corp. 6.50% due 5/3/88	Sale	4/25/88	C
Philip Morris Credit Corp. 6.50% due 5/3/88	Sale	5/3/88	A
Porta Systems Corp.	Purchase	3/31/88	C
Porta Systems Corp.	Sale	5/18/88	B
Primerica Corp.	Exchange	12/15/88	C
RPC Energy Services, Inc.	Sale	2/22/88	B
RPC Energy Services, Inc.	Sale	2/25/88	B
Reeves Communications Corp.	Sale	12/21/88	B
Regency Cruises Inc.	Purchase	3/17/88	A
Regency Cruises Inc.	Sale	8/22/88	B
Royal Palm Beach Colony LP	Sale	11/17/88	C
Russ Berrie & Co. Inc.	Sale	3/18/88	B
Salomon Inc.	Purchase	4/22/88	C
Joseph Seagram 6.70% due 2/29/88	Purchase	1/19/88	E
Joseph Seagram 6.70% due 2/29/99	Purchase	1/26/88	D
Joseph Seagram 6.70% due 2/29/88	Sale	2/29/88	E
Joseph Seagram 6.60% due 3/2/88	Purchase	2/16/88	D
Joseph Seagram 6.60% due 3/2/88	Sale	3/2/88	D
South Central Bell Telephone 7.80% due 1/8/88	Sale	1/8/88	C
Southwest Bell Telephone 6.50% due 2/2/88	Purchase	1/12/88	A
Southwest Bell Telephone 6.50% due 2/2/88	Purchase	1/21/88	B
Southwest Bell Telephone 6.50% due 2/2/88	Purchase	1/22/88	D
Southwest Bell Telephone 6.50% due 2/2/88	Sale	2/2/88	D
Sprague Technologies, Inc.	Sale	8/24/88	B
US West Inc.	Purchase	11/23/88	C
USX Corp.	Sale	1/27/88	D
USX Corp.	Purchase	11/30/88	D

8 9 3 7 0 2 9 1 5 7 1

Schedule IV Transactions

Page 18 of 19

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James H. Scheuer, M.C.

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

Security	Type	Date	Category
Unilever Capital Corp. 6.55% due 3/8/88	Purchase	2/2/88	E
Unilever Capital Corp. 6.55% due 3/8/88	Sale	3/8/88	E
Unilever Capital Corp. 8.00% due 12/9/88	Purchase	10/5/88	C
Unilever Capital Corp. 8.00% due 12/9/88	Sale	12/9/88	C
United Cable Television Corp.	Purchase	1/28/88	C
United Cable Television Corp.	Sale	2/26/88	C
Valley Federal Savings & Loan Assn.	Sale	12/7/88	C
Valley Federal Savings & Loan Assn.	Sale	12/13/88	C
Viacom Inc. $3.875 exch.pfd.	Purchase	12/12/88	D
Viacom Inc. $3.875 exch.pfd.	Purchase	12/13/88	D
Viewmaster Ideal Group Inc.	Purchase	6/2/88	A
Viewmaster Ideal Group Inc.	Purchase	6/17/88	A
Viewmaster Ideal Group Inc.	Sale	6/27/88	B
Wang Laboratories, Inc. Cl B	Purchase	9/19/88	C
Western Telecommunications Inc. Cl A	Exchange	6/23/88	C
Westmarc Communications Inc. Cl A	Exchange	6/23/88	C
Williams Companies Inc.	Purchase	8/17/88	C
Williams Companies Inc.	Sale	10/26/88	C
Wisconsin Central Railroad 4.50% due 1/1/2029	Sale	9/7/88	C
Wrather Corp.	Sale	1/27/88	C
Xerox Corp. 6.42% due 4/28/88	Purchase	3/18/88	E
Xerox Corp. 6.42% due 4/28/88	Sale	3/25/88	A
Xerox Corp. 6.42% due 4/28/88	Sale	4/28/88	E
Xerox Credit Corp. 8.05% due 10/5/88	Purchase	9/6/88	B
Xerox Credit Corp. 8.05% due 10/5/88	Sale	10/5/88	B
61. Cable Associates	Sale	2/1/95	E

Page 19 of 19

Schedule VIII - Positions

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James H. Scheuer, M.C.
Name

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value
(As Applicable)

Position	Name of Organization
Partner	Air Rights Hotel
Partner	Maryland Salisbury Associates
Partner	Passaic Carlton Tower Limited Partnership
Partner	Wisconsin Associates
Partner	Capitol Mall Tract III

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Steven H. Schiff
(Full Name)

804 Summit NE
(Mailing Address)

Albuquerque, NM 87106

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State NM

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of NM	Salary	$45,748	
U.S. Air Force	Salary	8,328	
Ethicon, Inc. (spouse)	Salary	14,206	
General Electric Capital Corp.(spouse)	Salary	8,051	
U.S. Army (spouse)	Salary	5,873	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
First Interstate Bank	Interest	B
General Electric Profit Sharing Plan	Dividend	A
Security Collections, Inc.	Dividend	
Gross Income-$52,733; Net Loss-$7,231		
1406 Coal SE Property	Principle	A
1406 Coal SE Property	Interest	A
U.S. Savings Bonds	Interest	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Security Collections, Inc.	B
NM Public Employees Retirement Assoc.	C
Savings Account--First Interstate Bank	B

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold U.S. Savings Bonds Purchased 1971-1985	12-1-88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the [illegible]rting individual or spouse (including a second residence or vacation home) that is NOT held for the PRO[illegible]TION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or [illegible]nces, provided such loan does not exceed the purchase price of the item; and any liability owed to a rel[illegible].

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of Albuquerque, Personal Loan, Albuquerque, NM	B
Bank of Albuquerque, Campaign Loan, Albuquerque, NM	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Officer	Security Collections, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Steven Schiff	5-11-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Steven H. Schiff
(Full Name)

804 Summit NE
(Mailing Address)

Albuquerque, NM 87106

✓
MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State NM

☐ Officer or Employee—Employing Office ____________

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of New Mexico	Salary	$45,748	
U.S. Air Force	Salary	8,328	
Ethicon, Inc. (spouse)	Salary	14,206	
General Electric Capital Corp. (spouse)	Salary	8,051	
U.S. Army (spouse)	Salary	5,873	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
First Interstate Bank	Interest	B
General Electric Profit Sharing Plan	Dividend	A
Security Collections, Inc. Gross Income-$52,733; Net Loss-$7,231	Dividend	
1406 Coal SE Property	Principle	A
1406 Coal SE Property	Interest	A
U.S. Savings Bonds	Interest	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ___X___ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Security Collections, Inc.	B
NM Public Employees Retirement Assoc.	C
Savings Account--First Interstate Bank	B
Note on 1406 Coal SE Property	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold U.S. Savings Bonds Purchased 1971-1985	12-1-88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of Albuquerque, Personal Loan, Albuquerque, NM	B
Bank of Albuquerque, Campaign Loan, Albuquerque, NM	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value *of all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Officer	Security Collections, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Steven Schiff	May 17, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

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U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

CLAUDINE C. SCHNEIDER
(Full Name)

1512 LONGWORTH BUILDING
(Mailing Address)

WASHINGTON, DC 20515

MC
(Office Use Only)

MAY 10 PM 9 31

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State RI

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA XX

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
FIDELITY TAX-EXEMPT MONEY MARKET TRUST	INTEREST	B
MERRILL LYNCH READY ASSETS TRUST (RETIREMENT PLAN)	INTEREST	A
FIDELITY CASH RESERVES (IRA ACCOUNT)	INTEREST	A
APARTMENT IN NARRAGANSETT, RI	RENT	GROSS-D, NET-A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA XX

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
FIDELITY TAX-EXEMPT MONEY MARKET TRUST	D
MERRILL LYNCH READY ASSETS TRUST (RETIREMENT PLAN)	B
FIDELITY CASH RESERVES (IRA ACCOUNT)	B
RENTAL APARTMENT: 56 CENTRAL AVE., NARRAGANSETT, RI	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
MORTGAGE ON NARRAGANSETT, RI RESIDENCE (PORTION IS A RENTAL UNIT)	
OLD STONE BANK, NARRAGANSETT, RI	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all other *gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
SEE ATTACHED	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Claudine Schneider	May 9, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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CLAUDINE SCHNEIDER, 1988 FINANCIAL DISCLOSURE STATEMENT

PART II-A: EARNED INCOME (HONORARIA) FROM SPEECHES

DATE	ORGANIZATION	AMOUNT	RCVD	DISPOSITION
11/6	Mt. Holyoke College	$300	5/20	NO
11/14	Business Week Corp 100	$1,000	7/1	NO
3/11	U. of Pitts. Law School	$250	4/2	NO
3/8	Pfizer Inc.	$1,000	3/16	NO
3/11	Rhode Island College	$400	5/17	NO
3/24	Northern Textile Ass'n	$500	6/1	NO
4/11	Nat'l Ass'n of Regulatory Utility Commissioners	$750	4/25	NO
4/13	Tobacco Institute	$1,000	4/12	CHARITY
4/21	Avon Products, Inc	$1,000	5/13	NO
5/5	Nat'l Venture Capital Association	$1,000	5/23	NO
6/1	EPA Federal Women's Program	$500	7/6	NO
6/4	Kemper Group	$1,000	6/6	NO
6/24	AAUW Educational Foundation	$1,000	7/19	NO
7/27	Shipbuilders' Council of America	$1,000	8/3	NO
9/11	Gen'l Fed of Women's Clubs	$2,000	9/14	NO
9/24	Planetwork	$1,500	11/13	NO
	TOTAL	$14,200		

CLAUDINE SCHNEIDER, 1988 FINANCIAL DISCLOSURE STATEMENT

PART VII: REIMBURSEMENTS FOR TRAVEL EXPENSES

DATE	ORGANIZATION	DESCRIPTION
1/19	Anti-Defamation League	AIR: CCS/JFK/TLV/JFK/DCA; 7 NIGHTS FOOD & LODGING
3/11	U. of Pitts. Law School	RT AIR: DCA/PIT/DCA
4/11	Nat'l Ass'n of Regulatory Utility Commissioners	RT AIR: DCA/ASE/DCA; 1 NIGHT FOOD & LODGING
5/21	Young President's Org.	RT AIR: DCA/SFO/DCA; 1 NIGHT FOOD & LODGING
9/23	Rensselaer Polytechnic Institute	RT AIR: DCA/NYC/DCA; 1 NIGHT FOOD & LODGING

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CLAUDINE SCHNEIDER, 1988 FINANCIAL DISCLOSURE STATEMENT

PART VIII: POSITIONS

POSITION	ORGANIZATION
CORPORATOR	EDGEHILL NEWPORT FOUNDATION, NEWPORT, RI
BOARD MEMBER	COMMON GROUND, BOSTON, MA
DIRECTOR	BANNISTER HOUSE, PROVIDENCE, RI
MEMBER	BRADLEY HOSPITAL CORP., PROVIDENCE, RI
BOARD OF ADVISORS	DONOR ALERT, WARWICK, RI
LEGISLATIVE ADVISORY COUNCIL	NAT'L TAXPAYERS UNION, WASHINGTON, DC
BOARD MEMBER	THE GENESIS CENTER, PROVIDENCE, RI
BOARD MEMBER	WOMENS AQUATIC NETWORK, WASHINGTON, DC
BOARD OF ADVISORS	INTERNATIONAL INSTITUTE FOR ENERGY CONSERVATION, WASHINGTON, DC
NAT'L ADVISORY COMMITTEE	WOMEN IN MILITARY SERVICE FOR AMERICA MEMORIAL FOUNDATION, WASHINGTON, DC
NAT'L ADVISORY BOARD	NAT'L CENTER FOR CARDIAC INFORMATION
INTERNATIONAL ADVISORY COUNCIL	WORLDWIDE: WORLD WOMEN IN THE ENVIRONMENT, WASHINGTON, DC
NAT'L ADVISORY BOARD	CENTER FOR THE NEW LEADERSHIP, WASHINGTON, DC
ADVISORY BOARD	NAT'L WOMEN'S POLITICAL CAUCUS, WASHINGTON, DC
BOARD MEMBER	PACIFIC INSTITUTE FOR STUDIES IN DEVELOPMENT, ENVIRONMENT & SECURITY, BERKELEY, CA
ADVISORY COMMITTEE	THE WILDERNESS SOCIETY, WASHINGTON, DC
ADVISORY BOARD	CONGRESSIONAL INSTITUTE FOR THE FUTURE, WASHINGTON, DC
ADVISORY COMMITTEE	THE POPULATION INSTITUTE, WASHINGTON, DC

UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

PATRICIA SCHROEDER
(Full Name)

2208 RAYBURN H.O.B.
(Mailing Address)

WASHINGTON, D.C. 20515

MC
(Office Use Only)

1989 MAY 10 PM 2 47

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1ST State COLO.

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
HONORARIUM – SEE LIST ATTACHED			
KAPLAN RUSSIN & VECCHI (SPOUSE – 1215 17TH ST., N.W. LAW PRACTICE) WASHINGTON, D.C. 20036			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
AFFILIATED FUND	DIVIDENDS	B
FOUNDERS GROWTH FUND	DIVIDENDS	A
CASH EQUIVALENT FUND	INTEREST	C
TAX-EXEMPT MONEY MARKET FUND	INTEREST	B
FUND FOR GOVERNMENT INVESTORS	INTEREST	B
NYLIC	INTEREST	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
AFFILIATED FUND – MUTUAL FUND SHARES	B
FOUNDERS GROWTH FUND – MUTUAL FUND SHARES	C
CASH EQUIVALENT FUND – FUND DEPOSITS	C
TAX EXEMPT MONEY MARKET FUND – FUND DEPOSITS	C
FUND FOR GOVERNMENT INVESTORS – FUND DEPOSITS	C
NYLIC – INSURANCE DIVIDEND DEPOSITS	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
E.F. HUTTON DUIT SERIES 50 - SELL SHARES	5/27/88	A
SHEARSON LEHMAN HUTTON DUIT SERIES 65 - BUY SHARES	8/3/88	A
WITHDREW DEPOSITED FUNDS IN CASH EQUIVALENT MONEY MARKET FUND AND REDEPOSITED IN SHEARSON LEHMAN HUTTON FINANCIAL MANAGEMENT ACCOUNT	12/17/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
WORLD SAVINGS, DENVER COLORADO - CONDOMINIUM MORTGAGE LOANS (UNITS 101 AND 304, DEXTER PLACE, DENVER, COLO.)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
UNITARIAN UNIVERSALIST PEACE NETWORK, DENVER, COLO.	1988 WILTON PEACE PRIZE (RECEIVED 5/27/88)	$3,000

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE LIST ATTACHED	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
PRESIDENT & DIRECTOR	REPRESENTATIVE, INC. DENVER, COLO. (FAMILY-OWNED CORPORATION)
BOARD MEMBER	BARBARA STREISAND FOUNDATION, LOS ANGELES, CA.
BOARD MEMBER	WORK IN AMERICA INSTITUTE, SCARSDALE, N.Y.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

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Signature	Date
Patricia S. Schroeder	May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

PATRICIA SCHROEDER
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. B	INCOME		
	SOURCE	TYPE	CATEGORY
	DEBBIE TALBOT (UNIT 101)	RENT	D
	DR. CATHY FINE (UNIT 304)	RENT	B
	MARANELLA GONSALES (UNIT 305)	RENT	D
	RANDOM HOUSE, INC., N.Y., N.Y.	ADVANCE BOOK ROYALTIES	E
III.	HOLDINGS		
	UNIT 101, DEXTER PLACE, DENVER, COLO. — CONDOMINIUM		D
	UNIT 304, DEXTER PLACE, DENVER, COLO. — CONDOMINIUM		D
	UNIT 305, DEXTER PLACE, DENVER, COLO. — CONDOMINIUM		D
	REPRESENTATIVE, INC., DENVER, COLO. — COMMON STOCK		C
	REPRESENTATIVE, INC., DENVER, COLO. — LOANS		B
	TDR ASSOCIATES, DENVER, COLO. — LIMITED PARTNERSHIP INTEREST		B
	DREYFUS GOLD DEPOSITS — TROY OZS.		A
	E.F. HUTTON DIRECTIONS UNIT INVESTMENT TRUST (DUIT) SERIES 50 — SHARES		A
	SHEARSON LEHMAN HUTTON DIRECTIONS UNIT INVESTMENT TRUST (DUIT) SERIES 65 — SHARES		A
	SHEARSON LEHMAN HUTTON FINANCIAL MANAGEMENT ACCOUNT — DEPOSITS		B

Rep. Pat Schroeder (Colorado-1st)
1988 HONORARIUM INFORMATION

SOURCE: (Date payment was received) All speeches, unless otherwise noted	**HONORARIUM** (Disposition to charity)	**REIMBURSED EXPENSES** Self, unless otherwise noted
11/27/87 Gay Byrne Television Show in Dublin, Ireland (1/12/88) Television Appearance	$ 52.87 [30 pounds]	0
12/30/87 Hawaii Women's Political Action League in Honolulu, HI (2/8/88)	500	(air only) Self & Spouse: Maui-Honolulu-Maui
1/3/88 Council of Independent Colleges in San Diego, CA (2/5/88)	2,000	(air, cab & one night lodging) Denver San Diego-Denver
1/8 Des Moines Register in Des Moines, IA (2/8/88) Presidential Forum Moderator	0	(air & one night lodging) Denver-Des Moines-DC
1/11 Alma College in Alma, MI (2/25/88)	2,000	(air, cab & one night lodging) DC-Lansing-DC
1/15 Rocky Mountain Farmers Union in Denver, CO (2/18/88)	100	0
1/19 Coalition of Higher Education Assistance Organizations in Arlington, VA (2/5/88)	2,000	0

[illegible]

1/19 Fairfax Publications LTD in New York, NY (3/3/88) Ms. Magazine Event	0	(air, cab & one night lodging) Self & Spouse: DC-NY-DC
1/29 Herbert H. Humphrey Institute University of Minnesota in Minneapolis, MN (2/10/88)	2,000	(air only) DC-Minneapolis-San Diego
2/1 First Congregational Church of Church of Santa Barbara in CA (3/4/88)	250	0
2/1 University of California in Santa Barbara, CA (2/22/88)	2,000	(air only) San Diego-Santa Barbara-DC
2/7 League of Women Voters, Clergy & Laity Concern, and State Rep. Woods Bowman in Evanston, IL (2/7/88)	2,000	(air only) Denver-Chicago-DC
2/17 National Association of Postmasters of the United States in Washington, DC (3/7/88)	1,000	0
2/29 National Treasury Employees Union in Washington, DC (4/1/88)	2,000	0
2/29 Food Marketing Institute in Washington, DC (2/29/88)	2,000	0
3/4 Saddleback College in Mission Viejo, CA (3/10/88)	2,000	(air only) DC-Los Angeles-Denver

3/4 Democratic Foundation in Los Angeles, CA (3/5/88)	0	(one night lodging)
3/7 Res Publica in Los Angeles, CA (3/7/88) This is a Claremont McKenna College publication	2,000	0
3/7 Claremont McKenna College in Claremont, CA (3/7/88)	2,000	(air, cab & one night lodging) Denver-Los Angeles-DC
3/9 Proprietary Association in Washington, DC (3/9/88)	2,000	0
3/11 Nurse Practitioner Associates for Continuing Education in Arlington, VA (4/11/88)	(2,000) (charity)	0
3/14 Phil Donahue Show in New York, NY (4/13/88) Television Appearance	0	(air only) DC-NY-DC
3/18 Child Care Action Campaign in New York, NY (4/15/88)	0	(air & one night lodging) DC-NY
3/19 New Jersey Education Association in Princeton, NJ (3/19/88)	(2,000) (charity)	(air & cab) Newark-DC
3/20 American College Personnel Association in Miami, FL (3/20/88)	(2,000) (charity)	(air & one night lodging) Self: DC-Miami Spouse: DC-Miami-DC

3/21 University of North Carclina in Wilmington, NC (3/21/88)	(2,000) (charity)	(shared air) Miami-Wilmington-Winston/Salem-DC
3/21 Wake Fcrest University in Winston-Salem, NC (3/21/88)	(2,000) (charity)	(shared air & one night lodging) Miami-Wilmington-Winston/Salem-DC
3/23 Armed Forces Marketing Council in Washington, DC (4/26/88)	(2,000) (charity)	0
3/23 American Federation of State, County, & Municipal Employees/American Federation of Government Employees in Washington, DC (4/13/88)	(2,000) (charity)	0
3/25 William Paterson College in Wayne, NJ (4/6/88)	(5,000) (charity)	(air & one night lodging) DC-Newark
3/26 Kaufmann's Department Store in Pittsburgh, PA (5/16/88)	(2,000) (charity)	(air only) Newark-Pittsburgh-DC
3/28 Working Mother Magazine in New York, NY (4/11/88)	(2,000) (charity)	(air only) DC-NY-DC
4/10 Radcliff College in Cambridge, MA (5/23/88)	(1,000) (charity)	(air & one night lodging) DC-Boston

4/11 Greater Hartford Jewish Federation in Hartford, CT (4/15/88)	(2,000) (charity)	Unknown (limousine service) Boston-Hartford-New Haven
4/11 Yale University, Chubb Fellowship in New Haven, CT (4/11/88)	(2,000) (charity)	(air, cab & one night lodging) New Haven-DC
4/13 Gertrude Stein Democratic Club in Washington, DC (5/3/88)	(2,000) (charity)	0
4/15 Firelands College, Bowling Green State University in Huron, OH (4/18/88))	(2,000) (charity)	(air only) DC-Cleveland-DC
4/16 Lakewood Hospital in Cleveland, OH (5/3/88)	(2,000) (charity)	Unknown (one night lodging)
4/16 New York Times in New York, NY (4/27/88) Op-Ed Piece	150	0
4/17 National University Continuing Education Association in Philadelphia, PA (5/3/88)	(2,000) (charity)	(air only) DC-Philadelphia (train only) Philadelphia-DC
4/19 Institute for Labor & Mental Health in Oakland, CA (4/20/88) Article for Tikkun magazine	(150) (42 to charity)	0

4/25 Women's Action Alliance in New York, NY (5/20/88) The Roast for Pat Schroeder	0	(air, cab & one night lodging) Self & Spouse: DC-NY-DC
4/27 Recording Industry Association of America in Washington, DC (4/27/88)	(1,000) (charity)	0
5/1 Pacific University in Portland, OR (5/1/88)	(10,000) (charity)	(air & cab) Self: Denver Portland-DC (air & one night lodging) Aide: Denver-Portland-Denver
5/7 Older Women's League in Kansas City, MO (6/6/88)	(2,000) (charity)	(air only) Denver-Kansas City-Denver
5/15 American University in Washington, DC (5/26/88)	(1,000) (charity)	0
5/16 University of Pennsylvania in Philadelphia, PA (7/22/88)	0	(air & one night lodging) DC-Philadelphia-DC
5/22 College of St. Catherine in St. Paul, MN (6/23/88)	(2,000) (charity)	(air only) Denver-Minneapolis-DC

6/5 Princeton University in Princeton, NJ (6/29/88)	0	(mileage & two nights lodging) Self & Spouse: DC-Princeton-DC
6/21 National Association of of Broadcasters in Washington, DC (6/29/88)	(1,000) (charity)	0
7/11 Child Care Dallas in Dallas, TX (7/15/88)	(2,000) (charity)	(air only) Denver-Dallas-DC
7/17 - 7/22 Good Morning America in Atlanta, GA (8/10/88) Democratic Convention	0	(air, cab & five nights lodging) Self: Denver-Atlanta-DC Spouse: DC-Atlanta-DC
8/2 New Woman Magazine in New York, NY (8/5/88) Article in November 1988 issue	(500) (charity)	0
8/13 Psychologists for Legislative Action Now in Atlanta, GA (9/21/88)	0	(air only) DC-Atlanta-DC
8/14 - 8/19 Good Morning America in New Orleans, LA (10/25/88) Republican Convention	0	(air & five nights lodging) (per diem for five days at $50 per day) Self: DC-New Orleans-Denver

8/14 - 8/16 Good Morning America in New Orleans, LA Republican Convention (10/25/88)	0	(air & two nights lodging) Spouse: DC-New Orleans -DC
9/7 Firing Line in Columbia, SC (9/20/88) Television Appearance	(14,534) (charity)	(air, cab & one night lodging DC-Columbia-DC
9/17 Election Committee of the County of Orange in Orange County, CA (10/6/88)	0	(air & one night lodging) Denver-Orange County-San Francisco
9/28 American Business Conference in Washington, DC (10/5/88)	(1,000) (charity)	0
10/5 The Washington Times in Washington DC (10/14/88) Article on the Family & Medical Leave Act	(100) (charity)	0
10/5 Firing Line in Raleigh, NC (10/17/88) Television Appearance	(14,640) (charity)	(air & one night lodging) DC-Raleigh-DC
10/18 Work in America Institute in New York, NY (10/25/88) Award Recipient	0	(air, cab & one night lodging) Self & Spouse: DC-NY-DC
11/1 The Christian Science Publishing Society in Boston, MA (11/17/88) Christian Science Monitor article on the civil service	(100) (charity)	0

11/13 Newsday Magazine in Long Island, NY (12/20/88) Article on Family Issues	(100) (charity)	0
11/30 Cable News Network in New York, NY (11/28/88) Television Appearance	0	(air, cab & one night lodging) DC-NY-Denver
12/3 Turner Broadcasting System, Inc., in Washington, DC (12/30/88) Television Appearance	(200) (charity)	0
12/9 Joint Conference, Inc., in Palm Springs, CA (12/9/88) Award Recipient	0	(air & one night lodging) Self: Denver-Palm Springs -DC Spouse: DC-Palm Springs -DC
12/12 Women's Democratic Forum in St. Louis, MO (12/12/88)	(2,000) (charity)	(air only) DC-St. Louis-DC

Honoraria received for 1988 was $114,376.87 of which $88,216.00 was donated to various charitable organizations, leaving a balance of $26,160.87. The honorarium limitation for 1988 was $26,850.00.

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

William D. Schuette
(Full Name)

368 East Saginaw Road
(Mailing Address)

Sanford, Michigan 48657

MC (Office Use Only)

MAY 15 PM 3:48

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 10 State Michigan

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850 Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE. AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
DOW CORNING CORPORATION,	REC'D 6/22/88	HONORARIA	500.00	
FOOD MARKETING INSTITUTE,	REC'D 3/20/88	HONORARIA	2[illegible].00	
GENERAL MILLS	REC'D 5/15/88	HONORARIA	500.00	
LAND O'LAKES	REC'D 4/5/88	HONORARIA	500.00	
MICHIGAN ROADBUILDERS	REC'D 1/13/88	HONORARIA	500.00	

[see attachment]

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
CHEMICAL FINANCIAL CORPORATION	DIVIDEND	A
DOW CHEMICAL COMPANY	DIVIDEND	E
EATON CORPORATION	DIVIDEND	A
FIRST OF AMERICA BANK CORPORATION	DIVIDEND	A
TEXACO, INC	DIVIDEND	less than $100.00
UNITED TECHNOLOGIES, INC	DIVIDEND	less than $100.00

[see attachment]

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO ✓ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
CHEMICAL FINANCIAL CORPORATION	STOCK	C
DOW CHEMICAL COMPANY	STOCK	E
EATON CORPORATION	STOCK	B
FIRST OF AMERICA BANK CORPORATION	STOCK	A
TEXACO, INC	STOCK	A
UNITED TECHNOLOGIES, INC	STOCK	A

[see attachment]

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
EATON CORPORATION, CASH IN LIEU OF STOCK SPLIT	9/19/88	LESS THAN $1000

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
CHEMICAL BANK AND TRUST COMPANY OF MIDLAND, MOTOR VEHICLE LOAN	A
CHEMICAL BANK AND TRUST COMPANY OF MIDLAND, MOTOR VEHICLE LOAN	B
CHEMICAL BANK AND TRUST COMPANY OF MIDLAND, MORTGAGE	C
CHEMICAL BANK AND TRUST COMPANY OF MIDLAND, PERSONAL LOAN	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
CONGRESSIONAL INSTITUTE (SEMINAR participant)	ROUNDTRIP AIR TRANSPORTATION, WASHINGTON, DC to HAWAII; 3 DAYS FOOD/LODGING

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
TRUSTEE	ROLLIN M. GERSTACKER FOUNDATION
TRUSTEE	ELSA U. PARDEE FOUNDATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1/1/87	CURRIE & KENDALL, P.C.	PROFIT SHARING PLAN - CATEGORY OF VALUE (AS PER PARTS III, IV, V) IS "A"

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William D. Schuette	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William D. Schuette
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II A	Earned Income (continued)			
	Source	Type	Amount	Disposition
	National Association Of Wholesaler-Distributors	Rec'd 3/8/88 Honoraria	500.00	
	Pillsbury	Rec'd 4/25/88 Honoraria	2000.00	
	Upjohn	Rec'd 1/7/88 Honoraria	6000.00	
II B	Unearned Income (continued)			
	Source	Type	Category	
	Liv. Trust Of First National Investment Company Of Midland	Rents/Interest/Cap Gain	less than $100.00	
	Chemical Bank And Trust Company Of Midland	Interest	A	
	Chemical Bank And Trust Company Of Midland	Interest	less than $100.	
	Comerica Bank - Midland	Interest	Zero	
	State Of Michigan	Interest	less than $100.00	
III	Holdings (continued)			
	Identity		Category	
	Liv. Trust Of First National Investment Company Of Midland	Beneficiary Interest	less than $1000.00	
	Chemical Bank And Trust Company Of Midland	Bank Account	less than $1000.00	
	Chemical Bank And Trust Company Of Midland	Bank Account	A	
	Chemical Bank And Trust Company Of Midland	Bank Account	less than $1000.00	
	Chemical Bank And Trust Company Of Midland	IRA	B	
	Comerica Bank - Midland	Bank Account	less than $1000.00	
	Sergeant-At-Arms	Bank Account	less than $1000.00	
	Personal Residence Located At [illegible] S. Saginaw Road Sanford, Michigan 48657		D	

William D. Schuette May 15, 1989

D DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Richard T. Schulze
(Full Name)

2369 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

MAY 15 PM 12: 15

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 05 State Pennsylvania

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached list (page 3, Section II A.)	honoraria	$26,500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
condominium rentals	rent	C
First American Bank of Virginia	interest	A
G.I.T. Insured Money Market Account	interest	C
condominium sale - 1450 W. Chester Pike	sale profit	F

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
condominium - 20 Rittenhouse Ct., Wayne, PA	D
1/2 acre land; Magen's Bay, U.S. Virgin Islands	B
100 acres; Hardy County, WV	D
Oahu Limited Partnership	C
G.I.T. Insured Money Market Account	A
First American Bank of VA	A
Providence Savings and Loan	A
Town House - Alexandria, VA - (Woodstone) 22306	E

89070231481

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale — Condominium - 1450 W. Chester Pike, West Chester PA	9/2/88	D
Purchase — Town House - Alexandria, VA (Woodstone) 22306	10/24/88	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
1st Financial Savings Bank — mortgage	D
Banc Plus Mortgage Co. — mortgage	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
see attached letter - (page 1)	1988 Kemper Open Pro-Am Charity Golf Tournament	$316.00

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Congressional Institute, Inc. (see attached letter - (Page 2)	Food and Lodging - 3 days
See attached list - (Page 3, Section VII)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
limited partner	Oahu Limited Partnership

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 3 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Richard T. Schulze
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II. A. Income	1/4/88 - General Dynamics, Ft. Worth, TX; $1000 recd 1/4/88
	1/5/88 - Textron (Bell) , Ft. Worth, TX; $1000 recd 2/4/88
	2/3/88 - National Venture Capital Assoc., Wash. D.C.; $1000 recd 2/11/88
	2/8/88 - Pacific Mutual, Wash. D.C.; $1000 recd 3/2/88
	3/1/88 - Ryder System, Miami, FL; $1000 recd 3/28/88
	3/3/88 - U.S. Chamber of Commerce, Wash. D.C.; $500 recd 3/11/88
	3/4/88 - Organization Managment, Inc., Wash. D.C.; $2000 recd 3/4/88
	3/15/88- Securities Industry Assoc., Wash. D.C.; $2000 recd 3/24/88
	3/24/88- American General Insurance, Houston, TX; $1000 recd 3/24/88
	3/29/88- Washington Tax Seminars, Wash. D.C.; $1000 recd 3/29/88
	4/13/88- Tobacco Institute, Wash. D.C.; $1000 recd 4/18/88
	5/13/88- ARCO Chemical Co., Newtown Square, PA; $1000 recd 6/7/88
	5/19/88- American Iron & Steel Inst., Wash. D.C.; $2000 recd 6/3/88
	6/2/88 - Independent Computer Consultants Assn, Cleveland, OH; $2000 recd 6/2/88
	6/5/88 - ARMCO, Columbus, OH; $2000 recd 6/5/88
	6/9/88 - Charls Walker & Assoc., Wash. D.C., $1000 recd 7/23/88
	6/13/88- Society of National Assn. Publications, Wash. D.C.; $1000 recd 6/13/88
	6/17/88- Bethlehem Steel, Bethlehem, PA; $1000 recd 6/23/88
	6/24/88- Mack Trucks, Allentown, PA; $2000 recd 6/24/88
	6/28/88- Grocery Manufacturers, Wash. D.C.; $2000 recd 6/28/88
VII. Reimbursements	King Ranch (Self & Son) seminar/round-table discussion; food & lodging-3.
	Textron (Bell) speech; air fare from Wash. D.C. to Corpus Christi, Tx.
	General Dynamics speech; air fare from Corpus Christi, TX to Wash. D.C., food/lodging-1.
	Ryder System (Doral Ryder Charitable Golf Tournament); Round trip air fare from Wash. D.C. to Miami, FL, green fees, food/lodging-3,Particp.
	American General Corp. speech; from Wash. D.C. to Houston, TX, Air fare.
	Independent Computer Consultants Assn. speech; round trip air fare from Wash. D.C. to Cleveland, OH.
	American Horse Council speech; round trip air fare for self and spouse, food/lodging, 2 days.
	ARMCO, Inc. speech; 1st class round trip air fare from Wash. D.C. to Columbus, OH and Middletown, OH.
	Bethlehem Steel speech; round trip air fare from Wash. D.C. to Bethlehem,PA
	Mack Trucks, Inc. speech; round trip helicopter air travel from Wash. D.C. to Allentown, PA.
	Campbell-Raupe, Inc. speech; round trip air fare for self and spouse from Wash. D.C. to Cape Cod, MASS., food/lodging, 5 days.
	Metropolitan Life Insurance (Danny Thompson Charitable Golf Tournament Participant; round trip air fare from Wash. D.C. to Sun Valley, Idaho for self and spouse, food/lodging, green fees, 5 days.
	Puerto Rico/USA Foundation conference and speech; round trip air fare for self and spouse from Wash. D.C. to San Juan, Puerto Rico, food lodging, 5 days.
	Congressional Institute, Inc. seminar/conference; Houston, TX, food and lodging, 3 days.

GPO: [illegible]

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KEMPER OPEN

May 29-June 4, 1989

Tournament office
Kemper Open
10000 Oaklyn Drive
Potomac, MD 20854
(301) 469-[illegible]
(301) 469-[illegible] Fax

Honorary chairman
James S. Kemper, Jr.

General chairman
Ben F. Brundred, Jr.

Tournament director
Tom Ryan

Vice chairman
David H. Nestel

Executive committee
Ben F. Brundred, Jr.
Michael F. Dineen
Wilfred Durfee, D.D.S.
Steven J. Fine
James S. Kemper, Jr.
David H. Nestel
Steven H. Levine
Gerald L. Maatman
K. Donald Menefee
Joseph H. Riley
Tom Ryan
Jim Seeves
Peter T. Standbridge

Advisory committee
Joseph H. Riley, Chairman
Thomas E. Bolger
Donald E. Bruske
Sheldon W. Fantle
Joseph P. Carmen
Edwin K. Hoffman
Robin Kerins
Jayne Lynch
Charles T. Marck
Andrew M. Ockershausen
Vincent E. Reed
Jess W. Sweetser
John R. Tydings
Martin R. West, Jr.

April 19, 1989

Dear Congressman Schulze:

We have been asked by some of the Members of Congress who participated in the 1988 Kemper Open Pro-Am to furnish them with information on the value of the gifts provided them by our tournament. As a result, we have calculated the total value of the gifts to be $316.00 broken down as follows:

Carry-all (logoed and personalized)	$32.00
Waterford Brandy Balloons	$135.00
Shirt (logoed)	$15.75
Sweater vest (logoed)	$17.75
World Atlas	$13.86
Money Clip, Key Fob, Bag Tag (gift package)	$32.35
Dozen Golf Balls (logoed)	$15.00
Helene Curtis (gift boxed)	$15.00
Foot-Joy socks (three pair)	$7.50
Picture Frame/Perpetual Calendar	$23.00
Hard-bound program (logoed and personalized)	$8.94

Each year the Kemper Open Committee invites a number of prominent figures to participate in the Pro-Am portion of our tournament. This Committee is a separate entity from the Kemper Group, and no corporate funds were used to provide any of the above-mentioned items.

As you know, a portion of the proceeds from the Kemper Open Pro-Am is donated to various charities. In 1988 a total of $150,000 was given to the following charities: American Cancer Society, Maryland Division; American Red Cross, Montgomery County Chapter; Arthritis Foundation, Metropolitan Washington Chapter; Bethesda/Chevy Chase Rescue Squad; Boys' and Girls' Clubs of D.C.; Boy Scouts of America, National Capital Area; Boys' Club of America; Cabin John Volunteer Department; Children's Hospital; Columbia Lighthouse for the Blind; Girl Scout Council, National Capital Area; Heroes, Inc.; Kids; National Citizens' Commission on Alcoholism; National Association for Down Syndrome; Wolf Trap Foundation; YMCA of Metropolitan Washington.

We are pleased that you were able to participate in the 1988 Kemper Open Pro-Am.

Sincerely,

Ben

Ben F. Brundred, Jr.

nmm

The Honorable Richard T. Schulze
2369 Rayburn HOB
Washington, DC 20515

R907Q281485

The Congressional Institute Inc.

Board of Directors

M.B. Oglesby, Jr., Chmn.
John S. Autry
Michael P. Dineen
J. Curtis Herge
Charles Leppert, Jr.
Robert C. Odle, Jr.
Daniel J. Piliero II
Steven P. Stockmeyer

MEMO TO: Republican Members of Congress

FROM: Jerry Climer, Executive Director

DATE: April 19, 1989

RE: Member's Financial Disclosure

With the May 15th financial disclosure deadline approaching, the Congressional Institute wanted to provide you the information needed to report your participation in Institute activities in 1988.

The Congressional Institute hosted Members at three events during the year:

- The Congress of Tomorrow conference in Houston, March, 1988.
- The Women of the 21st Century conference at St. Louis, February, 1988 and,
- The 100th Class Retreat to Easton in December, 1988.

If you participated, and assuming you did not pay for the trip before it occured, you should report the trip on your financial disclosure form if the combined value of your participation exceeded the $250.00 reporting trigger. The pro rata expenses for the trips are as follows:

Congress [redacted] = $ 426.00
Women of the 21st Century = exact amount you were reimbursed
100th Class Retreat to Easton = $ 230.00

The specific instructions for reporting the trip are outlined in the attached memorandum prepared for the Institute by its legal counsel, Jan W. Baran.

If you have any questions don't hesitate to give me a call.

499 South Capitol Street, Suite 607, Washington, D.C. 20003
(202) 863-2646

Contributions or gifts to The Congressional Institute, Inc. are not deductible as charitable contributions for federal income tax purposes

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WILEY, REIN & FIELDING
1776 K STREET, N.W.
WASHINGTON, D.C. 20006

JAN W. BARAN
(202) 429-7330

MEMORANDUM

TO: Leadership

FROM: Jan W. Baran J Baran
General Counsel to The Congressional Institute, Inc.

DATE: April 14, 1989

RE: Members' Expenses for Congressional Institute Seminars

Members are required to report the value (transportation, lodging and food) of Congressional Institute seminars held during 1988 on the Member's Financial Disclosure Statement for 1988, which must be filed by May 15, 1989. Under the current statute and forms, this must be reported in Section VII as a "Reimbursement" in the following manner:

Source	Brief Description
Congressional Institute, Inc. (seminar participant)	Roundtrip air transportation, DC to Houston, 3 days food/ lodging

R 9 J / 1 2 8 2 J U 1

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Charles Ellis Schumer
(Full Name)

126 Cannon House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

89 MAY 15 PM 5:03

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10 State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. House of Representatives	salary	89,500	
Financial Services Corp. (spouse)	salary	53,931	
City of New York (spouse)	salary	38,920	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Value Line Cash Fund	dividend	A
Merrill Lynch Ready Assets	dividend	D
Merrill Lynch Ready Assets	dividend	C
Citibank	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Merrill Lynch Ready Assets	D
Merrill Lynch Ready Assets	D
Value Line	A

H9070262393

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Independence Savings Bank (personal mortgage)	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N/A	
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

89370282351

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Commodities Exchange of New York	round-trip airfare for speaking at annual meeting, Boca Raton, FL
Bank of America	round-trip airfare, food, lodging for speaking to California Banker Assn., San Francisco, CA

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Charles Schumer	May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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HAND DELIVERED

CHARLES E. SCHUMER
10TH DISTRICT, NEW YORK

WASHINGTON OFFICE:
126 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-6616
TELEFAX (202) 225-4183

BROOKLYN OFFICE:
1628 KINGS HIGHWAY
BROOKLYN, NY 11229
(718) 965-5400
TELEFAX (718) 965-5399

Congreſs of the United States
House of Representatives
Washington, DC 20515

COMMITTEES
JUDICIARY
Chairman
Subcommittee on Criminal Justice

BUDGET
Chairman
Task Force on Urgent Fiscal Issues

BANKING, FINANCE
AND URBAN AFFAIRS

NEW YORK STATE
DEMOCRATIC DELEGATION
Treasurer

WHIP-AT-LARGE

May 15, 1989

1989 MAY 15 PM 5:52

✓
Mc

The Honorable Donnald Anderson
The Clerk
U.S. House of Representatives
Washington, DC 20515

Dear Mr. Anderson:

This is an amendment to my financial disclosure statement for 1988.

The reimbursements listed in Part VII should be amended as follows: I was reimbursed by the Commodities Exchange of New York for roundtrip airfare, food, and lodging for myself and my spouse for speaking at the annual meeting in Boca Raton, FL.

Thank you for your attention to this matter.

Sincerely,

Charles E. Sch

Charles E. Schumer
Member of Congress

CES/meg

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

F. James Sensenbrenner, Jr.
(Full Name)

N76 W14726 North Point Drive
PO Box 186
(Mailing Address)

Menomonee Falls, WI 53051-0186

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9 State Wisconsin

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,008, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Morton Thiokol, Inc. 10/3/87	honorarium	$1000	none
American Association of			
Christian Schools 2/23/87	honorarium	$ 300	none
Sensenbrenner Family Trust 12/27/87	family	$20000	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached schedule "A"		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __x__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached schedule "B"	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of Kraft, Inc. stock & warrants	12/7/88	D
Sale of Insilco Corp. stock & warrants	10/6/88	B
Dividend reinvestment purchase of Tenneno stock	various	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Sovran Mortgage Corp. (mortgage on Alexandria, VA home)	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Morton-Thiokol, Inc. (speech)	transportation and 1 night's lodging for self in Denver
The Japan Society, New York Interparliamentary Exchange Program	transportation and 7 nights lodging and food for self and spouse.

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Milwaukee Brewers	American League pass	$35 (used twice
Y. Mogi	pin for spouse	$80

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Sensenbrenner Family trust

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature James Sensenbrenner	Date 10 May 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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SCHEDULE "A" - UNEARNED INCOME

Dividend income

Source	Catagory
Ogden & Co.	A
Continental Corp.	B
Sears Roebuck & Co.	A
Amoco Corp.	B
First Interstate Bancorp.	B
American Telephone & Telegraph Co.	A
Idaho Power Corp.	B
Tenneco Corp.	B
Nevada Power Co.	A
General Mills Co.	B
Kellogg Corp.	B
Dunn & Bradtsreet Co.	C
Halliburton Corp.	A
Insilco Corp.	A
Kimberly-Clark Corp.	E
Minnesota Mining & Manufacturing Corp.	A
Rank Organisation, Ltd., ADR	A
Exxon Corp.	C
Kraft, Inc.	A
Eastman Kodak Co.	B
General Electric Corp.	A
General Motors Corp.	B
Merck & Co.	D
Warner Lambert, Inc.	A
Newell Corp.	A
International Business Machines Co.	B
Premark International, Inc.	A
Monsanto Corp.	B
American Information Technologies, Inc.	A
Bell Atlantic Co.	A
Bell South Co.	A
NYNEX, Inc.	A
Pacific Telesis, Inc.	A
Southwest Bell, Inc.	A
US West, Inc.	A
W.A. Krueger, Inc.	A
Firstar Corp.	A
Unisys Corp.	A
Wisconsin Energy Corp.	A
Houston Industries, Inc.	A
Abbott Laboratories, Inc.	A
E.I. DuPont, Inc.	A
Pacific Gas & Electric, Inc.	A
F. James Sensenbrenner, Jr. 1976 Trust	D
Wisconsin Securities Liquidating Trust	A
Sandusky Holdings Voting Trust	A
John S. Sensenbrenner Trust F/B/O FJS, Sr.	D
Helen Mary McMurtrie Trust	A

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SCHEDULE "A" - UNEARNED INCOME

Interest income

Source	Catagory
Bank One, N.A.	D
Federated Financial Savings & Loan	A
Wisconsin Department of Revenue	A
Internal Revenue Service	B
F. James Sensenbrenner, Jr. 1976 Trust	A
Wisconsin Securities Liquidating Trust	A
John S. Sensenbrenner Trust F/B/O FJS, Sr.	A
Helen Mary McMurtrie Trust	C

Income received by Cheryl W. Sensenbrenner

Dividend income

Universal Foods	A
Philip Morris Co.	C

Interest Income

Bank One, N.A.	A

Income received by Cheryl W. Sensenbrenner
as trustee f/b/o F. J. Sensenbrenner III

Kimberly-Clark Corp. dividend	B
Burke & Herbert Bank interest	B

Income received by Cheryl W. Sensenbrenner
as trustee f/b/o R.A. Sensenbrenner

Kimberly-Clark Corp. dividend	A
Bank One, N.A. interest	B

Income received by F. James Sensenbrenner, Jr.
as custodian under the Uniform Gifts to Minors Act
f/b/o F. James Sensenbrenner III

Burke & Herbert Bank interest	A

Income received by F. James Sensenbrenner, Jr.
as custodian under the Uniform Gifts to Minors Act
f/b/o Robert Alan Sensenbrenner

Burke & Herbert Bank interest	A

SCHEDULE "B" - HOLDINGS

Holdings of F. James Sensenbrenner, Jr.

Identity	Catagory
Firstar Corp.	B
American Telephone & Telegraph Corp.	B
Idaho Power Corp.	B
First Interstate Bancorp.	C
American Information Technologies	B
Bell Atlantic	B
Bell South	B
NYNEX, Inc.	B
Pacific Telesis, Inc.	B
Southwest Bell, Inc.	B
US West, Inc.	B
Tenneco Corp.	C
Nevada Power Co.	B
Newell Corp.	B
General Mills, Inc.	C
Kellogg Corp.	D
Dunn & Bradtstreet, Inc.	E
Halliburton Co.	C
Kimberly-Clark Corp.	F
Minnesota Moning & Manufacturing, Inc.	C
Rank Organisation, ADR	A
Exxon Corp.	D
Amoco Corp.	C
W.A. Krueger Corp.	A
Eastman Kodak Co.	C
General Electric Co.	C
General Motors Corp.	C
General Motors Corp., Series H	A
Merck & Co., Inc.	F
Warner Lambert Co.	C
Continental C orp.	C
Sears Roebuck & Co.	B
Ogden Corp.	C
OMI Corp.	A
International Business Machines, Inc.	C
Sandusky Voting Trust	A
Wisconsin Securities of Delaware Liquidating Trust	A
Monsanto Corp.	D
E.I. DuPont	C
Houston Industries, Inc.	B
Pacific Gas & Electric, Inc.	B
Wisconsin Energy Corp.	B
Abbott Laboratories, Imc.	C
Bank One Corp.	C
Premark International, Inc.	A
Unisys Inc., preferred	A
Bank One, Milwaukee, N.A. checking account	B
Bank One, Milwaukee, N.A. savings accounts	E
Burke & Herbert Bank of Alexandria checking acct.	A
Federated Financial Savings & Loan of Butler, WI IRA	C
Federated Financial S&L savings account	A

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SCHEDULE "B" - HOLDINGS

Holdings in trust of which F. James Sensenbrenner, Jr. is a direct beneficiary

Identity		Catagory
Helmsman Prime Fund		C
US Government Treasury notes		D
Bank One Corp.	common stock	B
E.I. DuPont	"	B
Minnesota Mining & Manufacturing	"	A
Monsanto Corp.	"	B
General Electric Co.	"	B
Abbott Laboratories	"	C
Merck & Co., Inc.	"	D
Warner Lambert Co.	"	B
Continental Corp.	"	B
International Business Machines Co.	"	B
Amoco Corp.	"	A
Exxon Corp.	"	B
Houston Industries, Inc.	"	A
Pacific Gas & Electric Co.	"	A
Wisconsin Energy Corp.	"	A
NCNB Daily Income Fund		E

Holdings of Cheryl Warren Sensenbrenner

Phillip Morris, Inc., common stock	E
Universal Foods common stock	C
Bank One, Milwaukee, N.A. preferred savings acct.	B
Burke & Herbert Bank checking account	A

Holdings of Cheryl Warren Sensenbrenner, as trustee f/b/o F. James Sensenbrenner III

Kimberly-Clark Corp. common stock	D
Burke & Herbert Bank savings account	C

Holdings of Cheryl Warren Sensenbrenner, as trustee f/b/o Robert Alan Sensenbrenner

Kimberly-Clark Corp. common stock	D
Bank One, Milwaukee, N.A. preferred savings acct.	C

Holdings of F. James Sensenbrenner, Jr. as custodian under the Uniform Gifts to Minors Act f/b/o F. James Sensenbrenner III

Burke & Herbert Bank savings acct.	A

Holdings of F. James Sensenbrenner, Jr., as custodian under the Uniform Gifts toMinors Act f/b/o Robert Alan Sensenbrenner

Burke & Herbert Bank savings account	A

Holdings of F. James Sensenbrenner, Jr., as co-trustee f/b/o Robert Alan Sensenbrenner

SCHEDULE B - HOLDINGS

Bank One Money Market Trust	B
Bank One Intermediate Bond fund	A
Bank One Income stock fund	B
Bank One Disciplined Equity fund	B
Merck & Co., Inc common stock	B

Holdings of F. James Sensenbrenner, Jr., as co-trustee
f/b/o F. James Sensenbrenner III

Bank One Money Market Trust	B
Bank One Intermediate Bond fund	A
Bank One Income stock fund	B
Bank One Disciplined Equity fund	B
Merck & Co., Inc common stock	B

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F. JAMES SENSENBRENNER, JR.
NINTH DISTRICT, WISCONSIN

COMMITTEE ON THE JUDICIARY
COMMITTEE ON SCIENCE AND TECHNOLOGY

WASHINGTON OFFICE:
ROOM 319
CANNON HOUSE OFFICE BUILDING
WASHINGTON, D.C. 20515
202-225-5101

DISTRICT OFFICE:
120 BISHOPS WAY
BROOKFIELD, WISCONSIN 53005
414-784-1111

OUTSIDE MILWAUKEE METRO
CALLING AREA
1-800-242-1119

Congress of the United States
House of Representatives
Washington, D.C. 20515

FINANCIAL STATEMENT OF F. JAMES SENSENBRENNER, Jr.

Mr. SENSENBRENNER. Mr. Speaker, through the following statement, I am making my financial net worth as of March 31, 1989, a matter of public record. I have filed similar statements for each of the ten preceding years I have served in the Congress.

ASSETS

REAL PROPERTY	VALUE
Single family residence at 609 Fort Williams Parkway, City of Alexandria, Virginia, at assessed valuation. (Assessed at $626,100.00. Ratio of assessed to market value - 100%.) (Encumbered)	$ 626,100.00
Condominium at N76 W14726 North Point Drive, Village of Menomonee Falls, Waukesha County, Wisconsin, at assessor's estimated market value. (Unencumbered)	68,900.00
Undivided 25/44ths interest in single family residence at N52 W32654 Maple Lane, Village of Chenequa, Waukesha County, Wisconsin, at 25/44ths of assessor's estimated market value of $239,300.00. (Unencumbered)	135,965.90
TOTAL REAL PROPERTY	$ 830,965.90

PERSONAL PROPERTY

COMMON & PREFERRED STOCKS

No. of shares		VALUE
338	Firstar Corp. @$29.00	$ 9,802.00
436.654	American Telephone & Telegraph @$31.50	13,754.60
558	Idaho Power Corp. @$23.375	13,043.25

No. of shares		VALUE
450	First Interstate Bancorp. @$46.875	21,093.75
132.863	American Information Technologies @$51.375	6,825.84
87.945	Bell Atlantic @$76.25	6,705.81
197.802	Bell South @$43.375	8,597.66
89.300	NYNEX, Inc. @$70.75	6,317.97
148	Pacific Telesis, Inc. @$35.00	5,180.00
136.912	Southwest Bell, Inc. @$44.875	6,143.93
89.680	US West, Inc. @$62.375	5,593.79
583.750	Tenneco Corp. @$50.625	29,552.34
580	Nevada Power Co. @$19.375	11,237.50
419	Newell Corp. @$34.00	14,246.00
720	General Mills, Inc. @$59.125	42,570.00
800	Kellogg Corp. @$63.625	50,900.00
2000	Dunn & Bradstreet, Inc. @$50.50	101,000.00
1000	Halliburton Company @$30.125	30,125.00
18576	Kimberly-Clark Corp. @$61.00	1,133,136.00
500	Minnesota Mining & Manufacturing @$67.00	33,500.00
100	Rank Organisation ADR @$15.375	1,537.50
2132	Exxon Corp. @$43.875	93,541.50
1162	Amoco Corp. @$41.125	47,787.25

No. of shares		VALUE
156	W. A. Krueger Co. @$10.375	1,618.50
1080	Eastman Kodak Co. @$45.375	49,005.00
1075	General Electric Co. @$44.50	47,837.50
408	General Motors Corp. @$41.50	16,932.00
20	General Motors Corp., Series H @$26.25	525.00
5213	Merck & Co., Inc. @$64.75	337,541.75
476	Warner Lambert Co. @$84.875	40,400.50
700	Continental Corp. @$31.875	22,312.50
200	Sears Roebuck & Co. @$42.625	8,525.00
910	Ogden Corp. @$27.25	24,797.50
455	OMI Corp. @$7.50	3,412.50
418	International Business Machines @$109.125	45,614.25
26	Sandusky Voting Trust @$73.00	1,898.00
26	Wisconsin Securities of Delaware Liquidating Trust @$16.53	429.78
711	Monsanto Corporation @$93.875	66,745.13
150	E.I. du Pont @$102.25	15,337.50
450	Houston Industries, Inc. @$27.75	12,487.50
525	Pacific Gas & Electric, Inc. @$17.75	9,318.75
512	Wisconsin Energy Corp. @$25.50	13,056.00

No. of shares		VALUE
900	Abbott Laboratories, Inc. @$54.75	49,275.00
1282	Bank One Corp. @$25.375	32,530.75
127	Premark International Inc. @$33.375	4,238.63
100	Unisys, Inc., preferred @$48.75	4,875.00
333	Benton County Mining Company @ no value	nil
	TOTAL COMMON & PREFERRED STOCKS	$ 2,500,905.73

LIFE INSURANCE POLICIES

Face amount		Cash surrender value
$12,000	Northwestern Mutual #4378000	$ 16,732.12
$30,000	Northwestern Mutual #4574061	39,859.32
$10,000	Massachusetts Mutual #4116575	3,774.88
$100,000	Massachusetts Mutual #4228344	68,945.43
$25,000	Old Line Life Insurance Co. #515950	18,935.48
	TOTAL LIFE INSURANCE POLICIES	$ 148,247.23

BANK & SAVINGS & LOAN ACCOUNTS

Bank One, Milwaukee, N.A. Checking account no. 0046-2366	$ 6,649.39
Bank One, Milwaukee, N.A. Preferred savings account no. 4158-8070	150,635.77
Bank One, Milwaukee, N.A. Savings account no. 497-525	580.73
Federated Financial Savings & Loan of Butler, WI Savings account no. 2-0033296	1,131.63
Sergeant at Arms, U.S. House of Representatives Checking account no. 748	474.18
Burke & Herbert Bank of Alexandria, VA Checking account no. 601-301-5	(169.50)

Federated Financial Savings & Loan of Butler, WI Individual retirement account	23,394.85
TOTAL BANK & SAVINGS & LOAN ACCOUNTS	$ 182,697.05

MISCELLANEOUS

1985 Pontiac 6000 automobile (at Blue Book trade-in value)	$ 5,200.00
Office furniture & equipment (estimated)	1,000.00
Furntirue, clothing & personal property (estimated)	95,000.00
Stamp collection (estimated)	23,000.00
Interest in Wisconsin Retirement Fund	29,007.49
Deposits in Congressional Retirement Fund	53,900.12
Deposits in Federal Thrift Savings Plan	10,540.95
Traveller's checks	6,250.00
TOTAL MISCELLANEOUS	$ 223,916.56
TOTAL ASSETS	$3,886,732.47

LIABILITIES

Sovran Mortgage Company of Richmond, VA (Amount due on mortgage on Alexandria, VA residence) Loan #564377	$ 184,242.13
Miscellaneous 30 day charge accounts (estimated)	2,000.00
TOTAL LIABILITIES	$ 186,242.13
NET WORTH	$3,700,490.34

STATEMENT OF 1988 TAXES PAID

Federal income tax	$ 41,041.00
Wisconsin income tax	12,453.00
Menomonee Falls, Wis. Property tax	1,944.00
Chenequa, Wis. property tax	6,465.00
Alexandria, VA property tax	6,423.00

PAGE SIX 8 3 3 7 3 2 3 0 3 5 1

I further declare that I am the direct beneficiary of two trusts. I have no control over the assets of these trusts. My wife, Cheryl Warren Sensenbrenner, and I are trustees of separate trusts established fo the benefit of our minor sons, F. James Sensenbrenner III and Robert Alan Sensenbrenner and are also custodians of accounts established for the benefit of each son under the Uniform Gifts to Minors Act.

Also, I am neither an officer nor a director of any corporation organized under the laws of the State of Wisconsin or of any other state or foreign country.

F. JAMES SENSENBRENNER, JR., M.C.

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Philip R. Sharp
(Full Name)
2217 Rayburn House Office Bldg.
(Mailing Address)
Washington, DC 20515

MC
(Office Use Only)

1989 MAY 15 PM 2:35

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Indiana

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1. Aaron Priest Literary Agency	Royalty		
334 E. 51st Street, New York, NY 10022	(Spouse)		
2. Sterling Lord Literary Agency	Royalty		
660 Madison Avenue, New York, NY 10021	(Spouse)		
3. NO HONORARIA ACCEPTED (see continuation sheet)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Savings Accounts	Interest	B
Rental House, 2112 Euclid, Muncie, IN	rent (gross)	C
Business Building, 516 N. Martin Street, Muncie	contract sale	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Savings Account: Wright Patman Federal Credit Union, Washington, DC	C
U.S. Savings Bond	A
Dwelling, 2112 Euclid Avenue, Muncie, IN	C
IRA Accounts (2)	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage on rental house - 2112 Euclid Avenue, Muncie, IN with Merchants National Bank, Muncie, IN	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
1. Institute for Resource Management	Airfare for Clean Air conference, New York, December, 1988 - $116.00
2. Institute for Resource Management	Airfare for Board of Directors meeting, New York, December, 1988 - $116.00

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	Institute for Resource Management (non-profit organization, no compensation is provided).

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Philip R. Sharp	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Philip R. Sharp
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
IIA.**	1. Contribution of $2,000 paid directly to Bartholomew County United Way in Indiana for a speech made to Arthur Anderson and Company on May 17. Arthur Anderson contributed directly to United Way of Bartholomew County.
	2. Contribution of $2,000 paid directly to Delaware County United Way of Indiana for a speech made to Interstate Natural Gas Association of America on May 25. INGAA contributed directly to the United Way of Delaware County.
	3. Contribution of $500 paid directly to the Second Congressional District Arts Commission for a speech made to the Gas Distributors Exchange on September 27. GDA paid the Arts Commission directly.
**	Longstanding policy of NOT ACCEPTING HONORARIA from lobbying organizations. The above honoraria were rejected but organization was asked to contribute to charitable organizations in 2nd District.

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

E. Clay Shaw, Jr.
(Full Name)

440 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

OFFICE OF RECORDS & REGISTRATION
1989 JUN -1 PM 3 56
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 15 State Florida

☐ Officer or Employee—Employing Office U.S. House of Representatives

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
American Trucking Assoc., Inc.	Honorarium	$1,000.	
National Structured Settlements Trade Association	"	2,000.	
National Restaurant Association	"	1,000.	
Armco, Inc.	"	1,000.	
Cigar Association of America	"	2,000.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Shaw Interstate (income from timber & land leases and lignite royalties)	Partnership Div.	E
Ft. Worth Basin Partnership	Dividend	A
Giant Foods	Dividend	A
Dania Farms, Inc.	Dividend	F

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Plant Place, Inc. (stock) a Florida Corp.	C
Dania Farms, Inc. (stock) a Florida Corp.	F
Ft. Worth Basin Partnership	A
AT&T (stock)	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
-None-		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National Motor Freight Traffic Assoc.	Speaking Engagement Longboat Key, FL Transportation & Lodgin
Bush for President	Round trip transportation to New Hampshir to campaign for Presidency
Bush for President	Round trip transportation to South Carolina to campsgn for Presidency

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	E. Clay Shaw, Trustee (Children's Trust)
Director/President	Dania Farms, Inc.
Director/President	Shaw Farms (inactive Corp.)
Director	Plant Place, Inc.
Partner	Shaw Interstate

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	-NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 31, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1989 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

E. Clay Shaw, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		CATEGORY	
	FL St. Broward County Bonds		C	
	Louisiana HFA		C	
II (B)	Arvida JMB Partnership	Partnership Dividend	C	
	Plant Place, Inc.	Dividend	C	
	Merrill Lynch	Interest	B	
	Citizens & Southern	Dividend	A	
	Merrill Lynch CMA	Interest	B	
	Bay County Bond	Interest	A	
	Ft. Lauderdale Bond	Interest	A	
	So. Bell Tel. & Tel.	Interest	A	
	Merrill Lynch MIT	Interest	D	
	Crestar Bank	Interest	A	
	Attorney's Title Ins. Fund/Interest		A	
	Sun Bank	Interest	A	
	Wal Mart Stores	Dividend	A	
III	Southern Bell		B	
	Fluor Corp.		C	
	Citizens & Southern (formerly Landmark Bank)		C	
	Attorney's Title Ins. Fund		C	
	Municipal Investment Trusts		C	
	Indian River West, Inc.		F	
	Bay County Bonds		B	
	Louisiana HFA		C	
	Ft. Lauderdale, Gen. Obg. Bonds		B	
	Delger Financial Corp.		A	
	Merrill Lynch MIT		D	
	Fl. St. Broward County Bonds		C	
	Shaw Interstate-a family partnership, with principal place of business in Sumpter Co. Alabama. Assets include farm equipment, farm and timber land, located in Sumpter Co., Alabama. Lauderdale, Co., Miss. and Kemper Co., Miss. and Broward Co., FL (Taylor Rd. & US I) 1/3 interest.		F	
	Nordstrom Inc. Stock		B	
	Chevy Dev. Comp. Stock		A	
	Sharper Image Corp. Stock	12/21/87	A	Sold
	Wal Mart Stores Inc. Stock		B	
	Arvida JMB Partnership		C	
	CMA-Merrill Lynch		B	
VII	The Martin Downey Show	Round trip transportation to New York to appear on Martin Downey Show.		
	National Structured Settlements Trade Assoc.	/Round trip transportation to San Diego, CA plus lodging for speech.		
	Orlando Chamber of Commerce FL. Congressional weekend	/Transportation to and lodging in Orlando, FL for Congressional Weekend.		
	ARMCO, Inc.	Round trip transportation to Middletown, Ohio for speech.		
	Cigar Association of America	Transportation to and lodging in Key West, FL for speech.		
	Mr. Gene Snyder	Lodging in Louisville, KY for speech.		

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E. CLAY SHAW
15th DISTRICT, FLORIDA

REPLY TO:
☐ 440 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-3026

DISTRICT OFFICE:
☐ BROWARD FEDERAL BUILDING
299 EAST BROWARD BOULEVARD
FORT LAUDERDALE, FL 33301
(305) 522-1800

COMMITTEE
WAYS AND MEANS
SUBCOMMITTEES
OVERSIGHT
HUMAN RESOURCES
RANKING MEMBER

Congress of the United States
House of Representatives
Washington, DC 20515

June 1, 1989

[illegible] SHAW

RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 JUN -6 PM 12:41
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

✓ MC

The Honorable Julian C. Dixon, Chairman
Committee on Standards of Official Conduct
HT-2, The Capitol
Washington, D.C. 20515

Dear Mr. Chairman:

In looking over my previous Financial Disclosure Statement I noted that I included the following securities, but omitted the income derived there from. They should have been reported also under Part II (B) as follows: Louisiana HFA, Category C and Florida State Broward County Bonds, Category C.

Further I noted that I showed Florida State Broward County Bonds with value E under Part III. The correct value was C.

Sincerely,

E. Clay Shaw, Jr.
Member of Congress

ECS/mm

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

1989 MAY 12 PM 12: 26

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Christopher Shays
(Full Name)

393 Webbs Hill Road
(Mailing Address)

Stamford, CT 06903

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State CT

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. House of Representatives	Salary	89,499.96	None
Town of New Canaan *	Salary	22,046.72	None
William Raveis Real Estate **	Comm.	18,790.12	None
(See Attached Statement)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Citicorp Mortgage, Inc.	Interest	A
Stamford Savings Bank	Interest	A
Stamford Teachers F.C.U.	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
House - 286 Ingleside Drive, Stamford, CT	F
House - 189 Old Long Ridge Rd., Stamford, CT (1/10 Interest)	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Attached Statement	12-15-88	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Citibank-Mortgage on House-286 Ingleside Dr., Stamford, CT	E
1st National Bank of Stamford-Mortgage on House at 189 Old Long Ridge Rd., Stamford, CT; reflecting 1/10 ownership interest	C
U.S. House of Representatives Credit Union-Personal loan	B
Visa - Credit Card balance	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Lonewood Associates (See Attached Statement)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Christopher Shays	11 May 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

Christopher Shays
District 4 Connecticut

Attachment to 1988 Financial Disclosure Statement

II. A. Earned Income

* Spouse's income, amount not required to be reported pursuant to allowable exclusions.

** The $18,790.12 in income from William Raveis Real Estate represents commissions earned in 1987 but received in 1988.

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Christopher Shays
District 4 Connecticut

Attachment to 1988 Financial Disclosure Statement

IV Transactions

The investment house at 189 Old Long Ridge Rd., Stamford, CT (owned by Lonewood Associates) was sold on 12/15/88. Christopher Shays has a 1/10 interest in Lonewood Associates and therefore a 1/10 interest in the sale of the property.

89171230045

Christopher Shays
District 4 Connecticut

Attachment to 1988 Financial Disclosure Statement

VIII Positions

Partner in Lonewood Associates, owner of an investment house at 189 Old Long Ridge Rd., Stamford, CT. (See III Holdings, IV Transactions, V Liabilities)

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

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FORM A—For use by Members, officers, and employees

NORMAN DAVID SHUMWAY
(Full Name)

1203 LONGWORTH HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 14 State CA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Council of Savings Institutions 3-17-88	honorarium	$ 1,000	
Bank Capitol Markets Association 3-18-88	"	1,500	
University of the Pacific Young Republicans 4-6-88	"	50.00	
U.S. English 4-16-88	"	500.00	
California Bankers Association 5-5-88	"	1,000	

SEE ATTACHED

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Chevy Chase Savings and Loan (formerly Government Service S&L)	Interest	A
Columbia 1st Federal Savings and Loan	"	A
Irving Trust Company	"	A
Prudential-Bache	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
~~Chevy Chase Savings and Loan (savings account — Formerly known as Government Services S&L)~~	~~B~~
~~Columbia 1st Federal Savings and Loan (savings account)~~	~~A~~
~~Phoenix Growth Fund (mutual fund)~~	~~A~~
~~Irving Trust Company (savings account)~~	~~C~~
~~Prudential Bache (money market fund account)~~	~~A~~

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Irving Trust Company (savings account)	3-1-88	C
Prudential-Bache (money markt fund account)	4-15-88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated toward the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Bank Capitol Markets Association 16th Annual	Roundtrip airfare between
Conference - speaking engagement (for self)	Washington, D.C. and Tucson,
	Arizona; 1 day lodging; 1 day food
SEE ATTACHED.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Secretary/Treasurer	Delaa Hydro Gardens, Inc.
Secretary	William B. Lyon, Inc.
Secretary	Pacific Biofeedback, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	MAY 9, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

NORMAN DAVID SHUMWAY
PAGE 1
CONTINUATION SHEET

PART SOURCE, TYPE, AMOUNT, CATEGORY, DESCRIPTION OR VALUE (AS APPLICABLE)

II. EARNED INCOME

Assembly of Turkish Associations	5-20-88	Honorarium $1,500
The American Council of State Savings Supervisors	5-23-88	Honorarium $2,000
Well Fargo Bank	6-6-88	Honorarium $2,000
American Bankers Association	6-25-88	Honorarium $2,000
Consumer Bankers Association	9-26-88	Honorarium $2,000

VII. REIMBURSEMENTS

U.S. English 2nd Annual Conference Meeting, "Bilingualism: Are we Creating a Separate Society?" (for self)	Roundtrip airfare from Washington, D.C. to Los Angeles via Denver, CO; one day food
Speaking engagement at the 10th Annual Convention of the Assembly of Turkish-American Association (for self)	1 night lodging; 1 night food
Speaking engagement at the 49th Annual Conference of the American Council of Savings Supervisors (for self and District Representative, Jack Sieglock)	1 night lodging; 1 night food

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT
NORMAN DAVID SHUMWAY
PAGE 2

PART SOURCE, TYPE, AMOUNT, CATEGORY, DESCRIPTION OR VALUE (AS APPLICABLE)

VII. (CONTINUED)

American Bankers Association Governmental Relations Council Meeting. Participant in panel discussion. (for self and spouse.)	Roundtrip airfare from Washington, D.C. to Monterey, CA (for self and spouse); 1 night lodging (for self and spouse); breakfast for self, spouse, daughter)
Keynote Address at Consumer Bankers Association's 68th Annual Conference (for self)	Roundtrip airfare from Washington, D.C. to San Diego, CA; 1 night lodging; 1 night food
Fact finding review in Israel to review the political, economic, agricultural, etc. aspects. Sponsored by the Stockton Jewish Community and the Jewish Community Council (for self and spouse)	Roundtrip airfare from Washington, D.C. to Jerusalem; 6 nights lodging; 7 days food (all costs paid for self and spouse)
Seminar Participant in the House Republican Conference sponsored by the Congressional Institute (for self, spouse, son, daughter)	Chartered flight from Washington, D.C. to Houston, TX; 3 nights lodging; 3 days food (all above for self, spouse, son, daughter)
Fact finding review to explore improving U.S.-Japan relations through the U.S.-Japan Council Meetings sponsored by the Aspen Institute for Humanistic Studies (for self)	Roundtrip airfare from Washington, D.C. to Tokyo via San Francisco; 5 days lodging; 5 days food (for self)

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

BUD SHUSTER
(Full Name)

68 6th Avenue
(Mailing Address)

Everett, Pa. 15537

MC (Office Use Only)

89 MAY 15 PM 3:36

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9th State Pa.

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Government Bonds	Interest	D
BTI Investments Inc.	Interest	C
J. Lamens, Star Route 5, Everett, Pa.	Interest	B
Real Estate, Arlington, Va.	Rent	D
Pain Webber Equity Fund, Pitts. Pa.	Interest	D
Horizon Federal Savings & Loan, Everett, Pa.	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X_____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Attached		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Bud Shuster	Date May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

BUD SHUSTER
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
IIA	Shuster Farms - income from land	$21,606.
IIA	BTI Investments Inc. - Spouse income-ownership	9,810
IIA	American Bus Asson - Honorarium 1/7	2,000
IIA	Air Transport Assn - Honorarium 1/26, D.C.	1,500
IIA	Associated Gen. Contractors - Hon. 1/12	2,000
IIA	Regular Common Carriers - Hon. 2/2	2,000
IIA	Ackerly Comm. 2/23 - Hon.	2,000
IIA	Railway Progress Inst. 3/1 Hon.	1,000
IIA	Safe Building Alliance 3/17 Hon. D.C.	2,000
IIA	Associated Gen. Contractors - Hon. 3/22	2,000
IIA	Am. Public Transit - 3/14 Hon. D.C.	2,000
IIA	American Trucking Assn. 4/13 Hon. D.C.	1,000
IIA	Am. Public Transit - 3/6 Hon. D.C.	1,000
IIA	Gannett Co 4/19 Hon.	2,000
IIA	Amalgamated Transit Union 4/22 Hon. D.C.	2,000
IIA	National Motor Freight Assn 5/4 Hon. D.C.	1,000
IIA	American Movers Conf. 5/18 Hon. D.C.	1,000
IIA	Nat. Limestone Assn. 6.14 Hon.	1,350
IIA	Erie Ins Co. - partial settlement - accident	5,110
III	Government Bonds	F
III	Shuster Farms, Everett, Pa.	F
III	BTI Investments Inc. Common Stock	F
III	Better Tires Inc. Common Stock	F
III	Real Estate, Arlington, Va.	F
III	C3 Inc. Stock	B
III	AT&T Stock	A
III	Bandag Stock	B
III	Chrysler Stock	A
III	Turner Construction Stock	A
III	J. Lemens mortgage - Star Route 5, Everett, Pa.	C
III	U.S. Pension Fund	D
III	Paine Webber Equity Fund	F
III	Bedford Investment Fund	B
III	Deposit on sale of farm land - D. Klock, Everett, Pa 3/1 - 12/1	C
III	Sale of Real Estate - Everett, Pa. 8/22	F
III	Deposit on sale of Real Estate, Everett, Pa. 10/24	B
VII	Am. Bus Assn. Wash to San Juan, RT air fare, 1/5 2 nights food & lodging	
VII	Assoc. Gen. Contractor Wash to Boston RT airfare 1/12 2 nights food & lodg	
VII	Reg. Common Carriers RT Wash to NY 2/2 2 nights food and lodging	
VII	Ackerly Comm. 2/23 Wash Palm Beach RT Air fare 2 nights food & lodging	
VII	Railway Progres Inst. 3/1 Wash -Sarasota Rt airfare, rental car	
VII	Assoc. Gen. Contractors 3/22 New Orleans RT Air fare	
VII	Gannett Co 4/19 Wash -L.A. RT air fare, 2 nights food and lodging	
VII	Nat. Limestone Assn. 6/14 Wash-Absecon, N.J. RT air fare	

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Gerry (Gerald Edward) Sikorski
(Full Name)

9367 Otchipwe
(Mailing Address)

Stillwater, MN 55082

MC (Office Use Only)

1989 MAY 11 PM 4:07

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6th State Minnesota

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria - Schedule I	Schedule	$23,250	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Oak Park Heights State Bank	Interest	A
Wright Patman Credit Union	Interest	A
St. Croix Valley Bank	Interest	A
Civil Service Retirement System (transfer to FERS; interest earned	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988 including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY

0 9 0 7 0 2 3 0 0 4 9

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wright Patman Credit Union (Personal Residence Line of Credit Loan)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Expense Reimbursements	Schedule II

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member	Children's Defense Fund-MN Project Advisory Board
Member	Advisory Board, MN Mental Health Advocates Coalition
Member	"KARE" Eleven Who Care Board of Governors

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Honorable Gerry Sikorski
(Name)

Schedule I
Part II-A

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
	SOURCE	TYPE	DATE REC'D	AMOUNT
II	Touro College School of Law	Honorarium	3/31/88	$ 250
	Trial Lawyers Association	Honorarium	2/18/88	2,000
	American Podiatric Assn.	Honorarium	1/24/88	2,000
	Seafarers Int'l. Union	Honorarium	2/24/88	1,000
	American Physical Therapy Association	Honorarium	2/24/88	1,000
	National Federation of Federal Employees	Honorarium	3/1/88	1,000
	Brotherhood of Maintenance of Way Employees	Honorarium	3/8/88	2,000
	Cenex	Honorarium	3/16/88	500
	Outdoor Power Equipment Inst.	Honorarium	3/28/88	1,000
	Health Industry Distributors Association	Honorarium	5/19/88	1,000
	Distilled Spirits Council	Honorarium	4/26/88	1,000
	Chicago Board of Trade	Honorarium	5/10/88	500
	Chicago Mercantile Exchange	Honorarium	5/10/88	500
	Brotherhood of Railroad Signalmen	Honorarium	8/15/88	2,000
	Midwestern Cooperative Power Association	Honorarium	8/30/88	500
	American Academy of Opthomologists	Honorarium	11/3/88	2,000
	Care Providers of MN	Honorarium	12/5/88	1,000
	U.S. Telephone Association	Honorarium	12/20/88	1,000
	Chicago Board of Trade	Honorarium	11/30/88	500
	Morgan Stanley	Honorarium	12/6/88	2,000
	Association of American Railroads	Honorarium	12/28/88	500
		Total Honoraria		$23,250

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Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Honorable Gerry Sikorski
(Name)

Schedule II
Part VII

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VII	
	Soochow University/Pacific Community Institute, round trip airfare DC/Taiwan/DC, lodging and meals 1/10-17 (6 nights)(Mrs. Sikorski accompanied)
	Trial Lawyers Assn., Transportation, round trip airfare Honolulu/Maui/Honolulu, lodging and meals 1/18-20 (2 nights) (Mrs. Sikorski accompanied)
	American Podiatric Assn., Transportation, round trip airfare DC/Miami/DC, lodging and meals 1/29-31 (3 nights) (Mrs. Sikorski accompanied
	Transportation Communications Union, Lodging, 2/10-15 (5 nights)Bal Harbour, FL
	Seafarers Int'l. Union, Transporation, round trip airfare DC/Miami/DC, car rental 2/10-15
	Chicago Board of Trade, Transportation, round trip airfare DC/Chicago/DC
	Brotherhood of Railroad Signalmen , Transporation, round trip airfare, DC/Orlando, FL/DC, lodging and meals 7/24-26 (2 nights)
	American Academy of Opthomologists, Transporation, round trip airfare Minneapolis/DC/Minneapolis
	U.S Telephone Assn., Transporation, one way airfare DC/Ft. Lauderdale, lodging and meals 11/17 (1 night)
	Chicago Board of Trade, Transporation, round trip airfare DC/Chicago/DC
	Morgan Stanley, Transportation, round trip airfare DC/New York City/DC

HAND DELIVERED

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GERRY SIKORSKI
6TH DISTRICT, MINNESOTA
WHIP AT LARGE
ENERGY AND COMMERCE
POST OFFICE AND CIVIL SERVICE
CHAIRMAN, CIVIL SERVICE
SELECT COMMITTEE ON
CHILDREN, YOUTH, AND FAMILIES

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE:
414 CANNON HOUSE OFFICE BLDG.
WASHINGTON, DC 20515
(202) 225-2271

DISTRICT OFFICE:
277 COON RAPIDS BLVD., NW.
SUITE 414
COON RAPIDS, MN 55433
(612) 780-5801

MAY 23 PM 2:09
U.S. H [illegible] REPRESENTATIVES

May 16, 1989

The Honorable Donnald K. Anderson
Clerk of the House
U.S. House of Representatives
Office of Records and Registration
1036 Longworth HOB
Washington, D.C. 20515

✓ MC

Dear Mr. Anderson:

This letter will serve as an official request to amend my 1988 Financial Disclosure Statement, which was just filed with the Office of Records and Registration. I inadvertently omitted the word "NONE" in Part III, Holdings, of the Statement. Since I have no such holdings exceeding the value noted, I should have indicated "NONE."

If you have any questions regarding this matter, please don't hesitate to contact me. Thank you for your attention to this matter.

Sincerely,

Gerry Sikorski

GERRY SIKORSKI
Member of Congress

GS:co

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Norman Sisisky
(Full Name)

2951 South Crater Road
(Mailing Address)

Petersburg, Virginia 23805

MC (Office Use Only)

RECEIVED 89 MAY 15 PM 3:55 OFFICE OF THE CLERK U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State Virginia

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Anheuser-Busch Cos., Inc. (received - -88)	Honorarium	$2,000	
Lee Distributing Company, Inc. (spouse)	Compensation	N/A	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Schedule		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Schedule	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Schedule		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Anti Defamation League	Round-trip airfare to Israel from Washington, D. C., and related food and lodging, for Member and his spouse.

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Anheuser-Busch Cos., Inc.	Round-trip airfare from Washington, D.C. to St. Louis, Mo., [redacted] 4-12-88

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Schedule	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	Schedule	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature:	Date
Norman Sisisky	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 8

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Norman Sisisky
(Name)

Continuation Sheet

Part | *Source, Type, Amount, Category, Description or Value (As Applicable)*

VIII. POSITIONS

	NAME OF ORGANIZATION
Partner	The Berkeley Venture
Trustee	Virginia State University Foundation
Co-Executor and Co-Trustee	Estate of Isadore Brown, deceased
Co-Executor and Co-Trustee	Estate of Celia Brown, deceased
Limited Partner	New Dominion Limited Partnership
Limited Partner	Sini Associates
Joint Venturer	Spectrum Resources, Inc. (Joint Venture -1988-I)

IX. AGREEMENTS

As previously reported, the Member is a vested participant in a qualified pension plan sponsored by former employers. Under this plan, the employer makes contributions to a qualified pension trust, based generally on participants' compensation. Because the Member prior to 1985 ceased to be an employee of any contributing employer, no future contributions to such pension plan on behalf of the Member are anticipated.

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PART II. INCOME
B. UNEARNED INCOME

Page 2 of 8

SOURCE	TYPE	CATEGORY
ABBOTT LABS	DIVIDENDS	C
ALEXANDRIA, VA., GOB, 6.75%, 10/01/93	INTEREST	D
ALEXANDRIA, VA., GOB, 7.75%, 10/01/07	INTEREST	E
AMERICAN ELECTRIC POWER	DIVIDENDS	D
APPLE COMPUTER, INC	DIVIDENDS	A
BAXTER TRAVENOL LABORATORIES, INC.	DIVIDENDS	B
CHESAPEAKE CORPORATION	DIVIDENDS	C
CHESTERFIELD, VA, GO WATER NOTE, 00/00/00	INTEREST	B
COCA COLA COMPANY	DIVIDENDS	B
COLUMBIA GAS SYSTEM	DIVIDENDS	B
COLUMBIA PICTURES	DIVIDENDS	B
COOPER INDUSTRIES, INC.	DIVIDENDS	B
CRESTAR FINANCIAL CORPORATION	DIVIDENDS	B
EASTERN VA MED AUTH, 8.125%, 05/15/07	INTEREST	D
ETHYL CORPORATION	DIVIDENDS	D
FAIRFAX COUNTY, VA., GOB 94, 9.375%, 11/01/04	INTEREST	D
FAIRFAX COUNTY, VA., PUT 8/1/95, 6.75%, 08/01/07	INTEREST	D
FIRST UNION CORPORATION	DIVIDENDS	B
FIRST VIRGINIA BANKS, INC.	DIVIDENDS	D
GENERAL ELECTRIC COMPANY	DIVIDENDS	C
HALLIBURTON COMPANY	DIVIDENDS	D
HAMPTON, VA., GOB 6.5%, 04/01/05	INTEREST	D
HAMPTON ROADS, VA., MED AUTH 8.125%, 04/15/07	INTEREST	D
HARRISONBURG, VA., 9.75%, 11/04/04	INTEREST	C
JAMES RIVER CORP OF VIRGINIA	DIVIDENDS	A
K MART CORPORATION	DIVIDENDS	B
LINCOLN NATIONAL CORP, IND.	DIVIDENDS	B
LINCOLN NATIONAL CORPORATION	CAPITAL GAIN	A
LUBRIZOL CORPORATION	DIVIDENDS	B
MCDONALDS CORPORATION	DIVIDENDS	A
MOBIL CORPORATION	DIVIDENDS	C
NEWPORT NEWS, VA, 8.375%, 05/01/95	CAPITAL GAIN	A
NEWPORT NEWS, VA., GOB, 8.375%, 05/01/07	INTEREST	C
NORTHEAST UTILITIES	DIVIDENDS	C
PENINSULA PORT AUTH, 7.1%, 01/01/07	INTEREST	D
PETERSBURG, VA., IDA, 7.3%, 11/01/11	INTEREST	D
RUSSELL COUNTY, VA., IDA, 9.125%, 11/01/09	INTEREST	E
SCHLUMBERGER LIMITED	DIVIDENDS	C
SEARS, ROEBUCK & COMPANY	DIVIDENDS	B
SOVRAN FINANCIAL CORPORATION	DIVIDENDS	C
TEMPORARY MUNICIPAL INVESTMENT FUND	INTEREST	F
UNITED DOMINION REALTY TRUST, INC.	DIVIDENDS	D
UNIV VA HOSP REV, 9.3%, 12/01/97	INTEREST	C
UNIV VA HOSP REV C, 8.75%, 06/01/00	INTEREST	C
UVA HOSP, PUT 89, 8%, 12/01/18	INTEREST	D
U.S. TREASURY BOND	INTEREST (1)	B
U.S. TREASURY NOTES	INTEREST	F
U.S.F. & G. CORPORATION	DIVIDENDS	B
VA BEACH, VA., RB 12/1/96, 6.5%, 12/01/12	INTEREST	D
VIRGINIA HSG DEV AUTH, 7.2%, 11/01/22	INTEREST	D
VIRGINIA HSG DEV AUTH, 7.55%, 09/01/12	INTEREST	E
VIRGINIA HSG DEV AUTH, 9.5%, 11/01/97	INTEREST	D
WINCHESTER, VA, IDA, 10%, 01/01/16	CAPITAL GAIN	D
WINCHESTER, VA., IDA, 10%, 01/01/16	INTEREST	C
WINCHESTER, VA., IDA, 4%, 01/01/09	INTEREST	C
WINCHESTER, VA., IDA, 6.25%, 01/01/90	INTEREST	D
WINCHESTER, VA., IDA, 4%, 01/01/03	INTEREST	E
ALBANY, NY, MUN WATER AUTH, 7%, 12/01/01	INTEREST	D
BEECHAM GROUP PLC ADR	DIVIDENDS	C
BEECHAM GRP, PLC	CAPITAL GAIN	D
CHICAGO, IL, PUB HSG, 2.375%. 08/01/93	INTEREST	B
DUN & BRADSTREET CORPORATION	DIVIDENDS	A
EASTMAN KODAK COMPANY	CAPITAL GAIN	C
HELVETIA FUND	DIVIDENDS	A
INTERPUBLIC GROUP COMPANY	DIVIDENDS	A
MEDIA GENERAL, INC., CLASS A	CAPITAL GAIN	E
MEDIA GENERAL, INC., CLASS A	DIVIDENDS	A
MERCK & CO., INC.	CAPITAL GAIN	D
SNAP-ON TOOLS COMPANY	CAPITAL GAIN	C
TEMPORARY INVESTMENT FUND	INTEREST	D
ANHEUSER-BUSCH COS INC.	DIVIDENDS	B
ATLANTIC RESEARCH CORP	CAPITAL GAIN	E
BERKELEY JOINT VENTURE	JOINT VENTURE INCOME	D
CIRCUIT CITY	DIVIDENDS	A
CONGRESSIONAL FEDERAL CREDIT UNION	INTEREST	E
LEE DISTRIBUTING COMPANY, INC.	S CORPORATION	B
METROPOLITAN LIFE INSURANCE	INTEREST	A
MCI	DIVIDENDS	A
NEW DOMINION LIMITED PARTNERSHIP	PARTNERSHIP INCOME	B
NORTHWESTERN MUTUAL LIFE INSURANCE CO.	INTEREST	B
OFF. OF PERSONNEL MGMT, CIVIL SERVICE RETIREMENT	INTEREST	C
STATE BANK OF THE ALLEGHENIES	DIVIDENDS	A

R97/0235061

UNEARNED INCOME CONTINUED :

STATE OF ISRAEL	INTEREST	A
VIRGINIA FIRST SAVINGS BANK	INTEREST	C
CASH EQUIVALENT FUND	DIVIDENDS	A
NOLAND COMPANY	DIVIDENDS	A
ARCHER-DANIELS-MIDLAND COMPANY	DIVIDENDS	A
LADD FURNITURE INCORPORATED	DIVIDENDS	A
CAPITAL CITIES/ABC INC	DIVIDENDS	A
EASTMAN KODAK COMPANY	DIVIDENDS	C
INTERNATIONAL BUSINESS MACHINES	DIVIDENDS	C
MERCK & CO., INC.	DIVIDENDS	B
PEPSICO, INC.	DIVIDENDS (1)	E
SIGNET BANK	INTEREST (1)	E
SIGNET BANKING CORPORATION	DIVIDENDS	C
VIRGINIA MUNI FUND INTEREST	INTEREST	B
BRENCO, INCORPORATED	DIVIDENDS (1)	A
AMES DEPARTMENT STORES	CAPITAL GAIN (2)	C
ANHEUSER-BUSCH COMPANIES, INC.	CAPITAL GAIN (2)	A
UNIBEV INC.	CAPITAL GAIN (2)	G
LOT 10 (APPROX. 4 ACRES), SECTION 1, EAST PRINCE GEORGE COUNTY, VIRGINIA. WHITEHILL INDUSTRIAL PARK, BLAND DISTRICT,	RENTS	F
UNDIVIDED ONE-HALF INTEREST IN APPROX 116 ACRES OF UNIMPROVED REAL ESTATE, (PROXIMITY, HWY 301), CITY OF PETERSBURG, VA.		E

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(1) INCLUDES OR REPRESENTS INCOME WITH RESPECT TO HOLDINGS OF MEMBER AND HIS SPOUSE AS EXECUTORS AND TRUSTEES UNDER THE WILLS OF ISADORE BROWN, DECEASED, AND CELIA BROWN, DECEASED.

(2) BY NEW DOMINION LIMITED PARTNERSHIP.

PART III. HOLDINGS

IDENTITY	TYPE		CATEGORY	
ABBOTT LABS	STOCK		E	
ALEXANDRIA, VA, GOB, 7.75%, 10/01/07	BOND		E	
AMERICAN ELECTRIC POWER	STOCK		E	
ANHEUSER-BUSCH COMPANIES, INC.	STOCK		D	
AVALON CORP	STOCK		A	
BRENCO, INCORPORATED	STOCK (1)		C	
CAPITAL CITIES/ABC INC	STOCK		E	
CHARTER COMPANY	STOCK		A	
CHESAPEAKE CORPORATION	STOCK		E	
CHICAGO, IL, PUB BDG, 2.375%. 06/01/95	BOND		D	
CIRCUIT CITY	STOCK		D	
COCA COLA COMPANY	STOCK		D	
CONGRESSIONAL FEDERAL CREDIT UNION	CASH		F	
COOPER INDUSTRIES, INC.	STOCK		D	
DOUGHTIES FOODS, INC.	STOCK		A	
EASTMAN KODAK COMPANY	STOCK		D	
FAIRFAX CO HAN 96, 9.375, DUE 11/01/06	BOND		E	
FAIRFAX CO PUT 08/01/95, 6.75%, DUE 08/01/07	BOND		E	
FIRST UNION CORPORATION	STOCK		C	
GENERAL ELECTRIC COMPANY	STOCK		D	
HALLIBURTON COMPANY	STOCK		C	
HAMPTON ROADS, VA, MED AUTH, 8.125%, 05/15/07	BOND		E	
HARRISONBURG, VA, HAN 94, 9.75%, 11/04/04-91	BOND		D	
IMPROVED REAL ESTATE - BLACKSTONE, VA	REAL ESTATE (4)			$992,000
INTERNATIONAL BUSINESS MACHINES	STOCK		E	
K MART CORPORATION	STOCK		D	
LEE DISTRIBUTING COMPANY, INC.	ADVANCE		F	
LEE DISTRIBUTING COMPANY, INC.	STOCK (2)(7)		F	
LUBRIZOL CORPORATION	STOCK		C	
MC DONALDS CORP	STOCK		D	
MOBIL CORPORATION	STOCK		D	
MUNICIPAL FUNDS - TEMPORARY INVESTMENT	FUND		F	
NCR	STOCK		C	
NEW DOMINION LIMITED PARTNERSHIP	ADVANCE		F	
NEW DOMINION LIMITED PARTNERSHIP	PARTNERSHIP INTEREST (2)(8)		F	
NOLAND CO	STOCK		D	
PATTERSON LAND COMPANY	STOCK (1)		D	
PENINSULA PORTS AUTH, 7.1%, DUE 01/01/07	BOND		E	
PEPSICO, INC.	STOCK (1)		F	
RUSSELL CO VA IDA, 9.125%, 11/01/09	BOND		E	
SCHLUMBERGER LIMITED	STOCK		D	
SEARS ROEBUCK & COMPANY	STOCK		C	
SIGNET BANK	CASH (1)		F	
SIGNET BANKING CORPORATION	STOCK		D	
SOVRAN FINANCIAL CORPORATION	STOCK		D	
STATE OF ISRAEL	BOND (9)		C	
THE BERKELEY VENTURE	VENTURE INTEREST (2)(6)		E	
THE STATE BANK OF THE ALLEGHENIES	STOCK (3)		A	
UNITED DOMINION REALTY TRUST INC	STOCK		D	
UNIV VA HOSP, REV C, 8.75%, 06/01/00	BOND		D	
UNIV VA HOSP REV, 9.5%, 12/01/97	BOND		D	
UVA HOSP, PUT 89, 8%, 12/01/18	BOND		E	
U.S. TREAS 4.25% BONDS, DUE 1987-92	BOND (1)		C	
U.S. TREAS 6.625% NOTES, DUE 12/31/90	NOTE		E	
U.S. TREAS 6.75% NOTES, DUE 3/31/91	NOTE		E	
U.S. TREAS 7.125% NOTES, DUE 4/30/89	NOTE		E	
U.S. TREAS 7.25% NOTES, DUE 6/30/90	NOTE		D	
U.S. TREAS 7.5% NOTES, DUE 8/15/91	NOTE		D	
WPI COMMUNICATIONS	BOND (9)		E	
VA BEACH, VA, HR, 12/01/96, 6.5%, 12/01/12	BOND		D	
VIRGINIA FIRST SAVINGS BANK	CASH		D	
VIRGINIA FIRST SAVINGS BANK	STOCK (9)		E	
VIRGINIA HSG DEV AUTH, 7.2%, 11/01//22	BOND		E	
VIRGINIA HSG DEV AUTH, 7.35%, 09/01/12	BOND		F	
VIRGINIA HSG DEV AUTH, 9.5%, 11/01/97	BOND		C	
WINCHESTER, VA, IDA, 6%, 01/01/89	BOND		C	
WINCHESTER, VA, IDA, 6.25%, 01/01/90	BOND		D	
WINCHESTER, VA, IDA, 8%, 01/01/05	BOND		F	
LOT 10, (APPROX. 4 ACRES), SECTION 1, EAST WHITEHILL INDUSTRIAL PARK, BLAND DISTRICT, PRINCE GEORGE COUNTY, VA, INCLUDING IMPROVEMENTS THEREON.	REAL ESTATE (4)			$1,300,000
ALBANY, NY, MUN WATER AUTH, 7%, 12/01/01	BOND	02/10/88	C	
APPLE COMPUTER CORP, INC.	STOCK	10/14/88	C	
BRITISH STERLING TD, 11.75%, 01/04/89 (VARIABLE)	T.D.	04/04/88	E	
CADBURY SCHWEPPES, PLC	STOCK (9)	12/08/88	C	
COLUMBUS AVENUE EQUITY PARTNERS, LTD.	PARTNERSHIP INTEREST (9)	10/15/88	F	
DADE COUNTY, FLA, PUB FACS, 5.75%, 06/01/15	BOND	12/08/88	C	
ETHYL CORP	STOCK	09/27/88	D	
MERCK & CO., INC.	STOCK	07/25/88	D	
MISSISSIPI ST, UNFAS SER S, 6.2%, 02/01/08	BOND	12/09/88	C	

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HOLDINGS CONTINUED :

NEIMAN MARCUS GROUP	STOCK (9)	12/01/88	C
PACIFIC TELESIS GROUP	STOCK	11/04/88	E
PEPSI-COLA METROPOLITAN BOTTLING COMPANY, INC.	NOTES (9)	10/04/88	F
RICH. IND'L DEV AUTH REV BNDS, 7%, 11/01/93-91	BOND	09/06/88	E
SINI ASSOCIATES	PARTNERSHIP INTEREST (2)	04/11/88	D
SPECTRUM RESOURCES, INC., (JOINT VENTURE 1988-1)	JOINT VENTURE	11/28/88	D
SPECTRUM RESOURCES, INC.	STOCK (9)	12/02/88	C
THE HELVETIA FUND	FUND	05/05/88	D
U.S. TREASURY BOND, 9%, 02/15/94	BOND	01/06/88	E
U.S. TREASURY NOTE, 8%, 7/15/94	NOTE	01/26/88	E
U.S. TREASURY NOTE, 8.25%, 8/15/89	NOTE	06/06/88	D
U.S.F. & G. CORPORATION	STOCK	09/27/88	D
VELA, GTD STUD LN PROG, REV A, 6.9%, 03/01/95	BOND	12/16/88	E
VIRGINIA STATE PUBLIC SCHOOL AUTH, 8%, 01/01/09	BOND	09/22/88	E
WHITMAN CORPORATION (FORMERLY IC INDUSTRIES,INC.)	STOCK (9)	11/11/88	C
EXPENDITURES TO COMPLETE IMPROVEMENTS ON LOT 10, SECTION 1, EAST WHITEHILL INDUSTRIAL PARK, PRINCE GEORGE COUNTY, VA.	CONST- UCTION COSTS	1988 (VARIOUS)	F
ALEXANDRIA, VA, BSD, 6.75%, 10/01/95	BOND (5)	09/01/88	E
AMES DEPARTMENT STORES	STOCK (9)	08/02/88	C
ANHEUSER-BUSCH COMPANIES, INC.	STOCK (9)	08/22/88	D
ARCHER-DANIELS-MIDLAND	STOCK (5)	09/29/88	A
ATLANTIC RESEARCH CORP	STOCK (5)	12/31/88	B
ATLANTIC RESEARCH CORP	STOCK (5)	12/02/88	B
BAXTER INTERNATIONAL, INC	STOCK (5)	07/18/88	D
BEECHAM GRP, PLC	STOCK (5)	11/04/88	D
CHESTERFIELD, VA, 6% MASTER NOTE, 09/09/99	MASTER NOTE (5)	12/22/88	E
COLUMBIA GAS SYSTEM, INC.	STOCK (5)	04/14/88	D
COLUMBIA PICTURES ENTMT, INC.	STOCK (5)	01/29/88	A
CRESTAR FINANCIAL CORPORATION	STOCK (5)	09/27/88	C
DUN & BRADSTREET	STOCK (5)	03/31/88	C
EASTMAN KODAK COMPANY	STOCK (5)	01/19/88	E
ETHYL CORPORATION	STOCK (5)	10/31/88	D
FIRST VIRGINIA BANKS	STOCK (5)	09/16/88	C
GENERAL ELECTRIC COMPANY	STOCK (5)	10/31/88	D
HAMPTON, VA, REDEV, 6/5%, 04/01/95	BOND (5)	12/14/88	E
INTERPUBLIC GROUP COMPANY	STOCK (5)	04/04/88	D
JAMES RIVER CORPORATION OF VA	STOCK (5)	09/27/88	D
LINCOLN NATIONAL CORPORATION	STOCK (5)	09/27/88	D
MEDIA GENERAL, INC., CLASS A	STOCK (5)	03/30/88	C
MEDIA GENERAL, INC., CLASS A	STOCK (5)	11/01/88	C
MERCK & CO., INC.	STOCK (5)	04/04/88	D
NEWPORT NEWS, VA, 8.375%, 05/01/95	BOND (5)	07/27/88	D
NORTHEAST UTILITIES	STOCK (5)	08/23/88	D
PETERSBURG, VA, INC, 7.3%, 11/01/11	BOND (5)	12/13/88	E
SNAP-ON TOOLS COMPANY	STOCK (5)	01/19/88	E
STATE OF ISRAEL	BOND (5)	12/31/88	A
U.S.F. & G. CORPORATION	STOCK (5)	10/31/88	D
UNIBEV INC.	STOCK (5)(9)	10/04/88	F
VPI COMMUNICATIONS	BOND (9)	08/02/88	B
VPI COMMUNICATIONS	BOND (9)	11/04/88	F
VPI COMMUNICATIONS	BOND (9)	09/12/88	D
VPI COMMUNICATIONS	BOND (9)	12/31/88	F
WINCHESTER, VA, IDA, 10%, 01/01/16	BOND (5)	01/22/88	D
UNDIVIDED ONE-HALF INTEREST IN APPROX 116 ACRES OF UNIMPROVED REAL ESTATE, (PROXIMITY, HWY 301), CITY OF PETERSBURG, VA	LAND (5)	12/30/88	F

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(1) INCLUDES OR REPRESENTS HOLDINGS OF MEMBER AND HIS SPOUSE
AS EXECUTORS AND TRUSTEES UNDER THE WILLS OF ISADORE BROWN, DECEASED, AND CELIA BROWN, DECEASED.

(2) NOT PUBLICLY HELD - BASED ON BOOK VALUE AT END OF ENTITY'S FISCAL YEAR ENDING IN 1988.

(3) BASED ON DATA FURNISHED BY THE ISSUER.

(4) BASED ON ASSESSED VALUE.

(5) BASED ON AMOUNT REALIZED IN 1988 UPON SALE OR LIQUIDATION.

(6) REPRESENTS 20.72% EQUITY INTEREST IN THE JOINT VENTURE, A REAL ESTATE INVESTMENT/DEVELOPMENT COMPANY HAVING ITS PRINCIPAL PLACE OF BUSINESS IN THE CITY OF NEWPORT NEWS, VIRGINIA, THE ASSETS OF WHICH CONSIST OF :
  CASH, BANK OF SOUTHSIDE VIRGINIA
  NOTES RECEIVABLE :
    KNOLLWOOD CORPORATION,
    R.W. ROBERSON CO., INC.
    BALLEW CORPORATION
  REAL ESTATE -- LOTS (5) AND ACREAGE (135.48 ACRES),
    CITY OF PETERSBURG, VIRGINIA

HOLDINGS CONTINUED :

(7) A VIRGINIA CORPORATION HAVING ITS PRINCIPAL PLACE OF BUSINESS IN PRINCE GEORGE COUNTY, VIRGINIA.

(8) REPRESENTS 47.16% INTEREST IN A VIRGINIA LIMITED PARTNERSHIP HAVING ITS PRINCIPAL PLACE OF BUSINESS AT 1990 E. WHITEHILL ROAD, PRINCE GEORGE, VIRGINIA, THE ASSETS OF WHICH CONSIST OF :

CASH AND CASH EQUIVALENTS
CASH VALUE - NET, OF VARIOUS LIFE INSURANCE POLICIES
NOTES RECEIVABLE, PEPSI-COLA METROPOLITAN BOTTLING COMPANY, INC., ONE OF WHICH
  IS HELD SUBJECT TO AN ESCROW ARRANGEMENT.
BONDS :
  STATE OF ISRAEL
  VPI COMMUNICATIONS
COMMON STOCKS :
  CADBURY SCHWEPPES
  SPECTRUM RESOURCES
  NEIMAN MARCUS GROUP
  VIRGINIA FIRST SAVINGS BANK
  WHITMAN CORPORATION
  UNIBEV INC. (SOLD 10-04-88)
PARTNERSHIP INTEREST, COLUMBUS AVENUE EQUITY PARTNERS

(9) CONSISTS OF OR INCLUDES HOLDINGS BY NEW DOMINION LIMITED PARTNERSHIP.

PART IV. TRANSACTIONS

DESCRIPTION		DATE	CATEGORY
PURCHASES :			
ALBANY, NY, MUN WATER AUTH, 7%, 12/01/01	BOND	02/10/88	C
AME'S DEPARTMENT STORES	STOCK (1)	05/10/88	C
ANHEUSER-BUSCH COMPANIES, INC.	STOCK (1)	07/29/88	D
APPLE COMPUTER CORP, INC.	STOCK	10/14/88	C
BRITISH STERLING TD, 11.75%, 01/04/89 (VARIABLE)	T.D.	04/04/88	E
CADBURY SCHWEPPES, PLC	STOCK (1)	12/08/88	C
CHESTERFIELD, VA, 6% MASTER NOTE, 09/09/99	MASTER NOTE	09/23/88	E
COLUMBUS AVENUE EQUITY PARTNERS, LTD.	PARTNERSHIP INTEREST (1)	10/15/88	F
DADE COUNTY, FLA, PUB FACS, 5.75%, 06/01/15	BOND	12/08/88	C
ETHYL CORP	STOCK	09/27/88	D
GENERAL ELECTRIC CORP	STOCK	09/27/88	C
HAMPTON, VA, REDEV, 6.5%, 04/01/95	STOCK	04/05/88	E
MERCK & CO., INC.	STOCK	07/25/88	D
MISSISSIPI ST, USF&G SER S, 6.2%, 02/01/98	BOND	12/09/88	C
NEIMAN MARCUS GROUP	STOCK (1)	12/01/88	C
PACIFIC TELESIS GROUP	STOCK	11/01/88	C
PACIFIC TELESIS GROUP	STOCK	11/04/88	E
PEPSI-COLA METROPOLITAN BOTTLING COMPANY, INC.	NOTES (1)(2)	10/04/88	F
RICH. IND'L DEV AUTH REV RFDG, 7%, 11/01/93-91	BOND	08/06/88	E
KIKI ASSOCIATES	PARTNERSHIP INTEREST	04/11/88	D
SPECTRUM RESOURCES, INC., (JOINT VENTURE 1988-I)	JOINT VENTURE	11/28/88	D
SPECTRUM RESOURCES, INC.	STOCK (1)	12/02/88	C
THE HELVETIA FUND	FUND	05/05/88	D
U.S. TREASURY BOND, 9%, 02/15/94	BOND	01/06/88	E
U.S. TREASURY NOTE, 8%, 7/15/94	NOTE	01/26/88	E
U.S. TREASURY NOTE, 8.25%, 8/15/89	NOTE	06/06/88	D
U.S.F. & G. CORPORATION	STOCK	09/27/88	D
VELA, STD STUD LN PROG, REV A, 6.9%, 03/01/95	BOND	12/16/88	E
VIRGINIA STATE PUBLIC SCHOOL AUTH, 8%, 01/01/89	BOND	09/22/88	E
VPI COMMUNICATIONS	BOND (1)	05/03/88	F
VPI COMMUNICATIONS	BOND (1)	07/05/88	D
VPI COMMUNICATIONS	BOND (1)	08/02/88	A
WHITMAN CORPORATION (FORMERLY IC INDUSTRIES,INC.)	STOCK (1)	11/11/88	C
EXPENDITURES TO COMPLETE IMPROVEMENTS ON LOT 10, SECTION 1, EAST WHITEHILL INDUSTRIAL PARK, PRINCE GEORGE COUNTY, VA.	CONST- UCTION COSTS	1988 (VARIOUS)	F
SALES :			
ALEXANDRIA, VA, GOB, 6.75%, 10/01/95	BOND	09/01/88	E
AMES DEPARTMENT STORES	STOCK (1)	08/02/88	C
ANHEUSER-BUSCH COMPANIES, INC.	STOCK (1)	08/22/88	D
ARCHER-DANIELS-MIDLAND	STOCK	09/29/88	A
ATLANTIC RESEARCH CORP	STOCK	12/31/88	D
ATLANTIC RESEARCH CORP	STOCK	12/02/88	D
BAXTER INTERNATIONAL, INC	STOCK	07/18/88	D
BEECHAM GRP, PLC	STOCK	11/04/88	D
CHESTERFIELD, VA, 6% MASTER NOTE, 09/09/99	MASTER NOTE	12/22/88	E
COLUMBIA GAS SYSTEM, INC.	STOCK	04/14/88	D
COLUMBIA PICTURES ENTMT, INC.	STOCK	01/29/88	A
CRESTAR FINANCIAL CORPORATION	STOCK	09/27/88	C
DUN & BRADSTREET	STOCK	05/31/88	C
EASTMAN KODAK COMPANY	STOCK	01/19/88	E
ETHYL CORPORATION	STOCK	10/31/88	D
FIRST VIRGINIA BANKS	STOCK	09/16/88	C
GENERAL ELECTRIC COMPANY	STOCK	10/31/88	D
HAMPTON, VA, REDEV, 6.5%, 04/01/95	BOND	12/14/88	E
INTERPUBLIC GROUP COMPANY	STOCK	04/04/88	D
JAMES RIVER CORPORATION OF VA	STOCK	09/27/88	D
LINCOLN NATIONAL CORPORATION	STOCK	09/27/88	D
MEDIA GENERAL, INC., CLASS A	STOCK	03/30/88	C
MEDIA GENERAL, INC., CLASS A	STOCK	11/01/88	C
MERCK & CO., INC.	STOCK	04/04/88	D
NEWPORT NEWS, VA, 8.375%, 05/01/95	BOND	07/27/88	D
NORTHEAST UTILITIES	STOCK	08/23/88	D
PETERSBURG, VA, IMC, 7.3%, 11/01/11	BOND	12/13/88	E
SNAP-ON TOOLS COMPANY	STOCK	01/19/88	E
STATE OF ISRAEL	BOND	12/31/88	A
U.S.F. & G. CORPORATION	STOCK	10/31/88	D

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SALES CONTINUED :

Page 8 of 8

UNIBEV INC.	STOCK (1)	10/04/88	F
VPI COMMUNICATIONS	BOND (1)	08/02/88	B
VPI COMMUNICATIONS	BOND (1)	11/04/88	F
VPI COMMUNICATIONS	BOND (1)	09/12/88	B
VPI COMMUNICATIONS	BOND (1)	12/31/88	F
WINCHESTER, VA, IDA, 10%, 01/01/16	BOND	01/22/88	B
UNDIVIDED ONE-HALF INTEREST IN APPROX 116 ACRES OF UNIMPROVED REAL ESTATE, (PROXIMITY, HWY 301), CITY OF PETERSBURG, VA	LAND	12/30/88	F

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(1) BY NEW DOMINION LIMITED PARTNERSHIP.

(2) RECEIVED AS CONSIDERATION FOR SALE OF UNIBEV INC.COMMON STOCK.

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

David Evans Skaggs
(Full Name)
1709 Longworth House Office Building
(Mailing Address)
Washington, D.C. 20515

MC (Office Use Only)

MAY 15 PM 3:48

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State Colorado

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Trust for Historic Preservation (spouse)	Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Dean Witter Reynolds	Interest	A
Grace Petroleum	Royalty	B
Rental--3320 34th Street, Boulder, CO	Rent	B
Bank of Boulder	Interest	A
State of Colorado	Tax refund	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Townhouse (rental property) 3320 34th St., Boulder, CO	D
Dean Witter Reynolds, Liquid Asset Account	A
Grace Petroleum, Oil Interest Royalty (spouse)	C
IRA, First National Bank, Boulder (spouse)	B
IRA, Dean Witter Reynolds	B
IRA, Mountain State Bank, Denver, CO	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Principal Mutual Life Insurance Co. (mortgage on 3320 34th Street, Boulder, CO)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Petur & Ilon Williams, Boulder, CO	Use of condominium in Vail, CO, for weekend, August, 1988

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Metropolitan Opera Assoc.	2 tickets to Turnadot	$170

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Various individuals in Denver and Boulder, CO	Travel to Israel 12/26/87-1/4/88: air fare, hotels, meals and related expenses for member and spouse

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Rocky Mountain Planned Parenthood
Director	Coloradans for David Skaggs

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
David E Skaggs	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Joseph Richard Skeen
(Full Name)

1007 Longworth HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

1989 MAY -3 PM 1:49
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State NM

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515: Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
American Assoc. of Crop Insurers 3/21/88	Honorarium	$2000.	
Long Island Aeropac/Business Assoc. 4/22/88	Honorarium	$2000.	
(Ellanef Manufacturing Corp. - $1000)			
{Dvirka & Bartilucci - $500 }			
(Burton Industries Inc. - $500.)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Business	Ranch-Gross	G
	Net	F
Business.	Oil&Gas-Gross	B
	Net	A
R.C. Jones	Interest	B
Installment Sale - to R. C. Jones	Capital Gain	B

*****See Page 5

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Common Stock - ***See Page 5	
FEderal Land Bank - Deposit	D
Federal Land Bank - Stock	C
Real Estate - Ruidoso, N.M. - Lot in N. Heights	B
Real Estate - Roswell, N.M. - House - 7 Deborah Dr.	D
Ranch - 15,000 acres & Improvements - Picacho, NM-Lincoln.Cty.	F

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Federal Land Bank - Mortgage Loan	F
Roswell Escrow Company - Real Estate - Roswell Property-Mortg.	C
State Land Commissioner - State Land Purchase	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
American Assoc. of Crop Insurers	Speaking Engagement - Airfare

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 12.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Joseph Richard	5/1/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Joseph Richard Skeen
(Name)

Continuation Sheet

Part	IDENTITY	Source, Type, Amount, Category, Description or Value (As Applicable)	CATEGORY
	Section II-B		
II	United New Mexico Bank	Interest	A
	United New Mexico Bank	Dividend	A
	E.F. Hutton	Dividend	A
	Wright Patman Federal Credit Union	Interest	B
III	COMMON STOCK - Bristol Myers		A
	UNC Res., Inc.		A
	Pan-American Corp.		A
	Southern Union Co.		A
	Seagull Energy Corp.		A
	R.C. Jones Installment Note		C
	Livestock		D
	Transportation Equipment		C
	Wright Patman Federal Credit Union-Savings		C

GPO: [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Ike Skelton
(Full Name)

2134 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

MAY 15 PM 4:33

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State Missouri

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,030, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
The Tobacco Institute	1-26-88	Honorarium	1,000	None
Emerson Electric Company	1- 8-88	Honorarium	1,000	None
The Lamar Corporation	3-15-88	Honorarium	1,000	None
American Defense Institute	4-19-88	Honorarium	1,000	None
Lockheed Aeronautical Systems Co.	5-19-88	Honorarium	2,000	None

(continued on page 5)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Virginia B. Anding Trust (spouse)	Int. & Trust Dist.	D
Carolyn B. Skelton Trust	Int. & Trust Dist.	D
Sun Oil Co., (spouse)	Royalties	A
Store building in Delhi, LA (spouse)	Rent	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
U.S. Savings Bonds	A
Lafayette County Bancshares, Inc., Wellington, MO	D
Fractional Interest in store building in Delhi, LA (spouse)	B
Sun Oil Company, mineral rights (spouse)	B
Trust, Carolyn B. Skelton Estate, Assets: farm acreage, Lafayette	
County, Commerce Bank - non-taxable bond fund non-taxable money market fund	146,008

(continued on page 5)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
203 N. 1st St. Pacific, Mo (sold by trust)(spouse)	7-6-88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Lafayette County Bank, Wellington, Missouri (open note)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Tobacco Institute	Round trip airfare from Washinton, DC to Palm Springs, CA. Food and lodging for two days (Member and spouse)
Emerson Electric (Rentec Corp) Calabassas, CA	Ground transportation, food & lodging (Member and spouse) one day.

(continued on page 5)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Ike Skelton, Sr. Memorial Trial Practice Award (University of Missouri Law School)
Trustee	Ike Skelton, Sr. Outstanding Sigma Chi Aware (University of Missouri)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date 5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Ike Skelton
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II-A	Southwest Baptist University	6-13-88	Honorarium	$300	None
	American Podiatric Medical	10-27-88	Honorarium	$1,000	None
	Marine Engineers Beneficial	12- 6-88	Honorarium	$1,000	None
	United States Telephone Assn.	12-21-88	Honorarium	$2,000	None
III	Trust, Virginia B. Anding Estate (spouse)			$207,497	
	Assets: United Missouri Bank-Common taxable fixed income fund United Missouri Bank-Common stock fund United Missouri Bank-short-term money market fund Real Estate-203 N. 1st St, Pacific, MO (sold by trust)				
	U.S. Treasury Bonds (son)			A	
	Stock: Home Shopping Network (son) not shown because decrease in value.				
	Stock: Elcor Corporation, Home Shopping Network, Marion Laboratories and MFS Investment (son holding corporate stocks no longer dependent.)				
VII	Lockheed Aeronautical Systems	Round trip airfare from Washington, DC to Burbank, CA. Food and lodging for one day. (Member)			
	United Daughters of Confederacy	Food and lodging for one day. Richmond, VA, (Member)			
	Marine Engineers Beneficial	Round trip airfare from Washington, DC to Dania, FL. Food and lodging for one day. (Member)			

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

89 MAY 15 PM 3:39
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

James C. Slattery
(Full Name)

1440 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Kansas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Kansas Electric Cooperatives, Inc. Received 9-10-88	Honorarium	$350.00	Charity
See attached Continuation Sheet.			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached Continuation Sheet.		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached Continuation Sheet.	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Exchanged ½ interest in 2113-2115 Jewell and 1/3 interest	8/88	
in 1550 McAlister for ½ interest in 2408 Moundview,		
2620 Central Park, 535 Oakley, 3300 W.6th		D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See attached Continuation Sheet.	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See attached Continuation Sheet.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Westside Properties
Partner	B.O.S. Properties

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jim Slattery	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE:

H90/0282470

Page 1. of 2.

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James C. Slattery
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. Income			
A.	SOURCE:	TYPE:	AMOUNT:
	Association of American Railroads Received 3-17-88	Honorarium	$500.00
	American Insurance Association, Inc. Received 3-31-88	Honorarium	$500.00
	The Tobacco Institute Received 4-12-88	Honorarium	$1,000.00
	General Aviation Manufacturers Association, Inc. Received 4-19-88	Honorarium	$500.00
	American Council On Education Received 5-13-88	Honorarium	$1,000.00
	The Federal Forum Received 6-24-88	Honorarium	$1,000.00
	American Healthcare Institute Received 6-28-88	Honorarium	$500.00
	Capitol Forum Received 7-12-88	Honorarium	$500.00
	Grocery Manufacturers of America, Inc. Received 8-2-88	Honorarium	$1,000.00
	Comsat Received 11-7-88	Honorarium	$2,000.00
	National Restaurant Association Received 11-30-88	Honorarium	$750.00
	National Rural Electric Cooperative Association Received 12-6-88	Honorarium	$1,000.00
	Verner, Liipfert, Bernhard, McPherson & Hand Received 12-14-88	Honorarium	$2,000.00
B.	SOURCE:	TYPE:	CATEGORY:
	Highland Park Bank	Interest	B
	Sovran Bank	Interest	B
	Kansas Credit Union	Interest	A
	Contracts on real estate, Topeka, Kansas	Interest	A
	Real Estate Investments/Apartments in Topeka, Kansas	Gross Rent Income	E
	Real Estate Investments/Office buildings in Topeka, Kansas	Gross Rent Income	E

Part	IDENTITY:	CATEGORY:
III Holdings:	Personal Savings/Highland Park Bank & Trust, Topeka, Kansas	B
	Personal Savings/Sovran Bank, Virginia	C
	Brock Hotel Corporation Stock	A
	Real Estate, Atchison, Ks.-farm land, approximately 100 acres	D
	Real Estate/Office buildings in Topeka, Kansas: 990 Fairlawn; 3300 W.6th	F
	Real Estate/apartments in Topeka, Kansas: 619 Taylor; 1550 McAlister (exchanged 1/3); 2620 Central Park 2408 Moundview; 535 Oakley; 2113 & 2115 Jewell (exchanged ½); 2309 Moundview; 2405 W.24th; 805 Saline; 29th Street Terrace	F

Part	IDENTITY:		CATEGORY:
V. Liabilities:	Real Estate Mortgage	Ronald Robb	C
	Real Estate Mortgages	Topeka Savings Association	D
	Real Estate Mortgages	Capitol Federal Savings	C
	Real Estate Mortgage	J.C. Sargent Mtg.Co.	B
	Real Estate Mortgage	Peoples Savings & Loan Assn.	C
	Real Estate Loan	Highland Park Bank	F
	Real Estate Loan	Fidelity State Bank	D

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Page 2. of 2.

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James C. Slattery
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII Reimbursements		
	SOURCE:	BRIEF DESCRIPTION:
	Electronic Industries Association	3 nights lodging for Rep. & spouse & associated meals, Captiva Island, Florida. (conference panel participant)

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

89 MAY 15 PM 12: 06

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Daniel French Slaughter, Jr.
(Full Name)

1404 Longworth House Office Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 07 State Virginia

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA ✓

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Veterans Administration (re injuries received during military service in World War II)	Disability Compensation	$6215.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached list		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA ✓

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached list	

8 9 0 7 0 2 9 1 3 0 0

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached statements		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Second National Bank, Culpeper, VA - personal notes	D
Loans on life insurance policies	C
Deed of trust payable to Second National Bank, Culpeper Street property	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
David French Slaughter Jr	5-12-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 2a of 4

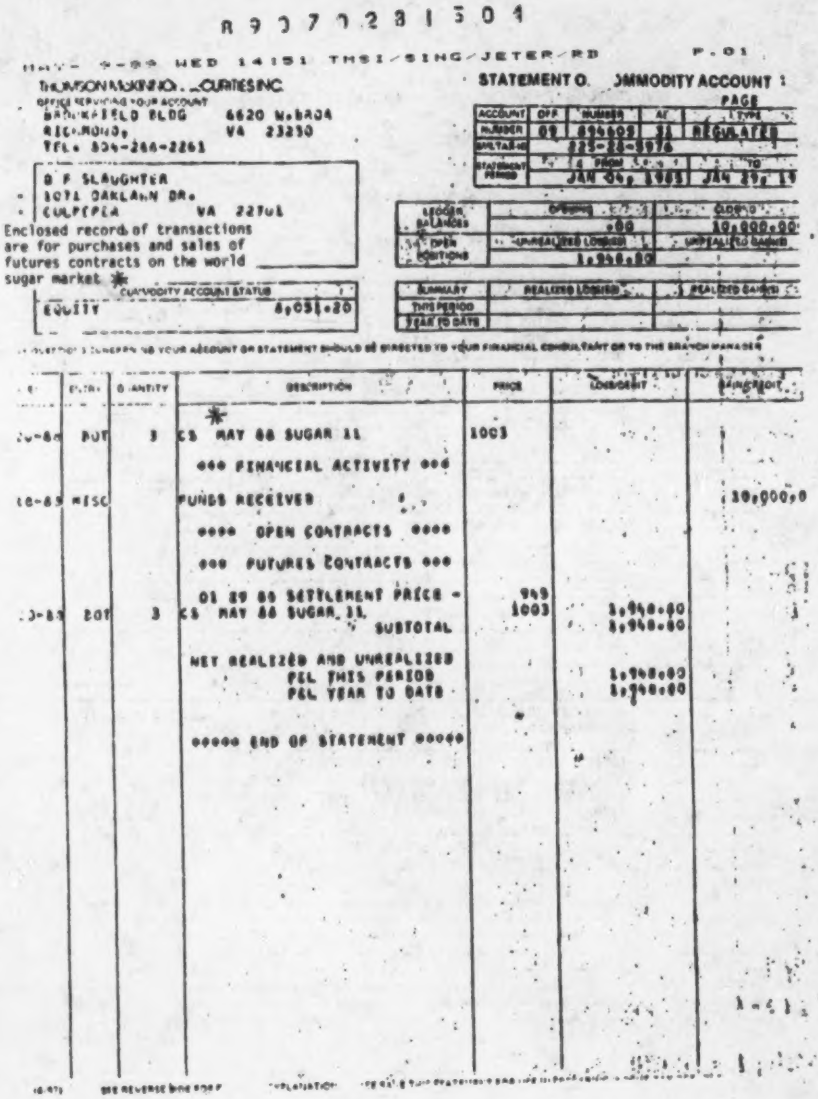
ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Daniel French Slaughter, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II.B. SOURCE	Second National Bank, Culpeper, VA	dividends	B
	Second National Bank, Culpeper, VA	interest	E
	Tullis Trading Company, Inc.	interest	C
	Provident Mutual Life Insurance Co.	interest	A
	Wheat First Securities, Richmond, VA (cash Equivalent Fund)	dividends	A
	Jefferson Savings & Loan Assn. Culpeper, VA	interest	B
	U. S. Steel	interest	A
	Mobil Oil Corporation	dividends	A
	Davenport & Co. (Philips Lamp), Richmond, VA	dividends	A
	Davenport & Co. (Tax-free instruments trust)	dividends	B
	Deed of Trust/Culpeper Street property, Culpeper, VA	interest	A
	Button Office Bldg. 139 W. Davis St., Culpeper, VA	rent	D
	House/lot, Culpeper Street, Culpeper, VA	rent	B
	Real/personal property, Pima County, Arizona	rent	B
III. HOLDINGS	Second National Bank, Culpeper, VA - bank accounts		F
	Second National Bank - common stock, unlisted		D
	40% undivided interest/Button Office Bldg. Culpeper, VA		D
	1/3 undivided interest/House & Lot/Culpeper St., Culpeper, VA		C
	Stotler & Co., Chicago, Illinois - account		C
	Wheat First Securities, Richmond, VA, Cash Equivalent Fund		B
	Jefferson Savings & Loan Assn. Culpeper, VA - accounts		C
	Davenport & Co., Richmond, VA (Tax-free instruments trust)		C
	300 shares, Philips Lamp (common stock, over-the-counter)		B
	Real/Personal property, Pima County, Arizona		D
	Thompson-McKinnon Securities, Inc. - account		C

[illegible]



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THOMSON McKINNON SECURITIES INC.
OFFICE SERVICING YOUR ACCOUNT
BRANKFIELD BLDG 6620 W.BROA
RICHMOND, VA 23230
TEL. 804-266-2261

STATEMENT O. OMMODITY ACCOUNT
PAGE 1

ACCOUNT	OFF	NUMBER	AT	TYPE
NUMBER	09	894609	31	REGULATED
SOC.TAX ID	225-28-5976			
STATEMENT PERIOD	FROM JAN 04, 1988		TO JAN 29, 19	

D F SLAUGHTER
1071 OAKLAWN DR.
CULPEPER VA 22701

Enclosed record of transactions are for purchases and sales of futures contracts on the world sugar market *

LEDGER BALANCES	OPENING	CLOSING
	.00	10,000.00
OPEN POSITIONS	UNREALIZED LOSS(ES)	UNREALIZED GAIN(S)
	1,948.80	

COMMODITY ACCOUNT STATUS	
EQUITY	8,051.20

SUMMARY	REALIZED LOSS(ES)	REALIZED GAIN(S)
THIS PERIOD		
YEAR TO DATE		

QUESTIONS CONCERNING YOUR ACCOUNT OR STATEMENT SHOULD BE DIRECTED TO YOUR FINANCIAL CONSULTANT OR TO THE BRANCH MANAGER

[illegible]	ENTRY	QUANTITY	DESCRIPTION	PRICE	LOSS/DEBIT	GAIN/CREDIT
[illegible]-88	BOT	3	CS MAY 88 SUGAR 11 *	1003		
			*** FINANCIAL ACTIVITY ***			
[illegible]-88	MISC		FUNDS RECEIVED			10,000.0
			**** OPEN CONTRACTS ****			
			*** FUTURES CONTRACTS ***			
			01 29 88 SETTLEMENT PRICE -	949		
[illegible]-88	BOT	3	CS MAY 88 SUGAR 11	1003	1,948.80	
			SUBTOTAL		1,948.80	
			NET REALIZED AND UNREALIZED			
			P&L THIS PERIOD		1,948.80	
			P&L YEAR TO DATE		1,948.80	
			***** END OF STATEMENT *****			

(6-87) SEE REVERSE SIDE FOR EXPLANATION [illegible]

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THOMSON McKINNON SECURITIES INC
OFFICE SERVICING YOUR ACCOUNT
BROOKFIELD BLDG 6620 W.BROA
RICHMOND, VA 23230
TEL. 804-285-2261

D F SLAUGHTER
1071 OAKLAWN DR.
CULPEPER VA 22701

STATEMENT OF COMMODITY ACCOUNT
PAGE 1

ACCOUNT	OFF	NUMBER	AE	TYPE
NUMBER	09	694605	31	REGULATED
SSN TAX-ID	225-28-5976			
STATEMENT PERIOD	FROM	FEB 01, 1988	TO	FEB 29, 19

LEDGER BALANCES	OPENING	CLOSING
	10,000.00CR	5,924.61C

OPEN POSITIONS	UNREALIZED LOSS(ES)	UNREALIZED GAIN(S)

COMMODITY ACCOUNT STATUS

SUMMARY	REALIZED LOSS(ES)	REALIZED GAIN(S)
THIS PERIOD	4,075.39	
YEAR TO DATE	4,075.39	

ANY QUESTIONS CONCERNING YOUR ACCOUNT OR STATEMENT SHOULD BE DIRECTED TO YOUR FINANCIAL CONSULTANT OR TO THE BRANCH MANAGER

DATE	ENTRY	QUANTITY	DESCRIPTION	PRICE	LOSS/DEBIT	GAIN-CREDIT
-01-88	SOLD	3	CS MAY 88 SUGAR 11	890		
-01-88	P&S	3	MAY 88 CS SUGAR 0287		4,073.64	
			*** FINANCIAL ACTIVITY ***			
-01-88	MISC		ACTIVITY SERVICE CHARGE		1.75	
			***** P & L SUMMARY *****			
			FUTURES - THIS PERIOD GAIN			
			LOSS		4,075.39	
			NET		4,075.39	
			FUTURES - YEAR TO DATE GAIN			
			LOSS		4,075.39	
			NET		4,075.39	
			NET REALIZED AND UNREALIZED			
			P&L THIS PERIOD		4,075.39	
			P&L YEAR TO DATE		4,075.39	
			***** END OF STATEMENT *****			

R (6/87) SEE REVERSE SIDE FOR FURTHER EXPLANATION PLEASE SAVE THIS STATEMENT FOR USE IN PREPARING INCOME TAX RETURNS

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THOMSON McKINNO CURITES INC.

OFFICE SERVICING YOUR ACCOUNT
BROCKFIELD BLDG   6620 W.BROA
RICHMOND,   VA 23230
TEL. 804-288-2261

D F SLAUGHTER
1071 OAKLAWN DR.
CULPEPER   VA 22701

STATEMENT O MMODITY ACCOUNT

PAGE 1

ACCOUNT	OFF	NUMBER	AE	TYPE
NUMBER	09	894605	31	ACCULATED
SSN TAX-ID	225-28-5976			

STATEMENT PERIOD	FROM	TO
	MAR 01, 1988	MAR 31, 198

	CONCERNING COMMODITY ACCOUNT STATUS
EQUITY	9,711.81

LEDGER BALANCES	OPENING	CLOSING
	9,924.61CR	9,924.61C

OPEN POSITIONS	UNREALIZED LOSS(ES)	UNREALIZED GAIN(S)
	212.80	

SUMMARY	REALIZED LOSS(ES)	REALIZED GAIN(S)
THIS PERIOD		
YEAR TO DATE	4,075.39	

ANY QUESTIONS CONCERNING YOUR ACCOUNT OR STATEMENT SHOULD BE DIRECTED TO YOUR FINANCIAL CONSULTANT OR TO THE BRANCH MANAGER

ATE	ENTRY	QUANTITY	DESCRIPTION	PRICE	LOSS-DEBIT	GAIN CREDIT
:8-88	BOT	1	CS JLY 88 SUGAR 11	885		
19-88	BOT	1	CS JLY 88 SUGAR 11	896		
			**** OPEN CONTRACTS ****			
			*** FUTURES CONTRACTS ***			
			03 31 88 SETTLEMENT PRICE -	860		
28-88	BOT	1	CS JLY 88 SUGAR 11	885	56.00	
29-88	BOT	1	CS JLY 88 SUGAR 11	894	156.80	
			SUBTOTAL		212.80	
			***** P & L SUMMARY *****			
			FUTURES - YEAR TO DATE GAIN			
			LOSS		4,075.39	
			NET		4,075.39	
			NET REALIZED AND UNREALIZED			
			P&L THIS PERIOD		212.80	
			P&L YEAR TO DATE		4,288.19	
			***** END OF STATEMENT *****			

(8/87) SEE REVERSE SIDE FOR FURTHER EXPLANATION.PLEASE SAVE THIS STATEMENT FOR USE IN PREPARING INCOME TAX RETURNS

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THOMSON McKINNON SECURITIES INC.
OFFICE SERVICING YOUR ACCOUNT
BROOKFIELD BLDG   6620 W.BROA
RICHMOND,   VA 23230
TEL. 804-288-2261

STATEMENT OF COMMODITY ACCOUNT

PAGE

ACCOUNT NUMBER	OFF	NUMBER	AE	TYPE
	09	894609	31	REGULATED
SSN/TAX-ID	225-28-5976			

STATEMENT PERIOD	FROM	TO
	APR 04, 1988	APR 29, 19

D F SLAUGHTER
1071 OAKLAWN DR.
CULPEPER   VA 22701

	OPENING	CLOSING
LEDGER BALANCES	5,924.61CR	4,853.5
	UNREALIZED LOSS(ES)	UNREALIZED GAIN(S)
OPEN POSITIONS		

COMMODITY ACCOUNT STATUS
[redacted]

SUMMARY	REALIZED LOSS(ES)	REALIZED GAIN(S)
THIS PERIOD	1,071.11	
YEAR TO DATE	[redacted]	[redacted]

ANY QUESTIONS CONCERNING YOUR ACCOUNT OR STATEMENT SHOULD BE DIRECTED TO YOUR FINANCIAL CONSULTANT OR TO THE BRANCH MANAGER

DATE	ENTRY	QUANTITY	DESCRIPTION	PRICE	LOSS-DEBIT	GAIN-CREDIT
4-04-88	SOLD	2	CS JLY 88 SUGAR 11	850		
4-04-88	P&S	2	JUL 88 CS SUGAR 0101		1,069.36	
			*** FINANCIAL ACTIVITY ***			
4-04-88	MISC		ACTIVITY SERVICE CHARGE		1.75	
			***** P & L SUMMARY *****			
			FUTURES - THIS PERIOD GAIN			
			LOSS		1,071.11	
			NET		1,071.11	
			FUTURES - YEAR TO DATE GAIN			
			LOSS		5,146.50	
			NET		5,146.50	
			NET REALIZED AND UNREALIZED			
			P&L THIS PERIOD		1,071.11	
			P&L YEAR TO DATE		5,146.50	
			***** END OF STATEMENT *****			

219 (6-87)   SEE REVERSE SIDE FOR FURTHER EXPLANATION-PLEASE SAVE THIS STATEMENT FOR USE IN PREPARING INCOME TAX RETURNS

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THOMSON McKINNO SECURITIES INC.

STATEMENT OF COMMODITY ACCOUNT

PAGE 1

OFFICE SERVICING YOUR ACCOUNT
BROOKFIELD BLDG 6620 W.BROA
RICHMOND, VA 23230
TEL. 804-288-2261

ACCOUNT NUMBER	OFF	NUMBER	AE	TYPE
	09	894605	31	REGULATED
SEC/TAX ID	225-28-5976			
STATEMENT PERIOD	FROM		TO	
	MAY 02, 1988		MAY 31, 198	

B F SLAUGHTER
1071 OAKLAWN DR.
CULPEPER VA 22701

LEDGER BALANCES	OPENING	CLOSING
	4,853.50CR	4,653.50
OPEN POSITIONS	UNREALIZED LOSS(ES)	UNREALIZED GAIN(S)
	22.40	

COMMODITY ACCOUNT STATUS
EQUITY [redacted]

YEAR TO DATE	5,146.50

ANY QUESTIONS CONCERNING YOUR ACCOUNT OR STATEMENT SHOULD BE DIRECTED TO YOUR FINANCIAL CONSULTANT OR TO THE BRANCH MANAGER

ATE	ENTRY	QUANTITY	DESCRIPTION	PRICE	LOSS/DEBIT	GAIN CREDIT
24-88	BOT	1	CS OCT 88 SUGAR 11	947		
			**** OPEN CONTRACTS ****			
			*** FUTURES CONTRACTS ***			
			05 31 88 SETTLEMENT PRICE -	945		
24-88	BOT	1	CS OCT 88 SUGAR 11	947	22.40	
			SUBTOTAL		22.40	
			***** P & L SUMMARY *****			
			FUTURES - YEAR TO DATE GAIN			
			LOSS		5,146.50	
			NET		5,146.50	
			NET REALIZED AND UNREALIZED			
			P&L THIS PERIOD		22.40	
			P&L YEAR TO DATE		5,168.90	
			***** END OF STATEMENT *****			

SEE REVERSE SIDE FOR FURTHER EXPLANATION [illegible]

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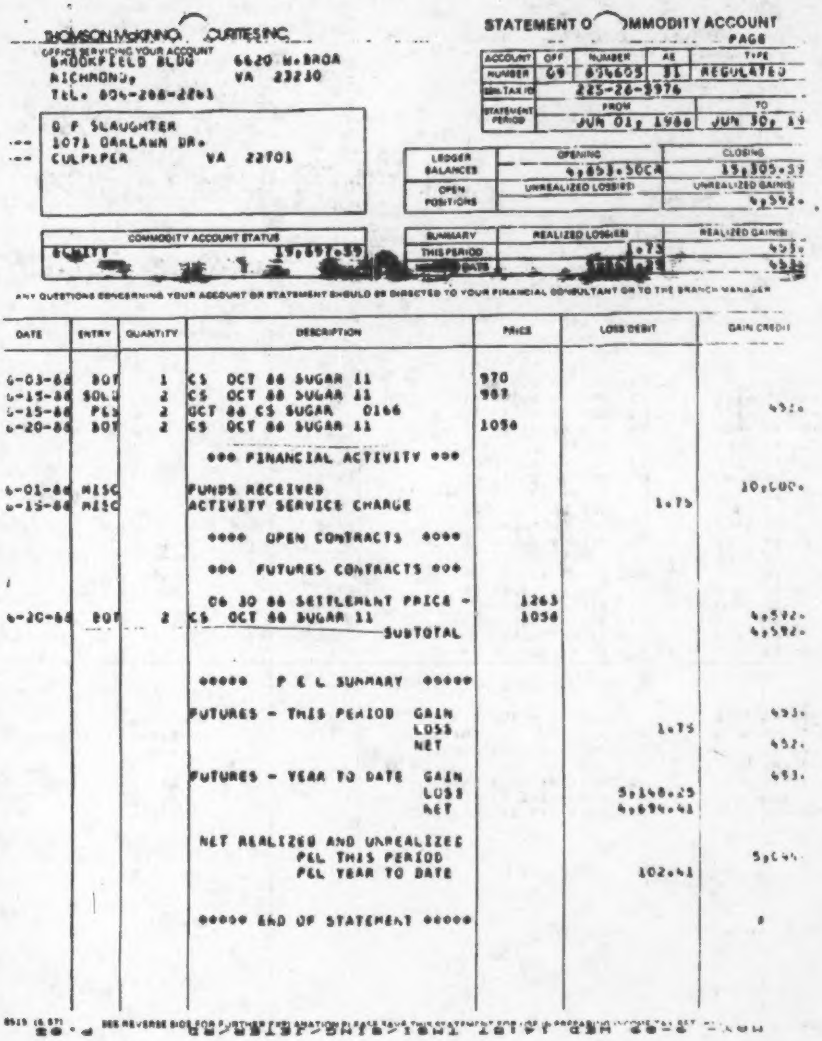

THOMSON McKINNO CURITIES INC.

OFFICE SERVICING YOUR ACCOUNT
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RICHMOND, VA 23230
TEL. 804-288-2261

STATEMENT O MMODITY ACCOUNT
PAGE

ACCOUNT NUMBER	OFF	NUMBER	AE	TYPE
	69	836605	31	REGULATED
SSN/TAX ID	225-26-3976			
STATEMENT PERIOD	FROM	JUN 01, 1988	TO	JUN 30, 19

D F SLAUGHTER
1071 OAKLAWN DR.
CULPEPER VA 22701

LEDGER BALANCES	OPENING	CLOSING
	4,853.50CR	15,305.39
OPEN POSITIONS	UNREALIZED LOSS(ES)	UNREALIZED GAIN(S)
		4,592.

COMMODITY ACCOUNT STATUS	
EQUITY	19,897.39

SUMMARY	REALIZED LOSS(ES)	REALIZED GAIN(S)
THIS PERIOD	1.75	453.
[illegible] DATE	[illegible]	453.

ANY QUESTIONS CONCERNING YOUR ACCOUNT OR STATEMENT SHOULD BE DIRECTED TO YOUR FINANCIAL CONSULTANT OR TO THE BRANCH MANAGER

DATE	ENTRY	QUANTITY	DESCRIPTION	PRICE	LOSS DEBIT	GAIN CREDIT
6-03-88	BOT	1	CS OCT 88 SUGAR 11	970		
6-15-88	SOLD	2	CS OCT 88 SUGAR 11	987		
6-15-88	P&S	2	OCT 88 CS SUGAR 0166			453.
6-20-88	BOT	2	CS OCT 88 SUGAR 11	1056		
			*** FINANCIAL ACTIVITY ***			
6-01-88	MISC		FUNDS RECEIVED			10,000.
6-15-88	MISC		ACTIVITY SERVICE CHARGE		1.75	
			**** OPEN CONTRACTS ****			
			*** FUTURES CONTRACTS ***			
			06 30 88 SETTLEMENT PRICE -	1263		
6-20-88	BOT	2	CS OCT 88 SUGAR 11	1056		4,592.
			SUBTOTAL			4,592.
			***** P & L SUMMARY *****			
			FUTURES - THIS PERIOD GAIN			453.
			LOSS		1.75	
			NET			452.
			FUTURES - YEAR TO DATE GAIN			453.
			LOSS		5,148.25	
			NET		4,694.41	
			NET REALIZED AND UNREALIZED			
			P&L THIS PERIOD			5,044.
			P&L YEAR TO DATE		102.41	
			***** END OF STATEMENT *****			

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THOMSON McKINNON SECURITIES INC.

STATEMENT OF COMMODITY ACCOUNT

PAGE 1

OFFICE SERVICING YOUR ACCOUNT
BROOKFIELD BLDG 6620 W. BROA
RICHMOND, VA 23230
TEL. 804-288-2261

703-825-3606

	OFF	NUMBER	AE	TYPE
ACCOUNT NUMBER	09	894605	31	REGULATED
SSN/TAX ID	225-28-597[illegible]			
STATEMENT PERIOD	FROM JUL 01, 1988		TO JUL 29, 198	

D F SLAUGHTER
1071 OAKLAWN DR.
CULPEPER VA 22701

	OPENING	CLOSING
LEDGER BALANCES	15,305.59CR	17,[illegible]
OPEN POSITIONS	UNREALIZED LOSS(ES)	UNREALIZED GAIN(S)

COMMODITY ACCOUNT STATUS

[redacted]

SUMMARY	REALIZED LOSS(ES)	REALIZED GAIN(S)
THIS PERIOD	[illegible]	[illegible]
[redacted]	[redacted]	[illegible]

ANY QUESTIONS CONCERNING YOUR ACCOUNT OR STATEMENT SHOULD BE DIRECTED TO YOUR FINANCIAL CONSULTANT OR TO THE BRANCH MANAGER

DATE	ENTRY	QUANTITY	DESCRIPTION	PRICE	LOSS/DEBIT	GAIN CREDIT
-07-88	BOT	2	CS OCT 88 SUGAR 11	1361		
-19-88	BOT	2	CS OCT 88 SUGAR 11	1452		
-19-88	BOT	2	CS OCT 88 SUGAR 11	1513		
-19-88	SOLD	2	CS OCT 88 SUGAR 11	1525		
-19-88	PES	2	OCT 88 CS SUGAR DT0276			1,530.0
-20-88	BOT	2	CX SEP 88 COPPER	9910		
-20-88	SOLD	2	CS OCT 88 SUGAR 11	1475		
-20-88	PES	2	OCT 88 CS SUGAR 0364			9,136.0
-21-88	SOLD	2	CS OCT 88 SUGAR 11	1335		
-21-88	SOLD	2	CS OCT 88 SUGAR 11	1345		
-21-88	SOLD	2	CS OCT 88 SUGAR 11	1345	CXL TRADE	
-21-88	PES	2	OCT 88 CS SUGAR 0206		542.88	
-22-88	PES	2	OCT 88 CS SUGAR 0208		766.88	
-26-88	SOLD	2	CS OCT 88 SUGAR 11	1280		
-26-88	SOLD	1	CX SEP 88 COPPER	9480		
-26-88	SOLD	1	CX SEP 88 COPPER	9490		
-26-88	PES	2	OCT 88 CS SUGAR 0179		5,403.60	
-26-88	PES	2	SEP 88 CX COP 0180		2,304.48	
			*** FINANCIAL ACTIVITY ***			
-19-88	MISC		ACTIVITY SERVICE CHARGE		1.75	
-20-88	MISC		ACTIVITY SERVICE CHARGE		1.75	
-21-88	MISC		ACTIVITY SERVICE CHARGE		1.75	
-21-88	MISC		CXL ACT SVC CHGE 88 07 21			1.7
-22-88	MISC		ACTIVITY SERVICE CHARGE		1.75	
-22-88	MISC		CXL PES 00206 07-21 CS SUGAR			54[illegible]
-26-88	MISC		ACTIVITY SERVICE CHARGE		1.75	

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THOMSON McKINNON SECURITIES INC.
OFFICE SERVICING YOUR ACCOUNT

STATEMENT OF COMMODITY ACCOUNT

PAGE

ACCOUNT	OFF	NUMBER	AE	TYPE
NUMBER	09	894605	11	REGULATED
SSN/TAX ID	225-28-5976			

STATEMENT PERIOD	FROM	TO
	JUL 01, 1988	JUL 29, 19

D F SLAUGHTER
1071 OAKLAWN DR.
CULPEPER VA 22701

LEDGER BALANCES	OPENING	CLOSING
OPEN POSITIONS	UNREALIZED LOSS(ES)	UNREALIZED GAIN(S)

COMMODITY ACCOUNT STATUS

SUMMARY	REALIZED LOSS(ES)	REALIZED GAIN(S)
THIS PERIOD		
YEAR TO DATE		

ANY QUESTIONS CONCERNING YOUR ACCOUNT OR STATEMENT SHOULD BE DIRECTED TO YOUR FINANCIAL CONSULTANT OR TO THE BRANCH MANAGER

DATE	ENTRY	QUANTITY	DESCRIPTION	PRICE	LOSS/DEBIT	GAIN CREDIT
			***** P & L SUMMARY *****			
			FUTURES - THIS PERIOD GAIN			10,661.
			LOSS		8,486.04	
			NET			2,175.
			FUTURES - YEAR TO DATE GAIN			11,114.
			LOSS		13,634.29	
			NET		2,519.41	
			NET REALIZED AND UNREALIZED			
			P&L THIS PERIOD			2,175.
			P&L YEAR TO DATE		2,519.41	
			***** END OF STATEMENT *****			

3 (6.87) SEE REVERSE SIDE FOR FURTHER EXPLANATION-PLEASE SAVE THIS STATEMENT FOR USE IN PREPARING INCOME TAX RETURNS

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Stotler and Company
Board of Trade Building 1800A
Chicago, Illinois 60604
(312) 987-2700

SUBJECT TO TERMS AND CONDITIONS ON REVERSE SIDE

2 Stotler and Company

MONTHLY COMMODITY STATEMENT
ACTIVITY AND OPEN POSITIONS

D FRENCH SLAUGHTER JR
130 W DAVIS ST
CULPEPER, VA 22701

JAN 29, 1988

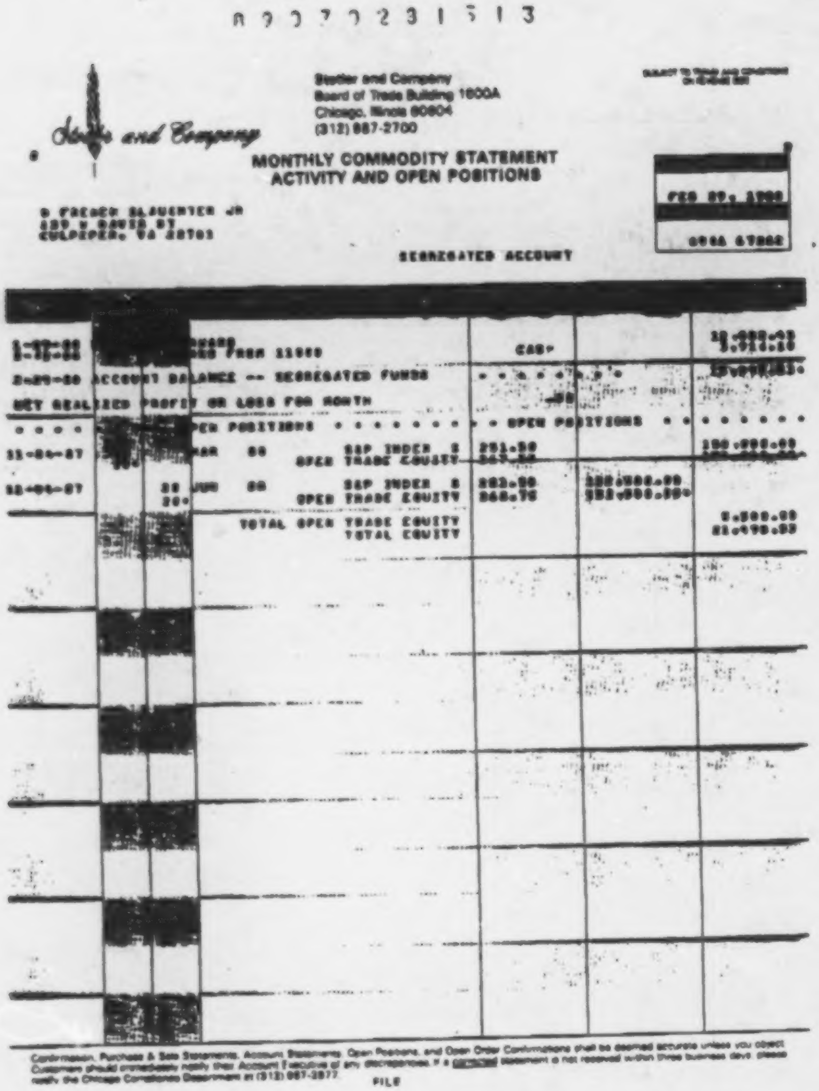
690A 67262

SEGREGATED ACCOUNT

12-31-87			[illegible]WARD			[illegible]
1-29-88	ACCOU[illegible] BALANCE -- SEGREGATED FUNDS					[illegible]
NET REAL[illegible]ZED PROFIT OR LOSS FOR MONTH				.00		
* * * * * OPEN POSITIONS * * * * * * * * OPEN POSITIONS * * * * * * * *						
11-04-87	[illegible]	[illegible]	MAR 88 S&P INDEX [illegible] OPEN TRADE EQUITY	[illegible]		[illegible]
11-04-87		20 [illegible]	JUN 88 S&P INDEX [illegible] OPEN TRADE EQUITY	[illegible]	[illegible]	
			TOTAL OPEN TRADE EQUITY TOTAL EQUITY			[illegible] [illegible]

Confirmation, Purchase & Sale Statements, Account Statements, Open Positions, and Open Order Confirmations shall be deemed accurate unless you object. Customers should immediately notify their Account Executive of any discrepancies. If a corrected statement is not received within three business days, please notify the Chicago Compliance Department at (312) 987-3877.

FILE



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Stotler and Company
Board of Trade Building 1600A
Chicago, Illinois 60604
(312) 987-2700

Stotler and Company

SUBJECT TO TERMS AND CONDITIONS ON REVERSE SIDE

MONTHLY COMMODITY STATEMENT
ACTIVITY AND OPEN POSITIONS

FEB 29, 1988

D FRENCH SLAUGHTER JR
[illegible] DAVIS ST
CULPEPER, VA 22701

[illegible]

SEGREGATED ACCOUNT

1-[illegible]-88 2-[illegible]-88	[illegible]	[illegible]	[illegible] [illegible] FROM 11980	CASH		[illegible] [illegible]
2-29-88	ACCOUNT BALANCE -- SEGREGATED FUNDS					[illegible]
NET REALIZED PROFIT OR LOSS FOR MONTH					.00	
* * * *			OPEN POSITIONS * * * * * * * *	* * OPEN POSITIONS		* * * * * * * *
11-04-87	[illegible]	[illegible]	MAR 88 S&P INDEX 5 OPEN TRADE EQUITY	251.50 [illegible]		100,000.00 [illegible]
12-04-87		20 20*	JUN 88 S&P INDEX 5 OPEN TRADE EQUITY	283.00 268.70	100,000.00 [illegible]*	
			TOTAL OPEN TRADE EQUITY TOTAL EQUITY			[illegible] [illegible]

Confirmation, Purchase & Sale Statements, Account Statements, Open Positions, and Open Order Confirmations shall be deemed accurate unless you object. Customers should immediately notify their Account Executive of any discrepancies. If a corrected statement is not received within three business days, please notify the Chicago Corrections Department at (312) 987-2977.

FILE

Stotler and Company

Stotler and Company
Board of Trade Building 1600A
Chicago, Illinois 60604
(312) 987-2700

SUBJECT TO TERMS AND CONDITIONS ON REVERSE SIDE

COMBINED COMMODITY STATEMENT
CONFIRMATION-PURCHASE & SALE-OPEN TRADES

MAR 31, 1988

690A 67262

D FRENCH SLAUGHTER JR
[illegible] DAVIS ST
CULPEPER, VA. 22701

PAGE 1

SEGREGATED ACCOUNT						
3/01/88	BALANCE FORWARD					15,[illegible].93
3/14/88	3	3	MAR88 SEP 500	31025	PCS	[illegible]
[illegible]	3	3	JUN88 SEP 50C	160501	PCS	19,[illegible]
[illegible]	10	10	MAR88 SEP 500	31025	PCS	[illegible].20
[illegible]	10	10	JUN88 SEP 500	171528	PCS	72,[illegible]
[illegible]	7	7	MAR88 SEP 500	31025	PCS	[illegible].04
3/17/88	7	7	JUN88 SEP 500	191447	PCS	62,[illegible]-
3/31/88	ACCOUNT BALANCE -US $ REG FUNDS					20,262.33*
ET REALIZED PROFIT OR LOSS FOR MONTH						4,263.80*

Confirmation, Purchase & Sale Statements, Account Statements, Open Positions and Open Order Confirmations shall be deemed accurate unless you object. Customers should immediately notify their Account Executive of any discrepancies. If a corrected statement is not received within three business days, please notify the Chicago Compliance Department at (312) [illegible].

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Stotler and Company
200 West Adams, Suite 2400
Chicago, Illinois 60606
(312) 704-9000

SUBJECT TO TERMS AND CONDITIONS ON REVERSE SIDE

Stotler and Company

COMBINED COMMODITY STATEMENT
CONFIRMATION-PURCHASE & SALE-OPEN TRADES

MAY 31, 1990

[illegible] 67486

[illegible]
[illegible]
[illegible]

PAGE 1

SEGREGATED ACCOUNT						
5/22/90	BALANCE FORWARD					20,262.33
5/31/90	ACCOUNT BALANCE-CASH & NEW FUNDS					20,262.33
NET REALIZED PROFIT OR LOSS FOR MONTH						.00
- - - - O P E N P O S I T I O N S - - - -						
5/23/90	20		JUNE 90 S&P 500	[illegible]	[illegible]	99,300.00
			FUTURES OPEN TRADE EQUITY			99,300.00
			SETTLEMENT PRICE		[illegible]	
5/24/90		20	JUNE 90 S&P 500	[illegible]	[illegible]	98,300.00-
			FUTURES OPEN TRADE EQUITY			98,300.00-
			SETTLEMENT PRICE		[illegible]	
			TOTAL FUTURES OPEN TRADE EQUITY			1,000.00
			TOTAL EQUITY			21,262.33
			TOTAL LONG OPTION MARKET VALUE			.00
			TOTAL SHORT OPTION MARKET VALUE			.00
			NET LIQUIDATING			21,262.33

Confirmation, Purchase & Sale Statements, Account Statements, Open Positions, and Open Order Confirmations shall be deemed accurate unless you object. Customer should immediately notify their Account Executive of any discrepancies. If a corrected statement is not received within three business days, please notify the Chicag

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Stotler and Company

Stotler and Company
Board of Trade Building 1600A
Chicago, Illinois 60604
(312) 987-2700

SUBJECT TO TERMS AND CONDITIONS ON REVERSE SIDE

COMBINED COMMODITY STATEMENT
CONFIRMATION-PURCHASE & SALE-OPEN TRADES

JUN 30, 1986

[illegible]

C FRENCH SLAUGHTER JR
137 W DAVIS ST
CULPEPER, VA. 22701

PAGE 1

SEGREGATED ACCOUNT						
6/01/86	BALANCE FORWARD					20,262.33
6/13/86	20	20	JUN86 S&P 500	[illegible]	[illegible]	209,399.40
6/13/86	20	20	SEP86 S&P 500	[illegible]	[illegible]	213,103.60-
6/30/86	ACCOUNT BALANCE - FUNDS					16,551.13
NET REALIZED PROFIT OR LOSS FOR MONTH						3,711.20-

Confirmation, Purchase & Sale Statements, Account Statements, Open Positions, and Open Order Confirmations shall be deemed accurate unless you object. Customers should immediately notify their Account Executive of any discrepancies. If a corrected statement is not received within three business days, please notify the Chicago Compliance Department at (312) 987-2677.

R 9 3 7 7 2 9 1 5 1 7

Stotler and Company

Stotler and Company
200 West Adams, Suite 2400
Chicago, Illinois 60606
(312) 704-8000

SUBJECT TO TERMS AND CONDITIONS ON REVERSE SIDE

COMBINED COMMODITY STATEMENT
CONFIRMATION-PURCHASE & SALE-OPEN TRADES

SEP 30, 1988

09LA 07262

D FRENCH SLAUGHTER JR
139 W DAVIS ST
CULPEPER, VA. 22701

PAGE 1

SEGREGATED ACCOUNT	
9/01/88 BALANCE FORWARD	16,551.13
9/30/88 ACCOUNT BALANCE-US $ REG FUNDS	16,551.13*
NET REALIZED PROFIT OR LOSS FOR MONTH	.00*

Confirmation, Purchase & Sale Statements, Account Statements, Open Positions, and Open Order Confirmations shall be deemed accurate unless you object. Customer should immediately notify their Account Executive of any discrepancies. If a corrected statement is not received within three business days, please notify the Chicago

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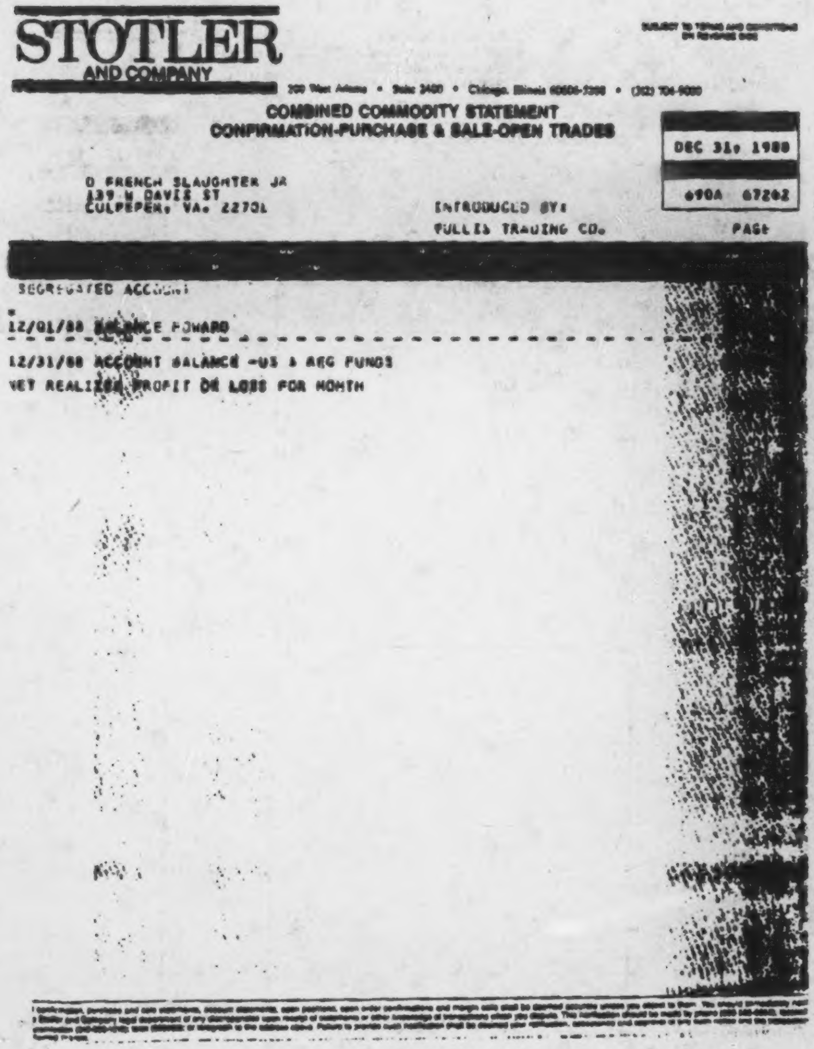

STOTLER AND COMPANY

SUBJECT TO TERMS AND CONDITIONS ON REVERSE SIDE

200 West Adams • Suite 2400 • Chicago, Illinois 60606-5200 • (312) 704-9000

COMBINED COMMODITY STATEMENT
CONFIRMATION-PURCHASE & SALE-OPEN TRADES

DEC 31, 1988

690A 67202

D FRENCH SLAUGHTER JR
139 W DAVIS ST
CULPEPER, VA. 22701

INTRODUCED BY:
TULLIS TRADING CO.

PAGE

SEGREGATED ACCOUNT

12/01/88 BALANCE FOWARD

12/31/88 ACCOUNT BALANCE -US & REG FUNDS
NET REALIZED PROFIT OR LOSS FOR MONTH

[redacted]

HAND DELIVERED

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MAY 23 30

7th District, Virginia

Committees:
Judiciary
Science, Space, and Technology
Small Business

Washington Office:
318 Cannon House Office Building
Telephone: (202) 225-6561

Congress of the United States
House of Representatives
Washington, DC 20515

Please Reply To:
Office of the 7th District
☐ 100 Court Square Annex
(P.O. Box 136)
Charlottesville, VA 22902
Telephone: (804) 295-2106

☐ 110 South West Street
(P.O. Box 1076)
Culpeper, VA 22701
(703) 825-3495

☐ Suite 203, 904 Princess Anne Street
(P.O. Box 330)
Fredericksburg, VA 22404
Telephone: (703) 373-0636

☐ 112 North Cameron Street
(P.O. Box 714)
Winchester, VA 22601
Telephone: (703) 667-0990

May 22, 1989

✓

MC

1989 MAY 23 AM 10 39

Clerk
U. S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D. C. 20515

Dear Sir:

With reference to the letter of May 17, 1989, from the Committee on Standards of Official Conduct, I submit the following information:

Part III.3 - Identity of Category Not Reported -

Holdings in Mobil Oil Corporation and U. S. Steel should have been listed in category A for each of the said stocks.

Sincerely,

D. French Slaughter, Jr.

DFSJr/jc

cc: Committee on Standards of Official Conduct

89771232 [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

LOUISE M. SLAUGHTER
(Full Name)

14 MANOR HILL DRIVE
(Mailing Address)

FAIRPORT, NEW YORK 14450

MC (Office Use Only)

[illegible] 15 [illegible] 29

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 30 State NY

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Eastman Kodak Company (Spouse)	salary		
New York Telephone Association	honoraria	$1000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Oppenheimer Special Fund	stock	A
Proctor and Gamble	stock	A
Clorox	stock	A
Primerica	stock	A
Fidelity Daily Income Trust	stock	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Proctor & Gamble	B
Primerica	A
Fidelity Daily Income Trust (Money Market Savings)	C
Oppenheimer Special Trust	A
Clorox	A
Vanguard Trustee's Comingled Equity (IRA)	A
Fidelity Freedom Fund (IRA)	B
Mutual Shares (IRA)	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME: any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
American Nurses Association	travel to Kentucky, food & lodging
Business and Professional Women	travel to Kentucky, food & lodging
American Bar Association	travel to Toronto and food
New York Stock Exchange	travel to NYC and food

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Louise M. Slaughter	MAY 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

890/1240/05

WHIP-AT-LARGE
COMMITTEE ON PUBLIC WORKS & TRANSPORTATION
COMMITTEE ON GOVERNMENT OPERATIONS
SELECT COMMITTEE ON AGING

Congress of the United States
House of Representatives
Washington, D.C. 20515

LOUISE M. SLAUGHTER
30TH DISTRICT, NEW YORK

1707 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, D.C. 20515
202/225-3615

DISTRICT OFFICES:
311 FEDERAL BUILDING
100 STATE STREET
ROCHESTER, NEW YORK 14614
716/232-4850
TTY 716/454-4895

216 EAST MAIN STREET
BATAVIA, NEW YORK 14020
716/343-2524

HAND DELIVERED

May 16, 1989

17 10:56

Mr. Donnald K. Anderson
Clerk of the House of Representatives
1036 Longworth House Office Building
Washington, D.C. 20515

✓
MC

Dear Mr. Anderson:

I am writing this letter as an amendment to my financial disclosure of May 15, 1989. I reported accepting a $1000 honorarium from New York Telephone Association in 1988 and that is incorrect. That income was received in 1989 and will be listed on the 1989 financial disclosure which will be filed next year.

I apologize for any inconvenience this causes. Please feel free to contact me if there are any questions concerning this amendment to the 1988 disclosure.

Sincerely,

Louise Slaughter
Louise M. Slaughter
Member of Congress

LMS:err

39070212416

HAND DELIVERED

COMMITTEE ON PUBLIC WORKS & TRANSPORTATION
COMMITTEE ON GOVERNMENT OPERATIONS
SELECT COMMITTEE ON AGING

Congress of the United States
House of Representatives
Washington, D.C. 20515

LOUISE M. SLAUGHTER
30TH DISTRICT, NEW YORK

1707 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, D.C. 20515
[illegible]

DISTRICT OFFICES:
311 FEDERAL BUILDING
100 STATE STREET
ROCHESTER, NEW YORK 14614
716/232-[illegible]
TTY 716-[illegible]
218 EAST MAIN STREET
BATAVIA, NEW [illegible]
716/343-[illegible]

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OFFICE OF RECORDS & REGISTRATION
1989 JUN -7 PM 3:58
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

✓ MC

June 6, 1989

Donnald K. Anderson
Clerk of the House of Representatives
1036 Longworth House Office Building
Washington, D.C. 20515

Dear Mr. Anderson:

I am writing to clarify my financial disclosure filed on May 15, 1989.

The spouse and dependent disclosure box on page 1, Part 1 should be checked no. I did nor receive any honoraria during 1988, as indicated in the amendment filed May 16, 1989. The trust holding box on page 2, Part 3 should also be checked no.

The reimbursements I received during 1988 were as follows: The American Nurses Association reimbursed my travel, food and lodging expenses for a trip taken on June 12-13, 1988. The Business and Professional Women reimbursed expenses for travel to Kentucky, food and lodging on November 12-13, 1988. The American Bar Association reimbursed expenses for travel to Toronto and food on August 6, 1988. The New York Stock Exchange reimbursed expenses for my travel to New York City and food on August 25-26, 1988.

If there are any questions concerning this information, please feel free to contact me. Thank you for your assistance in this matter.

Sincerely,

Louise Slaughter

Louise M. Slaughter
Member of Congress

LMS:err

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Christopher Henry Smith
(Full Name)

2440 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

MAY 10 PM 2 08

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 4 State New Jersey

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA XX

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
None			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA __XX__

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Melissa Smith - Certificate Accrual on Treasury Securities	B
Chris Smith - Certificate Accrual on Treasury Securities	B
Michael Smith - Certificate Accrual on Treasury Securities	B
Elyse Smith - Certificate Accrual on Treasury Securities	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Former Members of Congress Association	Trip to Amsterdam/Airfare and lodging for International Human Rights Conference

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	Americans United for Life Legal Defense Fund

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Christopher H Smith	May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

89 MAY 15 PM 2: 26

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Congressman Denny Smith
(Full Name)

1213 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

HC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State Oregon

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 7.

8 9 7 7 7 2 3 2 1 3 5

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attachment (Page 1 of 1)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Aircraft Rental/Lease	Rent	F
Auto Lease	Lease	C
Eagle Newspapers Pfd Stock	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
2 Beech Aircraft	E
70 Shares (Pfd) Eagle Newspapers, Inc.	B
1986 Buick Park Avenue	B

8 9 0 7 0 2 8 2 1 8 7

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A	N/A	N/A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
U.S. National Bank of Oregon - Aircraft Loan	C
U.S. Bancorp Leasing - Financial - Aircraft Loan	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N/A	N/A

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A	N/A	N/A

8 9 9 7 0 2 3 2 1 8 9

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attachment (Page 2 of 2)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman, Director	Eagle Newspapers Inc.
Trustee	Elmo Smith Estate
Trustee	Diane F. Barrett Estate

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
Dec 1980	Eagle Newspapers Inc.	Leave of Absence, Group Life
Dec 1979	Pan American World Airways, Inc.	Leave of Absence

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Denny Smith	10 MAY 89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Cong Denny Smith
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II	GA Technologies, Inc. Honorarium - $1,000.00	Rec'd 4 Jan 88
	McDonnell Douglas Honorarium - $1,000.00	Rec'd 5 Jan 88
	Lockheed California Co. Honorarium - $1,000.00	Rec'd 5 Jan 88
	Rockwell International Honorarium - $1,000.00	Rec'd 6 Jan 88
	Northrop Honorarium - $2,000.00	Rec'd 6 Jan 88
	Associated Equipment Distributors Honorarium - $750.00	Rec'd 11 Jan 88
	Western Association Services, Inc. Honorarium - $500.00	Rec'd 24 Jan 88
	Hazeltine Corporation Honorarium - $1,000.00	Rec'd 26 Feb 88
	Anheuser-Busch Companies, Inc. Honorarium - $1,000.00	Rec'd 29 Feb 88
	The Greenbrier Companies Honorarium - $1,000.00	Rec'd 18 Mar 88
	Terre Haute Postal Center Honorarium - $1,000.00	Rec'd 24 Mar 88
	Hughes Aircraft Company Honorarium - $2,000.00	Rec'd 28 Mar 88
	Associated Specialty Contractors, Inc. Honorarium - $500.00	Rec'd 25 Apr 88
	National Coal Association Honorarium - $500.00	Rec'd 27 Apr 88
	American Defense Preparedness Association Honorarium - $1,000.00	Rec'd 28 Apr 88
	Morton Thiokol, Inc. Honorarium - $1,000.00	Rec'd 6 May 88
	Dow Jones & Co., Inc. Honorarium - $100.00	Rec'd 4 Jul 88
	Second Opinion Publications, L.P. Honorarium - $150.00	Rec'd 11 Jul 88
	The Washington Post Honorarium - $100.00	Rec'd 31 Jul 88
	Standard Oil Production Co. Honorarium - $2,000.00	Rec'd 17 Aug 88
	Distilled Spirits Council of the United States Honorarium - $1,000.00	Rec'd 8 Sep 88
	AT&T Honorarium - $1,000.00	Rec'd 16 Sep 88
	Shipbuilders Council of America Honorarium - $1,000.00	Rec'd 10 Sep 88
	Association of American Railroads Honorarium - $500.00	Rec'd 7 Oct 88
	Colt Industries, Inc. Honorarium - $1,500.00	Rec'd 11 Oct 88
	Louisiana-Pacific Corporation Honorarium - $1,000.00	Rec'd 25 Oct 88
	General Aviation Manufacturers Association Honorarium - $1,000.00	Rec'd 3 Dec 88
	E-Prime Aerospace Corporation Honorarium - $2,000.00*	Rec'd 13 Dec 88
	TOTAL HONORARIA: $27,600.00	

*Charities: $250.00 to Campus Crusade for Christ, $200.00 to Columbia Baptist Church, $200.00 to Bethel Baptist Church, & $100.00 to the Salvation Army.

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Cong Denny Smith
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII	4 Jan, GA Technologies, Inc.	Transportation
	5 Jan, McDonnell Douglas	Transportation, Food
	5 Jan, Lockheed California Co.	Lodging
	6 Jan, Northrop	Transportation, Food
	6 Jan, Rockwell International	Transportation, Food
	26 Feb, Hazeltine Corporation	Transportation, Food
	24 Mar, Terre Haute Postal Center	Transportation, Food
	28 Mar, Hughes Aircraft Company	Transportation, Food, Lodging
	6 May, Morton Thiokol, Inc.	Transportation, Food, Lodging
	17 Aug, Standard Oil Production Co.	Transportation, Food
	10 Sep, Shipbuilders Council of America	Transportation, Food, Lodging
	11 Oct, Colt Industries, Inc.	Transportation, Food, Lodging
	3-4 Dec, General Aviation Manufacturers Association	
		Transportation, Food, Lodging
	8 Dec, United Nations Association of the United States, NY Seminar	
		Transportation, Food, Lodging

GPO: [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 MAY 15 PM 4:55

FORM A—For use by Members, officers, and employees

Lamar Seeligson Smith
(Full Name)

422 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 21 State Texas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Feb.4, '88National Association of Independent Insurers	honorarium	$500.00	
Aug.19, '88Permian Basin Petroleum Association	honorarium	$400.00	
Nov.17, '88 National Structural Settlement Trade Assoc.	honorarium	$1000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Attachment 1 and 1A		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attachment 2 and 2A	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Attachment 3	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National Structural Settlement Trade Assoc.	provided roundtrip air from D.C. to Dallas for a speaking engagement

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Lamar Seeligson Ranch

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Lamar Smith	May 9, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 2

Attachment 1
Income II.

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lamar Smith
(Name)

Continuation Sheet

Part II. B. Income

Source, Type, Amount, Category, Description or Value (As Applicable)

CATAGORY	INTEREST INCOME
A	First American Bank, Washington, D.C.
A	Fidelity Fund
A	I.R.S.
A	Congressional Federal Credit Union
B	National Bank of Commerce, San Antonio, Texas
A	Duncan-Smith Securities, Inc. San Antonio, Texas
	DIVIDEND INCOME
A	American Express Company
A	AT&T
A	General Electric
A	Southwest Airlines
A	Proctor and Gamble
A	Pacific Telesis
A	Bell Atlantic
A	U.S. West
A	NYNEX
A	General Investment Corporation
A	National Financial Services Money Market Account
	RENT AND ROYALTY INCOME
	Through Lamar Seeligson Ranch
C	Mineral Royalties
E	Sale of livestock and crops

GPO [illegible]

Page 2 of 2

Attachment 1A
Income II.

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lamar Smith
(Name)
(for Dependent Children)

Continuation Sheet

Part II. B.

CATEGORY	Source, Type, Amount, Category, Description or Value (As Applicable)
	INTEREST INCOME
A	National Bank of Commerce - San Antonio
C	Lago Vista (interest in undeveloped property in Bandera County)
	DIVIDEND INCOME
A	Louisiana Land and Exploration Realty Trust
A	National Bancshares Corporation of Texas
D	Smith Barney Money Market Account

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Attachment 2
Holdings III.

Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lamar Smith
(Name)

Continuation Sheet

Part III.

CATEGORY	Source, Type, Amount, Category, Description or Value (As Applicable)
	VARIOUS HOLDINGS
E	Bexar County vacant lot
F	Life tenant, undivided (25%) surface and mineral interest of Lamar Seeligson Ranch
C	Partnership interest, Lamar Seeligson Ranch
	STOCK HOLDINGS
A	Proctor and Gamble
A	Southwest Airlines
C	*General Electric
A	*National Bancshares Corporation
B	*American Express
B	*CBS Inc.
D	*IBM
D	*Pepsico
A	*AT&T
A	*Pacific Telephone
A	*Bell Atlantic
A	*U.S. West
A	*Bell South
A	*NYNEX
	*Lamar Smith, life interest in dividends only (Fair market value not part of Holdings)
A	General Investment Corporation
C	*National Financial Services Money Market Account

GPO: 1987 79-046 (m)

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Attachment 2A
III. Holdings

Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Lamar Smith
(Name)
(for Dependent Children)

Continuation Sheet

Part III.

Source, Type, Amount, Category, Description or Value (As Applicable)

CATEGORY	STOCK HOLDINGS
C	Cullen Frost Bankers stock
B	Louisiana Land and Exploration Realty Trust
A	National Bancshares Corporation of Texas
C	Smith Barney Money Market Fund
	ASSETS
D	Lago Vista - Joint Venture (property in Bandera County)
C	Oak Forest _ Joint Venture (property)

[illegible]

Attachment 3
V. Liabilities

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lamar Smith
(Name)

(for Dependent Children)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
CATEGORY	HODLINGS - REAL PROPERTY
	LIABILITIES
B	Indebtedness on Lago Vista(property) - Joint Venture
D	Indebtedness on Oak Forest (property) - Joint Venture

INSIDE MAIL

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RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 MAY 25 AM 7:24
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

AMENDMENT TO
1988 [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Lamar Smith
(Full Name)

422 Cannon House Office Building
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 21 State Texas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Add: Fidelity Fund -	A
and Duncan-Smith Securities, Inc. San Antonio -	A
*(Per conversation with Mark Davis in the Ethics committee, these	
are added in the holdings category (similar to bank accounts)	
as these are not new acquisitions, but contain the interest	
monies from the stocks listed.)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
General Investment Corp does NOT need to be listed		
as an acquisition because it merely contains the		
interest from the stocks. So it is only in the		
holdings category (similar to a bank account)		
(Per conversation with Mark Davis of the Ethics Committee)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Lamar Smith	5-23-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Larkin I. Smith
(Full Name)

2509 Van Tuyl Place
(Mailing Address)

Falls Church, Virginia 22043

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State Mississippi

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print-outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Harrison County - Mississippi (Sheriff)	Salary	$ 34,271	None
Spouse - Real Estate Broker, Long Beach, MS	Commission	N/A	N/A

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Merchants Bank and Trust Company	Interest	A
Gulfport VA Federal Credit Union	Interest	A
State Farm Life Insurance Company	Interest	A
Duplex - 121 Via Don Ray, Long Beach, MS	Rent	D
House - 312 Fourth Avenue, Long Beach, MS	Rent	B
House - 727 Maxine, Long Beach, MS	Rent	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Sheila A. Smith Realty, Inc. - Common Stock	A
SAS Property Managers, Inc. - Common Stock	A
Property Designers, Inc. - Common Stock	A
Merchants Bank and Trust Company - Certificate of Deposit	B
Two waterfront lots - Wolf River Estates, Harrison County, MS	C
Waterfront lot - Wolf River Shores, Harrison County, MS	B
Land - 17 acres - Larkwood Lane, Long Beach, MS	D
Rental House - 312 Fourth Avenue, Long Beach, MS	C
Rental House - 727 Maxine Drive, Long Beach, MS	C
Rental Duplex - 121 Via Don Ray, Long Beach, MS	D
Note Receivable - Jerry Coleman, Gulfport, MS	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of house - 727 Maxine, Long Beach, MS	11-23-88	C
Sale of house - 312 Fourth Avenue, Long Beach, MS	9-01-88	C
Sale of Real Estate Brokerage Business, Long Beach, MS	7-15-88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Note payable - Peoples Bank of Biloxi, Biloxi, MS	C
Notes Payable - Merchants Bank and Trust Co. - Gulfport, MS	D
Mortgage - 121 Via Don Ray, Long Beach, MS - Dep. Guaranty, Jackson, MS	D
Mortgage-312 4th Ave, Long Beach, MS-Magnolia Fed. Bk. Hattiesburg, MS	C
Mortgage-727 Maxine, Long Beach, MS - Bailey Mtg. Co. - Jackson, MS	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NOne		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Larkin I. Smith	5-12-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Lawrence J. Smith
(Full Name)

3511 N. 52nd Avenue
(Mailing Address)

Hollywood, Florida 33021

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 16 State Florida

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Continuation Sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
California Federal Savings & Loan	Interest	A
Congressional Federal Credit Union	Interest	A
Gold Coast Savings Bank	Interest	A
Prudential Bache	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Partnership-Tallwood Assoc. Tallahassee, Fl. Capital A/C & Appre.	B
Gold Coast Savings Bank 100 shares	A
S-Corp. - Lauderdale Airport Assoc., Inc. Davie, Fl.	A
Hollywood Federal Savings & Loan Hollywood, Fl.	A
California Federal Savings & Loan Hollywood, Fl.	A
Wright Patman Congressional Federal Credit Union Washington, D.C.	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Miscellaneous donors	Unsolicited gifts delivered to office -- Books, tapes, candy, birthday cakes, etc.	$300 - $400

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Gold Coast Savings Bank--Broward County, Florida
Partner	Tallwood Associates--Tallahassee, Florida

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lawrence J. Smith
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

IIA	Source	Date	Type	Amount
	McDonnell Douglas	1-15-88	Honorarium	$1000.00
	Connell Rice & Sugar	3-11-88	Honorarium	2000.00
	Dev. Corp. for Israel	3-13-88	Honorarium	1000.00
	Tobacco Institute	3-15-88	Honorarium	1000.00
	Motion Picture Assoc.	3-18-88	Honorarium	2000.00
	Mexican Am. Grocers Assoc.	3-20-88	Honorarium	1000.00
	Nevada Resorts Assoc.	4-18-88	Honorarium	500.00
	Distilled Spirits Council	4-21-88	Honorarium	1000.00
	Lamar Corp.	4-26-88	Honorarium	1000.00
	Natl. Assoc. of Broadcasters	5-4-88	Honorarium	2000.00
	American Cyanamid Co.	5-11-88	Honorarium	500.00
	East Midwood PAC	6-27-88	Honorarium	2000.00
	Television & Radio PAC	6-28-88	Honorarium	1000.00
	Brookings Institute	7-25-88	Honorarium	400.00
	American Bankers Assoc.	9-16-88	Honorarium	2000.00
	Brookings Institute	9-22-88	Honorarium	400.00
	Cuban American Foundation	10-19-88	Honorarium	2000.00
	Turkish American Foundation	10-24-88	Honorarium	2000.00
	Natl. Assocciation of Realtors	11-11-88	Honorarium	2000.00
				$24,800.00
	Gold Coast Savings Bank	1988 Director's Fee		$1200.00
	Spouse--Interior Design Work--TransFlorida Bank, Florida			---

VII	Source	Date	Description
	McDonnell Douglas	1-88	Travel & Lodging
	Connell Rice & Sugar	3-88	Travel
	Motion Picture Assoc.	3-88	Travel
	Mexican Am. Grocers Assoc.	3-88	Travel & Lodging
	Natl. Assoc. of Broadcasters	5-88	Travel & Lodging
	Natl. Assoc. of Realtors	11-88	Travel & Lodging

GPO 1987 79-048 (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Neal Smith
(Full Name)

7504 N.E. 12th Avenue
(Mailing Address)

Altoona, Iowa 50009

MC (Office Use Only)

APR 27 PM 3 49

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State Iowa

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Gross income from farming (husband & wife)			
(although not subject to limitation, net			
return would be less than limitation)		42,100	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
The Green Belt Ltd. (Family Farming-Iowa)	Cap. Gains Dist.	E
Land Rent	Inherited & purchased	B
Interest (Valley Bank, Des Moines)	Bank Account	A
N.Y. Life Ins. Int. earned	Purchased	A
U.S. Bond Interest	Owned 40 yrs.	C
House Rent	Purchased	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Share of Green Belt (agricultural land & crops)	E
Share of Farm Belt (Family Farm Corp.)	F
Share of Romack Farms (Iowa Family Farm Corp.)	F
Farm operation including land, equipment & related property in Iowa including permanent home and improvements	F
Note: Holdings listed include both joint property with spouse and separately held by each.	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Farm Real Estate mortgage-Equitable Ins. Co.	D
Business line of credit - Valley Bank	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Consultant-Representative	Family farming enterprises

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
		None

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Neal Smith	4/27/1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

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Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

PETER P. SMITH
(Full Name)

RD #3 BOX 2345
(Mailing Address)

MONTPELIER VT. 05602

MC (Office Use Only)

[illegible] 16 [illegible] 9 27

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District ______ State VERMONT

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. **THE 1988 LIMIT FOR MEMBERS IS $26,850.** Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NORWICH UNIVERSITY	WAGES	22225	
DUANE WELLS CONSTRUCTION (SPOUSE)	WAGES	2168	
VIRGINIA DEPT OF EDUCATION	CONSULTING	1000	
BENNINGTON RUTLAND SUPERVISORY UNION	CONSULTING	300	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE PAGE 5		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE PAGE 6	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SEE PAGE 7		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NORTHFIELD SAVINGS - CAMPAIGN DEBT	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
ADVISORY COUNCIL	CARNEGIE CORPORATION OF NEW YORK

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Peter Smith

Date: 5/12/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

Page 5 of ___

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Peter P. Smith
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II B	Dean Witter - Active Assets Acct. U.S. Gov.	Interest	B
	Bank of Vermont	Interest	A
	Howard Bank	Interest	A
	Hunger Mtn Co-op	✓	A
	May Drilling Partnership	✓	A
	Mountain Racing Inc	✓	A
	Mary Louise Giddings Trust	✓	D
	✓ ✓ ✓ ✓	Dividends	A
	Seligman Growth Fund	✓	A
	Dean Witter Active Assets:		
	American Home Products	Dividends	A
	BellSouth Corp.	✓	✓
	Crittenden Corp	✓	✓
	RR Donnelley & Sons	✓	✓
	Eastman Kodak Co	✓	✓
	Emerson Electric Co	✓	✓
	Ft. Howard CP	✓	✓
	Harland John H. Co	✓	✓
	I B M Corp	✓	✓
	Johnson & Johnson	✓	✓
	Merchants Bank Shares	✓	✓
	Merck & Co	✓	✓
	Pillsbury Co.	✓	✓
	R.J.R. Nabisco	✓	✓
	Rite Aid Corp	✓	✓
	Schlumberger	✓	✓
	Vermont Municipal Bond Tst	✓	D
	Active Asset Acct Trust	✓	B
	Vt State Tax Refund		A
	TIAA/CREF - Pension Distributions		D
	May Drilling - Partnership Income		A
	U.S. Treasury Notes	Capital Loss	B
	Pillsbury Co	Capital Gain	D
	R.J. Nabisco	✓ ✓	D
	Merchants Bank Shares	✓ ✓	A
	Crittenden Corp	✓ ✓	D
	Emerson Electric Co	✓ ✓	D
	Ft Howard Paper	✓ ✓	E
	IBM	✓ ✓	A
	Fla Board of Education	✓ ✓	A
	Harland John H. Co	✓ ✓	C

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Page 6 of ___

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

PETER P. SMITH
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
III	AMERICAN HOME PRODUCTS	STOCK		C
	BELL SOUTH CORP	✓		B
	CHITTENDEN CORP	✓		B
	R.R. DONNELLEY & SONS	✓		B
	EASTMAN KODAK	✓		B
	EMERSON ELECTRIC CO	✓		C
	JOHN H. HARLAND CO	✓		C
	IBM	✓		B
	JOHNSON & JOHNSON	✓		C
	MERCHANTS BANCSHARES	✓		B
	MERCK & CO	✓		C
	RITE AID CORP	✓		B
	SCHLUMBERGER LTD	✓		B
	VT. EDL HEALTH	BOND		C
	VT. MUNIC. BOND BANK	✓		C
	✓ ✓ ✓ ✓	✓		C
	P.R. AQUEDUCT SWR AUTH	✓		C
	BURLINGTON ELECT	✓		B
	DEAN WITTER ACTIVE ASSET TRUST		CASH	B
	MAY PETROLEUM 1982-1	O&G INT	1%	A
	1983-1	✓ ✓	✓	A
	1983-2	✓ ✓	✓	A
	1981-2	✓ ✓	✓	A
	BARR PAINT INC	9% INT	S-CORP	C (EST)
	MOUNTAIN RACING	7% INT	✓ ✓	A
	M.L. GIDDINGS TRUST:			
	TREASURY LIQUID ASSET -			C
	U.S. TREASURY NOTES -			E
	CHRYSLER CORP			B
	CORESTATES FINANCIAL CORP			B
	AUTOMATIC DATA PROCESSING			B
	BRISTOL-MYERS CO			B
	PFIZER INC			B
	McDONALDS CORP			B
	SYSCO CORP			C
	MARSH & McLENNAN COS			C
	DUN & BRADSTREET CORP			B
	WHITMAN CORP			C
	IBM			B
	XEROX CORP			B
	KIMBERLY CLARK CORP			B
	K-MART CORP			B
	LOUISVILLE GAS & ELECTRIC CO			B
	M.L. GIDDINGS RESIDUAL TRUST.			
	FLEET - TAX EXEMPT FUND EASTERN			C
	FLEET - COMMON STOCK FUND A			E

R 9 3 / 1 2 4 U 9 5 5

Page 7 of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Peter P Smith
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
IV	Sold	1/20/88	Merchants Bancshares	Stock	A
	✓	12/9/88	✓ ✓	✓	✓
	✓	1/26/88	Chittenden Corp	✓	C
	✓	1/26/88	Emerson Electric	✓	B
	✓	5/20/88	✓ ✓	✓	B
	✓	1/26/88	Ft Howard Paper	✓	B
	✓	5/20/88	✓ ✓ ✓	✓	B
	✓	9/9/88	✓ ✓ ✓	✓	C
	✓	1/26/88	I B M	✓	B
	✓	3/9/88	Florida Board of Ed	Bond	D
	✓	6/6/88	Treasury Note	,	C
	✓	7/6/88	✓ ✓		C
	✓	7/12/88	✓ ✓		C
	✓	5/20/88	John H. Harland Co	Stock	A
	✓	10/[illegible]	Pillsbury Co	✓	C
	✓	11/10/88	R.J.R Nabisco	✓	C
	Bought	6/1/88	Eastman Kodak Co	✓	B
	✓	6/1/88	Pillsbury Co	✓	B
	✓	10/5/88	R J R. Nabisco	✓	B
	✓	2/3/88	Rite Aid Corp	✓	B
	✓	6/1/88	U.S. Treasury Note		C
	NO	1/1/88	Smith + Wells Ptr.		A

[illegible]

HAND DELIVERED

Sullivan,Powers&Co.
CERTIFIED PUBLIC ACCOUNTANTS
A PROFESSIONAL CORPORATION

77 Barre Street
P.O. Box 947
Montpelier, VT 05602
802/223-2352

James H. Powers, CPA
Fred Duplessis, CPA
Kathleen L. Blackburn, CPA
VT Lic. #92-000180

RECEIVED
OFFICE OF RECORDS & REGISTRATION
89 JUN 14 PM 3 36
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

June 12, 1989

U.S. House of Representatives
Committee on Standards of Official Conduct
Washington, DC. 20515

✓
MC

Dear Gentlemen:

In response to your letter of May 19, 1988 regarding Peter Smith's financial disclosures statement for December 31, 1988 I offer the following.

I have coded the reported documents in such a fashion as to answer your questions Part III 5, and Part IV. 6.

Your questions Part III 6
1) Hunger Mountain Co-op has value less than $1000.00

2) Seligman Growth fund has value less than $1000.00

3) Florida Board of Education was a Municipal Bond - Sold 3/8/88

Members of The American Institute and Vermont Society of Certified Public Accountants

Your questions Part IV 7

1) Delta Airlines is in a trust of which we are unaware of the buy/sell transactions.

2) IC Industries is in a trust of which we are unaware of the buy/sell tranactions.

3) CSK Corporation is in a trust of which we are unaware of the buy/sell tranactions.

4) Bristol Myers is in a trust of which we are unaware of the buy/sell tranactions.

5) McDonnalds is in a trust of which we are unaware of the buy/sell tranactions.

6) Whitman is in a trust of which we are unaware of the buy/sell transactions.

7) Fleet Tax Exemption is in a trust of which we are unaware of the buy/sell transactions.

8) Fleet Stock is in a trust of which we are unaware of the buy/sell transactions.

9) Vermont EDU. Health is reported on 87 as well as 88

10) Vermont Muncipal Bond Bank and P.R. Aquaduct were apparently overlooked on the 87 report

Very truly yours,

James Powers

James H. Powers, Treasurer

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Robert Clinton Smith
(Full Name)

Box 658
(Mailing Address)

Wolfeboro, New Hampshire 03894

(Office Use Only)

MAY 10 PM 4:52

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State N.H.

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NONE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
State Farm Life Insurance Co. Bloomingdale, IL	Interest	A
BankEast Wolfeboro, NH	Interest	A
Bob Smith for Congress Committee 1980	Interest	D
Eugene Schulz, Andover, MA mortgage	Interest	B
George Hutchinson, Wolfeboro, NH	Interest	A
Commerce Income Shares Inc. Houston, TX (mutual)	Dividend	A

See continuation sheet for more.

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Land woodlot - Tuftonboro, NH 30 acres	C
Land woodlot - Wolfeboro, NH 250 acres	E
Common stock - Ponchos Mexican Buffet	A
Common stock - Fifth Avenue Cards	A
Common stock - Seatrain Lines	A
Common stock - BankEast	B

See continuation for more.

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of personal residence (vacation home) Boca Raton, Fl	6/88	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
BankEast, Wolfeboro, NH demand note	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Christa McAuliffe Sabbatical Trust Fund, Concord, NH	ski equipment	$500

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert C. Smith	5/10/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert Clinton Smith
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	Type	Category
Part II. Section B. continued from page 2			
II. B	New Perspective Fund Inc. (mutual)	Dividend	B
II. B	American Cap. - Enterprise (mutual)	Dividend	A
II. B	IRA - Account, Wolfeboro National Bank	Interest	A
II. B	Capital Gain from sale of vacation home Florida	Capital Gain	E
Part III. continued from page 2			
III.	Mutual Fund - New Perspective Inc.		B
III.	Mutual Fund - American Capital Enterprise		A
III.	Mutual Fund - Commerce Income		A
III.	George Hutchinson, Wolfeboro, NH	personal note	A
III.	Eugene Schulz, Andover, MA	real estate mortgage	B
III.	Wolfeboro National Bank, Wolfeboro, NH	IRA	A
III.	BankEast, Wolfeboro, NH	savings account	C
III.	*Bob Smith for Congress Committee 1980	personal note	D

*See attached letter of explanation.

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Robert Freeman Smith
(Full Name)

118 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

MAY 12 9

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 02 State OR

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Oregon Bankers Assoc. 2/12/88	Honorarium	$1,000	None
American Medicial Assoc. 4/8/88	Honorarium	$2,000	None
The Tobacco Institute 4/5/88	Honorarium	$1,000	None
The Fertilizer Institute 4/12/88 & 11/20/88	Honorarium	$4,000	None
American Veterinary Medical Assoc. 7/11/88	Honorarium	$2,000	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Silvies Valley Grazing Assoc.	Interest	D
Tenneco Inc.	Dividends	A
Residence, Burns, OR	Rent	C
Willburn Bldg., Broadway St., Burns, OR	Rent	B
Tiller Bldg., Broadway St., Burns, OR	Rent	C
Key Bank of Oregon	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

[illegible] YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A [illegible]T OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Ranch property and house near Burns, OR	F
Willburn Bldg., Broadway St., Burns, OR	C
Tiller Bldg., Broadway St., Burns, OR	B
Checking account, U.S. National Bank of Oregon	C
Checking account, Key Bank of Oregon	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Three head of cattle that were purchased in January, 1987 were sold.	3/15/88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Connecticut Mutual Insurance Co., secured by ranch property	E
Federal Land Bank, secured by ranch property	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
The Fertilizer Institute —— Air transportation together with two days food and lodging.	Portland-Phoenix-Washington, D.C.
American Medical Association —— Air transportation together with three days food and lodging.	Washington-Palm Beach-Washington

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Key Bank of Oregon
Trustee	Willamette University, Board of Directors

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon

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Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Robert Freeman Smith
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II.A.	Key Bank of Oregon, 1988, Director Fees, $2,250, None
II.B.	Ranch Property, near Burns, OR, Lease, E

GPO: 1987 79-[illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

VIRGINIA DODD SMITH
(Full Name)

2202 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

89 MAY 15 AM

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 03 State NE

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
02/15/88 Bell South Corporation	Honorarium	$1,500	
(speaking engagement for Tuskegee University)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Douglas County Data Documents, 8%-1990	Interest	A
Douglas County Pamida IDR 6.79%-1991	"	A
Douglas County #219, 6.70%-1991	"	B
First Insured Series #39	"	B
First Insured Series #43	"	B
First Insured Series #59	"	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______.

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Douglas County Data Documents, 8%-1990	B
Douglas County Pamida IDR, 6.70%-1991	B
Douglas County #219, 6.70%-1991	C
First Insured Series #39	C
First Insured Series #43	C
First Insured Series #59	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purch[illegible] or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICA[illegible]HETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Redemption of Douglas Cty Industrial Bonds	12/22/88	B
Washington County School District-Purchase	7/12/88	D
Lincoln NE General Hospital-- Purchased	10/88	D
Nuveen Bond Trust Purchased	1/29/88	D
Nuveen Bond Trust, Purchased	3/88	F

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None.	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None.	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None.		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None.	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None.		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Virginia D. Smith	May 14, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HONORABLE VIRGINIA DODD SMITH
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
B	First Insured Series #84	INTEREST	B
B	First Insured Series #89	"	C
B	Fremont Electric Rev., 5.60%-2001	"	A
B	Grand Island Electric Rev., 6.00%-1991	"	A
B	Hastings Electric Rev., 7.00%-2019	"	B
B	Lexington, Nebrasa, Rev., 9.25%-1991	"	B
B	Lincoln Electric Rev., 5.90%-2014	"	B
B	Lincoln Electric Rev., 6.125%-2011	"	A
B	Lincoln Electric Rev., 9.50%-2016	"	C
B	Midlands Hospital, 6.75%-2001	"	A
B	Montana University Housing, 3.70%-1998	"	A
B	Municipal Inv. Trust Series "T"	"	A
B	Municipal Inv. Trust 2nd Month Series	"	A
B	Municipal Inv. Trust 9th Month Series	"	B
B	Municipal Inv. Trust 10th Month Series	"	A
B	Municipal Inv. Trust 25th Month Series	"	A
B	Municipal Inv. Trust 298th Month Series	"	C
B	Nebraska Mtg. Finance Inv. Fund, 9.875%-2011	"	C
B	Nebraska Public Power District, 6.30%-2004	"	C
B	Omaha Public Power Distirct, 6.125%-2017	"	C
B	Valentine, Nebraska G.O., 5.75%-1994	"	A
B	Valley, Nebraska, 5.80%-1992	"	A
B	Valley, Nebraska, 6.00%-1994	"	A
B	Farmland, Shenandoah, Ia, Chappell, NE	Rent	E
B	Savings Account	Interest	D
B	Union Electric Co. 7.875%-2001	Interest	A
B	Nuveen Bond Fund	Interest	C
B	Nuveen Bond Trust	Interest	B
Spouse B	Douglas Co. #219, 6.60%-1990	Interest	A
Spouse B	Cozad Hospital, 5.60%-1991	"	B
Spouse B	First Insured Series #37	"	B
Spouse B	First Insured Series #39	"	B
Spouse B	First Insured Series #56	"	B
Spouse B	First Insured Series #59	"	C
Spouse B	First Insured Series #99	"	D
Spouse B	Lincoln Electric Rev., 5.90%-2014	"	B
Spouse B	Lincoln Electric Rev., 6.10%-2011	"	A
Spouse B	Lincoln Electric Rev., 6.125%-2011	"	A
Spouse B	Nebraska Mtg. Finance Fund. 9.875%-2011	"	A
Spouse B	Nebraska Public Power District, 7.10%-2017	"	D
Spouse B	Nebraska Public Power District, 6.124%-2017	"	B
Spouse B	Omaha Public Power District, 6.125%-2017	"	B
Spouse B	Valentine, Nebraska G.O. 5.75%-1994	"	A
Spouse B	Farmland, Chappell, NE	Rent	D
Spouse B	Savings Account	Interest	B
Spouse B	Nuveen Bond Trust	Interest	C
Spouse B	Nuveen Bond Trust	Interest	B
III	First Insured Series #84		C
III	First Insured Series #89		C
III	Fremont Electrict Rev., 5.60%-2001		B
III	Grand Island Electrict Rev., 6.00%-1991		A
III	Hastings Electric Rev., 7.00%-2019		C
III	Lexington, Nebraska Rev., 9.25%-1991		C
III	Lincoln Electric Rev., 5.90%-2014		B
III	Lincoln Electric Rev., 6.125%-2011		B
III	Lincoln Electric Rev., 9.50%-2016		C
III	Midlands Hospital, 6.75%-2001		B

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HONORABLE VIRGINIA DODD SMITH

(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	Montana University Housing, 3.70%-1998	A
III	Municipal Investment Trust, Series "T"	A
III	Municipal Investment Trust, 2nd Month Series	B
III	Municipal Investment Trust, 9th Month Series	C
III	Municipal Investment Trust, 10th Month Series	B
III	Municipal Investment Trust, 25th Month Series	A
III	Municipal Investment Trust, 298th Month Series	C
III	Nebraska Mtg. Finance Inv. Fund, 9.875%-2011	C
III	Nebraska Public Power Dist., 6.30%-2004	C
III	Omaha Public Power Dist., 6.125%-2017	C
III	Valentine, Nebraska G.O., 5.75%-1994	B
III	Valley, Nebraska 5.80%-1992	B
III	Valley, Nebraska 6.00%-1994	A
III	Union Electric Co., 7.875%-2001	A
III	Savings Account	D
III	Farmland, Chappell, NE 800 Ac, Shenandoah, IA, 748 Ac	F
Spouse III	Douglas Co. #219, 6.60%-1990	B
Spouse III	Cozad Hospital, 5.60%-1991	C
Spouse III	First Insured Series #37	C
Spouse III	First Insured Series #39	C
Spouse III	First Insured Series #56	C
Spouse III	First Insured Series #59	C
Spouse III	First Insured Series #99	D
Spouse III	Lincoln Electric Rev., 5.90%-2014	B
Spouse III	Lincoln Electric Rev., 6.10%-2011	B
Spouse III	Lincoln Electric Rev., 6.125%-2011	A
Spouse III	Nebraska Mtg. Finance Fund, 9.875%-2011	B
Spouse III	Nebraska Public Power Dist., 7.10%-2017	D
Spouse III	Nebraska Public Power Dist., 6.125%-2017	B
Spouse III	Omaha Public Power Dist., 6.125%-2017	C
Spouse III	Valentine, Nebraska G.O., 5.75%-1994	B
Spouse III	Farmland, Chappell, NE 1200 Acres	F

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HAND DELIVERED

VIRGINIA SMITH
[illegible]

[illegible]
[illegible]

Congress of the United States
House of Representatives
Washington, D.C. 20515

COMMITTEE
APPROPRIATIONS
SUBCOMMITTEE
AGRICULTURE
ENERGY AND
WATER DEVELOPMENT

MAY 23 AM 11:22

May 22, 1989

Clerk
US House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, DC 20515

✓
HC

Dear Sir/Madam:

By this letter, I wish to amend my Financial Disclosure Statement.

In "Part II. B." I inadvertently failed to identify two savings accounts that are Security Trust and Savings Bank, Shenandoah, IA, Category D; and Security Trust and Savings Bank, Shenandoah, IA, Caterogy B, my husband's.

In "Part III. Holdings." I inadvertently failed to list the following: Nuveen Bond Trust,purchased 1/29/88,Category D; Nuveen Bond Trust, purchased 3/88, category F; Security Trust and Savings Bank, Shenandoah, IA, category D; and Security Trust and Savings Bank, Shenandoah, IA, category B, my husband's.

Though I had listed the above in other sections of my Financial Disclosure Statement, I inadvertently did not list them in "Part II.Income" and "Part III. Holdings."

With best wishes, I am

Sincerely,

Virginia

VIRGINIA SMITH
Member of Congress

VS/cc

03373231323

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Olympia J. Snowe
(Full Name)

2464 Rayburn House Office Building
(Mailing Address)
Washington, D.C. 20515

MC (Office Use Only)

Y 15 11:45

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Maine

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Republican National Committee 8/8/88	Compensation	$1350.00	None
	(for Convention	role)	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Key Bank - Money Market	Interest	B
Key Bank - IRA	Interest	A
Wright Patman Credit Union	Interest	A
Municipal Investment Trust Fund	Interest	B
Fenway Residential Properties	Distribution	B
Tucker Anthony & R.L. Day - Money Fund	Dividend	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO XX NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Key Bank	Money Market	C
Key Bank	I.R.A	B
Wright Patman Credit Union	Money Market	B
Equitec Real Estate Investors Fund	Limited Partnership	C
Municipal Investment Trust Fund	Municipal Bond	C
Tucker Anthony & R.L. Day	Money Fund	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Fenway Residential Properties Cash Distribution	4/8/88	A
Fenway Residential Properties Cash Distribution	7/11/88	A
Fenway Residential Properties Cash Distribution	10/7/88	A
I.C. Industries Sale of Stock Acquired	5/26/87	C
Sold	11/22/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Washington, D.C. residence (Key Bank)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Corporator	Mechanics Savings Bank
Trustee	Kents Hill School
Trustee	Dole Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Olympia J. Snowe	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Olympia J. Snowe
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II.	Interstate General Co.	Dividend	A
	I.C. Industries	Dividend	A
	Freedom Equity Value Fund/Freedom Investment Trust		A
III.	I.C. Industries	Stock	C
	H & O Healthcare Fund SBI	Stock	B
	Marietta	Stock	B
	Interstate General Co.	Stock	B
	Freedom Equity Value/Freedom Investment Trust		B
	Tucker Anthony & R.L. Day	Dividend Distrib.	A
	Fenway Residential Properties	Limited Partnership	C
	Fenway Residential Properties	Cash Distribution	A
IV.	Freedom Equity Value Fund	4/15/87	B
	Freedom Investment Trust	5/19/88	B

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

STEPHEN J. SOLARZ
(Full Name)
1536 Longworth Building
(Mailing Address)
Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 13 State New York

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Fund For Peace	Spouse Salary		
SEE ATTACHED CONTINUATION SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Income - Vacation Home	Rental	E
Blind Trust I	Div. & Int.	D
Blind Trust II	Div. & Int.	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES XX NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Vacation Home - Seaview, New York	F

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	MAZON
Trustee	Brandeis University
Board of Directors	International Rescue Committee
Board of Directors	Sight Savers International
Board of Directors	National Democratic Institute
Board of Directors	American Jewish World Service
Board of Directors	American Jewish Congress

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Continuation Sheet -- Cong. Stephen J. Solarz -- Part II (1 of 2)

1988 HONORARIA

DATE OF RECEIPT	SOURCE	TYPE	AMOUNT
1/19	United Jewish Appeal Boston, Massachusetts	Speech	$2,000
1/19	United Jewish Appeal Norfolk, Virginia	Speech	$2,000
1/27	Anti-Defamation League New York, NY	Speech	$2,000
1/27	Christian Science Monitor	Article	100
2/21	Jewish Community Center of York, Pennsylvania	Speech	$2,000
2/21	United Jewish Appeal New York, NY	Speech	$1,000
2/29	Washington Post	Article	200
3/18	Sweetener Users Association Tampa, Florida	Speech	$2,000
3/18	St. Francis College Brooklyn, New York	Speech	150
3/18	New York Times	Article	150
3/18	Christian Science Monitor	Article	100
4/11	American Association For Adult and Continuing Education Washington, DC	Speech	500
5/19	E. Bruce Harrison Company "Wednesdays With The House" Washington, DC	Speech	$2,000
5/19	Los Angeles Times	Article	150
5/25	Worchester Jewish Federation Worcheste, Massachusetts	Speech	$2,000
6/23	Jacksonville Jewish Center Jacksonville, Florida	Speech	$1,000

Continuation Sheet -- Cong. Stephen J. Solarz -- Part II (2 of 2)

6/6	United Jewish Appeal Metro West, New Jersey	Speech	$2,000
6/8	The Washington Institute for Values in Public Policy Washington, DC	Speech	$2,000*
9/1	New York Times	Article	75
9/1	Wall Street Journal	Article	100
10/18	St. John's University Brooklyn, New York	Speech	$2,000
10/18	Los Angeles Times	Article	150
11/18	St. Francis College Brooklyn, New York	Speech	150
12/7	Washington Institute for Values in Public Policy Washington, DC	Speech	$2,000*
12/15	American International Group New York, New York	Speech	$2,000
12/15	New Haven Jewish Federation New Haven, Connecticut	Speech	$1,000
12/30	Soviet Jewry Legal Advocacy Center Chicago, Illinois	Speech	$1,000
12/30	American Friends of Hebrew Univ. New York, NY	Speech	$2,000
12/30	Los Angeles Times	Article	150
TOTAL HONORARIA RECEIVED IN 1988			$31,975
EARNED INCOME IN EXCESS OF LIMITATION			5,125*

*(Donated to Charities)

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Continuation Sheet -- Cong. Stephen J. Solarz - Part VII (1 of 2)

1988 REIMBURSEMENTS

Source	Brief Description
United Jewish Appeal (Speech) Boston, Mass.	Roundtrip airfare: Washington-New York-Boston-New York; 1 night lodging.
United Jewish Appeal (Speech) New York, New York	Airfare: New York-Washington; 1 night lodging.
United Jewish Appeal (Speech) Norfolk, Virginia	Roundtrip airfare: Washington-Norfolk, Virginia-Washington. 1 night lodging.
Jewish Community Center of York, Penn. (Speech)	Limousine service from Washington-York, Penn.-Washington
Congressional Charity Tennis Tournament (Participant) White Sulphur Springs, West Va.	Roundtrip transportation by bus: DC-White Sulphur Springs, West Va.-DC; 2 nights lodging and meals for Member and spouse.
Sweetener Users Association (Speech) Tampa, Florida	Roundtrip airfare: Washington-Tampa-Washington; 1 night lodging
Worchester Jewish Federation (Speech) Worchester, Mass.	Roundtrip airfare: BWI-Worchester, Mass.-BWI; 1 night lodging
Brandeis University National Women's Committee (Speech) Waltham, Mass.	Airfare: Washington-Boston
Anti-Defamation League (Speech) New York, New York	Airfare: Boston-NY-Washington; 1 night lodging.
Jacksonville Jewish Center (Speech) Jacksonville, Florida	Roundtrip airfare: Newark-Jacksonville-Washington; 1 night lodging.
Lawyers Committee for Human Rights (Speech) York, New York	Roundtrip airfare: Washington-New York-Washington; 1 night New lodging.
Lintas Adversiting (Speech) New York, New York	Roundtrip airfare for Member and spouse: Washington-NY-Washington; 1 night lodging for Member and spouse.

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Continuation Sheet -- Cong. Stephen J. Solarz -- Part VII (2 of 2)

Taiwanese-American Conference/ East Coast; Penn State Univ. (Speech) State College, Penn.	Roundtrip airfare: Washington-New York-Pittsburgh-State College, Penn.-Pittsburgh-Washington; 1 night lodging.
National Democratic Institute (Speech) Atlanta, Georgia	Roundtrip airfare: New York-Atlanta-Washington; 1 night lodging.
New Haven Jewish Federation (Speech) New Haven, Conn.	Limousine Service: Brooklyn, New York-New Haven, Conn. 1 night lodging.
Yale Political Union (Speech) Yale University New Haven, Conn.	1 night lodging.
International Media Partners Pacific CEO Institute (Speech) Laguna Niguel, California	Roundtrip airfare for Member and spouse: Washington-Orange Co., Calif.-Washington; 3 nights lodging

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

The Honorable Gerald B. Solomon
(Full Name)

2265 Rayburn Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

[illegible] MAY 11 [illegible] 3 57

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 24 State NY

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Military Disability Compensation		2,832	
New York State Retirement		3,452	
Freda Solomon (wife) Real Estate Commission Income		3,585	
Sam-Min-Juu-Yi Association		1,000	
American Maritime Officers Service		1,000	
Aero Space Industry Association		2,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Income Lake George Property	Rents	D
Congressional Federal Credit Union	Interest	A
First National Bank of Glens Falls	Interest	A
Glens Falls National Bank	Interest	D
Associates of Glens Falls Inc.	Interest	C
Associates of Glens Falls Inc.	Capital Gains	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
First National Bank (Account transferred to Glens Falls National Bank)	B
Glens Falls National Bank (Part savings and part inheritance)	E
Congressional Federal Credit Union	B
Balance of Associates of Glens Falls Inc. Buy & Sell Agreement	C
Lake George Ventures Inc. stock	C
Lake George Townhouse and Dock	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Lake George ventures Inc. (Note payable for stock purchase L.G.V. Inc.)	C
Glens Falls National Bank Mortgage on Lake George Townhouse	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Sam-Min-Jun-Yi Assoc. provided RT airfare between	Washington DC and San Fransisco and
two days food and lodging in connection with spea	king engagement
American Maritime officers service provided RT ai	rfare including wife between Washington
DC and Dania, Fl and two days food and lodging in	connection with maritime seminar

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
N/A	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/10/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

HAND DELIVERED 8 9 0 7 0 2 3 0 1 3 7

1989 MAY 16 PM 1:55

CLERK OF THE HOUSE OF REPRESENTATIVES

GERALD B. SOLOMON
MEMBER OF CONGRESS
24TH DISTRICT, NEW YORK
ROOM 2265 RAYBURN BUILDING
WASHINGTON, DC 20515
(202) 225-5614

GASLIGHT SQUARE
SARATOGA SPRINGS, NY 12866
(518) 587-9800

MEMBER
HOUSE TASK FORCE ON AMERICAN
PRISONERS OF WAR AND
MISSING IN SOUTHEAST ASIA

Congress of the United States
House of Representatives
Washington, DC 20515

RULES COMMITTEE

SENIOR RANKING REPUBLICAN
SUBCOMMITTEE ON RULES

MEMBER
HOUSE TASK FORCE ON
CHILD CARE, DRUGS,
EDUCATION AND THE ELDERLY

ASSISTANT FLOOR WHIP

May 16, 1989

MC

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, DC 20515

Dear Colleague:

This letter is to amend my most recent Financial Disclosure Statement relating to Part II A.

The income from Sam-Min-Juu-Yi Association, American Maritime Officers Service, and Aero Space Industry were honoraria.

Sincerely,

Jerry

GERALD B. SOLOMON
Member of Congress

GBS:cag

DISTRICT OFFICES

588 Columbia Turnpike
East Greenbush, NY 12061
518-477-2703

P.O. Box 71
Rhinebeck, NY 12572
914-876-2200

619 Warren Street
Hudson, NY 12534
518-828-0181

21 Bay Street
Glens Falls, NY 12801
518 792 [illegible]

INSIDE MAIL

0 3 3 7 3 2 7 1 2 4 1

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

1989 MAY 10 PM 2:48
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

FORM A—For use by Members, officers, and employees

Floyd Davidson Spence
(Full Name)

2405 Rayburn House Office Building
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State SC

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Navy Retirement	Pension	6,333.13	
SC State Retirement	Pension	4,226.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bedenbaugh Logging of Prosperity	Timber Sale	D
Venture Investments	Capital Gain	B
Lexington State Bank	Interest	B
Office of Personnel Management	Interest	C
Desree Lightle-Mortgage	Prin. & Int.	D
Stephen Lyman-Mortgage	Prin. & Int.	D
James Johnson-Mortgage(Paid off-7/7/88)	Prin. & Int.	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Venture Investments (Sold in April 1988)	B
Capitol Holding Corporation (Sold in April & October 1988)	A
Lexington State Bank (Money Market Checking Account)	C
Note Receivable-Desree Lightle	C
Note Receivable-Stephen Lyman	B
Real Property-122 acres-Lake Murray -Lexington County, SC	F

(See continuation sheet)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Venture Investments (Sold)	4/88	B
Capitol Holding Corporation (Sold)	4/88 & 10/88	A
Deeded remaining interest and entire acreage of unimproved in Lexington County to four sons	2/88	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None (House Committee on Standards of Official Conduct waived reporting of wedding gifts in letter dated 12/16/88).		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	Indian Waters Council-BSA
Advisory Council	Lutheran Seminary

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Floyd Davidson Spence	May 9, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Floyd D. Spence
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	Category
III-Holdings	Real Property-206.4 acres unimproved property, Lexington County, SC	D
	Real Property-½ interest in 2 unimproved lots, West Columbia, SC	A

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

John M. Spratt, Jr.
(Full Name)

1533 Longworth House Office Building
(Mailing Address)
Washington, D. C. 20515

MC
(Office Use Only)

MAY 23 [illegible] 23

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State South Carolina

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Continuation Sheet, Page 1.			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Continuation Sheet, Page 2.		
See Continuation Sheets 2-A, 2-B, and 2-C		
for unearned income of dependent children.		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Continuation Sheet, Page 3.	
See Continuation Sheet, Pages 3-A, 3-B, and 3-C for holdings	
of dependent children.	
RSI Corporation	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of "Gaulden House" to York Bancshares, Inc.	7/29/88	D
Sale of interest in "Stewart Farm" to Homestead Land & Timber Co.	10/88	D
Purchase of lot in River Pointe, Lake Wylie, Mecklenburg County, N.C.	7/88	E

(For transactions involving dependent children, see Continuation Sheets, pp 4A-C.)

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Note to Bank of York, York, S.C., secured by stock, proceeds used for purchase of lot in River Pointe, Lake Wylie	D
Policy loans from New York Life Insurance Co., Home Life Insurance Co., New York	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None.	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None.		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Nat'l Guard Association of S.C. 3/11 & 12/88	Convention speaker. Meals, Lodging, Travel/Charlotte to Hilton Head.
S. C. Bankers Association 2/29 & 30/88	Seminar speaker. R/T airfare D.C. to Hilton Head.
Palmetto Business Forum 5/9/88	R/T airfare, DC/Columbia, S.C. Meal.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None.	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None.		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John M Spratt Jr.	May 15. 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

ETHICS IN GOVERNMENT -- FINANCIAL DISCLOSURE STATEMENT

Continuation Sheet, Page 1

II. INCOME

A. Earned Income.

Source:	Type:	Amount:
(1) U. S. Government	Net Salary, Member of Congress	$80,388

(2) Honoraria:

		Date:	Amount:
(a)	Conference Mgt. Assoc.	3/31/88	$500
(b)	Congressional Quarterly	3/30/88	$300
(c)	Palmetto Business Forum	5/17/88	$1000

(3) Disposition of Honoraria:

(a)	C.O.R.D., Sumter, S.C.	4/19/88	$100
(b)	ETV Endowment of S.C.	4/29/88	$25
(c)	Wesley Chapel Church, Camden, S.C.	4/29/88	$50
(d)	McCelvey Center, York, S.C.	5/24/88	$500
(e)	Gantt Scholarship Endowment	9/01/88	$250
(f)	Newberry College	9/15/88	$150
(g)	River Hills Emergency Squad	9/21/88	$50
(h)	Museum of York County	12/31/88	$500
(i)	ETV Endowment of S.C.	12/31/88	$200
(j)	Clinton College, Rock Hill, S.C.	12/31/88	$100

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ETHICS IN GOVERNMENT -- FINANCIAL DISCLOSURE STATEMENT

Continuation Sheet, Page 2

II. INCOME, continued

B. Unearned Income.

Source:	Type:	Category:
(1) York Bancshares, Inc.	Dividend	E
(2) Sonoco Products, Inc.	Dividend	C
(3) NCNB Corp.	Dividend	C
(4) Springs Industries, Inc.	Dividend	A
(5) Exxon Corp.	Dividend	A
(6) Citicorp	Dividend	B
(7) Beaver Dam Farms, Inc.	Dividend	B
(8) Lake Wylie Plaza Associates	Dividend	B
(9) Bank of York	Interest	C
(10) New York Life Ins. Co.	Interest	C
(11) Home Life Insurance Co.	Interest	C
(12) Life of Virginia	Interest	B
(13) C&S Nat'l Bank of S.C.	Interest	A
(14) U.S. Government, Office of Personnel Management	Interest on refunded pension contribution	C
(15) Federal Aviation Administration	Rent for VORTAC sites	E
(16) Peoples First Insurance & Realty	Rent on building, Fort Mill, S.C.	B
(17) Peoples First Insurance & Realty	Installment payments to Spratt Insurance Agency for purchase of policies	E
(18) Homestead Land & Timber Co.	Downpayment on land purchase	A

ETHICS IN GOVERNMENT — FINANCIAL DISCLOSURE STATEMENT

Continuation Sheet, Page 2-A

IV. Unearned Income of Dependent Children

Name of Child: Susan E. Spratt

I. Income under Trust Agreement made by Jane B. Spratt, dated June 30, 1976, with NCNB National Bank as Substitute Trustee:

Source:	Type:	Category:
(1) NCNB Daily Income Fund	Interest	C
(2) First Jersey National Bank	Dividend	A
(3) Greyhound Corp.	Dividend	A
(4) Becton Dickinson & Co.	Dividend	A
(5) Premark International, Inc.	Dividend	A
(6) U. S. Treasury Note	Dividend	B
(7) Shoney's, Inc.	Dividend	A
(8) Columbia Pictures Ent., Inc.	Dividend	A
(9) BellSouth Corp.	Dividend	A
(10) Bristol Myers Co.	Dividend	A
(11) Carolina Power & Light Co.	Dividend	A
(12) E G & G, Inc.	Dividend	A
(13) Dreyfus Corp.	Dividend	A
(14) Merrill Lynch & Co., Inc.	Dividend	A
(15) Eaton Corp.	Dividend	A
(16) American General Corp.	Dividend	A
(17) Borden, Inc.	Dividend	A
(18) Dow Jones & Co., Inc.	Dividend	A
(19) Farmers Group, Inc.	Dividend	A
(20) Georgia Pacific Corp.	Dividend	A
(21) Exxon Corp.	Dividend	A
(22) IBM	Dividend	A
(23) Kraft, Inc.	Dividend	A
(24) Coca Cola, Inc.	Dividend	A
(25) General Mills, Inc.	Dividend	A
(26) Royal Dutch Petroleum Co.	Dividend	A
(27) Quantum Chemical Corp.	Dividend	A
(28) General Motors Corp.	Dividend	A
(29) Inland Steel Industries, Inc.	Dividend	A
(30) Phelps Dodge Corp.	Dividend	A
(31) Tenneco, Inc.	Dividend	A

II. Income from Assets held by NCNB National Bank as Investment Manager:

Source:	Type:	Category:
(1) NCNB Money Market Account	Interest	B
(2) U. S. Treasury Notes	Interest	A
(3) U. S. Air Group	Dividend	A
(4) Browning Ferris Industries, Inc.	Dividend	A
(5) Deere & Co.	Dividend	A
(6) Ethyl Corp.	Dividend	A
(7) Federal Nat'l Mtg. Assn.	Dividend	A
(8) Norfolk Southern Corp.	Dividend	A
(9) Phelps Dodge Corp.	Dividend	A
(10) Philip Morris Co., Inc.	Dividend	A
(11) Tenneco, Inc.	Dividend	A
(12) Merrill Lynch & Co., Inc.	Dividend	A
(13) American Tel. & Tel. Co.	Dividend	A
(14) Exxon Corp.	Dividend	A
(15) Maytag Corp.	Dividend	A
(16) Bristol Myers Co.	Dividend	A

III. Other Income:

(1) Savings Account, Bank of York	Interest	A
(2) York Bancshares, Inc.	Dividend	B

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ETHICS IN GOVERNMENT -- FINANCIAL DISCLOSURE STATEMENT

Continuation Sheet, Page 2-B

IV. Unearned Income of Dependent Children

Name of Child: Sarah S. Spratt

I. Income under Trust Agreement made by Jane B. Spratt, dated June 30, 1976, with NCNB National Bank as Substitute Trustee:

Source:	Type:	Category:
(1) NCNB Daily Income Fund	Interest	C
(2) First Jersey National Bank	Dividend	A
(3) Greyhound Corp.	Dividend	A
(4) Becton Dickinson & Co.	Dividend	A
(5) Premark International, Inc.	Dividend	A
(6) U. S. Treasury Note	Dividend	B
(7) Shoney's, Inc.	Dividend	A
(8) Columbia Pictures Ent., Inc.	Dividend	A
(9) BellSouth Corp.	Dividend	A
(10) Bristol Myers Co.	Dividend	A
(11) Carolina Power & Light Co.	Dividend	A
(12) E G & G, Inc.	Dividend	A
(13) Dreyfus Corp.	Dividend	A
(14) Merrill Lynch & Co., Inc.	Dividend	A
(15) Eaton Corp.	Dividend	A
(16) American General Corp.	Dividend	A
(17) Borden, Inc.	Dividend	A
(18) Dow Jones & Co., Inc.	Dividend	A
(19) Farmers Group, Inc.	Dividend	A
(20) Georgia Pacific Corp.	Dividend	A
(21) Exxon Corp.	Dividend	A
(22) IBM	Dividend	A
(23) Kraft, Inc.	Dividend	A
(24) Coca Cola, Inc.	Dividend	A
(25) General Mills, Inc.	Dividend	A
(26) Royal Dutch Petroleum Co.	Dividend	A
(27) Quantum Chemical Corp.	Dividend	A
(28) General Motors Corp.	Dividend	A
(29) Inland Steel Industries, Inc.	Dividend	A
(30) Phelps Dodge Corp.	Dividend	A
(31) Tenneco, Inc.	Dividend	A

II. Income from Assets held by NCNB National Bank as Investment Manager:

Source:	Type:	Category:
(1) NCNB Money Market Account	Interest	B
(2) U. S. Treasury Notes	Interest	A
(3) U. S. Air Group	Dividend	A
(4) Browning Ferris Industries, Inc.	Dividend	A
(5) Deere & Co.	Dividend	A
(6) Ethyl Corp.	Dividend	A
(7) Federal Nat'l Mtg. Assn.	Dividend	A
(8) Norfolk Southern Corp.	Dividend	A
(9) Phelps Dodge Corp.	Dividend	A
(10) Philip Morris Co., Inc.	Dividend	A
(11) Tenneco, Inc.	Dividend	A
(12) Merrill Lynch & Co., Inc.	Dividend	A
(13) American Tel. & Tel. Co.	Dividend	A
(14) Exxon Corp.	Dividend	A
(15) Maytag Corp.	Dividend	A
(16) Bristol Myers Co.	Dividend	A

III. Other Income:

(1) Savings Account, Bank of York	Interest	A
(2) York Bancshares, Inc.	Dividend	B
(3) Savings Account, C&S Nat'l Bank, Fort Mill	Interest	A

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ETHICS IN GOVERNMENT -- FINANCIAL DISCLOSURE STATEMENT

Continuation Sheet, Page 2-C

IX. Unearned Income of Dependent Children

Name of Child: Catherine B. Spratt

I. Income under Trust Agreement made by Jane B. Spratt, dated June 30, 1976, with NCNB National Bank as Substitute Trustee:

Source:	Type:	Category:
(1) NCNB Daily Income Fund	Interest	C
(2) First Jersey National Bank	Dividend	A
(3) Greyhound Corp.	Dividend	A
(4) Becton Dickinson & Co.	Dividend	A
(5) Premark International, Inc.	Dividend	A
(6) U. S. Treasury Note	Dividend	B
(7) Shoney's, Inc.	Dividend	A
(8) Columbia Pictures Ent., Inc.	Dividend	A
(9) BellSouth Corp.	Dividend	A
(10) Bristol Myers Co.	Dividend	A
(11) Carolina Power & Light Co.	Dividend	A
(12) E G & G, Inc.	Dividend	A
(13) Dreyfus Corp.	Dividend	A
(14) Merrill Lynch & Co., Inc.	Dividend	A
(15) Eaton Corp.	Dividend	A
(16) American General Corp.	Dividend	A
(17) Borden, Inc.	Dividend	A
(18) Dow Jones & Co., Inc.	Dividend	A
(19) Farmers Group, Inc.	Dividend	A
(20) Georgia Pacific Corp.	Dividend	A
(21) Exxon Corp.	Dividend	A
(22) IBM	Dividend	A
(23) Kraft, Inc.	Dividend	A
(24) Coca Cola, Inc.	Dividend	A
(25) General Mills, Inc.	Dividend	A
(26) Royal Dutch Petroleum Co.	Dividend	A
(27) Quantum Chemical Corp.	Dividend	A
(28) General Motors Corp.	Dividend	A
(29) Inland Steel Industries, Inc.	Dividend	A
(30) Phelps Dodge Corp.	Dividend	A
(31) Tenneco, Inc.	Dividend	A

II. Income from Assets held by NCNB National Bank as Investment Manager:

Source:	Type:	Category:
(1) NCNB Money Market Account	Interest	B
(2) U. S. Treasury Notes	Interest	A
(3) U. S. Air Group	Dividend	A
(4) Browning Ferris Industries, Inc.	Dividend	A
(5) Deere & Co.	Dividend	A
(6) Ethyl Corp.	Dividend	A
(7) Federal Nat'l Mtg. Assn.	Dividend	A
(8) Norfolk Southern Corp.	Dividend	A
(9) Phelps Dodge Corp.	Dividend	A
(10) Philip Morris Co., Inc.	Dividend	A
(11) Tenneco, Inc.	Dividend	A
(12) Merrill Lynch & Co., Inc.	Dividend	A
(13) American Tel. & Tel. Co.	Dividend	A
(14) Exxon Corp.	Dividend	A
(15) Maytag Corp.	Dividend	A
(16) Bristol Myers Co.	Dividend	A

III. Other Income:

(1) Savings Account, Bank of York	Interest	A
(2) York Bancshares, Inc.	Dividend	B

ETHICS IN GOVERNMENT ACT -- FINANCIAL DISCLOSURE STATEMENT

Continuation Sheet, Page 3

III. HOLDINGS

	Identity:	Category:
(1)	810-acre farm, Fort Mill, South Carolina	F
(2)	Former bank building, Main Street, Fort Mill, S.C.	C
(3)	"Gaulden House," W. Liberty Street, York, S.C.	D
(4)	10% interest in 450-acre tract ("Stewart Farm"), near York, S.C.	C
(5)	Spratt Insurance Agency, common stock representing 90% ownership interest	D
(6)	York Bancshares, Inc. common stock	F
(7)	NCNB Corporation common stock	D
(8)	Sonoco Products Corp. common stock	E
(9)	Exxon Corp. common stock	B
(10)	Citicorp common stock	B
(11)	Springs Industries, Inc. common stock	B
(12)	Beaver Dam Farms, Inc. common stock	B
(13)	Lake Wylie Plaza Associates, Class "B" limited partnership interest	C
(14)	One-half interest in unimproved lot, Pinckney St., York, S.C.	B
(15)	Unimproved lot, River Pointe Subdivision, Lake Wylie, Mecklenburg County, N.C.	F
(16)	NOW Account, Bank of York, York, S.C.	D
(17)	Checking Account, Nat'l Savings & Trust	A
(18)	Checking Account, Sergeant-at-Arms, U.S. Capitol	C
(19)	Investment Account, Bank of York, York, S.C.	C
(20)	Investment Account, C&S Nat'l Bank, Fort Mill, S.C.	A
(21)	IRA Accounts, Bank of York, York, S.C.	C
(22)	Honoraria Account, Bank of York, York, S.C.	A
(23)	Promissory Note from Spratt for Congress Committee	E
(24)	Purchase-Money Mortgage Note from Thomas B. Spratt, III, and Alexa H. Spratt	C
(25)	Promissory Note from J. David Weeks	A
(26)	Promissory Note from Jos. H. Rainey	B
(27)	Law library	C
(29)	Cash surrender values in whole life insurance policies issued by Home Life Insurance Co., New York, New York Life Insurance Co., and Life of Virginia	D

Continuation Sheet, Page 3-A

III. Holdings

Name of Child: Susan E. Spratt

Assets Held by NCNB National Bank as Substitute Trustee under Trust Agreement with Jane B. Spratt, dated December 30, 1976

Identity:	Category:
(1) NCNB Daily Income Fund	D
(2) First Jersey Nat'l Corp.	B
(3) Greyhound Corp.	B
(4) Becton Dickinson & Co.	B
(5) Premark International, Inc.	A
(6) U. S. Treasury Notes	B
(7) Shoney's, Inc.	A
(8) Columbia Pictures Enterprises, Inc.	A
(9) BellSouth Corp.	B
(10) Bristol Myers Co.	A
(11) Carolina Power & Light Co.	A
(12) E G & G, Inc.	A
(13) Dreyfus Corp.	B
(14) Merrill Lynch & Co., Inc.	A
(15) Eaton Corp.	B
(16) American General Corp.	A
(17) Borden, Inc.	B
(18) Dow Jones & Co., Inc.	B
(19) Farmers Group, Inc.	B
(20) Georgia Pacific Corp.	B
(21) Exxon Corp.	A
(22) IBM	B
(23) Kraft, Inc.	B
(24) Coca Cola Co.	B
(25) General Mills, Inc.	B
(26) Royal Dutch Petroleum Co.	A
(27) Quantum Chemical Corp.	B
(28) General Motors Corp.	B
(29) Inland Steel Industries, Inc.	A
(30) Deere & Co.	B
(31) Comerica, Inc.	B
(32) Phelps Dodge Corp.	B
(33) Tenneco, Inc.	B
(34) U.S. Air Group, Inc.	C
(35) Digital Equipment Corp.	B

Continuation Sheet, Page 3-B

III. Holdings

Name of Child: Sarah S. Spratt

Assets Held by NCNB National Bank as Substitute Trustee under
Trust Agreement with Jane B. Spratt, dated December 30, 1976

Identity:	Category:
(1) NCNB Daily Income Fund	D
(2) First Jersey Nat'l Corp.	B
(3) Greyhound Corp.	B
(4) Becton Dickinson & Co.	B
(5) Premark International, Inc.	A
(6) U. S. Treasury Notes	B
(7) Shoney's, Inc.	A
(8) Columbia Pictures Enterprises, Inc.	A
(9) BellSouth Corp.	B
(10) Bristol Myers Co.	A
(11) Carolina Power & Light Co.	A
(12) E G & G, Inc.	A
(13) Dreyfus Corp.	B
(14) Merrill Lynch & Co., Inc.	A
(15) Eaton Corp.	B
(16) American General Corp.	A
(17) Borden, Inc.	B
(18) Dow Jones & Co., Inc.	B
(19) Farmers Group, Inc.	B
(20) Georgia Pacific Corp.	B
(21) Exxon Corp.	A
(22) IBM	B
(23) Kraft, Inc.	B
(24) Coca Cola Co.	B
(25) General Mills, Inc.	B
(26) Royal Dutch Petroleum Co.	A
(27) Quantum Chemical Corp.	B
(28) General Motors Corp.	B
(29) Inland Steel Industries, Inc.	A
(30) Deere & Co.	B
(31) Comerica, Inc.	B
(32) Phelps Dodge Corp.	B
(33) Tenneco, Inc.	B
(34) U.S. Air Group, Inc.	C
(35) Digital Equipment Corp.	B

Continuation Sheet, Page 3-C

III. Holdings

Name of Child: Catherine B. Spratt

Assets Held by NCNB National Bank as Substitute Trustee under Trust Agreement with Jane B. Spratt, dated December 30, 1976

Identity:	Category:
(1) NCNB Daily Income Fund	D
(2) First Jersey Nat'l Corp.	B
(3) Greyhound Corp.	B
(4) Becton Dickinson & Co.	B
(5) Premark International, Inc.	A
(6) U. S. Treasury Notes	B
(7) Shoney's, Inc.	A
(8) Columbia Pictures Enterprises, Inc.	A
(9) BellSouth Corp.	B
(10) Bristol Myers Co.	A
(11) Carolina Power & Light Co.	A
(12) E G & G, Inc.	A
(13) Dreyfus Corp.	B
(14) Merrill Lynch & Co., Inc.	A
(15) Eaton Corp.	B
(16) American General Corp.	A
(17) Borden, Inc.	B
(18) Dow Jones & Co., Inc.	B
(19) Farmers Group, Inc.	B
(20) Georgia Pacific Corp.	B
(21) Exxon Corp.	A
(22) IBM	B
(23) Kraft, Inc.	B
(24) Coca Cola Co.	B
(25) General Mills, Inc.	B
(26) Royal Dutch Petroleum Co.	A
(27) Quantum Chemical Corp.	B
(28) General Motors Corp.	B
(29) Inland Steel Industries, Inc.	A
(30) Deere & Co.	B
(31) Comerica, Inc.	B
(32) Phelps Dodge Corp.	B
(33) Tenneco, Inc.	B
(34) U.S. Air Group, Inc.	C
(35) Digital Equipment Corp.	B

Continuation Sheet, Page 3-D

III. Holdings

Name of Child: Susan E. Spratt

Assets Held by NCNB National Bank as Investment Manager

Identity:	**Category:**
(1) NCNB Money Market Account	G
(2) U.S. Treasury Notes	B
(3) U. S. Air Group	A
(4) Browning Ferris Industries, Inc.	B
(5) Deere & Co.	A
(6) Ethyl Corp.	A
(7) Federal Nat'l Mtg Assn.	B
(8) Norfolk Southern Corp.	B
(9) Phelps Dodge Corp.	A
(10) Philip Morris Co., Inc.	A
(11) Tenneco, Inc.	A
(12) Merrill Lynch & Co., Inc.	A
(13) American Tel. & Tel. Co.	A
(14) Nucor Corp.	A
(15) Compaq Computer Corp.	B
(16) Digital Equipment Corp.	A
(17) Exxon Corp.	B
(18) Maytag Corp.	B
(19) Bristol Myers Co.	A
(20) Tenneco, Inc.	A

[illegible]

Continuation Sheet, Page 3-B

III. Holdings

Name of Child: Sarah S. Spratt
Assets Held by NCNB National Bank as Investment Manager

Identity:	Category:
(1) NCNB Money Market Account	D
(2) U.S. Treasury Notes	B
(3) U. S. Air Group	A
(4) Browning Ferris Industries, Inc.	B
(5) Deere & Co.	A
(6) Ethyl Corp.	A
(7) Federal Nat'l Mtg Assn.	B
(8) Norfolk Southern Corp.	B
(9) Phelps Dodge Corp.	A
(10) Philip Morris Co., Inc.	A
(11) Tenneco, Inc.	A
(12) Merrill Lynch & Co., Inc.	A
(13) American Tel. & Tel. Co.	A
(14) Nucor Corp.	A
(15) Compaq Computer Corp.	B
(16) Digital Equipment Corp.	A
(17) Exxon Corp.	B
(18) Maytag Corp.	B
(19) Bristol Myers Co.	A
(20) Tenneco, Inc.	A

Continuation Sheet, Page 3-F

III. Holdings

Name of Child: Catherine B. Spratt

Assets Held by NCNB National Bank as Investment Manager

Identity:	Category:
(1) NCNB Money Market Account	C
(2) U.S. Treasury Notes	B
(3) U. S. Air Group	A
(4) Browning Ferris Industries, Inc.	B
(5) Deere & Co.	A
(6) Ethyl Corp.	A
(7) Federal Nat'l Mtg Assn.	B
(8) Norfolk Southern Corp.	B
(9) Philip Morris Co., Inc.	A
(10) Merrill Lynch & Co., Inc.	A
(11) American Tel. & Tel. Co.	A
(12) Nucor Corp.	A
(13) Compaq Computer Corp.	B
(14) Digital Equipment Corp.	A
(15) Exxon Corp.	B
(16) Maytag Corp.	B
(17) Bristol Myers Co.	A

Continuation Sheet, Page 4-A

IV. TRANSACTIONS.

Name of Child: Susan E. Spratt

Transactions by NCNB National Bank as Investment Manager

Brief Description:	Date:	Category:
(1) Purchase of common stock in Browning Ferris Industries, Inc.	3/08/88	B
(2) Sale of common stock in Compaq Computer Corp.	3/08/88	B
(3) Sale of common stock in Digital Equipment Corp.	3/08/88	A
(4) Purchase of common stock in Ethyl Corp.	3/08/88	A
(5) Purchase of shares in Federal Nat'l Mtg Assn.	3/08/88	B
(6) Sale of common stock in Maytag Corp.	3/08/88	A
(7) Sale of common stock in Merrill Lynch & Co., Inc.	3/08/88	A
(8) Purchase of common stock in Norfolk Southern Corp.	3/08/88	B
(9) Sale of common stock in Nucor Corp.	3/08/88	A
(10) Sale of common stock in U. S. Air Group	5/23/88	A
(11) Purchase of common stock in Philip Morris Co., Inc.	5/23/88	A
(12) Sale of common stock in American Tel. & Tel. Co.	10/11/88	B
(13) Purchase of common stock in Deere & Co.	10/11/88	A
(14) Sale of common stock in Exxon Corp.	10/11/88	B

(15)	Purchase of common stock in Phelps Dodge Corp.	10/11/88	A
(16)	Purchase of common stock in Tenneco, Inc.	10/11/88	A
(17)	Purchase of common stock in U. S. Air Group	10/11/88	A
(18)	Sale of common stock in Bristol Myers Co.	10/11/88	A

(B) Transactions by NCNB National Bank as Substitute Trustee under Trust Agreement with Jane B. Spratt, dated 12/30/76

(1)	Spin-off of common stock in Columbia Pictures Ent., Inc. by Coca Cola Co.	1/29/88	A
(2)	Exchange of stock in First Jersey Nat'l Corp.	2/02/88	B
(3)	Sale of stock in Columbia Pictures Ent., Inc.	2/11/88 8/17/88	A
(4)	Sale of common stock in Digital Equipment Corp.	6/27/88	B
(5)	Sale of common stock in Farmers Group, Inc.	6/27/88	B
(6)	Sale of common stock in Dow Jones & Co., Inc.	8/10/88	B
(7)	Sale of common stock in E G & G, Inc.	8/10/88	A
(8)	Purchase of common stock in General Motors Corp.	8/10/88	B
(9)	Sale of common stock in Greyhound Corp.	8/10/88	B
(10)	Purchase of common stock in Inland Steel Industries, Inc.	8/10/88	A
(11)	Sale of common stock in Premark International, Inc.	8/10/88	A
(12)	Purchase of common stock in Quantum Chemical Corp.	8/10/88	A

(13) Sale of common stock in Becton Dickinson & Co.	8/10/88	B
(14) Sale of common stock in Shoney's, Inc.	9/08/88	A
(15) Purchase of common stock in Comerica, Inc.	10/11/88	B
(16) Purchase of common stock in Deere & Co.	10/11/88	B
(17) Sale of common stock in Exxon Corp.	10/11/88	B
(18 Sale of common stock in IBM Corp.	10/11/88	A
(19) Purchase of common stock in Phelps Dodge Corp.	10/11/88	B
(20) Purchase of common stock in Tenneco, Inc.	10/11/88	B
(21) Purchase of common stock in U. S. Air Group	10/11/88	B
(22) Sale of common stock in American General Corp.	10/12/88	A
(23) Sale of common stock in Bristol Myers Co.	10/12/88	A
(24) Sale of common stock in Dreyfus Corp.	10/12/88	A
(25) Sale of common stock in General Mills, Inc.	10/12/88	B
(26) Sale of common stock in Royal Dutch Petroleum Co.	10/12/88	B
(27) Sale of common stock in Shoney's, Inc.	10/12/88	A

Continuation Sheet, Page 4-B

IV. TRANSACTIONS.

Name of Child: Sarah S. Spratt

Transactions by NCNB National Bank as Investment Manager

Brief Description:	Date:	Category:
(1) Purchase of common stock in Browning Ferris Industries, Inc.	3/08/88	B
(2) Sale of common stock in Compaq Computer Corp.	3/08/88	B
(3) Sale of common stock in Digital Equipment Corp.	3/08/88	A
(4) Purchase of common stock in Ethyl Corp.	3/08/88	A
(5) Purchase of shares in Federal Nat'l Mtg Assn.	3/08/88	B
(6) Sale of common stock in Maytag Corp.	3/08/88	A
(7) Sale of common stock in Merrill Lynch & Co., Inc.	3/08/88	A
(8) Purchase of common stock in Norfolk Southern Corp.	3/08/88	B
(9) Sale of common stock in Nucor Corp.	3/08/88	A
(10) Sale of common stock in U. S. Air Group	5/23/88	A
(11) Purchase of common stock in Philip Morris Co., Inc.	5/23/88	A
(12) Sale of common stock in American Tel. & Tel. Co.	10/11/88	B
(13) Purchase of common stock in Deere & Co.	10/11/88	A
(14) Sale of common stock in Exxon Corp.	10/11/88	B
(15) Purchase of common stock in Phelps Dodge Corp.	10/11/88	A

(16) Purchase of common stock in Tenneco, Inc.	10/11/88	A
(17) Purchase of common stock in U. S. Air Group	10/11/88	A
(18) Sale of common stock in Bristol Myers Co.	10/11/88	A

(B) Transactions by NCNB National Bank as Substitute Trustee under Trust Agreement with Jane B. Spratt, dated 12/30/76

(1) Spin-off of common stock in Columbia Pictures Ent., Inc. by Coca Cola Co.	1/29/88	A
(2) Exchange of stock in First Jersey Nat'l Corp.	2/02/88	B
(3) Sale of stock in Columbia Pictures Ent., Inc.	2/11/88 8/17/88	A
(4) Sale of common stock in Digital Equipment Corp.	6/27/88	B
(5) Sale of common stock in Farmers Group, Inc.	6/27/88	B
(6) Sale of common stock in Dow Jones & Co., Inc.	8/10/88	B
(7) Sale of common stock in E G & G, Inc.	8/10/88	A
(8) Purchase of common stock in General Motors Corp.	8/10/88	B
(9) Sale of common stock in Greyhound Corp.	8/10/88	B
(10) Purchase of common stock in Inland Steel Industries, Inc.	8/10/88	A
(11) Sale of common stock in Premark International, Inc.	8/10/88	A
(12) Purchase of common stock in Quantum Chemical Corp.	8/10/88	A

(13) Sale of common stock in Becton Dickinson & Co.	8/10/88	B
(14) Sale of common stock in Shoney's, Inc.	9/08/88	A
(15) Purchase of common stock in Comerica, Inc.	10/11/88	B
(16) Purchase of common stock in Deere & Co.	10/11/88	B
(17) Sale of common stock in Exxon Corp.	10/11/88	B
(18 Sale of common stock in IBM Corp.	10/11/88	A
(19) Purchase of common stock in Phelps Dodge Corp.	10/11/88	B
(20) Purchase of common stock in Tenneco, Inc.	10/11/88	B
(21) Purchase of common stock in U. S. Air Group	10/11/88	B
(22) Sale of common stock in American General Corp.	10/12/88	A
(23) Sale of common stock in Bristol Myers Co.	10/12/88	A
(24) Sale of common stock in Dreyfus Corp.	10/12/88	A
(25) Sale of common stock in General Mills, Inc.	10/12/88	B
(26) Sale of common stock in Royal Dutch Petroleum Co.	10/12/88	B
(27) Sale of common stock in Shoney's, Inc.	10/12/88	A

Continuation Sheet, Page 4-C

IV. TRANSACTIONS.

Name of Child: Catherine B. Spratt

Transactions by NCNB National Bank as Investment Manager

Brief Description:	Date:	Category:
(1) Purchase of common stock in Browning Ferris Industries, Inc.	3/08/88	B
(2) Sale of common stock in Compaq Computer Corp.	3/08/88	B
(3) Sale of common stock in Digital Equipment Corp.	3/08/88	A
(4) Purchase of common stock in Ethyl Corp.	3/08/88	A
(5) Purchase of shares in Federal Nat'l Mtg Assn.	3/08/88	B
(6) Sale of common stock in Maytag Corp.	3/08/88	A
(7) Sale of common stock in Merrill Lynch & Co., Inc.	3/08/88	A
(8) Purchase of common stock in Norfolk Southern Corp.	3/08/88	B
(9) Sale of common stock in Nucor Corp.	3/08/88	A
(10) Sale of common stock in U. S. Air Group	5/23/88	A
(11) Purchase of common stock in Philip Morris Co., Inc.	5/23/88	A
(12) Sale of common stock in American Tel. & Tel. Co.	10/11/88	B
(13) Purchase of common stock in Deere & Co.	10/11/88	A
(14) Sale of common stock in Exxon Corp.	10/11/88	B
(15) Purchase of common stock in Phelps Dodge Corp.	10/11/88	A

(16) Purchase of common stock in Tenneco, Inc.	10/11/88	A
(17) Purchase of common stock in U. S. Air Group	10/11/88	A
(18) Sale of common stock in Bristol Myers Co.	10/11/88	A

(B) Transactions by NCNB National Bank as Substitute Trustee under Trust Agreement with Jane B. Spratt, dated 12/30/76

(1) Spin-off of common stock in Columbia Pictures Ent., Inc. by Coca Cola Co.	1/29/88	A
(2) Exchange of stock in First Jersey Nat'l Corp.	2/02/88	B
(3) Sale of stock in Columbia Pictures Ent., Inc.	2/11/88 8/17/88	A
(4) Sale of common stock in Digital Equipment Corp.	6/27/88	B
(5) Sale of common stock in Farmers Group, Inc.	6/27/88	B
(6) Sale of common stock in Dow Jones & Co., Inc.	8/10/88	B
(7) Sale of common stock in E G & G, Inc.	8/10/88	A
(8) Purchase of common stock in General Motors Corp.	8/10/88	B
(9) Sale of common stock in Greyhound Corp.	8/10/88	B
(10) Purchase of common stock in Inland Steel Industries, Inc.	8/10/88	A
(11) Sale of common stock in Premark International, Inc.	8/10/88	A
(12) Purchase of common stock in Quantum Chemical Corp.	8/10/88	A

(13) Sale of common stock in Becton Dickinson & Co.	8/10/88	B
(14) Sale of common stock in Shoney's, Inc.	9/08/88	A
(15) Purchase of common stock in Comerica, Inc.	10/11/88	B
(16) Purchase of common stock in Deere & Co.	10/11/88	B
(17) Sale of common stock in Exxon Corp.	10/11/88	B
(18 Sale of common stock in IBM Corp.	10/11/88	A
(19) Purchase of common stock in Phelps Dodge Corp.	10/11/88	B
(20) Purchase of common stock in Tenneco, Inc.	10/11/88	B
(21) Purchase of common stock in U. S. Air Group	10/11/88	B
(22) Sale of common stock in American General Corp.	10/12/88	A
(23) Sale of common stock in Bristol Myers Co.	10/12/88	A
(24) Sale of common stock in Dreyfus Corp.	10/12/88	A
(25) Sale of common stock in General Mills, Inc.	10/12/88	B
(26) Sale of common stock in Royal Dutch Petroleum Co.	10/12/88	B
(27) Sale of common stock in Shoney's, Inc.	10/12/88	A

HAND DELIVERED

8 9 0 7 0 2 9 1 7 0 8

JOHN M. SPRATT, JR.
5th DISTRICT, SOUTH CAROLINA

1533 LONGWORTH BUILDING
WASHINGTON, D.C. 20515
(202) 225-5501

COMMITTEES

ARMED SERVICES
GOVERNMENT OPERATIONS

Congress of the United States
House of Representatives
Washington, D.C. 20515

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214 W. LAURENS STREET
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(803) 984-6323

May 23, 1989

1989 MAY 23 PM 4:10

MC

The Honorable Julian Dixon
Chairman
Committee on Standards of Official
  Conduct
HT-2, The Capitol
Washington, DC 20515

Dear Mr. Chairman:

Today, I submitted my 1988 Financial Disclosure Statement. I have subsequently realized that I made an error, and I would like to request that my statement be amended as follows:

> Under Section III, Holdings, with respect of my daughter Susan E. Spratt, I showed on page 3-D (continuation sheet) that her NCNB Money Market Account was "Category G". This is in error. The category should be "D".

Please let me know if I need to provide additional information to correct this error.

Thank you for your assistance.

Respectfully,

John M. Spratt Jr.

John M. Spratt, Jr.

JMSj:j

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Harley O. Staggers, Jr.
(Full Name)

1504 Longworth Building
(Mailing Address)

Washington, D. C.

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2d State WV

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,036, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

	A. SOURCE	TYPE	AMOUNT	DISPOSITION
(H)	Washington & Lee University - 4/1/88	Honorarium -	$2,000	None
(H)	CSX Corporation - 4/15/88	Honorarium -	$1,000	None
(H)	Chicago Board of Trade - 5/5/88	Honorarium -	$ 500	None
(H)	Chicago Mercantile Exchange - 5/6/88	Honorarium -	$ 500	None
(W)	Unisys Corporation (Part Year)	Salary		
(W)	ImagiTex (Part Year)	Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

	B. SOURCE	TYPE	CATEGORY
(H)	National Bank of Keyser	Interest	B
(H)	WV Housing Development Fund	Interest	A
(J)	Perpetual Savings Bank	Interest	A
(W)	Unisys Corporation	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
National Bank of Keyser (Savings)	A
Mattiott Corporation (Stock)	B
WV Housing Development Fund (Bond)	B
1/3 interest in .24 acres in Fort Ashby, Mineral Co., WV (Purchase Price: $32,500)	B
1/3 interest in lots 50,51,52,53 in Keyser, Mineral Co., WV (Purchase Price: $64,000)	C

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Farmers & Merchants Bank of Keyser (1/3 liability)	B
(Mortgage on Fort Ashby Property)	
First National Bank of Piedmont (1/3 liability)	C
(Mortgage on Keyser Property)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Chicago Mercantile Exchange (Speaking Engagement)	Round Trip air fare from D.C. to Chicago, Illinois

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	West Virginia Aid, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Harley O. Staggers Jr.	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

HAND DELIVERED

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HARLEY O. STAGGERS, JR.
2D DISTRICT, WEST VIRGINIA

COMMITTEES:
AGRICULTURE
JUDICIARY
VETERANS' AFFAIRS
CHAIRMAN
HOUSING AND MEMORIAL AFFAIRS
SELECT COMMITTEE ON HUNGER

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE:
1804 Longworth House Office Building
Washington, DC 20515
[illegible]

DISTRICT OFFICES:
Keyser
(304) 788-6311
Lewisburg
(304) 645-3188
Martinsburg
(304) 267-2144
Morgantown
(304) 291-6061

May 23, 1989

MC

The Clerk
U. S. House of Representatives
Office of Records and Registration
1036 Longworth Building
Washington, D. C. 20515

Dear Sir:

This is to amend my Financial Disclosure Statement for 1988.

In Part III - Holdings, I inadvertently omitted stock in Unisys Corporation in Category B and stock in Ohio Edison in Category A. Please correct my statement accordingly.

Thanking you, I am

Very sincerely yours,

Harley O. Staggers Jr.

Harley O. Staggers, Jr.
Member of Congress

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Richard H. Stallings
(Full Name)

116 Birch Avenue
(Mailing Address)

Rexburg, Idaho 83440

Mc (Office Use Only)

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
89 MAY 15 PM 4: 32

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Idaho

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Waste Management, Inc.	2/19/88	Honorarium	2,000	none
Ricks College	3/11/88	Honorarium	100	none
West End Ministerial Assn.	3/26/88	Honorarium	200	none
Pacific NW Grain & Feed	6/20/88	Honorarium	500	none
FMC	8/23/88	Honorarium	1,500	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Property sold on escrow		
1265 East 2550 North, Ogden, Utah	Principle & Interest	B
2½ acres undeveloped land, Lyman, Idaho	Principle & Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO XX NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Home, 1265 E. 2550 N., Ogden, Utah	B
2½ acres undeveloped land, Rexburg, Idaho	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Waste Management, Inc.	Transportation, D.C. to Chicago & return
Pacific N.W. Grain & Feed Assn.	Transportation, D.C. to Spokane & return
FMC	" D.C. to Philadelphia & return
American Nuclear Energy Council	" Rexburg to Denver & return
Thiokol	" D.C. to Salt Lake City & return plus lodging, one night

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1984 to present	Ricks College, Rexburg, Idaho	Unpaid sabbatical/ Re-evaluated each year

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Richard H Stallings	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Richard H. Stallings
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II.A.	American Nuclear Energy Council 8/ 3/88 Honorarium 300 none
II.A.	American Nuclear Energy Council 8/16/88 Honorarium 1,000 none
II.A.	Thiokol 12/12/88 Honorarium 1,000 none

GPO: 1987 79-665 [illegible]

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RECEIVED

89 MAY 15 PM 4:32

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

RICHARD H. STALLINGS
2D DISTRICT, IDAHO

1221 LONGWORTH BUILDING
WASHINGTON, DC 20515
(202) 225-5531

COMMITTEE ON
AGRICULTURE

COMMITTEE ON
SCIENCE, SPACE
AND TECHNOLOGY

SELECT COMMITTEE
ON AGING

Congress of the United States
House of Representatives
Washington, DC 20515

May 15, 1989

The Honorable Julian C. Dixon
Chairman
Committee on Standards of Official
  Conduct
Washington, D.C. 20515

Dear Chairman Dixon:

Section IX on the disclosure form indicates that I am presently on leave from my position as a faculty member of Ricks College in Rexburg, Idaho. This leave is uncompensated. The omission of this information from past disclosure forms was entirely inadvertent. If it is necessary to amend past disclosure forms to correct the inadvertent omission, please let me know.

Sincerely,

R H Stallings

Richard H. Stallings
Member of Congress

RHS/r

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Arlan I. Stangeland
(Full Name)

2245 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

MAY 15 PM 3 19

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7 State MN

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NYSSA NAMPA Beet Growers Assn. (1/6)	honorarium	$2000	
Am. Farm Bureau (2/23)	honorarium	$1000	
Southern Cotton Assn (3/11)	honorarium	$1000	
U.S. Cane Refiners Assn. (3/15)	honorarium	$2000	
Land O'Lakes (3/4)	honorarium	$1000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Farmland, Wilken County Minnesota	gross rental	F

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ [✓ NO] ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Farmland, Wilkin County, Minnesota	E

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Federal LandBank, Fergus Falls, MN, mortgage, farmland, Wilkin Co.	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Southern Cotton Assn.	Airfare and lodging for speaking engagement in Memphis, TN
American Farm Bureau	Airfare and lodging for speaking engagement in New Orleans
To Tobacco Institute	Airfare and lodging for speaking engagement in Palm Springs, CA

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page ___ of ___

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Arlan Stangeland
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II. A.	Am. Trucking Assn. (4/12) - Hogorarium - $1000
II. A.	The Tobacco Institute (4/30) - honorarium - $1000
II.A.	Vern Clark & Ass. (8/17) - honorarium - $500
II. A.	Root Outdoor Advertising(8/17) - honorarium - $500
II. A.	Am. Dehydrated Onion & Garlic Assn. (7/7) - honorarium - $500
II. A.	Brown & Williams Tobacco Corp. (5/25) honorarium - $1000
II. A.	U.S. Sweetener Producers Group (6/9) honorarium - $2000
II. A.	Intl. Shooting & Hunting Alliance (8/30) honorarium - $2000
II. A.	The Fertilizer Inst. (11/30) honorarium - $2000
VII.	Intl. Shooting and Hunting Alliance - lodging for speaking engagement in New York

GPO : 1985 - 43-135

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

MAY 15 PM 11:42

FORM A—For use by Members, officers, and employees

Fortney H. Stark
(Full Name)

Room 1125 Longworth HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9 State California

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED SCHEDULE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE ATTACHED SCHEDULE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE ATTACHED SCHEDULE	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. **INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SEE ATTACHED SCHEDULE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
SEE ATTACHED SCHEDULE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value *of all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED SCHEDULE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
SEE ATTACHED SCHEDULE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT Page 1 of 2
Fortney H. Stark, Jr.

II A.
HONORARIUMS: INCOME EXCEPT THOSE REPORTED IN SECTION II B.

Date Rec'd	Organization	Date of Even	Amt. Paid
2/3/88	Greater N.Y. Hosp. Assn. Round Trip Air Fare D.C./NY/DC Paid	1/25/88	2000
2/4/88	Reinsurance Association	2/3/88	2000
2/10/88	Financial Guaranty Ins. Corporation	1/25/88	2000
3/1/88	Pacific Mutual Round Trip Air Fare DC/CA/DC Paid	2/5/88	2000
3/8/88	American Dental Association	3/3/88	2000
3/23/88	Washington Tax Seminar	3/23/88	1000
4/21/88	Kaiser Permanente Round Trip Air Fare DC/CA/DC Paid	3/11/88	2000
5/16/88	Acacia/Calvert	5/16/88	2000
5/20/88	Amer. Protestant Health Assn.	5/12/88	2000
5/24/88	American Group Practice Assoc.	4/4/88	2000
5/25/88	American Retail Federation	5/18/88	1000
5/25/88	The Washington Forum	4/21/88	2000
5/31/88	Amer. Academy of Family Physicians	5/9/88	2000
6/3/88	Risk & Insurance Management Soc.	4/20/88	2000
6/20/88	Exchange Bank Round Trip Airfare DC/CA/DC	5/5/88	No Fee Paid

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II A. ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Fortney H. Stark, Jr. Page 2 of 2

Continuation:

HONORARIUMS ****ALL OF THE FOLLOWING HAVE BEEN ASSIGNED TO CHARITY****

Date Rec'd	Organization	Date of Even	Amt. Paid
6/3/88	Foster Higgins	4/28/88	1000
6/29/88	Wine Institute	6/29/88	1000
8/1/88	Dean Witter Realty Round Trip Airfare DC/NYC/DC Paid	6/24/88	2000
8/1/88	Milgrim Thomajan & Lee	6/24/88	2000
9/21/88	Nat'l Council of Savings Institutions	6/24/88	2000
9/27/88	Health R US	9/28/88	1000
10/15/88	Terry L. Schmidt, Inc.	10/4/88	2000
10/24/88	Dow, Lohnes and Albertson	9/20/88	1000
10/3/88	Nat'l Marine Mfgrs. Assn., Inc	11/4/88	1000

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Page 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1988

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

IIB. Income from dividends, interest, rent and capital gains

Interest Income	Bank of America	A
Interest Income	Charles Schwab	A
Interest Income	Congressional Federal Credit Union	A
Interest, Dividend, Rents, Oil & Gas and Management Fees	Stark Investment Company Partnership-from all sources- (See Attached Detail)	G
Rental Income	Washington D.C. Duplex	E
Rental Income	Shopping Center Columbus, Ohio	G
Capital Gain	Stark Investment Company Partnership Net from Sales of Various Assets (See Attached Detail)	G
Option and Fee Payments	Delta Properties Commercial Property Antioch, CA	E
Capital Gain	Delta Properties Sale of House (B) Pittsburg, CA	A

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Page 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1988

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

IIB. (Detail For)	Detail of Share of Income of Stark Investment Company		
	Rental Income	Properties	G
	Oil & Gas Income	Dorchester/ Damson Oil Co.	D
	Oil & Gas Income	Stark/Papini Ptr.	
		Aardolie Oil Co.	A
		Ray Richey	C
	Management Fee Income	Delta Properties	C
	Interest Income	Bank of America	B
	Interest Income	Wells Fargo Bank (escrow account)	A
	Interest Income	Stephen Sturch	A
	Interest Income	Howard Dick	C
	*Capital Gain	Installment Sale of Duplexes-Carmichael, CA (ref.: Sturch & Dick notes)	A

* Transaction occurred in prior year

Capital Gain	Sale of Warehouse (B) South San Francisco, CA	G
Capital Gain	Sale of Vineyard Healdsburg, CA	G
Option Payment	Shopping Center Livermore, CA (for sale of)	G
Capital Loss	Sale of 97 Condominiums Las Vegas, NV	G
Capital Gain	Sale of Auto	A
Capital Gain	Smoot Stark Reno Service Center Sale of Land Reno, NV	E
Capital Gain	Delta Properties Sale of House (B) Pittsburg, CA	D
Option and Fee Payments	Delta Properties Commercial Property Antioch, CA (for sale of)	F

Page 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1986

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

III.	Holdings	
	Real estate other than owner occupied	
	Duplex-Washington, D.C.	F
	Condominimum-Walnut Creek, CA	F
	Congressional Retirement Plan	D
	Savings Accounts	
	Wright Patman Federal Employees Credit Union	A
	Interest Checking Account	
	Bank of America	A
	Interest Checking/Brokerage Account	
	Charles Schwab	B
	Partnership Interests-FMV of Equity	
	Shopping Center-Columbus, Ohio	F
	Oil Exploration Partnership-Dallas, Texas	A
	Oil Exploration Partnership-Dallas, Texas	C
	Delta Properties Commercial Property Antioch, CA 2 Houses Pittsburg, Ca (acquired during year) Note Rec'b. (paid off during year)	B
	Stark Investment Company Various real estate holdings, oil and gas and notes Hayward, Ca. (See Attached Detail)	F
	Loans	
	Stark Investment Company- Various dates and amounts- Highest Outstanding Balance	F
	Friends of Jeff Stark	D

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Page 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1988

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

III. (Detail For)	Detail of Share of Holdings of Stark Investment Company		
	Interest Checking Acct. Bank of America		F
	Real Estate		
	Vineyard (A) (Sold During Year)	Healdsburg, CA	F
	Warehouse (A)	S.San Francisco, CA	F
	Warehouse (B) (Sold During Year)	S San Francisco, CA	F
	Shopping Center	Livermore, CA	F
	97 Condominimums (Sold During Year)	Las Vegas, NV	F
	Oil & Gas Interests		
	Dorchester/Damson Oil Company	Dallas, Texas	D
	Partnership Interests		
	Delta Properties		F
	Commercial Property	Antioch, CA	
	2 Houses	Pittsburg, CA (acquired during year)	
	Note Rec'b. (paid off during year)		
	Smoot-Stark Reno Service Center		C
	Land	Reno, Nevada (sold during year)	
	Stark-Papini	Various Real Estate Partnership Interests Walnut Creek, CA	
	El Dorado Business Park	Land, Stockton, CA	E
	Whitney Development Co.	Various Projects, CA	F
	The Junction Development Co.	Land, Truckee, CA	B
	Oil & Gas Interests		
	Aardolie Oil Co. Texas		A
	Ray Richey Oil Co. Texas		B
	Notes Receivable		
	Stephen Sturch		B
	Howard Dick		C
	Ken Garcia		A
	Autos (1 of 2 sold during year)		C

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Page 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1988

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

IV. Transactions

Stark Investment Company:
Various Transactions-Various Dates F
(See Attached Detail)

Delta Properties
Acquisition of House (A) 9/9/88
Pittsburg, CA A

Delta Properties
Acquisition of House (B) 1/11/88
Pittsburg, CA A

Delta Properties
Sale of House (B) 8/15/88
Pittsburg, CA A

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Page 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1988

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

IV. (Detail For)	Detail of Share of Transactions of Stark Investment Company		
	Sale of Vineyard Healdsburg, CA	1/7/88	F
	Sale of Warehouse (B) South San Francisco, CA	8/19/88	F
	Sale of 97 Condominiums Las Vegas, NV	8/19/88	F
	Sale of Auto	5/3/88	B
	Smoot Stark Reno Service Center Sale of Land Reno, NV	4/29/88	C
	Delta Properties Acquisition of House (A) Pittsburg, CA	9/9/88	C
	Delta Properties Acquisition of House (B) Pittsburg, CA	1/11/88	C
	Delta Properties Sale of House (B) Pittsburg, CA	8/15/88	C

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Page 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1988

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

V. Liabilities

Citicorp Federal Savings and Loan Association-Duplex, Washington, D.C.	D
Sovran Bank - Revolving Line of Credit Duplex, Washington D.C.	D
Citycorp Savings Condominium, Walnut Creek, CA	E
Bank of America- Line of Credit San Leandro, CA	E
Bank of Contra Costa- Line of Credit Walnut Creek, CA	F
Wright Patman Federal Credit Union Line of Credit Washington D.C.	C
Stark Investment Company Liabilities Hayward, Ca. (See Attached Detail)	F

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Page 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1988

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

V. (Detail For)	Detail of Share of Liabilities of Stark Investment Company		
	Notes Payable		
	Federal Employees Credit Union (paid off during year)		B
	Bank of Contra Costa		A
	Citicorp Savings and Loan Assn.		B
	Elinor B. Stark		E
	Fortney H. Stark, Jr.		F
	Jeffrey P. Stark		B
	Beatrice S. Winslow		C
	Thekla B. Stark		C
	Sarah G. Stark		C
	Real Estate Loans		
	Bank of America		
	Vineyard (A)	Healdsburg, CA	F
	(Property sold during year)		
	American Savings and Loan		
	Warehouse (A)	S.San Francisco, CA	F
	Bank of America		
	Warehouse (B)	S.San Francisco, CA	F
	(Property sold during year)		
	Equitable Assurance Society		
	Shopping Center	Livermore, CA	F
	Grubb & Ellis Realty Investment Trust		
	Shopping Center	Livermore, CA	F
	American Savings & Loan Assn.		
	97 Condominimums	Las Vegas, NV	F
	(Properties sold during year)		
	Lines of Credit		
	Bank of Contra Costa		F
	Accounts Payable Damson Oil Company		B
	Share of Liabilities assumed from Genmark, Inc.:		
	Union Bank (paid off during year)		A
	General Electric		E
	Bernie Litchfield		D

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Page 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1986

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

VII. Reimbursements

Greater New York Hospital Assn. Speech
Round Trip Air Fare Washington D.C. -New York, New York

Pacific Mutual Speech
Round Trip Air Fair Washington D.C. -Newport Beach, CA

Kaiser Permanente Speech
Round Trip Air Fare Washington D.C. -Oakland, CA

Dean Witter Realty Speech
Round Trip Air Fare Washington D.C. -New York, New York

Exchange Bank Speech
Round Trip Air Fare Washington D.C. -Santa Rosa, CA
(Air Fair only paid no fee for speech)

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Page 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1988

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

VIII. Positions Held

Partner	Stark Investment Company Hayward, Ca.
Partner	The Kingsdale Company Columbus, Ohio
Partner	Delta Properties Hayward, Ca.
Partner	Slidell Partnership Dallas, Texas
Partner	Koin Partnership Dallas, Texas
President and Director	Pete Stark Foundation Hayward, Ca.

FORTNEY H. (PETE) STARK

COMMITTEES:
WAYS AND MEANS
DISTRICT OF COLUMBIA
SELECT NARCOTICS

CONGRESS OF THE UNITED STATES
HOUSE OF REPRESENTATIVES
WASHINGTON, D.C. 20515

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HAND DELIVERED

[illegible] MAY 15 PM 11:42
U.S. H. [illegible]

May 15, 1989

Hon. Julian Dixon
Committee on Standards of Official
Conduct
HT-2
The Capitol

Dear Mr. Chairman:

Enclosed is a copy of my Financial Disclosure Statement for 1988.

It is complete except for information about my wife. Because of her schedule and slow mails, I have been unable to complete her part of the form. Therefore, I would like to request an extension of the filing period. If this is possible, I will amend my statement when the full data on her is available, probably within a week to ten days.

Thank you very much for your consideration of this request.

Sincerely,

Pete Stark

Pete Stark
Member of Congress

THIS STATIONERY PRINTED ON PAPER MADE WITH RECYCLED FIBERS

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Fortney H. Stark
(Full Name)

Room 1125 Longworth HOB
(Mailing Address)

Washington, D.C. 20515

✓ MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9 State California

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED SCHEDULE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE ATTACHED SCHEDULE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE ATTACHED SCHEDULE	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SEE ATTACHED SCHEDULE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
SEE ATTACHED SCHEDULE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value *of all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED SCHEDULE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
SEE ATTACHED SCHEDULE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Pete Stark

Date: May 18, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT Page 1 of 2
Fortney H. Stark, Jr.

II A.
HONORARIUMS: INCOME EXCEPT THOSE REPORTED IN SECTION II B.

Date Rec'd	Organization	Date of Even	Amt. Paid
2/3/88	Greater N.Y. Hosp. Assn. Round Trip Air Fare D.C./NY/DC Paid	1/25/88	2000
2/4/88	Reinsurance Association	2/3/88	2000
2/10/88	Financial Guaranty Ins. Corporation	1/25/88	2000
3/1/88	Pacific Mutual Round Trip Air Fare DC/CA/DC Paid	2/5/88	2000
3/8/88	American Dental Association	3/3/88	2000
3/23/88	Washington Tax Seminar	3/23/88	1000
4/21/88	Kaiser Permanente Round Trip Air Fare DC/CA/DC Paid	3/11/88	2000
5/16/88	Acacia/Calvert	5/16/88	2000
5/20/88	Amer. Protestant Health Assn.	5/12/88	2000
5/24/88	American Group Practice Assoc.	4/4/88	2000
5/25/88	American Retail Federation	5/18/88	1000
5/25/88	The Washington Forum	4/21/88	2000
5/31/88	Amer. Academy of Family Physians	5/9/88	2000
6/3/88	Risk & Insurance Management Soc.	4/20/88	2000
6/20/88	Exchange Bank Round Trip Airfare DC/CA/DC	5/5/88	No Fee Paid

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II A. ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Fortney H. Stark, Jr. Page 2 of 2

Continuation:

HONORARIUMS ***ALL OF THE FOLLOWING HAVE BEEN ASSIGNED TO CHARITY***

Date Rec'd	Organization	Date of Even	Amt. Paid
6/3/88	Foster Higgins	4/28/88	1000
6/29/88	Wine Institute	6/29/88	1000
8/1/88	Dean Witter Realty Round Trip Airfare DC/NYC/DC Paid	6/24/88	2000
8/1/88	Milgrim Thomajan & Lee	6/24/88	2000
9/21/88	Nat'l Council of Savings Institutions	6/24/88	2000
9/27/88	Health R US	9/28/88	1000
10/15/88	Terry L. Schmidt, Inc.	10/4/88	2000
10/24/88	Daw, Lohnes and Albertson	9/20/88	1000
10/3/88	Nat'l Marine Mfgrs. Assn., Inc	11/4/88	1000

SPOUSE:	Wente Bros. (Vice President Sales & Marketing	Salary
	Wente Bros. (Director)	Director Fees

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ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1988

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

IIB. Income from dividends, interest, rent and capital gains

Interest Income	Bank of America	A
Interest Income	Charles Schwab	A
Interest Income	Congressional Federal Credit Union	A
Interest, Dividend, Rents, Oil & Gas and Management Fees	Stark Investment Company Partnership-from all sources-(See Attached Detail)	G
Rental Income	Washington D.C. Duplex	E
Rental Income	Shopping Center Columbus, Ohio	G
Capital Gain	Stark Investment Company Partnership Net from Sales of Various Assets (See Attached Detail)	G
Option and Fee Payments	Delta Properties Commercial Property Antioch, CA	E
Capital Gain	Delta Properties Sale of House (B) Pittsburg, CA	A

SPOUSE:

Interest	Wente Bros.	C
Rent	Warehouse, Livermore	C
Dividend	Montgomery Securities Short-term fund	A
Interest	Kings' Mall Assoc., Hanford, CA	A
Interest	Bank of America	A
Interest	Montgomery Securities	A

8 9 0 7 0 2 9 0 3 8 1

Page 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1988

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

IIB.
(Detail
For)

Detail of Share of Income of
Stark Investment Company

Rental Income	Properties	G
Oil & Gas Income	Dorchester/ Damson Oil Co.	D
Oil & Gas Income	Stark/Papini Ptr. Aardolie Oil Co. Ray Richey	 A C
Management Fee Income	Delta Properties	C
Interest Income	Bank of America	B
Interest Income	Wells Fargo Bank (escrow account)	A
Interest Income	Stephen Sturch	A
Interest Income	Howard Dick	C
*Capital Gain	Installment Sale of Duplexes-Carmichael, CA (ref.: Sturch & Dick notes)	A

* Transaction occurred in prior year

Capital Gain	Sale of Warehouse (B) South San Francisco, CA	G
Capital Gain	Sale of Vineyard Healdsburg, CA	G
Option Payment	Shopping Center Livermore, CA (for sale of)	G
Capital Loss	Sale of 97 Condominiums Las Vegas, NV	G
Capital Gain	Sale of Auto	A
Capital Gain	Smoot Stark Reno Service Center Sale of Land Reno, NV	E
Capital Gain	Delta Properties Sale of House (B) Pittsburg, CA	D
Option and Fee Payments	Delta Properties Commercial Property Antioch, CA (for sale of)	F

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1988

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

III. Holdings

Real estate other than owner occupied	
Duplex-Washington, D.C.	F
Condominimum-Walnut Creek, CA	F
Congressional Retirement Plan	D
Savings Accounts	
Wright Patman Federal Employees Credit Union	A
Interest Checking Account	
Bank of America	A
Interest Checking/Brokerage Account	
Charles Schwab	B
Partnership Interests-FMV of Equity	
Shopping Center-Columbus, Ohio	F
Oil Exploration Partnership-Dallas, Texas	A
Oil Exploration Partnership-Dallas, Texas	C
Delta Properties Commercial Property Antioch, CA 2 Houses Pittsburg, Ca (acquired during year) Note Rec'b. (paid off during year)	B
Stark Investment Company Various real estate holdings, oil and gas and notes Hayward, Ca. (See Attached Detail)	F
Loans	
Stark Investment Company- Various dates and amounts- Highest Outstanding Balance	F
Friends of Jeff Stark	D

SPOUSE:

Stock, Wente Bros.	F
Stock, Wente Land & Cattle	F
Stock, New Parrott & Co.	F
Savings Account, Bank of America	C
Real Estate, 1/3 interest in residence, Tahoma, CA	F
Real Estate, 1/3 interest in warehouse, Livermore	F
Note, Wente Bros.	D

Page 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1988

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

III.
(Detail
For)

Detail of Share of Holdings of
Stark Investment Company

Interest Checking Acct. Bank of America		F
Real Estate		
Vineyard (A) (Sold During Year)	Healdsburg, CA	F
Warehouse (A)	S.San Francisco, CA	F
Warehouse (B) (Sold During Year)	S San Francisco, CA	F
Shopping Center	Livermore, CA	F
97 Condominimums (Sold During Year)	Las Vegas, NV	F
Oil & Gas Interests		
Dorchester/Damson Oil Company	Dallas, Texas	D
Partnership Interests		
Delta Properties		F
Commercial Property	Antioch, CA	
2 Houses	Pittsburg, CA (acquired during year)	
Note Rec'b. (paid off during year)		
Smoot-Stark Reno Service Center		C
Land (sold during year)	Reno, Nevada	
Stark-Papini Various Real Estate Partnership Interests	Walnut Creek, CA	
El Dorado Business Park	Land, Stockton, CA	E
Whitney Development Co.	Various Projects, CA	F
The Junction Development Co.	Land, Truckee, CA	B
Oil & Gas Interests		
Aardolie Oil Co.	Texas	A
Ray Richey Oil Co.	Texas	B
Notes Receivable		
Stephen Sturch		B
Howard Dick		C
Ken Garcia		A
Autos (1 of 2 sold during year)		C

Page 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1988

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category Description or Value
(As Applicable)

IV. Transactions

Stark Investment Company: Various Transactions-Various Dates (See Attached Detail)		F
Delta Properties Acquisition of House (A) Pittsburg, CA	9/9/88	A
Delta Properties Acquisition of House (B) Pittsburg, CA	1/11/88	A
Delta Properties Sale of House (B) Pittsburg, CA	8/15/88	A

SPOUSE:

Stock Purchase Parrott & Co.	8/88	F

8 9 0 7 0 2 9 0 3 8 8

Page 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1988

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

IV. (Detail For)	Detail of Share of Transactions of Stark Investment Company		
	Sale of Vineyard Healdsburg, CA	1/7/88	F
	Sale of Warehouse (B) South San Francisco, CA	8/19/88	F
	Sale of 97 Condominiums Las Vegas, NV	8/19/88	F
	Sale of Auto	5/3/88	B
	Smoot Stark Reno Service Center Sale of Land Reno, NV	4/29/88	C
	Delta Properties Acquisition of House (A) Pittsburg, CA	9/9/88	C
	Delta Properties Acquisition of House (B) Pittsburg, CA	1/11/88	C
	Delta Properties Sale of House (B) Pittsburg, CA	8/15/88	C

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Page 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1988

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

V. Liabilities

Citicorp Federal Savings and Loan Association-Duplex, Washington, D.C.	D
Sovran Bank - Revolving Line of Credit Duplex, Washington D.C.	D
Citycorp Savings Condominimum, Walnut Creek, CA	E
Bank of America- Line of Credit San Leandro, CA	E
Bank of Contra Costa- Line of Credit Walnut Creek, CA	F
Wright Patman Federal Credit Union Line of Credit Washington D.C.	C
Stark Investment Company Liabilities Hayward, Ca. (See Attached Detail)	F

SPOUSE: None

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1988

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

V. (Detail For)	Detail of Share of Liabilities of Stark Investment Company		
	Notes Payable		
	Federal Employees Credit Union (paid off during year)		B
	Bank of Contra Costa		A
	Citicorp Savings and Loan Assn.		B
	Elinor B. Stark		E
	Fortney H. Stark, Jr.		F
	Jeffrey P. Stark		B
	Beatrice S. Winslow		C
	Thekla B. Stark		C
	Sarah G. Stark		C
	Real Estate Loans		
	Bank of America		
	Vineyard (A)	Healdsburg, CA	F
	(Property sold during year)		
	American Savings and Loan		
	Warehouse (A)	S.San Francisco, CA	F
	Bank of America		
	Warehouse (B)	S.San Francisco, CA	F
	(Property sold during year)		
	Equitable Assurance Society		
	Shopping Center	Livermore, CA	F
	Grubb & Ellis Realty Investment Trust		
	Shopping Center	Livermore, CA	F
	American Savings & Loan Assn.		
	97 Condominimums	Las Vegas, NV	F
	(Properties sold during year)		
	Lines of Credit		
	Bank of Contra Costa		F
	Accounts Payable	Damson Oil Company	B
	Share of Liabilities assumed from Genmark, Inc.:		
	Union Bank (paid off during year)		A
	General Electric		E
	Bernie Litchfield		D

8 9 0 7 1 2 7 0 3 9 1

Page 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1986

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

VII. Reimbursements

Greater New York Hospital Assn. Speech
Round Trip Air Fare Washington D.C. -New York, New York

Pacific Mutual Speech
Round Trip Air Fair Washington D.C. -Newport Beach, CA

Kaiser Permanente Speech
Round Trip Air Fare Washington D.C. -Oakland, CA

Dean Witter Realty Speech
Round Trip Air Fare Washington D.C. -New York, New York

Exchange Bank Speech
Round Trip Air Fare Washington D.C. -Santa Rosa, CA
(Air Fair only paid no fee for speech)

8 9 0 7 0 2 9 0 3 9 2

Page 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1988

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

VIII. Positions Held

Partner	Stark Investment Company Hayward, Ca.
Partner	The Kingsdale Company Columbus, Ohio
Partner	Delta Properties Hayward, Ca.
Partner	Slidell Partnership Dallas, Texas
Partner	Koin Partnership Dallas, Texas
President and Director	Pete Stark Foundation Hayward, Ca.
Partner	Stark-Papini Real Estate Investments
Partner	Whitney Development Real Estate Development Walnut Creek, CA

SPOUSE:

Vice President	Wente Bros
Vice President	Wente Land & Cattle
Director	New Parrott & Co.

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FORTNEY H. (PETE) STARK
9TH DISTRICT, CALIFORNIA

COMMITTEES:
WAYS AND MEANS
DISTRICT OF COLUMBIA
SELECT NARCOTICS

CONGRESS OF THE UNITED STATES
HOUSE OF REPRESENTATIVES
WASHINGTON, D.C. 20515

HAND DELIVERED

[illegible] MAY 18 PM 12:41
U.S. HOUSE OF REPRESENTATIVES

May 19, 1989

Hon. Julian Dixon
Chairman
Committee on Standards of Official Conduct
U. S. House of Representatives
Washington, DC

MC ✓

Dear Mr. Chairman:

I apologize but I realize we must make an amendment to the Financial Disclosure Statement for 1988 filed today.

It involves an amendment to the III. Holdings page, under my wife's portion, she needs to report a 10% interest in Kings' Mall Association, Residential Land, in Hanford, California. Value "C".

Attached is a corrected III form.

Thank you for your assistance in this matter.

Sincerely,

Pete Stark

Pete Stark
Member of Congress

8907029 0895

Page 1 of 1

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

1988

FORTNEY H. STARK

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

Holdings	
Real estate other than owner occupied	
Duplex-Washington, D.C.	F
Condominium-Walnut Creek, CA	F
Congressional Retirement Plan	D
Savings Accounts	
Wright Pattan Federal Employees Credit Union	A
Interest Checking Account	
Bank of America	A
Interest Checking/Brokerage Account	
Charles Schwab	B
Partnership Interests-FMV of Equity	
Shopping Center-Columbus, Ohio	F
Oil Exploration Partnership-Dallas, Texas	A
Oil Exploration Partnership-Dallas, Texas	C
Delta Properties	B
Commercial Property Antioch, CA	
2 houses Pittsburg, Ca (acquired during year)	
Note Rec'b. (paid off during year)	
Stark Investment Company Various real estate holdings, oil and gas and notes Hayward, Ca. (See Attached Detail)	F
Loans	
Stark Investment Company- Various dates and amounts- Highest Outstanding Balance	F
Friends of Jeff Stark	D

SE:

Stock, Wente Bros.	F
Stock, Wente Land & Cattle	F
Stock, New Parrott & Co.	F
Savings Account, Bank of America	C
Real Estate, 1/3 interest in residence, Tahoma, CA	F
Real Estate, 1/3 interest in warehouse, Livermore	F
Note, Wente Bros.	D
Real Estate, Kings' Mall Association, Hanford, CA (10%)	**C**

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Clifford B. Stearns
(Full Name)

1723 Longworth House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

U.S. HOUSE OF REPRESENTATIVES MAY -9 [illegible] 04

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State Florida

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,033, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts: such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions: the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
First Silver Springs, Inc. Silver Springs, FL	Salary	$92,627*	N/A
Cliff B. Stearns Ocala, FL	Real estate commission	1,850-gross (2,701)-net	N/A

*$25,000 of this amount was repaid to First Silver Springs, Inc., by Stearns House, Inc.
Management

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000: B—$1,001-$2,500: C—$2,501-$5,000: D—$5,001-$15,000: E—$15,001-$50,000: F—$50,001-$100,000: G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Barnett Bank, Ocala, FL	Interest income	A
Sun Bank, Ocala, FL	Interest income	A
Dean Witter / First Jersey	Interest income	B
Buildings, Holman Lane, Old Greenwich, CT	Rents	Gross-E; Net-C
Building, equipment, & restaurant, Silver Springs, FL	Rents	Gross-E; Net-E
See Attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000: B—$5,001-$15,000: C—$15,001-$50,000: D—$50,001-$100,000: E—$100,001-$250,000: F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Barnett Bank, Ocala, FL	A
Sun Bank, Ocala, FL	A
Dean Witter / First Jersey	A
71.70% interest, Silver Springs Inn, Ltd., a Partnership, Silver Springs, Florida (Motel-Cash, Land, Building, Furniture, Equipment & Debt)	E
46.58% interest, Rock Creek, Ltd., a Partnership, Silver Springs, FL (Motel-Cash, Land, Building, Furniture, Equipment & Debt)	E

See Attached

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Union Trust, Greenwich, CT, Collateral-3 Apartments, Old Greenwich, CT	D
John Taub, Surfside, FL, Collateral-Rental Building (Restaurant)	D
Florida National Bank, Ocala, FL Collateral-Rental Building (Offices)	C
Sun Bank of Ocala, FL, Collateral-Rental Triplex	D
First Silver Springs, Inc.	D
Stearns House, Inc., Management	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	None

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
General & Limited Partner	Silver Springs Inn Ltd. & Rock Creek, Ltd.
President	First Davenport, Inc. and Potomac Park, Inc.
Director	Marion County Big Sun Healthcare Systems, Inc. *
Trustee	Munroe Regional Medical Center Employee Pension Plan *
Director	Healthcare Collection Management, Inc. *

See Attached

* - Resigned 1/89

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
M.C.	5/9/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Clifford B. Stearns
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II.	Unearned Income:		
	Source	Type	Category
	Triplex, Silver Springs, FL	Rents	Gross-D;Net-B
	71.70% Interest, Silver Springs Inn, Ltd., a Partnership, Silver Springs, FL (Motel)	Distributive share of Income/Loss	A
	46.58% Interest, Rock Creek, Ltd., a Partnership, Silver Springs, FL (Motel)	Distributive share of Income/Loss	A
	53.00% Interest, First Davenport, Inc., an S. Corporation, Ocala, FL (Motel)	Distributive share of Income/Loss	D
	33.93 % Interest, Potomac Park, Inc., an S Corporation, Gainesville, FL (Motel)	Distributive share of Income/Loss	A
	Silver Springs Inn, Ltd. (same as above)	Interest	C
	Rock Creek, Ltd. (same as above)	Interest	C
	First Davenport, Inc. (same as above)	Interest	C
	Potomac Park, Inc. (same as above)	Interest	C
III.	Holdings:		
	Identity		Category
	53.00% Interest, First Davenport, Inc., an S Corporation, Ocala, FL (Motel-Cash, Lease, Furniture, Equipment, & Debt)		E
	33.93% Interest, Potomac Park, Inc., an S Corporation, Gainesville, FL (Motel-cash, Land, Furniture, Building, Equipment, & Debt)		E
	100.00% Interest, First Silver Springs, Inc., a Corporation, Silver Springs, FL (Motel-Cash, Land, Real Property, and Debt)		F
	100.00% Interest, Stearns House, Inc. Management, a Corporation, Ocala, FL (Management Company-Cash and Furniture)		C
	3 Rental Apartments, Old Greenwich, CT		F
	Rental Building (Restaurant), Silver Springs, FL		F
	Rental Triplex (Residential), Silver Springs, FL		E
	Rental Building (Offices), Silver Springs, FL		D
	Note Receivable: Grace Presbyterian Church		A
	Note Receivable: Friends of Cliff Stearns		E
VIII.	Positions:		
	Position	Name of Organization	
	President	First Silver Springs, Inc., & Stearns House, Inc., Management	
	Director	Marion County Chamber of Commerce-Resigned 2/89	

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 MAY 15 PM 4:15

FORM A—For use by Members, officers, and employees

Charles W. Stenholm
(Full Name)

1226 Longworth Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 17 State Texas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

DATE OF RECEIPT	A. SOURCE	TYPE	AMOUNT	DISPOSITION
1/7/88	Tucson-Nat. Council of Community Hospitals	Honoraria	$2,000.	
1/19	New Orleans-National Milk Producers	"	1,000.	
1/25	Raleigh-North Carolina Pork Producers	"	750.	
2/9	Washington-Colt Industries	"	1,000.	
	(continued)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Double S. Farms, Inc	Farm rent	Gross E Net D
Commodity Credit Corp. - USDA	Farm program	Gross D Net B
S&S Cattle Feeders	Partnership-1/2 interest;	Gross G Net D
Jones Haskell Grain Coop	Dividend	A
Plains Cotton Cooperative	Dividend	A
First Virginia Bank	Interest	A
(continued)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Double S Farms, Inc.	Common Stock	D
Oil & Gas properties (spouse)Wheeler County		C
Independent Bank Shares	Common Stock	A
Investors Diversified Services	IRA	C
Farmland, Buildings, Equipment-840 acres, Jones County, Tex.		F
(continued)		

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
10 units Fidata Trust insured municipals (sold)	2/16/88	B
Rent house-Abilene 1/2 interest (sold)	12/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
First State Bank, Abilene	Personal	B
Equitable Life Assurance	Mortgage	E
First National Bank, Sweetwater, Tex.	Personal	C
First National Bank, Stamford, Tex.	Personal	B
First Virginia Bank	Personal	D
Century National Bank, Washington	Personal	C

(continued)

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Tucson-Nat. Council of Community Hospitals(& spouse)	Speech:Partial travel, 2 nights lodging,mea
New Orleans-Nat. Milk Producers (& spouse)	Speech; P/travel, 1 night lodging, meals
Raleigh-North Carolina Pork Producers	Speech;Round trip travel (same day return)
Kuai-American Meat Institute (& spouse)	Speech; R/T travel, 4 nights lodging,meals
Greenbrier-Cong. Charity Tennis Tournament(& spouse)	Charity:R/T travel, 2 nights lodging,meals

(continued)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Double S. Farms, Inc.
Partner	S & S Cattle Feeders
Partner	Double S Santa Gertrudis Ranch
Advisory Director	First National Bank-Stamford

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

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Signature	Date
Charles W. Stenholm	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

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U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Charles W. Stenholm
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)

II. EARNED INCOME (continued)

Date of Receipt		Type	Amount	Disposition
2/1/88	Lubbock - Furr's	Hon.	$2,000.	
3/8	Kuai-American Meat Institute	"	1,000.	
2/12	Washington-RJR Nabisco	"	2,000.	
4/15	Boca Raton-American Medical Assoc.	"	2,000.	
4/15	Washington-Nat. Federation of Indep.Bus.	"	350.	
4/13	Dallas-Nat. Assoc. of Meat Purveyors	"	1,000.	
4/29	Arlington,Va.-Nat. Agric.Chemicals Assoc.	"	1,000.	
5/2	Arlington,Tex.-Texas Grain & Feed	"	500.	
5/24	Wash.-Nat. Rural Utilities Coop.Finance Corp.	"	1,000.	
6/13	Galveston-Texas Cottonseed Crushers Assoc.	"	500.	
6/16	Wash.-National Food Processors	"	1,000.	
7/18	Pebble Beach-Grocery Manuf. of America	"	2,000.	
8/4	Washington-Fertilizer Institute	"	1,000.	
8/7	Denver-American Soybean Assoc.	"	1,000.	
8/19	Midland-Permian Basic Oil	"	400.	
9/14	Wash.-American Frozen Food Institute	"	1,000.	
12/19	Anaheim-National Milk Producers	"	2,000.	
12/19	St. Paul-St. Paul Farm Credit	"	1,000.	
12/27	St. Paul-Land O' Lakes	"	1,000.	
12/29	St. Paul-General Foods	"	500.	
	Double S. Farms	Labor	184.98	
		Total	27,184.98	
1/1-12/31/88	Double S Farms, Inc. - Director Fee(spouse)$1200			

DISPOSITION OF EXCESS HONORARIUM

Denny Thompson Memorial Leukemia Fund	$1,000.00

II. B. UNEARNED INCOME (continued)

First National Bank, Stamford	Interest	A
Don Starr	Interest	A
Erickadahl Coop Gin	Dividend	A
Dessa Carmen Watson Estate (spouse's deceased mother)		
Cindy Stenholm (spouse)	Trust	D

III. HOLDINGS (continued)

Double S Santa Gertrudis Ranch	Partnership	D
S & S Cattle Feeders	Partnership-1/2 interest	D
Erickadahl Coop Gin	Stock	A
Jones-Haskell Grain Coop	Stock	A
Stamford Electric Coop	Stock	A
Plains Cotton Coop	Stock	A
Rolling Plains Coop Compress	Stock	A
Oklahoma Oil & Gas Limited Partnership		B
Oklahoma Oil & Gas Limited Partnership (spouse)		B

Dessa Carmen Watson Estate (spouse's deceased mother)

Cynthia Ann Stenholm Trust (spouse)

Fixed common trust funds	NCNB, Abilene	C
Equity common trust funds	NCNB, Abilene	B
Money market funds	NCNB, Abilene	A
1/2 interest rent house-Dumas,Tex.		B
1/4 interest Lot 27, Block 1, Stamford, Tex.		A
1/6 interest Vaughn & Jett Survey,Taylor Cty,Tex.		E
Mineral assets, Wheeler Cty, Tex.		E

Courtney Ann Stenholm Trust (daughter)

Fixed common trust funds	NCNB, Abilene	A
Income stock fund	NCNB, Abilene	A
Money market funds	NCNB, Abilene	A
Mineral assets, Wheeler County, Tex.		D

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Charles W. Stenholm
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
V. LIABILITIES (continued)	
	Rolling Plains Production Credit Assoc. Partnership-1/2 interest(cattle) E
	Rolling Plains Production Credit Assoc. Business-Farm C
	Bee Creek Ranch, Meridian, Tex. Partnership-1/2 interest(cattle) C
VII. REIMBURSEMENTS (continued)	
	Boca Raton-Amer. Medical Assoc.(& spouse) Seminar participant: Round trip travel, 3 nights lodging, meals
	Dallas-Nat. Assoc. of Meat Purveyors Speech, R/T travel,no lodging,one meal
	Arlington, Tex.-Texas Grain & Feed (& spouse) Speech, R/T travel, no lodging,1 meal
	Galveston,Tex.-Texas Cottonseed Crushers Speech, R/T travel, 1 night lodging, meals
	Kerrville-Texas Farm Bureau Speech, R/T travel, no lodging, meal
	Pebble Beach-Grocery Manuf. of America (& spouse) Speech, Partial travel, 3 nights lodging, meals
	San Antonio-South West Meat Packers Assoc. Speech, P/travel, 1 night lodging, meal
	Denver-American Soybean Assoc. Speech, R/T travel, 1 night lodging, meals
	Midland to Abilene, Tex.-private plane provided by Colorado River Municipal Water District-necessary to meet schedule
	Sun Valley-Danny Thompson Mem. Leukemia Fund Golf T. (& spouse) Charity, R/T travel, 6 nights lodging, some meals
	Columbus, Tex.-Texas Medical Assoc. Legislative Conf., R/T travel, 1 night lodging, meals
	Australia/New Zealand - fact finding trip (& spouse)
	R/T travel, 12 nights lodging & meals provided by the following:
	Qantas Airways Limited
	Australian Meat & Livestock Corp.
	Queensland Sugar Board on behalf of the Australian Sugar Industry
	New Zealand Dairy Board
	New Zealand Meat Producers Board
	New Zealand Wool Board
	New Zealand Apple and Pear Marketing Board
	Anaheim-National Milk Producers Speech, R/T travel, 1 night lodging,meals
	St. Paul-St. Paul Farm Credit Speech, R/T travel, 1 night lodging,meals

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Charles W. Stenholm
(Full Name)

1226 Longworth Building
(Mailing Address)

Washington, D. C. 20515

V
MC
(Office Use Only)

MAY 19 PM 1:46

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 17 State Texas

☐ Officer or Employee—Employing Office

☑ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

DATE OF RECEIPT	A. SOURCE	TYPE	AMOUNT	DISPOSITION
1/7/88	Tucson-Nat. Council of Community Hospitals	Honoraria	$2,000	
1/19	New Orleans-National Milk Producers	"	1,000.	
1/25	Raleigh-North Carolina Pork Producers	"	750.	
2/9	Washington-Colt Industries	"	1,000	

(continued)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Double S. Farms, Inc.	Farm Rent	Gross E Net D
Commodity Credit Corp. - USDA	Farm program	Gross D Net B
S & S Cattle Feeders	Partnership-1/2 interest;	Gross G Net D
Jones Haskell Grain Coop	Dividend	A
Plains Cotton Cooperative	Dividend	A
First Virginia Bank	Interest	A

(continued)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Double S Farms, Inc.	Common Stock	D
Oil & Gas properties (spouse) Wheeler County		C
Independent Bank Shares	Common Stock	A
Investors Diversified Services	IRA	C
Farmland, Buildings, Equipment-840 acres, Jones County, Tex.		F
(continued)		

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
10 units Fidata Trust insured municipals (sold)	2/16/88	B
Rent house-Abilene 1/2 interest (sold)	12/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
First State Bank, Abilene	Personal	B
Equitable Life Assurance	Mortgage	E
First National Bank, Sweetwater, Tex.	Personal	C
First National Bank, Stamford, Tex.	Personal	B
First Virginia Bank	Personal	D
Century National Bank, Washington	Personal	C

(continued)

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Tucson-Nat. Council of Community Hospitals(& spouse)	Speech:Partial Travel,2 nights lodging,meals
New Orleans-Nat. Milk Producers (& spouse)	Speech: P/travel, 1 night lodging,meals
Raleigh-North Carolina Pork Producers	Speech: Round trip travel (same day return)
Kuai-American Meat Institute (& spouse)	Speech: R/T travel, 4 nights lodging,meals
Greenbrier-Cong. Charity Tennis Tournament(& spouse)	Charity;R/T travel, 2 nights lodging,meals

(continued)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Double S. Farms, Inc.
Partner	S & S Cattle Feeders
Partner	Double S Santa Gertrudis Ranch
Advisory Director	First National Bank-Stamford

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Charles W. Stenholm	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Charles W. Stenholm
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

II. EARNED INCOME (continued)

Date of Receipt		Type	Amount	Disposition
2/1/88	Lubbock - Furr's	Hcn.	$2,000.	
3/8	Kuai-American Meat Institute	"	1,000.	
2/12	Washington-RJR Nabisco	"	2,000.	
4/15	Boca Rotan-American Medical Assoc.	"	2,000.	
4/15	Washington-Nat. Federation of Indep.Bus.	"	350.	
4/13	Dallas-Nat.Assoc. of Meat Purveyors	"	1,000.	
4/29	Arlington,Va.-Nat. Agric.Chemicals Assoc.	"	1,000.	
5/2	Arlington, Tex.-Texas Grain & Feed	"	500.	
5/24	Wash.-Nat. Rural Utilities Coop.FinanceCorp	"	1,000.	
6/13	Galveston-Tex. Cottonseed Crushers Assoc.	"	500.	
6/16	Wash.-National Food Processors	"	1,000.	
7/18	Pebble Beach-Grocery Manuf. of America	"	2,000.	
8/4	Washington-Fertilizer Institute	"	1,000.	
8/7	Denver-American Soybean Assoc.	"	1,000.	
8/19	Midland-Permian Basin Oil	"	400.	
9/14	Wash.-American Frozen Food Institute	"	1,000.	
12/19	Anaheim-National Milk Producers	"	2,000.	
12/19	St. Paul-St. Paul Farm Credit	"	1,000.	
12/27	St. Paul-Land O' Lakes	"	1,000.	
12/29	St. Paul-General Foods	"	500.	
	Double S. Farms	Labor	184.98	
		Total	27,184.98	

1/1-12/31/88 Double S. Farms, Inc.-Director Fee (spouse) $1200.

DISPOSITION OF EXCESS HONORARIUM

Danny Thompson Memorial Leukemia Fund $1,000.00

II. B. UNEARNED INCOME (Continued)

First National Bank, Stamford	Interest	A
Don Starr-interest received on personal loan for purchase of 1984 LeSabre Buick from Charles W. Stenholm(date of sale 9/6/86)		A
Erickadahl Coop Gin	Dividend	A
Dessa Carmen Watson Estate (spouse's deceased mother) Cindy Stenholm (spouse)	Trust	D

III. HOLDINGS (continued)

Double S Santa Gertrudis Ranch	Partnership	D
S & S Cattle Feeders	Partnership-1/2 interest	D
Erickadahl Coop Gin	Stock	A
Jones-Haskell Grain Coop	Stock	A
Stamford Electric Coop	Stock	A
Plains Cotton Coop	Stock	A
Rolling Plains Coop Compress	Stock	A
Oklahoma Oil & Gas Limited Partnership		B
Oklahoma Oil & Gas Limited Partnership (spouse)		B

Dessa Carmen Watson Estate (spouse's deceased mother)

Cynthia Ann Stenholm Trust (spouse)

Fixed common trust funds	NCNB, Abilene	C
Equity common trust funds	NCNB, Abilene	B
Money market funds	NCNB, Abilene	A
1/2 interest rent house-Dumas, Texas		B
1/4 interest Lot 27, Block 1, Stamford, Tex.		A
1/6 interest Vaugnn & Jett Survey, Taylor Cty, Tex.		E
Mineral assets, Wheeler Cty, Tex.		E

Courtney Ann Stenholm Trust (daughter)

Fixed common trust funds	NCNB, Abilene	A
Income stock fund	NCNB, Abilene	A
Money market funds	NCNB, Abilene	A
Mineral assets, Wheeler County, Tex.		D

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Charles W. Stenholm
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
V. LIABILITIES (continued)		
	Rolling Plains Production Credit Assoc. Partnership-1/2 interest(cattle)	E
	Rolling Plains Production Credit Assoc. Business-Farm	C
	Bee Creek Ranch, Meridian, Tex. Partnership-1/2 interest(cattle)	C

VII. REIMBURSEMENTS (continued)

Boca Raton-Amer. Medical Assoc.(& spouse) Seminar participant; Round Trip travel, 3 nights lodging, meals

Dallas-Nat. Assoc. of Meat Purveyors Speech, R/T travel, no lodging, one meal

Arlington, Tex.- Texas Grain & Feed (& spouse) Speech, R/T travel, no lodging, one meal

Galveston,Tex.-Texas Cottonseed Crushers Speech, R/T travel, 1 night lodging, meals

Kerrville-Texas Farm Bureau Speech, R/T travel, no lodging, meal

Pebble Beach-Grocery Manuf. of America(& spouse) Speech, Partial travel, 3 nights lodging, meals

San Antonio-South West Meat Packers Assoc. Speech, P/travel, 1 night lodging, meal

Denver-American Soybean Assoc. Speech, R/T travel, 1 night lodging, meals

Midland to Abilene, Tex.-private plane provided by Colorado River Municipal Water District-necessary to meet schedule

Sun Valley-Danny Thompson Mem. Leukemia Fund Golf T. (& spouse) Charity, R/T travel, 6 nights lodging, some meals

Columbus, Tex.-Texas Medical Assoc. Legislative conference, R/T travel, 1 night lodging, meals

Australia/New Zealand - fact finding trip (& spouse)
R/T travel, 12 nights lodging & meals provided by the following:
Qantas Airways Limited
Australian Meat & Livestock Corp.
Queensland Sugar Board on behalf of the Australian Sugar Industry
New Zealand Dairy Board
New Zealand Meat Producers Board
New Zealand Wool Board
New Zealand Apple and Pear Marketing Board

Anaheim-National Milk Producers Speech, R/T travel, 1 night lodging,meal
St. Paul-St. Paul Farm Credit Speech,R/T travel, 1 night lodging, meal

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

89 MAY 15 PM 2 30

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

LOUIS STOKES
(Full Name)

2365 RAYBURN HOUSE OFFICE BUILDING
(Mailing Address)

WASH., D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 21st State Ohio

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
D. C. Hospital Association, 1/6/88	Honorarium	$1,000	
National Council of Educational Opp. 1/11/88	Honorarium	2,000	
Normal Human Relations Commission, 1/16/88	Honorarium	1,500	
University of Michigan, 1/11/88	Honorarium	2,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
3611 Chelton Rd., Shaker Heights, Ohio	Rent	D
Dominion Bankshares Mortgage Co. (Escrow)	Interest	A
The Prudential Ins. Co. (Life Insurance)	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
3611 Chelton Road, Shaker Heights, Ohio (2 Family Home-1/2 Rented)	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
3611 Chelton Road, Shaker Heights, Ohio	3/9/88	D
Personal Residence, 2 family home, 1/2 rented		
Purchased in 1988		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Leader Mortgage Co., Cleveland, Ohio	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Normal Human Relations Commission	Travel, food and lodging - speaking engagement - Wash.-Bloomington, Ill.- Columbus, Ohio (2 days)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	The Martin Luther King, Jr. Center for Social Change
Board Member	Congressional Black Caucus Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §704 and 18 U.S.C. §1001).

Signature	Date
	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

LOUIS STOKES
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II - A	Ohio University, 1/17/88	Honorarium	$1,968.81	
	University of Wisconsin 1/18/88	Honorarium	1,500.00	
	Mid-American Assn. of Educational Opportunity 1/20/88	Honorarium	1,000.00	
	University of Akron 1/20/88	Honorarium	2,000.00	
	American Podiatric Medical Association 1/29/88	Honorarium	2,000.00	
	Northwestern University 2/10/88	Honorarium	1,500.00	
	Williams Institutional Benevolent Church, 2/14/88	Honorarium	500.00	
	National Educational Assn., 2/27/88	Honorarium	1,602.00	
	National Association of Community Health Centers, 2/29/88	Honorarium	500.00	
	Florida A & M University 2/26/88	Honorarium	2,000.00	
	University of South Carolina, 4/15/88	Honorarium	2,000.00	Charity
	Chicago Alumni Chapter of Kappa Alpha Psi Fraternity, 4/16/88	Honorarium	1,500.00	
	University of Cincinnati 4/18/88	Honorarium	1,500.00	
	Bethune-Cookman College 4/25/88	Honorarium	1,351.16	Charity ($100)
	Kent State University 5/4/88	Honorarium	793.75	Charity
	University of Arkansas 5/7/88	Honorarium	1,500.00	
	Association of American Cancer Institutes, 6/22/88	Honorarium	500.00	Charity
	Cuyahoga Community College, 6/24/88	Honorarium	500.00	Charity
	Van Dorn Company, 7/1/88	Honorarium	1,000.00	Charity
	Boeing Company, 7/8/88	Honorarium	2,000.00	Charity
		TOTAL	$26, 821.97	

SEE ATTACHMENT FOR CHARITABLE ORGANIZATIONS RECEIVING HONORARIUM

ATTACHMENT FOR PART II-A LOUIS STOKES

CHARITABLE ORGANIZATIONS RECEIVING HONORARIUM

University of South Carolina, 4/15/88 - Assigned to St. Paul AME Church Cleveland, Ohio	$ 2,000.00
Bethune-Cookman College, 4/25/88 - Assigned to Carmelite Sisters of Charity, Washington, D. C.	100.00
Kent State University, 5/4/88 - Assigned to the May 4 Memorial Foundation at Kent State University	793.75
Association of American Cancer - Institutes, 6/22/88 Assigned to Quinn Chapel AME Church Cleveland, Ohio	500.00
Cuyahoga Community College, 6/24/88 - Assigned to the Original Harvest Baptist Church, Cleveland, Ohio	500.00
Van Dorn Company, 7/1/88 - Assigned to New Hope Baptist Church, Forestville, Md.	1,000.00
Boeing Company, 7/8/89 - $1,000 assigned to Holy Trinity Baptist Church, Cleveland, Ohio $1,000 assigned to Spring Bilingual Montessori Academy, Silver Spring, Md.	2,000.00
TOTAL AMOUNT ASSIGNED TO CHARITY	$ 6,893.75

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Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

LOUIS STOKES
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
VII	University of Michigan	Travel - speaking engagement - Wash.-Detroit-Wash (1 day)
	Ohio University	Travel, food and lodging - speaking engagement - Columbus, Ohio-Chicago-Stevens Point (1 day)
	University of Wisconsin	Travel, food and lodging - speaking engagement - Stevens Point - Cleveland (1 day)
	American Podiatric Medical Assn., Inc.	Travel, food and lodging - speaking engagement - Wash. - Ft. Lauderdale-Cleveland (2 days)
	Northwestern University	Travel, food and lodging - speaking engagement - Wash. - Chicago - Wash (1 day)
	Williams Institutional Benevolent Church	Travel, food and lodging - speaking engagement - Wash.- New York-Wash. (2 days)
	National Educational Association	Travel, food and lodging - Tallahassee-Dayton-Wash. (1 day)
	Florida A & M	Travel - speaking engagement - Wash-Orlando-Dayton
	University of South Carolina	Travel and lodging - Wash.- Columbia, S. C.-Chicago (1 day)
	University of Cincinnati	Travel - speaking engagement - Chicago-Cincinnati-Wash.
	University of Arkansas	Travel - speaking engagement - Cleveland-Little Rock - Cleveland (1 day)
	Dukakis-Bensten Campaign	Travel, food and lodging - Meeting - Wash.-Boston-Wash
	Boeing Company	Travel, food and lodging - speaking engagement - Washington-Seattle-Cleveland (2 days)
	*Annual Minority Business Youth Educational Services	Travel, food and lodging - White Plains, New York - Boston (2 days)

*Honoraria was not received until 1989.

GPO 1987 79-048 (m)

UNITED STATES HOUSE OF REPRESENTATIVES

8 9 1 7 1 2 9 1 5 2 3

MAY 1 2 1989

Committee on Standards of Official Conduct

RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 MAY 22 AM 7:50
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U.S. HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Gerry Eastman Studds
(Full Name)

237 Cannon House Office Building
(Mailing Address)
Washington, DC 20515

(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10 State MA

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

** ATTACHED TO THIS FORM IS A COPY OF MY OWN COMPLETE PERSONAL FINANCIAL STATEMENT WHICH I HAVE RELEASED EVERY YEAR SINCE 1973.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Operation Crossroads Africa, Rcvd 4/14	Honorarium	400	
Brandeis University, Rcvd 4/7	"	2,000	
National Ocean Industries Ass., Rcvd 4/15	"	500	
National Marine Manufacturers Ass., Rcvd 4/13	"	500	
University of South Florida, Rcvd 5/7	"	2,000	

(Continued on continuation sheet)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Tucker, Anthony	Dividends	A
Exxon	Dividends	B
Fidelity Daily Income Trust	Dividends	B
Intelligent Systems - MLP	Distribution	A
American Security Bank	Interest	A
Lorillard, Inc	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Exxon,	Common Stock	C
Fidelity Daily Income Trust.		B
Intelligent Systems,	Common Stock	B
Lorillard,	Bonds	A
GTE Corporation,	Common Stock	B
Computer Automation	Common Stock	B

(Continued on Continuation Sheet)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Cetus, Common Stock, Bought & Sold	8/30, 9/8	A
Seagate Tech, Common Stock, Bought & Sold	Various Dates	B
Datum, Common Stock, Sold	7/15, 8/10	B
Cermetek, Common Stock, Sold	6/27	A
Terminal Data, Common Stock, Sold	5/24	B

(Continued on Continuation Sheet)

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
American Security Bank, Personal Loan	C
Congressional Federal Credit Union, Personal Loan	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Univ. of South Florida	Airfare: Washington-Tampa-Sarasot -Washington, meals, two nights' lodging, local transportation,
Brandeis University	Airfare: Boston - Los Angeles - Washington, two nights' lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	Cape Cod Symphony Orchestra
Board of Directors	Massachusetts Centers for Excellence
Board of Directors	The Stop AIDS Project, Inc., Boston, MA
Honorary Board of Directors	Massachusetts Vietnam Veterans Memorial Fund

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Gerry Eastman Studds
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
IIA Cont.			
Earned	Boston Safe Deposit & Trust Co, Rcvd 6/27	Honorarium	2,000
Income	Houghton Mifflin, Rcvd 9/7	"	2,000
	Preston, Thorgrimson, Ellis & Holman, Rcvd 12/20	"	1,000

Part				
III Cont.	Cetus	Common	Stock	A
Holdings	Seagate Tech	"	"	B
Please note:	Datum	"	"	B
most of these	Cermetek	"	"	A
were bought &	Terminal Data	"	"	B
sold during	System Industries	"	"	B
the course of	Applied Data Communications	"	"	A
the year and	Microcom	"	"	B
cannot, there-	California Microwave	"	"	B
fore, be cum-	Conner Peripherals	"	"	A
ulatively to-	Cyprus Semiconducter	"	"	B
taled to deter-	Domain Technology	"	"	A
mine net worth.	Miniscribe	"	"	A

Beatrice Studds Irrevocable Trust

My brother, Colin A. Studds, my sister, Gaynor Babcock, and I have placed the following securities -- owned jointly by the three of us -- in an irrevocable trust for our mother, Beatrice Studds, with my brother as trustee. All income from these securities goes to our mother for as long as she shall live. My brother, my sister, and I each own one-third of the securities -- and they will revert to us upon the dissolution of the trust at our mother's death. The following represents my one-third interest in the trust:

Colin A. Studds, III, Trustee
Beatrice Studds, Irrevocable Trust,
Under Agreement Dated August 1, 1973

US Treasury Bonds	B
West Point Pepperell, Common Stock	B
W.R. Grace, Common Stock	A
General Motors, Common Stock	A
IBM, Common Stock	A
Munford, Common Stock	A
Park Electro-Chemical, Common Stock	A
Rogers Corporation, Common Stock	B
Eastman Kodak, Common Stock	A
Centerior Energy Corp, Common Stock	A
GTE, Common Stock	A
First Australia Prime Income Fund	A

8 9 0 7 0 2 9 1 3 2 8 Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Gerry Eastman Studds
(Name)

Continuation Sheet

Part		Source, Type, Amount, Category, Description or Value (As Applicable)				
IV Cont.		Intelligent Systems,	Common	Stock	Sold 6/24	B
Trans-		System Industries,	"	"	Sold 6/24	B
actions		Applied Data Communications,	"	"	Sold 6/24	A
		Microcom	"	"	Sold 1/29	B
		California Microwave	"	"	Sold 6/16	B
		Computer Automation	"	"	Bought var. dates	B
		Conner Peripherals	"	"	Bought 10/11	A
		Cyprus Semiconducter	"	"	Bought 9/26	A
		Domain Technology	"	"	Bought 10/24	A
		Miniscribe	"	"	Bought 10/24	A
	*	GTE	"	"	Sold 5/27	A
	*	Munford	"	"	Sold 12/1	A
	*	U.S. Treasury	Bonds		Bought 5/27	A

* These are in the Beatrice Studds Irrevocable Trust.

CONGRESSMAN GERRY E. STUDDS' 1988 FINANCIAL STATEMENT

PART I - INCOME 1988 (SUMMARY)

Salary	89,500.00
Dividends --See Part II for detailed explanation	3,465.00
Interest -- See Part III for detailed explanation	501.00
Honoraria -- See Part IV for detailed explanation	10,500.00
TOTAL INCOME	103,966.00

PART II - DIVIDEND INCOME 1988

No. Shares	Security	Income Derived 1988
347	Exxon	1,491.00
	Fidelity Daily Income Trust	1,262.00
	Tucker, Anthony	412.00
2,000	Intelligent Systems	300.00
TOTAL		3,465.00

PART III - INTEREST INCOME 1988

Bonds	Security	Income Derived 1988
$3,100.00	Lorillard, Inc. 6-7/8 Debenture	$213.00
Bank Accounts		
Bank of Boston		$ 55.00
American Security Bank		191.00
Shawmut Bank of Cape Cod		42.00
TOTAL		501.00

PART IV - HONORARIA 1988

Christian Science Monitor	100.00
Operation Crossroads Africa	400.00
Brandeis University	2,000.00
National Ocean Industries Association	500.00
National Marine Manufacturers Association	500.00
University of South Florida	2,000.00
Boston Safe Deposit & Trust Co.	2,000.00
Houghton Mifflin	2,000.00
Preston, Thorgrimson, Ellis & Holman	1,000.00
TOTAL	$10,500.00

PART V - ASSETS

1. **Beatrice Studds Irrevocable Trust**

My brother, Colin A. Studds, my sister, Mrs. Gaynor Babcock, and I have placed the following securities -- owned jointly by the three of us -- in an irrevocable trust for our mother, Beatrice Studds, with my brother as trustee. All income from these securities goes to our mother for as long as she shall live. My brother, my sister, and I each own one-third of the securities -- and they will revert to us upon the dissolution of the trust at our mother's death. The following represents my one-third interest in the trust:

Colin A. Studds, III, Trustee
Beatrice Studds, Irrevocable Trust,
Under Agreement Dated August 1, 1973

No. Shares	Security	Market Value as of 4/28/89
Bonds		
4,333.00	US Treasury 9%, due 1994	4,340.00
3,333.00	US Treasury 9 1/8%, due 9/30/91	3,322.00
3,333.oo	US Treasury 8 3/4%, due 8/15/93	3,284.00
Common Stock		
134	W.R. Grace	4,221.00
100	General Motors	4,150.00
33	IBM	3,762.00
183	Park Electro-Chemical	2,791.00
233	Rogers Corporation	5,330.00
100	Eastman Kodak	4,800.00
167	Centerior Energy Corp	2,672.00
333	First Australia Prime Income Fund	2,914.00
333	Foote, Cone & Belding	7,950.00
167	Houghton Mifflin	6,659.00

2. I own the following securities:

No. Shares	Security	Market Value As of 4/28/89
Bonds		
$3,100.00	Lorillard, Inc. 6-7/8 Debenture	$2,735.00
Common Stock		
150	GTE Corp	$ 7,481.00
694	Exxon	29,234.00
2,500	Computer Automation	11,250.00

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PART V - ASSETS (continued)

3.	Our family home in Cohasset, Massachusetts, with an estimated market value of approximately $405,000 is owned jointly by my brother, my sister and me. My interest in the home, therefore, is roughly	c. 135,000.00
4.	Bank Accounts (As of 4/28/89)	
	a. NOW account, First National Bank of Boston	c. 1,100.00
	b. NOW account, American Security Bank	c. 500.00
	c. Money Market Account, Shawmut Bank of Cape Cod	c. 700.00
	d. Fidelity Daily Income Trust	c. 14,800.00
5.	Two bedroom house in Provincetown, Mass., estimated market value	c. 440,000.00
6.	Efficiency Condominium Apartment, Washington, DC estimated market value	c. 57,000.00
7.	1988 Jeep Wagoneer Limited	c. 17,600.00
8.	18' Boat	c. 10,000.00
9.	IRA & Keogh - Misc. Fidelity Funds	c. 35,000.00

PART VI - LIABILITIES 1988

1.	Mortgage, two bedroom house, Provincetown, Mass., New Bedford Institution for Savings, approximately	c. 314,000.00
2.	Mortgage, efficiency condominium, Washington, DC, Greentree Mortgage Corp. approximately	c. 39,800.00
3.	Boat Loan, Continental Federal Savings Bank approximately	c. 8,800.00
4.	Personal Loan, American Security Bank approximately	c. 25,000.00
5.	Personal Loan, Congressional Federal Credit Union, approximately	c. 7,000.00
6.	Marine Midland Bank - Master Card	c. 8,000.00

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

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U.S. HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

BOB STUMP
(Full Name)

211 CANNON HOB
(Mailing Address)

WASHINGTON, D.C. 20515

MC
(Office Use Only)

APR 25 PM 2 31

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State Arizona

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Stump Farms	Partnership	$30,000***	

***This is an estimate. I have an extension, and have not filed my income taxes for 1988.

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
CDs: Farmers & Merchants Bank	Interest	B
Valley National Bank	"	B
Valley National Bank: Savings Acct.	"	A
Union Bankers Insurance	"	A
Stocks: Tenneco	Dividend	A
T. G. Shaw Properties	"	A
Delta Airlines	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Stump Farms	E
CDs: Valley Nationa Bank	C
Farmers & Merchants Bank	C
Savings Account: Valley National Bank	A
Stock: (see continuation sheet)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Stump Farms

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	April 25, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

BOB STUMP
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	Stocks: Desert Sun Bank	A
	Farmers & Merchants Bank	A
	American Airlines	C
	Tenneco	A
	Delta Airlines	A
	Federal Resources	A
	T. G. Shaw Properties	A
	South Mountain Gin	B

GPO: 1977 [illegible]

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

DON SUNDQUIST
(Full Name)

230 CANNON HOB
(Mailing Address)

MC (Office Use Only)

MAY 15 AM 9 34

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7 State Tennessee

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Graphic Sales of America PS 58		677.00	
Honorariums (see continuation sheet)		$11,500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
checking account & credit union	interest	A
(Wright Patman Congressional		
Credit Union & Community Bank of		
Germantown)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Stock - Bank of Germantown	E
Stock - Graphic Sales of America	C
Partner - SUNTUC - Woodale Condominium, Memphis, TN	C
Limited Partner "Red, Hot and Blue" Restaurant	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase of stock, limited partnership, Red, Hot 'n Blue Restaurant.	10/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Metro Federal Savings & Loan, Nashville, Tn. Woodale Condominium	C
Note payable to Graphic Sales for purchase of insurance policy	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Dickson Friends (10 people)	portable radio	$282.00
Memphis Friends (40 people)	100th Congress ring	$400.00

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Center for Southern Folklore (through April 1988)
Director	PSALMS, Inc.
Bd. of Governors	Fund for American Studies
Exec. Committee	Graphic Sales of America, Inc.
Board of Trustees	HOSA Foundation (cont'd.)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none other than listed on page 2	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Don	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

DON SUNDQUIST
(Name)

Continuation Sheet

Part II DATE	Source, Type, Amount, Category, Description or Value (As Applicable) HONORARIUMS	AMOUNT
2/2/88	NACSA	$1000.00
2/15/88	Pfizer	$1000.00
2/16/88	Alexander & Alexander	$1000.00
2/22/88	Methodist Health	$2000.00
4/7/88	Intel	$ 500.00
4/9/88	Indiana University	$1500.00
5/26/88	American Cement Trade Assn.	$1000.00
7/26/88	Tn. Automotive Assn.	$1500.00
9/29/88	Phillip Morris	$1000.00
10/5/88	Meat Importers Council	$1000.00
VII		
ACYPL Committee of Youth Organizations	Air to the Soviet Union for a seven day alumni exchange program. Lodging & meals; spouse included.	
Congressional Institute	Air transportation to Houston; food & lodging, 3 days, spouse included.	
Pfizer	Air to and from Tampa (1 day)	
Nationale Nederlanden	Air to and from Denver; lodging & meals; spouse included. (5 days)	
Carter Co. Rep. Party	Air to and from Tri-Cities (1 day)	
Indiana University	Air to and from Indianapolis (overnight)	
Tn. Automotive	Air to Nashville	
Knox Co. Rep. Party	Air to Knoxville and lodging. (overnight)	
Meat Importers Council	Air to and from Nevada for 2 day legislative conference. Lodging and meals.	
PART VIII	(cont'd.) Partner, Suntuc Member, Vanderbilt National Advisory Committee/Japan Studies Chairman, Govt. Leaders Advisory Panel, NSPI	

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 MAY 15

FORM A—For use by Members, officers, and employees

ALLAN B. SWIFT
(Full Name)

1502 LONGWORTH H.O.B.
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State WA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHMENT			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
CONGRESSIONAL FEDERAL CREDIT UNION A/C23606	INTEREST	B
VIRGINIA GENERAL OBLIGATION BONDS	INTEREST	B
SOVRAN BANK N.A. A/C 86760869 (J)	INTEREST	A
THE NEW ECONOMY FUND A/C 14-0054978994 (JQ)	DIVIDEND	A
THE NEW ECONOMY FUND " (JCG)	DIVIDEND	B
TEMPLETON GROWTH FUND A/C 1832101065 (JQ)	DIVIDEND	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
RENTAL PROPERTY-SPINAKER BEACH CONDO	C
RENTAL PROPERTY-THE OLYMPUS CONDO	D
VIRGINIA GENERAL OBLIGATION BONDS	D
THE NEW ECONOMY FUND-COMMON STOCK MUTUAL	C
TEMPLETON GROWTH FUND-COMMON STOCK MUTUAL	C
MONY SECURITIES CORP-PROFIT SHARING RETIREMENT PLAN	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
WA FIRST INTERSTATE BANK-DEED OF TRUST-BELLINGTON(CONDO)	C
SECURITY PACIFIC BANK-2ND MORTGAGE	A
INLAND MORTGAGE CORP-DEED OF TRUST-ALEXANDRIA (CONDO)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHMENT	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHMENT	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

[illegible]

CONGRESSMAN AL SWIFT
HONORARIUM & REIMBURSEMENTS
1988

JAN. 16	NATIONAL ASSN. OF BROADCASTERS, KONA, HAWAII PANELS/MEETINGS THREE NIGHTS LODGING AND AIRFARE - SPOUSE INCLUDED HONORARIUM:	$ 1,500.00
FEB. 3	SOUTHWESTERN BELL CORP. SPEECH HONORARIUM:	$ 1,000.00
FEB. 23	DUTCO & ASSOC./LIGHTNET SPEECH HONORARIUM:	$ 500.00
MAR. 7	UNISYS, SCOTTSDALE, AZ SPEECH ONE NIGHTS LODGING AND AIRFARE HONORARIUM:	$ 2,000.00
MAR. 8	CENTEL SPEECH HONORARIUM:	$ 1,000.00
MAR. 10	EDISON ELECTRIC SPEECH HONORARIUM:	$ 500.00
MAR. 11	US TELEPHONE ASSN. PANEL HONORARIUM:	$ 1,000.00
MAR. 12	CHICAGO BOARD OF TRADE/FIA, FT. LAUDERDALE, FL PANEL TWO NIGHTS LODGING AND AIRFARE - SPOUSE INCLUDED HONORARIUM:	$ 1,000.00
MAR. 24	NATIONAL COAL ASSN. SPEECH HONORARIUM:	$ 500.00
MAR. 31	ASSN. OF AMERICAN RAILROADS SPEECH HONORARIUM:	$ 500.00
APR. 15	AMERICAN WATERWAY OPERATORS SPEECH HONORARIUM:	$ 1,000.00

CONGRESSMAN AL SWIFT
HONORARIUM & REIMBURSEMENTS - 1988

APR. 21	AMERICAN TRUCKING ASSN. SPEECH HONORARIUM:	$ 1,000.00
APR. 27	AMERICAN GAS ASSN. SPEECH HONORARIUM:	$ 1,000.00
MAY 11	ASSOCIATED EQUIPMENT DISTRIBUTORS SPEECH HONORARIUM:	$ 1,000.00
MAY 13	CBS RECORDS INC., NEW YORK, NY MEETINGS WITH CO. OFFICIALS THREE NIGHTS LODGING AND AIRFARE - SPOUSE INCLUDED HONORARIUM:	$ 1,000.00
MAY 18	AUTOMOBILE IMPORTERS OF AMERICA SPEECH HONORARIUM:	$ 2,000.00
JUN. 21	ASSN. OF INDEPENDENT TV STATIONS MEETINGS WITH GENERAL MANAGERS HONORARIUM:	$ 1,000.00
JUL. 5	ASSN. FOR ED. IN JOURNALISM & MASS COMMO., PORTLAND, OR PANEL ONE NIGHTS LODGING AND AIRFARE NO HONORARIUM	
JUL. 11	PRESTON, THORGRIMSON, ELLIS & HOLMAN SPEECH HONORARIUM:	$ 1,000.00
JUL. 25	CALIFORNIA BROADCASTERS ASSN., MONTEREY, CA PANEL ONE NIGHTS LODGING AND AIRFARE HONORARIUM:	$ 2,000.00
AUG. 8	TELECOMMUNICATIONS INDUSTRY ASSN., ALBANY, NY SPEECH ONE NIGHTS LODGING AND AIRFARE HONORARIUM:	$ 1,000.00
AUG. 9	SOUTHERN COMPANY SERVICES SPEECH HONORARIUM:	$ 1,000.00

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CONGRESSMAN AL SWIFT
HONORARIUM & REIMBURSEMENTS - 1988

AUG. 17	WASHINGTON STATE BANKERS ASSN., BLAINE, WA SPEECH ONE NIGHTS LODGING - INCLUDING SPOUSE HONORARIUM: $ 1,000.00 DONATED DIRECTLY BY ORGANIZATION TO: NW WA AGRICULTURAL RESEARCH FOUNDATION	
SEP. 19	NATIONAL ASSN. OF BROADCASTERS PANEL - RADIO '88 HONORARIUM:	$ 1,000.00
NOV. 16	PRIVATE CABLE INDUSTRY, DENVER, CO SPEECH ONE NIGHTS LODGING AND AIRFARE HONORARIUM: -- [illegible] [illegible] BY ORGANIZATION TO: - [illegible] OF REP. DAY CARE CENTER - $500.00	$ 1,000.00
NOV. 21	BELL SOUTH, CHICAGO, IL SPEECH AIRFARE HONORARIUM:	$ 2,000.00
DEC. 8	WA PUD ASSN., SEATTLE, WA SPEECH HONORARIUM: $2,000.00 -- [illegible] [illegible] BY ORGANIZATION TO: - WA STATEWIDE VETERANS MEMORIAL - $1,000.00 - SPARC, MT. VERNON - $500.00 - HOUSE OF REP. DAY CARE CENTER - $500.00	
TOTAL HONORARIUM:		$26,500.00

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ALLAN B. SWIFT
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II	TEMPLETON GROWTH FUND A/C 1832101065 (JCG) DIVIDEND A	
III	TEMPLETON WORLD BANK-IRA (ACCT 2842994770)	
	HELD BY GUARANTEE AND TRUST CO - AL SWIFT	B
	TEMPLETON WORLD FUND-IRA (ACCT 2854106812)	
	HELD BY GUARANTEE AND TRUST CO - PAULA SWIFT	A
	SHEARSON LEHMAN IRA (ACCT 647606921307)	A
	- AL SWIFT	
II	Residential Rental - Bellingham, WA	C - Gross
	" " - Alexandria, VA	B - "

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HAND DELIVERED

AL SWIFT
2d District, Washington

COMMITTEE ON
ENERGY AND COMMERCE

Subcommittee on Telecommunications and Finance

Subcommittee on Energy and Power

COMMITTEE ON
HOUSE ADMINISTRATION

Chairman,
Subcommittee on Elections

Congress of the United States
House of Representatives
Washington, DC 20515

1502 Longworth House Office Building
Washington, DC 20515
(202) 225-2605

DISTRICT OFFICES

308 Federal Building
Bellingham, WA 98225
(206) 733-4500

201 Federal Building
Everett, WA 98201
(206) 252-3188

Port Angeles, WA
(206) 452-3211

Toll Free
1-800-562-1385

MAY 18 PM 4:23

May 18, 1989

Hon. Donnald Anderson
Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth HOB
Washington, D.C. 20515

MC

Dear Mr. Anderson:

In response to a letter from the Committee on Standards of Official Conduct dated May 17, 1989, I would like to amend my Financial Disclosure Statement for 1988 as follows:

PART III. HOLDINGS:

The trust holdings box should have been checked NO.

PART IV. TRANSACTIONS:

This section should have reflected the purchase of a condominium at the Olympus in Alexandria, VA, on July 29, 1988 and falls into category D.

Thank you.

Sincerely,

Al Swift
Member of Congress

AS/mah

0 2 1 7 1 2 7 4 1 1 3

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM use by Members, officers, and employees

Michael Lynn Synar
(Full Name)

217 8th Street, S.E.
(Mailing Address)

Washington, D.C. 20003

MC (Office Use Only)

MAY 11 PM 8 29

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State OK

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1-13-88 Amer.Society of Corporate Secretaries	honorarium	$1000.	
1-13-88 Goldman Sachs	"	$2000.	
1-13-88 The Bank of New York	"	$2000.	
1-16-88 Pharmaceutical Manufacturers Assn.	"	$2000.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional Federal Credit Union	Interest	A
Europacific Growth Fund	Dividends	A
Frederick V. Linse, lessee, River Place coop #W538	Rent	C
Marcus Bradshaw, lessee, River Place coop #W734	Rent	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 3.

IDENTITY	CATEGORY
20 acres of unimproved residential property, Muskogee, OK	D
Livestock, Muskogee, Oklahoma	C
1/3 interest in 140 acres of unimproved agriculture, Muskogee, OK	C
River Place West coop #W538, Arlington, Virginia	D
River Place West coop #W734, Arlington, Virginia	D
Individual Retirement Account, Legg Mason, Baltimore, MD	B

8937124231

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

	BRIEF DESCRIPTION	DATE	CATEGORY
PURCHASE	Individual Retirement Account, Legg Mason, Baltimore,MD	3-14-89	A
PURCHASE	Michael L. Synar RetirementPlan(HR-10), Northwestern Mutual Life Insurance	4-10-89	A
PURCHASE	Europacific Growth Fund	1-88 to 12-88	A
SALE	Europacific Growth Fund(shares redeemed)	8-15-88	A
SALE	Europacific Growth Fund(shares redeemed)	10-24-88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage, River Place West coop W638, Dominion Federal S&L, McLean,VA	C
Mortgage, River Place West coop W734, Dominion Federal S&L, McLean, VA	C
2nd Trust, River Place West coop W638, Dominion Federal S&L, McLean, VA	B
2nd Trust, River Place West coop W734, Dominion Federal S&L, McLean, VA	B
Federal Land Bank Assn. of Broken Arrow, OK—Loan for 80 acres	B
Federal Land Bank Assn. of Broken Arrow, OK—Loan for 60 acres	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Warner-Lambert Corporation(conference participant)	one way airfare: DC-San Juan, Puerto Rico
Pharmaceutical Manufacturers Assn. (conference participant)	one way airfare: San Juan-DC, 2½ days food and lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Synar Land & Cattle Company, Muskogee, OK
Consultant	Eastern Oklahoma Land & Cattle Company, Muskogee, OK

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Mike Synar	5-8-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Michael Lynn Synar
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A	1-28-88 United States Telephone Assn.	honorarium	$1000.
II-A	1-15-88 Morgan Guaranty Trust Company	"	$1000.
II-A	2-25-88 National Solid Waste Management Assn.	"	$2000.
II-A	2-17-88 United Shareholders Assn.	"	$1000.
II-A	3-18-88 Oklahoma Gas & Electric	"	$1000.
II-A	3-21-88 Securities Industry Association	"	$2000.
II-A	3-17-88 American Trucking Association	"	$1000.
II-A	3-15-88 The Madeira School	"	$500.
II-A	3-16-88 American Advertising Federation	"	$2000.
II-A	4-21-88 Burson-Marsteller	"	$750.
II-A	4-10-88 National Assn. of Broadcasters	"	$1500.
II-A	4-30-88 National Cable Television Assn.	"	$1500.
II-A	4-22-88 Salomon Brothers, Inc.	"	$2000.
II-A	4-22-88 Browning-Ferris Industries	"	$2000.
III	Individual Retirement Account, Citizens National Bank, Muskogee, OK		A
III	Individual Retirement Account, Capitol Guardian Trust, Los Angeles, CA		A
III	Pioneer II Mutual Fund Account		A
III	Europacific Growth Fund(Mutual Fund)		C
III	Michael L. Synar HR-10(Keogh) Retirement Plan		B
III	Federal Retirement Thrift Savings Plan		B
IV	SALE Europacific Growth Fund(shares redeemed)	12-23-88	A
VII	Oklahoma Gas & Electric(conference participant)		round trip airfare: Detroit-Phoenix-Phoenix-DC 2 days food, lodging
VII	National Association of Broadcasters (conference participant)		round trip airfare: Tulsa-Las Vegas-Las Vegas San Antonio, 2 days food, lodging in Las Vegas
VII	Browning-Ferris Industries (address at corporate headquarters)		one way airfare:DC-Houston 1 night lodging and meal
VII	Salomon Brothers, Inc. (conference participant)		one way airfare:Houston-DC 1 night lodging and meals
VII	National Cable Television Association (conference participant)		round trip airfare:DC-Los Angeles-DC, 2 days food and lodging
VII	American Hospital Association (conference participant)		round trip airfare: Tulsa-New Orleans-DC, 1 night lodging and meal

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INSIDE MAIL

MIKE SYNAR
2d District, Oklahoma

Committees
ENERGY AND COMMERCE

JUDICIARY

GOVERNMENT OPERATIONS

SELECT COMMITTEE
ON AGING

Congress of the United States
House of Representatives
Washington, DC 20515

Washington Office
2441 Rayburn House Office Building
Washington, DC 20515
(202) 225-2701

District Office
2B22 Federal Building
125 South Main Street
Muskogee, OK 74401
(918) 687-2533

June 13, 1989

RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 JUN 19 PM 2:39
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC ✓

Hon. Julian C. Dixon
Chairman
The Committee On Standards Of
Official Conduct
1036 Longworth HOB
Washington, D.C. 20515

Dear Julian:

I would like to request that the Committee allow me to amend my 1988 Financial Disclosure Statement. Due to an inadvertant error on the part of my staff, an omission occured.

Please amend Section II, Part A (Earned Income) to include one additional honorarium, which was not paid to me, but donated to the Oolagah Historical Society of Oolagah, Oklahoma, a small town in my District. The honorarium was paid by CBS Inc., in the amount of $500.00 on October 13, 1988.

If you have any questions concerning this request, please do not hesitate to contact me or Sally LoVoi in my office.

Thank you for your prompt attention to this matter.

Sincerely,

Mike

MIKE SYNAR
Member of Congress

MS/ssl

8 9 3 7 0 2 3 2 / 0 3

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Robin M. Tallon
(Full Name)

P. O. Box 6286
(Mailing Address)

Florence, SC 29502

MC (Office Use Only)

89 MAY 15 PM 4:56

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State SC

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

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I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO x NA ____

For more information, see detailed Instruction Booklet at page 7.

M 7 1 / 1 4 3 2 / U Y

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Tallon Sales Co, Florence SC	Directors Fees	14,000	
Tobacco Institute (1/5/88)	Honorarium	1,000	
Connell Rice & sugar (2/19/88)	Honorarium	2,000	
Food Marketing Inst. (4/28/88)	Honorarium	500	
Smokeless Tobacco Inst. (5/12/88)	Honorarium	1,000	

Continued--

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SC National Bank	interest	A
Anderson Brothers Bank	interest	C
SCN Discount Brokerage	interest	B
Security Federal	interest	A
Interstate Securities	interest	A
Civil Service retirement	interest	C

continued ---

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Tallon Sales Co, common stock	E
SC National Bank, savings account	B
Anderson Brothers Bank, savings account	C
SCN DIscount Brokerage, investment account	D
Bear Stearns - investment account	C
Hambrecht & Quist, investment account	D

continued

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
see contindation page		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Rebecca Putnam (Beach House)	C
Amer. Credit Service (Wash, DC condo mortgage)	C
Secutiry Federal (Florence, SC building mortgage)	E
J. Bryan Floyd(N Myrtle Beach building mortgage) pd off 2/1/88	A
First Federal Savings of SC (home mortgage)	E
Pee Dee State Bank (Greg Avenue house) pd off 6/1/88	C (spouse)

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
David Lambert	round trip on private plane between Florence/Bahamas/ Florence-self and spouse 1/1/88 - 1/5/88
Bobby Strickland	house in the Bahamas for self and spouse 1/1/88-1/5/88

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

K Y J / 1 2 0 2 / 1 1

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Tallon Sales Co.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

none

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Robert M. Tallon, Jr.

Date: 5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

H90/0282/12

Page 5 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robin Tallon
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II A	Coffee, Sugar & Coca Exchange	Honorarium (6/30/88)	300
II A	NY Cotton Exchange	Honorarium (6/30/88)	300
II A	COMEX	Honorarium (6/30/88)	300
IIA	NY Futures Exchange	Honorarium (7/5/88)	300
II A	NY Mercantile Exchange	Honorarium (7/8/88)	300
II A	Chicago Board of Trade	Honorarium (7/27/88)	500
IIA	Chicago Mercnatile Exchange	Honorarium (7/27/88)	500
IIA	American Meat Institute	Honorarium (8/24/88)	1,000
IIA	Philip Morris Mgt. Co	Honorarium (9/1/88)	1,000
IIA	Spouse income	real estate agent	
IIB	Bear Stearns	Dividend	A
IIB	Hambrecht & Quist	Dividend	A
IIB	Robin's of Florence	Rent	E
IIB	Robin's of N Myrtle Beach	Rent	E
IIB	Beach house rental (1517 N. Ocean Blvd.)	Rent	D
III	Florence Building, W. Evans St		F
III	N. Myrtle Beach Bldg, Hwy 17, N. Myrtle Beach		E
III	1517 N. Ocean Blvd., N Myrtle Beach, SC		D
III	1508 Greg Avenue, Florence		C (spouse)

893/3202/13

Page 6 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robin Tallon
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
IV	Gillette	sold	5/9/88	A
IV	Texaco	purchased	5/5/88	D
IV	Texaco	sold	5/4/88	C
IV	Gillette	purchased	6/1/88	C
IV	Gillette	sold	5/17/88	C
IV	S&P 100 option index	purchased	6/8/88	B
IV	S&P 100 option index	sold	6/7/88	A
IV	S&P 100 option index	purchased	6/7/88	C
IV	S&P 100 option index	sold	6/8/88	C
IV	Smithkline Beckman	purchased	6/20/88	F
IV	Smithkline Beckman	sold	7/6/88	F
IV	Smithkline Beckman	purchased	7/6/88	B
IV	Smithkline Beckman	sold	6/29/88	B
IV	S&P 100 option index	purchased	6/17/88	D
IV	S&P 100 option index	sold	6/17/88	D
IV	S&P 100 option index	purchased	6/16/88	A
IV	S&P 100 option index	sold	6/15/88	A
IV	S&P 100 option index	purchased	6/22/88	D
IV	S&P 100 option index	sold	7/6/88	C
IV	S&P 100 option index	purchased	7/14/88	B
IV	S&P 100 option index	sold	7/8/88	C
IV	IBM	purchased	7/12/88	A
IV	IBM	sold	7/7/88	B
IV	S&P 100 option index	purchased	7/11/88	B
IV	S&P 100 option index	sold	7/14/88	A
IV	S&P 100 option index	purchased	7/15/88	A
IV	S&P 100 option index	sold	7/14/88	A
IV	S&P 100 option index	purchased	7/14/88	C
IV	S&P 100 option index	sold	7/15/88	C
IV	S&P 100 option index	purchased	7/19/88	C
IV	S&P 100 option index	sold	7/19/88	C
IV	S&P 100 option index	purchased	7/18/88	A
IV	S&P 100 option index	sold	7/19/88	A
IV	S&P 100 option index	purchased	7/19/88	C
IV	S&P 100 option index	sold	7/19/88	C
IV	S&P 100 option index	purchased	7/20/88	D
IV	S&P 100 option index	sold	7/22/88	D
IV	S&P 100 option index	purchased	7/22/88	B
IV	S&P 100 option index	sold	8/2/88	B
IV	S&P 100 option index	purchased	7/29/88	D
IV	S&P 100 option index	sold	7/22/88	D
IV	Coca Cola Company	purchased	8/16/88	D
IV	Coca Cola Company	sold	8/4/88	D
IV	Walt Disney Co	purchased	8/16/88	E
IV	Walt Disney Co	sold	8/4/88	E
IV	Motorola Inc	purchased	8/16/88	E
IV	Motorola Inc	sold	8/12/88	E
IV	S&P 100 option index	purchased	8/23/88	C
IV	S&P 100 option index	sold	8/12/88	D
IV	S&P 100 option index	purchased	8/17/88	A
IV	S&P 100 option index	sold	8/22/88	A
IV	IBM	purchased	8/23/88	F
IV	IBM	sold	8/23/88	F
IV	S&P 100 option index	purchased	8/22/88	C
IV	S&P 100 option index	sold	8/22/88	C
IV	S&P 100 option index	purchased	8/22/88	A
IV	S&P 100 option index	sold	8/13/88	A
IV	S&P 100 option index	purchased	8/26/88	B
IV	S&P 100 option index	sold	9/8/88	A

H99/1282/14

Page 7 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robin Tallon
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
IV	IBM	purchased	9/8/88	F
IV	IBM	sold	9/13/88	F
IV	S&P 100 option index	purchased	9/8/88	D
IV	S&P 100 option index	sold	8/26/88	C
IV	IBM	purchased	9/16/88	A
IV	IBM	sold 9/8/88	9/8/88	A
IV	Smithkline Beckman	purchased	6/29/88	D
IV	Smithkline Beckman	sold	8/4/88	D
IV	Glaxo Hldgs PLC	purchased	9/15/88	D
IV	Glaxo Hldgs PLC	sold	9/29/88	D
IV	S&P 100 option index	purchased	10/20/88	C
IV	S&P 100 option index	sold	9/27/88	B
IV	S&P 100 option index	purchased	10/9/88	B
IV	S&P 100 option index	sold	9/27/88	B
IV	Poloroid Corp	purchased	10/16/88	D
IV	Poloroid Corp	sold	12/2/88	D
IV	S&P 100 option index	purchased	11/2/88	C
IV	S&P 100 option index	sold	12/13/88	B
IV	S&P 100 option index	sold	9/20/88	A
IV	S&P 100 option index	purchased	11/14/88	A
IV	S&P 100 option index	sold	9/20/88	A
IV	S&P 100 option index	sold	9/20/88	A
IV	S&P 100 option index	sold	9/20/88	A
IV	S&P 100 option index	purchased	11/14/88	A
IV	S&P 100 option index	sold	10/25/88	A
IV	S&P 100 option index	purchased	11/14/88	A
IV	S&P 100 option index	sold	10/22/88	A
IV	S&P 100 option index	purchased	11/14/88	A
IV	S&P 100 option index	sold	10/21/88	A
IV	S&P 100 option index	purchased	10/25/88	A
IV	S&P 100 option index	sold	9/21/88	A
IV	S&P 100 option index	purchased	10/24/88	A
IV	S&P 100 option index	sold	9/21/88	A
IV	S&P 100 option index	purchased	10/21/88	A
IV	S&P 100 option index	sold	9/21/88	A
IV	S&P 100 option index	purchased	11/2/88	C
IV	S&P 100 option index	purchased	11/2/88	B
IV	S&P 100 option index	sold	11/4/88	C
IV	Eli Lilly Co	purchased	11/8/88	D
IV	WTS Eli Lilly & Co	sold	11/11/88	D
IV	S&P 100 option index	purchased	11/14/88	B
IV	S&P 100 option index	purchased	11/14/88	B
IV	S&P 100 option index	sold	11/16/88	C
IV	S&P 100 option index	purchased	11/14/88	B
IV	S&P 100 option index	sold	11/16/88	B
IV	S&P 100 option index	purchased	11/18/88	C
IV	S&P 100 option index	purchased	11/23/88	B
IV	S&P 100 option index	sold	12/5/88	B
IV	S&P 100 option index	purchased	12/5/88	B
IV	S&P 100 option index	sold	12/5/88	B
IV	ICX TC industries	purchased	10/28/88	B
IV	Freeport McMoRan	purchased	10/28/88	B
IV	Freeport McMoRan	sold	12/29/88	B
IV	BUrlington RES Inc.	purchased	10/28/88	B
IV	Phillips Petroleum	purchased	10/28/88	B
IV	Phillips Petroleum	sold	12/16/88	B
IV	Poloroid Corp.	purchased	10/26/88	C
IV	Bally Manuf. Corp.	purchased	12/1/88	B
IV	Bally Manuf. Corp.	purchased	12/16/88	B

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Page 8 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robin Tallon
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
IV	IBM-AE	Purchased	1/8/88	A
IV	IBM-AE	Sold	1/7/88	C
IV	IBM AD	Sold	1/8/88	B
IV	IBM-AD	Sold	1/11/88	A
IV	IBM	Sold	1/19/88	A
IV	IBM	Purchased	1/19/88	C
IV	IBM	Sold	1/19/88	C
IV	DIgital Equipment	Purchased	1/20/88	C
IV	Digital Equipment	Sold	1/20/88	C
IV	Digital Equipment	Purchased	2/8/88	A
IV	Digital Equipment	Sold	1/25/88	C
IV	McDonalds Corp.	Purchased	2/10/88	C
IV	McDonalds Corp.	Sold	1/28/88	A
IV	McDonalds Corp.	Sold	1/28/88	A
IV	Digital Equipment	Sold	1/27/88	A
IV	Digital Equipment	Sold	2/1/88	A
IV	Digital Equipment	Purchased	2/8/88	A
IV	Digital Equipment	Sold	2/4/88	A
IV	Digital Equipment	Purchased	2/18/88	D
IV	Digital Equipment	Sold	2/8/88	C
IV	Digital Equipment	Purchased	2/22/88	D
IV	Digital Equipment	Sold	2/11/88	D
IV	Digital Equipment	Purchased	2/29/88	C
IV	Digital Equipment	Sold	2/18/88	C
IV	IBM	Purchased	2/24/88	C
IV	IBM	Sold	2/22/88	C
IV	IBM	Purchased	2/25/88	B
IV	IBM	Sold	2/25/88	A
IV	IBM	Purchased	3/8/88	A
IV	IBM	Sold	3/2/88	A
IV	IBM	Purchased	3/10/88	D
IV	IBM	Sold	3/8/88	C
IV	Digital Equipment	Purchased	3/15/88	C
IV	Digital Equipment	Sold	3/10/88	B
IV	Digital Equipment	Purchased	3/25/88	B
IV	Digital Equipment	Sold	3/18/88	C
IV	Digital Equipment	Purchased	3/28/88	A
IV	Digital Equipment	Sold	3/28/88	A
IV	Digital Equipment	Sold	3/25/88	B
IV	Digital Equipment	Sold	3/28/88	C
IV	Digital equipment	Purchased	3/28/88	B
IV	Digital Equipment	sold	3/24/88	A
IV	Digital Equipment	Sold	3/30/88	A
IV	Digital Equipment	Purchased	4/6/88	B
IV	Digital Equipment	Sold	4/4/88	A
IV	IBM	Purchased	4/6/88	B
IV	IBM	Sold	4/5/88	B
IV	Gillette	Sold	4/7/88	C
IV	Gillette	Purchased	4/18/88	C
IV	Gillette	Sold	4/18/88	C
IV	Gillette	Purchased	4/20/88	D
IV	Gillette	sold	4/18/88	C
IV	IBM	Purchased	4/26/88	C
IV	IBM	Sold	4/20/88	C
IV	IBM	purchased	5/17/88	C
IV	IBM	sold	5/5/88	C
IV	Gillette	purchased	5/5/88	A
IV	Gillette	sold	4/29/88	B
IV	Gillette	purchased	5/11/88	A

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Page 9 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ROhin Tallon
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
IV	Upjohn Co.	purchased	12/16/88	B
IV	QMS Inc.	purchased	1/25/88	B(spouse)
IV	QMS Inc.	sold	2/4/88	B(spouse)
IV	1508 Greg Avenue	purchased	2/15/88	C(spouse)
IV	1508 Greg AVenue	sold	6/1/88	C(spouse)

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VII	Tobacco Institute 1/13/88-1/17/88 - round trip air fare for self (from DC) and spouse (from Flornce, SC) to Palm Springs, CA, lodging and food - speech
VII	Connell Rice & Sugar 2/19/88 - round trip air fare between DC/New Jersey/DC for self - speech
VII	NY Futures Exchanges 5/22/88 - 5/23/88 = round trip air fare for self between Florence, SC/NY/DC, 1 night lodging and food - speech
VII	Chicago Board of Trade 7/31/88-8/1/88 - round trip air fare between Florence, SC/Chicago/DC, 1 night lodging and food for self - speech
VII	American Meat Institute 6/4/88-6/6/88 - round trip air fare for self (Myrtle Beach /Hilton Head/DC) and spouse (Myrtle Beach/Hilton Head/Florence), 2 nights lodging and food-speech
VII	Southeast Tourism Society 6/16/88-6/19/88 round trip air fare for self (DC/Orlando/DC) and spouse (Florence/Orlando/Florence) and three nights lodging and food speech
VII	Australian Meat and Livestock Corporation, New Zealand Dairy Board, and the New Zealand Meat Producers - round trip air fare for self (DC/Sydney/Brisbane/Myrtle Beach) and spouse (Florence, SC/Sydney/Brisbane/Myrtle Beach, SC) fact finding

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MEMBER:
COMMITTEE ON
AGRICULTURE
COMMITTEE ON
MERCHANT MARINE
AND FISHERIES

ROBIN TALLON
6TH DISTRICT, SOUTH CAROLINA

Congress of the United States
House of Representatives
Washington, DC 20515

432 CANNON BUILDING
WASHINGTON, DC 20515
(202) 225-3315

DISTRICT OFFICES:
P.O. BOX 6286
FLORENCE, SC 29502
(803) 669-9084

HORRY COUNTY COURTHOUSE
CONWAY, SC 29526
(803) 248-6250

HAND DELIVERED

89 MAY 15 PM 5:21
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

May 15, 1989

/
Mc

Clerk, US House of Representatives
Room 1026 Longworth House Office Building
Washington DC 20515

Dear Sir:

Due to a typgraphical error, I would like to request that a change be made in the Tobacco Institute entry in Section IIA, Earned Income.

The date should read January 15, 1988, rather than January 5, 1988. I would be most appreciative if you would make that correction to my report.

Sincerely,

Robin Tallon

ROBIN TALLON
Member of Congress

Rt/rh

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—*For use by Members, officers, and employees*

John Tanner
(Full Name)

512 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

MAY 10 PM 12 16

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8 State Tennessee

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO _xx_ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of Tennessee	Salary	$10,416.70	
Metropolitan Federal Savings and Loan	Salary	$66,109.16	
Tennessee Army National Guard	Salary	$ 6,353.10	
Agricultural Lease (Livestock)	Partnership	2,076.00	
HIET Leasing	Partnership	3,030.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Metropolitan Federal Savings and Loan	Interest	B
Sovran Bank	Interest	A
HIET Leasing	Interest	A
Shearson Daily Dividend	Dividend	A
Downey Savings and Loan	Dividend	A
Dean Witter Reynolds (Spouse)	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO xxx NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Metropolitan Federal Savings and Loan Stock	E
Union City Insurance Agency Stock	B
HIET Leasing Partnership	C
Individual Retirement Account	C
Individual Retirement Account (Spouse)	B
Metropolitan Federal Savings and Loan Profit Sharing (70% vested)	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Integrated Resources, Inc. (Sold Stock)	4/21/88	D
Downey Savings and Loan (Sold Stock)	3/8/88	B
Freeport McMoran Resource (Sold Stock)	3/8/88	D
Agriculture Lease (Sold)	10/24/88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Reelfoot Bank	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMPURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Sr. VP & Director	Metropolitan Federal Savings and Loan
Vice-President	Union City Insurance Company
Partner	HEIT Leasing
Of Counsel	Elam, Glasgow, Tanner, & Acree

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John Tanner	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Honorable John Tanner
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
IIA	Union City Insurance Agency Retainer and Attorney	$16,600.00
	Volunteer Real Estate Commissions (Spouse)	$ 6,765.75
III	Metropolitan Federal Savings and Loan Savings Account	C
	Integrated Resources, Inc. Stock	D
	Downey Savings and Loan Stock	B
	Freeport McMoran Resources Limited Partnership	D
	Agricultural Lease (Livestock)	A
	Dean Witter Reynolds Principal Guaranteed Fund (Spouse)	B
	Note Receivable	A

JOHN TANNER
8TH DISTRICT, TENNESSEE
512 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-4714
KELLY M. SHARBEL, JR.
ADMINISTRATIVE ASSISTANT

COMMITTEE ON
ARMED SERVICES
COMMITTEE ON
SCIENCE AND TECHNOLOGY

Congress of the United States
House of Representatives
Washington, DC 20515

DISTRICT OFFICES:
ROOM B-7, POST OFFICE BUILDING
JACKSON, TN 38302
(901) 423-4848

3179 NORTH WATKINS
MEMPHIS, TN 38127
(901) 358-4094

POST OFFICE BOX 629
348 HARRISON STREET
UNION CITY, TN 38261
(901) 885-7070

MAY 10 PM 12:17

May 9, 1989

HAND DELIVERED

Honorable Donn Anderson
Clerk
H105--The Capitol
Washington, D.C. 20515

Dear Donn:

I am today filing my Ethics In Government--Financial Disclosure Statement for 1988. Because I am serving my first term in the House of Representatives, I feel it imperative to include, by way of this letter, additional information not now required by the Ethics in Government Act. I would, therefore, request that this letter be made a permanent part of the record and attached to this report.

Having come from the private sector into the Congress, I have found it necessary to rearrange my personal financial affairs to insure compliance not only with the letter of the law, but its spirit as well. Since being sworn in as a Member of Congress on January 3, 1989, I have taken the following actions with regard to business and professional agreements entered into prior to that date:

1) Resigned as a Member of the Board of Directors of Metropolitan Savings and Loan Association of Nashville, Tennessee by whom I was employed prior to election to Congress. I will continue to serve on that company's Advisory Board.

2) Resigned as a Partner in the firm of Elam, Gaslgow, Tanner, and Acree a law firm with whom I have been associated since beginning my professional career. Furthermore, I requested that my name be removed from the firm.

3) Disposed of my interest in HIET Leasing, a partnership in which I was one of four founding partners. This firm originated in 1979. Among its holdings are buildings in which space is leased to agencies of the federal government on a long term basis.

4) Because it is a closely-held, family business, I understand that no action is necessary with regard to my ownership of stock in the Union City Insurance Agency.

Honorable Donn Anderson
Page 2
May 9, 1989

These actions were taken after consultation with Counsel from the Committee on Standards of Official Conduct. While there is no legal requirement that this actions be reported at this time, I feel it is appropriate to do so to remove any question with regard to compliance with the Ethics in Government Act of 1978. If any additional information is necessary, do not hesitate to let me know.

I respectfully request that this correspondence be maintained as a part of my Financial Disclosure for 1988.

Sincerely,

John Tanner, M.C.

jt:ks

Enclosures (3)

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND [illegible]

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

John Tanner
(Full Name)

512 Cannon HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8 State Tennessee

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE. AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of Tennessee	Salary	$10,416.70	
Metropolitan Federal Savings and Loan	Salary	66,109.16	
Tennessee Army National Guard	Salary	6,353.10	
Agricultural Lease (livestock)	Partnership	2,076.00	
HIET Leasing	Partnership	3,030.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Metropolitan Federal Savings and Loan	Interest	B
Sovran Bank	Interest	A
HIET Leasing	Interest	A
Shearson Lehman Daily Divident	Dividend	A
Downey Savings and Loan	Dividend	A
Dean Witter Reynolds (SPOUSE)	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Metropolitan Federal Savings and Loan Stock	F
Union City Insurance Agency Stock	B
HIET Leasing Partnership	C
Individual Retirement Account	C
Individual Retirement Account (SPOUSE)	B
Metropolitan Federal Savings and Loan Profit Sharing (70% vested)	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Integrated Resources Inc. SOLD STOCK	4/21/88	D
Downey Savings and Loan - SOLD STOCK	3/8/88	B
Freeport McMoran Resource SOLD STOCK	3/8/88	D
Agriculture Lease SOLD	10/24/88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Reelfoot Bank Personal Note	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Sr. Vice-Pres. & Director	Metropolitan Federal Savings and Loan
Vice-President	Union City Insurance Company
Partner	HIET Leasing
Of Counsel	Elam, Glasgow, Tanner & Acree

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 16, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

John Tanner
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
IIA	Union City Insurance Agency Retainer and Attorney fees= $16,600.00	
	Volunteer Real Estate Commissions SPOUSE = 6,765.75	
III.	Metropolitan Federal Savings and Loan Savings Account	C
	Integrated Resources STOCK	D
	Downey Savings and Loan Stock	B
	Freeport McMoran Resources Limited Partnership	D
	Agricultural Lease (Livestock)	A
	Dean Witter Reynolds Principal Guaranteed Fund (SPOUSE)	B
	Note Receivable from 1985 Land Sale to Jim Pierce	A
	Shearson Lehman Daily Dividend	B

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

MAY 15 PM 12 20

FORM A—For use by Members, officers, and employees

Congressman Thomas J. Tauke
(Full Name)

2244 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State Iowa

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
List Attached.	Honoraria	$34,750	Charity
(Spouse) United States Senate	Salary		
(Spouse) Self-Employed, Media Consultant	Fees		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Kemper Municipal Bond Fund	Dividends	B
Vanguard Group	Dividends	A
Wright Patman Congressional Credit Union	Interest	A
(Spouse) United States Senate Credit Union	Interest	A
Rockwell International Corporation	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Kemper Municipal Bond Fund	Mutual Fund	C
Vanguard Group	Mutual Fund	A
Rockwell International Corporation	Common Stock	A
IBM	Common Stock	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guaranter or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See List Attached	Travel expenses provided in connection with speaking and fact-finding engagements.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Mt. Mercy College, Cedar Rapids, Iowa.
Trustee	Herbert Hoover Presidential Library, West Branch, Iowa.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date May

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Page 5 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

THOMAS J. TAUKE
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II A	1. Electronic Industries Assn. Las Vegas, Nevada	1/25/88	Honorarium	$1000
	2. National Assn. of Broadcasters Hawaii	2/1/88	Honorarium	$1500
	3. Manor Care, Inc. Silver Spring, MD	2/9/88	Honorarium	$1000
	4. Natl. Assn. of Community Health Centers, Inc. - D.C.	3/2/88	Honorarium	$ 500
	5. Securities Industry Association D.C.	3/25/88	Honorarium	$2000
	6. Centel Corporation D.C.	3/16/88	Honorarium	$1000
	7. U. S. Television Association D.C.	3/11/88	Honorarium	$1000
	8. First Boston Corporation New York, New York	5/6/88	Honorarium	$2000
	9. National Assn. of Broadcasters Las Vegas, Nevada	5/5/88	Honorarium	$1500
	10. Food Marketing Institute D.C.	4/28/88	Honorarium	$1000
	11. Natl. Assn. of Life Underwriters D.C.	4/21/88	Honorarium	$1000
	12. American Soc. of Internal Medicine D.C.	4/29/88	Honorarium	$1000
	13. National Stone Association D.C.	4/27/88	Honorarium	$1000
	14. National Gypsum Company D.C.	6/7/88	Honorarium	$2000
	15. American Bakers Association D.C.	5/31/88	Honorarium	$1000
	16. National Coal Association D.C.	5/13/88	Honorarium	$ 500
	17. American Frozen Food Institute D.C.	5/27/88	Honorarium	$ 500
	18. Broadcasting Magazine D.C.	7/15/88	Honorarium	$1000
	19. Insurance Info. Institute D.C.	6/21/88	Honorarium	$ 500
	20. Assn. of Independent TV Stations D.C.	7/1/88	Honorarium	$1000
	21. The Secura Group D.C.	7/1/88	Honorarium	$1000
	22. Outdoor Advertisers D.C.	9/14/88 10/5/88	Honorarium Honorarium	$1000 $1000
	23. Assn. of American Railroads D.C.	8/18/88	Honorarium	$ 500
	24. Natl. Restaurant Association New Orleans, LA	8/23/88	Honorarium	$1000
	25. Pharmaceutical Mfgrs. Assn. D.C.	9/29/88	Honorarium	$1000
	26. National Assn. of Broadcasters D.C.	10/6/88	Honorarium	$1000
	27. American Farm Bureau D.C.	10/19/88	Honorarium	$1000
	28. RJR Nabisco D.C.	9/21/88	Honorarium	$2000
	29. Natl. Assn. of Manufacturers D.C.	10/5/88	Honorarium	$ 750
	30. Washington Campus - D.C.	10/7/88	Honorarium	$ 500
	31. AT&T - Chicago, Ill.	12/23/88	Honorarium	$2000
	*The total amount of honoraria was given to charitable organizations.			$34,750*

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Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

THOMAS J. TAUKE
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VII	1. Electronic Industries Assn. - Speech, one-way airfare from Cedar Rapids, Iowa to Las Vegas, Nevada.
	2. Healthcare Forum - Speech, 1 night's food/lodging, one-way airfare from Las Vegas, Nevada, to Phoenix, Arizona, to Dubuque, Iowa.
	3. Natl. Assn. of Broadcasters - Speech, expenses for self, three nights' food/lodging, and airfare from Cedar Rapids, Iowa,/Hawaii/Washington D.C. Expenses for spouse, three nights' food/lodging, and round-trip airfare Washington, D.C./Hawaii.
	4. Southwestern Bell - Speech, expenses for self and spouse, round-trip airfare, Washington, D.C./New York, New York, and one night's food/lodging.
	5. First Boston Corporation - Speech, round-trip airfare, Washington, D.C./New York, New York.
	6. Congressional Institute, Inc. - Seminar participant, round-trip airfare Washington, D.C./Houston, Texas, three days' food/lodging.
	7. Natl. Assn. of Broadcasters - Speech, round-trip airfare, Dubuque, Iowa/Las Vegas, Nevada, one night's food/lodging.
	8. AT&T - Speech, airfare from Des Moines, Iowa/Chicago, Ill./Washington, D.C.

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—*For use by Members, officers, and employees*

Wilbert J. "Billy" Tauzin
(Full Name)

2342 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State LA

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,039, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
American Trucking Association	1/21	HON	1,000	NONE
National Cable TV Association	1/25	HON	1,500	NONE
TV Radio Pac	2/8	HON	1,500	NONE
National Rural Telecommunications Cooperative	2/8	HON	1,500	NONE

(Continued see page 5)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
State Mutual Life Insurance Co.	Interest	A
Chevy Chase Federal Savings Bank	Interest	A
Wright Patman Credit Union	Interest	B
Premier Bank	Interest	D
Secor Bank	Interest	A
First Interstate Bank	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
St. Charles Royalle Subdivision (lots, see attachment)	E
Chevy Chase Federal Savings Bank (Certificate of deposit)	B
Wright Patman Credit Union "CD & savings account	B
First Interstate Bank (formerly Citizens Bank & Trust) bank shares- see attachment	B
Premier Bank (formerly Citizens Bank) bank shares-see att.	D
DKR Limited Partnership - see att.	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
St. Charles Royalle-lot sales	1/88	A
DKR Limited Partnership-conveyed interest	1/4/88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Lafourche Life Insurance Company-Mortgage on Virginia home	D
First National Bank of Houma-Mortgage on Louisiana home	D
Commercial Bank & Trust-personal liability on loan made for purpose of financing statewide campaign	C
First National Bank of Houma-personal liability on loan made for purpose of financing statewide campaign	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Fleishman Hillard	Travel, meals, Lodging (TML) for member to attend speaking Engagement in California
Natl. Cable TV Association	TML for member to attend speaking engagement in California

(Continued on page 5)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director (inactive)	Secor Bank (formerly First Financial Bank)
Partner	St. Charles Royalle Subdivision

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: W.J. Billy

Date: May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Page 5 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Wilbert J. "Billy" Tauzin
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
	Source	Type	Amount	Disposition
II. A.	Fleishman Hillard 2/15	HON	$1,500	NONE
	COMPTEL (Competitive Telecommunications) 2/5	HON	1,000	NONE
	United Illuminating 2/4	HON	500	NONE
	United Engineers & Constructors Inc. 2/4	HON	500	NONE
	Heron, Burchette, Ruckert & Rothwell 2/8	HON	2,000	NONE
	Swidler & Berlin 3/8	HON	200	NONE
	Beer Institute 3/8	HON	2,000	NONE
	So. Central Bell 3/2	HON	2,000	NONE
	Dow Chemical 4/20	HON	1,250	NONE
	Dow Chemical 4/26	HON	1,000	NONE
	Natl. Assn. of Broadcasters 4/22	HON	1,500	NONE
	Natl. Assn. of Auto Dealers 5/6	HON	1,500	NONE
	Texaco 4/25	HON	1,000	NONE
	Am. Bankers Assn. 4/29	HON	2,000	NONE
	Natl. Cable TV Assn 5/20	HON	1,500	NONE
	Gannett Outdoor Co. 5/23	HON	1,000	NONE
	Morgan Stanley & Co. 6/1	HON	2,000	Portion ($1100) donated to charity
	Edison Electric 6/13	HON	1,000	Donated to charity
	Natl. Rural Telecom. 6/13	HON	500	Donated to charity
	Natl. Oceanic Industries Assn. 6/13	HON	500	Donated to charity
	Chemical Specialities Manufacturers 6/14	HON	500	Donated to charity
	Independent TV INTV 6/23	HON	1,000	Donated to charity
	Am. Boilermakers 6/27	HON	2,000	Donated to charity
	Sealand Corp. 6/29	HON	2,000	Donated to charity
	Phillip Morris 8/10	HON	1,000	Donated to charity
	Natl. Auto Dealers 9/6	HON	2,000	Donated to charity
	Natl. Assn. of Broadcasters 10/5	HON	1,000	Donated to charity
	Chicago Mercantile Exchange 10/31	HON	500	Donated to charity

Part	Source	Description
VII	United Illuminating	Travel, Meals, Lodging (TML) for member to attend speaking engagement in Mass.
	United Engineers & Constructors	TML for member to attend speaking engagement in Mass.
	Natl. Assn. of Broadcasters	TML for member to attend speaking engagement in Hawaii
	Natl. Cable TV Assn.	TML for member to attend speaking engagement in California
	New York Stock Exchange	TML for member to attend speaking engagement in New York
	Securities Industries Assn.	TML for member to attend speaking engagement in New York
	American Boilermakers Assn.	TML for member to attend conference in West Virginia
	Natl. Assn. of Broadcasters	TML for member to attend conference in Nevada
	Chicago Mercantile Exchange	TML for member to attend speaking engagement in Illinois

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Page 6 of 9

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

W. J. "Billy" Tauzin
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII.	Sealand Corporation	Travel for member to attend speaking engagement in Louisiana
	Phillip Morris	Travel, meals & lodging for member and son to attend speaking engagement in Michigan

GPO: 1987 79-665

Attachment for Section III.

1. Citizens Bank and Trust shares (Now First Interstate Bank)
2. DKR Limited Partnership interest - interest conveyed on 1/4/88
3. St. Charles Royalle Subdivision interest

1. Two Hundred (200) shares of the common stock of Citizens Bank & Trust Company, Thibodaux, Louisiana, represented by Certificate Nos. 2443 for ten (10) shares, 3213 for ten (10) shares, 5297 for twenty (20) shares and 11817 for one hundred sixty (160) shares, each such certificate being registered in the name of The Honorable Wilbert J. Tauzin, II.

2. An undivided 5.87% interest in and to DKR A LIMITED PARTNERSHIP; a Louisiana partnership in commendam, domiciled in the Parish of Terrebonne, established by agreement dated November 5, 1980, and recorded November 7, 1980, in Book 25, under Entry No. 637584 of the Partnership Records of Terrebonne Parish, Louisiana.

   Being the same interest acquired by Wilbert J. Tauzin, II, by conveyance recorded February 19, 1982, under Entry No. 673590 of the Conveyance Records of Terrebonne Parish, Louisiana.

3. An undivided one-third (1/3rd) interest in and to the minerals in, on and underlying the following described property situated in LaFourche Parish, Louisiana, to-wit:

   North One Half (N/½) of Lot Four (4), Lots Three (3), Two-A (2-A), Two-C (2-C), Two-D (2-D), Two-E (2-E), South Half (S/½) of Lot Two-G (2-G) and Lot Two-H (2-H), Block One (1);

   Lots Four (4), Five (5) and Eleven (11) of Block Two (2);

   Lots Seven (7), Eight (8), Ten (10) and Twelve (12) of Block Three (3);

   Lots Six (6), Eight (8), Nine (9), Ten (10), Eleven (11), Twelve (12), Thirteen (13), Fourteen (14), Fifteen (15) and the North Sixty-seven and 25/100 (N/67.25') feet of Lot Sixteen (16) of Block Four (4);

   Lots Three (3), Four (4), Five (5), Six (6), Seven (7), Eight (8), Nine (9) and Ten (10) of Block Five (5);

   Lots One (1), Two (2), Three (3), Four (4), Five (5), Six (6), Seven (7), Eight (8), Nine (10), Ten (10), Eleven (11), Twelve (12) and Thirteen (13) of Block Six (6);

   The South Half (S/½) of Lot Two (2) and Lots Three (3), Four (4), Seven (7), Eight (8), Nine (9), Ten (10), Eleven (11), Twelve (12), Thirteen (13), Fourteen (14), Fifteen (15), Eighteen (18) and Twenty (20) of Block Nine (9);

   Lots Two (2), Three (3), Four (4), Five (5), Ten (10), Eleven (11), Thirteen (13) and the South Half (S½) of Lot Nine (9) of Block Ten (10);

Lots One (1) and Two (2) of Block Eleven (11).

All as more clearly shown on a Survey Map Showing St. Charles Royalle Subdivision, situated in Sections Twenty-one (21) and Twenty-two (22), Township Fifteen (15) South, Range Seventeen (17) East, LaFourche Parish, State of Louisiana, said Map being recorded under Entry No. 593700 of the Records of LaFourche Parish and dated January 7, 1974, revised February 5, 1975, and August 25, 1983.

Being a portion of the property acquired by Wilbert J. Tauzin, II and Gayle Clement Tauzin by Act of Partition dated April 5, 1984, and recorded under Entry No. 603326 of the Records of LaFourche Parish, Louisiana.

4. An undivided one-third (1/3rd) interest in and to the following described property located in LaFourche Parish, Louisiana, to-wit:

   Lot Two-F (2-F) and the North Half (N½) of Lot Two-G (2-G) of Block One (1);

   Lots Eight (8) and Nine (9) of Block Two (2);

   Lot Eleven (11) of Block Three (3);

   Lots Seventeen (17) and Eighteen (18) of Block Seven (7);

   Lots Five (5), Six (6), Seven (7) and Seventeen (17) of Block Nine (9);

   Lots Six (6) and Seven (7) of Block Ten (10);

   Lot Five (5) and the South Half (S/2) of Lot Four (4) of Block Eleven (11).

   All as more clearly shown on a Survey Map Showing St. Charles Royalle Subdivision, situated in Sections Twenty-one (21) and Twenty-22), Township Fifteen (15) South, Range Seventeen (17) East, LaFourche Parish, State of Louisiana, said Map being recorded under Entry No. 593700 of the Records of LaFourche Parish, and said map being dated January 7, 1974, and revised February 5, 1975, and August 25, 1983.

   Being a portion of the property acquired by act recorded October 16, 1973, under Entry No. 377166 of the Records of LaFourche Parish, Louisiana.

5. The following described property located in LaFourche Parish, Louisiana, to-wit:

   Lots Two-A (2-A) and Two-C (2-C) of Block One (1);

Lot Ten (10) of Block Three (3);

Lots Six (6), Ten (10), Twelve (12), Fourteen (14) and the North Sixty-seven and 25/100 (N/67.25') feet of Lot Sixteen (16), of Block Four (4);

Lots Six (6) and Seven (7) of Block Five (5);

Lots Four (4), Six (6), Ten (10), Twelve (12) and Thirteen (13) of Block Six (6);

Lots Eight (8), Twelve (12) and Fourteen (14) of Block Nine (9);

The South Half (S½) of Lot Nine (9) and Lots Ten (10) and Thirteen (13) of Block Ten (10);

Lot Two (2) of Block Eleven (11).

All as more clearly shown on a Survey Map Showing St. Charles Royalle Subdivision, situated in Sections Twenty-one (21) and Twenty-two (22), Township Fifteen (15) South, Range Seventeen (17) East, LaFourche Parish, State of Louisiana, said Map being recorded under Entry No. 593700 of the Records of LaFourche Parish, and said map being dated January 7, 1974, and revised February 5, 1975, and August 25, 1983.

Being a portion of the same property acquired by Wilbert J. Tauzin, II and Gayle Clement Tauzin, by Act of Partition, dated April 5, 1984, and recorded under Entry No. 603326 of the Records of LaFourche Parish, Louisiana.

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

MAY 15 1989

RECEIVED
1989 MAY 16 AM 7: 47
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Craig Lyle Thomas
(Full Name)

1631 Longworth House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District At-Large State Wyoming

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES/NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts: such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Wyoming Rural Electric	Salary	50,345	N/A
Natrona County School District (spouse)	Salary	29,862	N/A
State of Wyoming	Legislature	4,425	N/A

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
American Telephone & Telegraph	Dividends	A
Honeywell	Dividends	A
Louise Roberts Trust (spouse)	Dividends	A
Key Bank, Casper, WY	Interest	C
Kemper Cash Equivalent Fund Money Market	Dividends	A
General Electric	Dividends	A

* see attached

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Kings Inn Motel Partnership, 44% interest, Torrington, WY	E
American Telephone & Telegraph, Common Stock	B
Honeywell, Common Stock	B
Caterpillar Tractor, Common Stock	B
Aspen Exploration, Common Stock	A
Certificate of Accrual, Zero Coupon Bons, Delaware Charter	A

* see attached

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
General Electric Company-sale of 200 shares	12/5/88	B
Kemper Cash Equivalent Fund-purchase of Money Market	12/23/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
General Manager	Wyoming Rural Electric Association
Board Member	Wyoming Developmental Disabilities Council
Board Member	Council on Economic Education
Board Member	Casper Small Business Development Council

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Craig Thomas	3/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Craig Lyle Thomas
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. B	Source	Type	Category
	National Security Bond Fund (spouse)	Dividends	A
	Kemper Total Return Fund	Dividends	A
	Kemper Total Return Fund (spouse)	Dividends	A
	Caterpillar	Dividends	A

Part		
III.	Identity	Category
	ECC International, Common Stock	A
	National Security Bond Fund (spouse)	A
	Kemper Total Return Fund, Common Stock	A
	Kemper Total Return Fund, Common Stock (spouse)	A
	Kemper Cash Equivalent Fund, Money Market	C
	Key Bank, Casper, Wyoming - Savings account	B
	Key Bank, Casper, Wyoming - Checking account	B
	Key Bank, Casper, Wyoming - Certificate of Deposit	A
	Key Bank, Casper, Wyoming - Money Marker	B
	Wyoming Rural Electric, Company Savings Plan	B
	**Louise Roberts Trust, One-Fifth Interest (spouse)	
	--Kemper Cash Equivalent Fund, Money Market	A
	-- American Telephone and Telegraph, Common Stock	B
	--H & R Block, Common Stock	A
	--Dun & Bradstreet, Common Stock	C
	--Exxon Corporation, Common Stock	B
	--General Electric, Common Stock	B
	--Honeywell, Incorporated, Common Stock	C
	--Houston Industries, Common Stock	A
	--Petrie Stores, Common Stock	A
	--Pillsbury Company, Common Stock	B
	--Union Pacific, COmmon Stock	A
	--Western Investors Real Estate, Limited Partnership	A
	--Sweetwater County, Wyoming, Pollution Control Revenue Bond	A
	--Unita County, Wyoming, School District Bonds	A
	--Yavapai County, Arizona, Elementary School District Bonds	A
	**actual value of interest in Trust-$95,504.80 at 12/31/88	

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NOTE: The Ethcis in Government Act of 1978, as amended, requires current financial disclosure information for those individuals who are candidates for congressional elections. Accordingly, the disclosure report filed by candidate Craig Thomas on April 14, 1989, contained financial information current through April 1, 1989.

However, the Act requires that Members provide disclosure information current as of the end of the preceeding calendar year. Accordingly, this disclosure report filed by Craig Thomas, M.C., on May 15, 1989, will not contain the information pertinent to 1989 which was provided on the report filed last month.

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

ROBERT LINDSAY THOMAS
(Full Name)

431 Cannon Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State GA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,098, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Ga. Southern College	(spouse)	salary		
U.S. Tobacco	1/9/88	honorarium	$1,000	
Tobacco Institute	1/12/88	honorarium	1,000	
Southeastern Cotton Ginners	1/14/88	honorarium	1,474.26	
Tobacco Stabilization	2/12/88	honorarium	1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Core Credit Union (spouse)	interest	$34
Johnson,Lane,Space Co. (spouse)	interest	736
Congressional Federal Credit Union	interest	835
Harris Real Estate	Rent	5,400
Capital Gain on sale of 60 acres of pine land - 2/11th interest	Cap.Gain	2,000

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
200 acres planted pine, Wayne Co, GA	$90,000
127 acres planted pine, Wayne Co. GA	55,000
Federal Land Bank Stock (purchase of non-dividend stock required for loan. Loan secured by planted pine acreage	3,900
Federal Land Bank Stock (purchase of non-dividend stock required for loan.)	1,487.50
House and 15 acres, Screven, Ga., & Wayne County	125,000
60 acres of planted pine, Wayne Co, GA (held for part year)	18,000

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
IRA Fund, Interstate/Johnson Lane	4/88	$2,000
Exxon stock 52 shares (spouse)	9/88	2,276
Phillips Petroleum Stock 150 shares (spouse)	9/88	2,759
Sold 60 acres planted pine - 2/11th share	4/88	20,000
Purchase 127 acres, planted pine - ½ share	4/88	55,000

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Federal Land Bank (mortgage, 200 acres planted pine, Wayne Co.)	$55,675
Federal Land Bank (mortgage, 127 acres planted pine, Wayne Co.)	29,750
First National Bank of Wayne County (loan for purchase of land)	7,000

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
n/a	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
n/a		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Oglethorpe Power (speech)	Airfare,Atlanta,Ga to Wash,D.C.
Connell Rice & Sugar (speech)	Airfare, Wash.,DC to N.J. to Sav.,GA
Forest Farmers Assn. (speech)	Airfare,Wash.,DC to Ashville,NC to Sav.,GA;overnight accommodations
Tobacco Institute (speech)	Airfare,Sav.,GA to Palm Springs to Sav.,GA;2 nights accommodations

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
n/a	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	n/a	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Lindsay Thomas	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert Lindsay Thomas
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II-A	Edison Electric Institute	2/24/88	honorarium	$250
	Natl. Forest Products Assn.	3/11/88	honorarium	1,000
	Oglethorpe Power	3/23/88	honorarium	1,000
	Southeastern Forest Farmers	4/15/88	honorarium	500
	Connell Rice & Sugar	5/05/88	honorarium	2,000
	EMC	5/20/88	honorarium	200
	Brewton Parker College	5/27/88	honorarium	150
	East Georgia College	6/6/88	honorarium	200
	Ga. Assn. of Lettercarriers	6/10/88	honorarium	500
	Chicago Board of Trade	6/27/88	honorarium	500
	Chicago Mercantile Exchange	6/27/88	honorarium	500
	Lockheed -Ga. Corporation	7/18/88	honorarium	1,000
	Gold Kist	8/16/88	honorarium	1,000
	Smokeless Tobacco Council	10/12/88	honorarium	1,000
	Brown Williamson Tobacco Co.	10/12/88	honorarium	1,000
	Sav. Electric & Power Co.	10/26/88	honorarium	300
	Freight Forwarders & Brokers	11/01/88	honorarium	1,000
VII	Tobacco Stabilization	(speech)	Airfare,Sav.,GA to Raleigh, NC to Sav., GA	
	Chicago Board of Trade & Mercantile Exchange	(speech & tour)	Airfare-Wash,DC to Chicago to Wash.,DC	

GPO: 1987 [illegible]

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

William M. Thomas
(Full Name)

2402 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 20th State CA

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print-outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached Sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
N/A		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __✓__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Home Mortgage Revenue Bonds	E
Individual Retirement Account-Wright Patman Congressional Federal Credit Union	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Home Mortgage Revenue Bond Loans-Dean Witter Reynolds	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attached Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
N/A	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1974	Kern Community College District	On leave without pay

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William M. Thomas	May 15, 1988

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William M. Thomas
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II A-Earned Income	
	American Textile Manufacturers Institution, Inc. (4-8-88); Honorarium $2,000.
	Brown & Williamson Tobacco Corporation (5-13-88); Honorarium $2,000.
	California Pistachio Association (8-8-88); Honorarium $2,000.
	Carnation Company (10-24-88); Honorarium $2,000 (given to charity).
	Civic Service Incorporated (1-14-88); Honorarium $2,000.
	Connell Rice & Sugar Company, Inc. (1-10-88); Honorarium $2,000.
	Ernst & Whinney (11-15-88); Honorarium $2,000 (given to charity).
	Michael Finizio (Shearson, Lehman and Hutton) (7-26-88); Honorarium $1,500.
	International Association of Drilling Contractors (9-27-88); Honorarium $750.
	International Council of Shopping Centers, Inc. (5-9-88); Honorarium $2,000.
	National Association of Home Builders (7-26-88); Honorarium $2,000.
	National Council of Farmer Cooperatives (6-16-88); Honorarium $1,000.
	National Realty Committee, Inc. (6-21-88); Honorarium $2,000.
	National Venture Capital Association (2-4-88); Honorarium $1,000.
	Oscar Johnston Cotton Foundation (6-13-88); Honorarium $2,000.
	Paul Arneson (High Speed Rail Association) (6-23-88); Honorarium $1,000.
	Pfizer Incorporated (4-20-88); Honorarium $1,000.
	The Brookings Institution (5-31-88); Honorarium $300.
	The New York Times (5-21-88); Honorarium $150.
	TV & Radio Political Education Committee National Association of Broadcasters (6-24-88); Honorarium $1,000.
	Western Cotton Shippers Association (1-27-88); Honorarium $1,000.
VII-Reimbursements	
	American Textile Manufacturers Institute, Inc.; airfare Wash., D.C. to CA (spouse).
	Brown & Williamson Tobacco Corporation; airfare Wash., D.C. to KY; lodging.
	Civic Service Inc.; airfare Wash., D.C. to CA (spouse).
	Congressional Institute, Inc.; airfare Wash., D.C. to TX; lodging (spouse).
	Connell Rice & Sugar Co., Inc.; NY Lodging.
	Ernst & Whinney; airfare Wash. D.C. to CA; rental car.
	National Association of Home Builders; airfare Wash., D.C. to CA; lodging; rental car.
	Oscar Johnston Cotton Foundation; airfare CA to Wash., D.C.
	Western Cotton Shippers Association; airfare Wash., D.C. to CA.
	Pfizer Incorporated; airfare CA to Wash., D.C.; rental car

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Esteban E. Torres
(Full Name)

1740 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

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Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 34 State California

☐ Officer or Employee—Employing Office ______________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,082, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page .

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached statement			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
White House Credit Union	Interest	A
Wright Patman Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 6.

IDENTITY	CATEGORY
Residential Property, consisting of 1/4 acre and three bedroom house at 908 E. Lucille Ave. West Covina, Ca.	E
Wright Patman Congressional Credit Union, IRA	B
Wright Patman Congressional Credit Union, CD	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Home Savings and Loan, Los Angeles	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Hewlett Packard Company	HP 12C Calculator	$ 99.00

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See attached statement	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Statement attached	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-15-1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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FINANCIAL DISCLOSURE STATEMENT FOR 1988
ATTACHMENT

Hon. Esteban E. Torres
1740 Longworth House Office Bldg.
Washington, D>C. 20515

34th District, California

II. INCOME

Source and Date Received	Type	Amount
Nationwide Southwest 3/14/88 for speech given 3/5/88	Honorarium	$1,000.00
Mexican American Grocers Association for speech given 3/21/89	Honorarium	$1,000.00
Pegasus Associates, Ltd 3/28/88	Honorarium	$1,000.00
Chicago Merchantile Exchange 5/13/88	Honorarium	$500.00
Board of Trade of the City of Chicago 5/13/88	Honorarium	$500.00
Concilio for the Spanish 6/2/88 Speaking of King County for speech given 5/28/88	Honorarium	$522.00
National Bankers Association 9/8/88 for speech given in Boston	Honorarium	$2,000.00
Los Angeles Community College District 9/6/88 for speech given 7/29/87	Honorarium	$300.00

VII. REIMBURSEMENTS

Source and Date Received	Brief Description
National Hispanic Democrats 3/27-3/29/88	New York, Airfare
Chicago Merchantile Exchange 3/11/88-3/12/88	Chicago, Airfare & Lodging
Mexican American Grocers Association 3/20/88-3/21/88	Palm Springs, Airfare, Lodging
California Contract Cities 5/21/88	Palm Springs, Lodging
Concilio for Spanish Speaking 5/28/88	Seattle, Airfare
National Association of Latino Elected Officials 6/10/88-6/11/88	San Antonio, Airfare, Lodging
National Bankers Association 9/8/88	Boston, Airfare

POSITIONS

Trustee	Pan-American Development Foundation
Trustee	US Committee - UN Children's Emergency Fund (UNICEF)
Advisor	Nat'l Democratic Institute for International Affairs
Director	Nat'l Association of Latino Elected & Appointed Officials (NALEO)
Director	Congressional Hispanic Caucus Institute, Inc.
Director	California State Society
Co-Chair	National Hispanic Democrats, Inc.

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Robert G. Torricelli
(Full Name)

317 Cannon House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

MAY 15 3:23

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 09 State NJ

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Cuban American National Foundation, Inc.	1/88	honorarium	1,500.	
Jewish Federation of South Broward, Inc.	1/88	"	1,000.	
Connell Rice & Sugar Co., Inc.	3/88	"	2,000.	
Drug Guild Distributors, Inc.	3/88	"	1,000.	
Jewish Federation of Portland/Maine	3/88	"	1,000.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
U.S. Government Securities	bonds(I.R.A.'s)	B
Washington Federal Bank	C.D.'S(I.R.A.'s)	B
Drexel Burnham Mutual Fund	I.R.A.'s	A
Putnam Convertible Income Growth Fund	mutual fund(I.R.A.)	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
U.S. Government Securities (I.R.A's)	B
Washington Federal Bank (I.R.A.'s)	B
Drexel Burnham Mutual Fund (I.R.A.'s)	B
Putnam Convertible Income Growth Fund (I.R.A.'s)	B
Jersey Bank for Savings (stock)	D
Third Street Partners (real estate)	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase of share of Washington real estate holding	3/88	B
Purchase of stock — Jersey Bank for Savings	8/88	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
National Community Bank (bank loan to spouse only)	D
Citizens First National Bank (bank loan)	D

*For purposes of listing both assets and liabilities, the term "second home" is being interpreted, consistent with IRS regulations, to include marine vessels of appropriate size and specification. Please note that this issue has been previously raised in correspondence dated May 15, 1986 to the Clerk of the U.S. House of Representatives.

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	
Jewish Federation of S. Broward, Inc.	Airfare/lodging	2 days
Jewish Federation of Portland/Maine	Airfare/lodging	1 day
Chicago Mercantile Exchange	Airfare/lodging	1 day
Northrop	Airfare/lodging	1 day
Lockheed	Airfare/lodging	1 day
Harvard University	Airfare/lodging	1 day
NJ Accountants Association	Airfare/lodging	1 day

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Jersey Bank for Savings

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

Rep. Robert G. Torricelli

II. Income

A. Source (continued)

American Business Conference	4/88	honorarium	1,000.
Winthrop, Stimson, Putnam, etal	4/88	honorarium	1,000.
Chicago Mercantile Exchange	5/88	honorarium	500.
Chicago Board of Trade	5/88	honorarium	500.
Shipbuilders Council of America	5/88	honorarium	1,000.
Shipbuilders Council of America	6/88	honorarium	1,000.
United Action for Animals, Inc.	6/88	honorarium	2,000.
Chinese American Society	6/88	honorarium	1,000.
Wine Institute	6/88	honorarium	1,000.
Northrop	6/88	honorarium	2,000.
Office & Professional Employees	6/88	honorarium	1,500.
Lockheed Corporation	6/88	honorarium	1,000.
Life Underwriters	6/88	honorarium	1,000.
Goldman, Sachs & Co.	7/88	honorarium	1,000.
Outdoor Advertising, Inc.	8/88	honorarium	1,000.
Edison Electric Institute	9/88	honorarium	250.
Teamsters	9/88	honorarium	1,000.

Earned income of spouse--Policy Communications, Inc.

VII. Reimbursements (continued)

Life Underwriters	Airfare/Lodging	1 day
American International Group	Lodging	1 day

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

HON. EDOLPHUS TOWNS
(Full Name)

1726 LONGWORTH H.O.B.
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

MAY 15 11:13

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 11 State NEW YORK

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NEW YORK CITY BOARD OF EDUCATION (SPOUSE)	SALARY	38,997.	1988
SEE SCHEDULE ATTACHED	Honoraria	13,515.	1988

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NATIONAL WESTMINISTER BANK, U.S.A.	INTEREST	B
NATIONAL WESTMINISTER BANK	INTEREST	B
REPUBLIC NATIONAL BANK OF N.Y.	INTEREST	A
CONGRESSIONAL FEDERAL CREDIT UNION	DIVIDEND	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
CONGRESSIONAL FEDERAL CREDIT UNION	A
FEDERAL RETIREMENT THRIFT SAVINGS PLAN	B
ROUTE 1 CHADBOURN, NORTH CAROLINA	Value Unknown
LAND: CHADBOURN - TOPSOIL	Value Unknown
NATIONAL WESTMINSTER BANK (CD & IRA'S)	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
TEACHERS RETIREMENT SYSTEM	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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Edolphus Towns: Section II, A.

Honoraria

Date	Organization/Group	Gross Amount
1/17/88	Bridge Street A.M.E. Church	$500.00
1/24/88	St. Daniels United Methodist Church	$350.00
4/25/88	Buffalo (N.Y.) Urban League	$1,000.00
5/01/88	Hartofrd, Connecticut NAACP	$200.00
5/13/88	Southern Prince George's Coalition of Black Affairs	$1,000.00
5/15/88	Albert N. Thompson, Consolidated Beverages	$1,000.00
6/07/88	Banneker Model High School Graduation	$500.00
6/15/88	Bill Gray For Congress 1988	$500.00
6/25/88	Hiram Grand Lodge Inc.	$200.00
7/12/88	National Insurance Association Inc.	$1,000.00
8/08/88	The Tobacco Institute	$1,000.00
8/13/88	The Tobacco Institute	$1,000.00
10/07/88	Prince Hall Masons (North Carolina)	$500.00
10/15/88	Auburn Theological Seminary	$125.00
10/22/88	NAACP (North Carolina)	$1,000.00
10/23/88	Caribbean American Trade Association	$1,000.00
10/27/88	Pegasus Associates	$1,000.00
	Commodity Exchange	$1,000.00
	University of North Carolina	$640.00
Total		$13,515.00

Edolphus Towns

CONTINUATION SHEET

SECTION II B, SECTION III

CONGRESSIONAL FEDERAL CREDIT UNION
P.O. BOX 23267
Washington, D.C. 20026-3267

National Westminister Bank, U.S.A.
205 Montigue Street
Brooklyn, New York 11201

Republic National Bank of New York
342 Fulton Street
Brooklyn, New York 11201

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Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

EDOLPHUS TOWNS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
SEC. VII	CITIZENS ENERGY CORPORATION, TRAVEL, FOOD, LODGING,
	DESCRIPTION - TRIP TO NIGERIA, ANGOLA and CONGO
	PURPOSE - Meeting with Government leaders and development officials Re: energy, development in Namibia's peace negotiations.
SEC. VII	CHINESE NATIONAL ASSOCIATION OF INDUSTRY AND COMMERCE, TRAVEL, FOOD, LODGING
	DESCRIPTION - TRIP TO TAIWAN
	PURPOSE - Meeting with Government officials to discuss balance of Trade, visit economical, cultural & other establishments.

3 9 0 7 0 2 4 2 2 7 4

HAND DELIVERED

TOWNS
MEMBER OF CONGRESS
11TH DISTRICT, NEW YORK

PUBLIC WORKS AND
TRANSPORTATION

SUBCOMMITTEES:
AVIATION
ECONOMIC DEVELOPMENT
INVESTIGATIONS AND OVERSIGHT
SURFACE TRANSPORTATION

GOVERNMENT OPERATIONS

SUBCOMMITTEES:
ENERGY AND THE ENVIRONMENT
GOVERNMENT INFORMATION, JUSTICE
AND AGRICULTURE

SELECT COMMITTEE ON NARCOTICS
ABUSE AND CONTROL

Congreſs of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE:
SUITE 1726
LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-5936

DISTRICT OFFICES:
93 PROSPECT PLACE
BROOKLYN, NY 11217
(718) 822-5700
278 STUYVESANT AVENUE
BROOKLYN, NY 11221
(718) 968-6124

DOROTHY R. JACKSON
ADMINISTRATIVE ASSISTANT

1989 JUN -5 PM 4:02
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
OFFICE OF RECORDS & REGISTRATION

MC

June 5, 1989.

Hon. Donnald K. Anderson
Clerk
U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Dear Donn:

This letter serves to amend my Financial Disclosure Statement as follows:

Part III - Holdings

Identity	Category
House, Route 3, Chadbourne, N.C.	Value Unknown
Lot, Topsoil Beach, Pender County, N.C.	Value Unknown

Part IV - Transactions

None

Part VII - Reimbursements

Number of days lodging:
Taiwan - 5 days
Africa - 6 days

Thank you.

Sincerely,

Edolphus Towns
Edolphus Towns
Member of Congress

UNITED STATES HOUSE OF REPRESENTATIVES

POSTMARK 5/15/89

1989 MAY -9 AM 8:16
U.S. HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

James A. Traficant, Jr.
(Full Name)

429 N. Main St.
(Mailing Address)

Poland, OH 44514

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 17 State Ohio

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,086, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __xx__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(wife) Henri's Beauty Salon	service		
American-Arab Anti-Discrimination Comm.	honorar.	2,000.00	None
United Holy Land Fund	honorar.	500.00	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __xx__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Public Employees Retirement System	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Congressional Federal Credit Union- (loan Advance)	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
4/22/88 Northeast Denver Housing Counseling Coalitition--(speech)	Roundtrip airfare: Pitts to Denver
6/18/88 American-Arab Anti-Discrimination Committee (Speech)	Roundtrip airfare: Pitts to Chicago, Ill

(CONTINUED)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Northeast Ohio Energy Research & Redevelopment, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
James A. Traficant, Jr.	April 29, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

James A. Traficant, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VII	REIMBURSEMENTS
	7/10/88 American-Arab Anti-Discrimination Committee (Speech) Roundtrip airfare- Pittsburgh,PA to Cincinnati, OH
	9/16/88 American-Arab Anti-Discrimination Committee (Speech) 1 night lodging/food
	9/17/88 American-Arab Anti-Discrimination Committee (Speech) Roundtrip airfare,- Cleveland, OH to Chicago, Ill to Pittsburgh, PA. 1 night lodging/food
	12/10/88 UnitedHoly Land Fund Banquet (Speech) Roundtrip airfare - Pittsburgh, PA to Newark, N.J. 1 night lodging/food

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MAY 30 1989

429 N. Main St.
Poland, OH 44514
May 25, 1989

OFFICE OF RECORDS & REG.
1989 JUN -5 AM 8:22
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Office of the Clerk
U.S. House of Representatives
Office of Records and Registration
1036 Longworth HOB
Washington, DC 20515

✓ MC

Gentlemen:

Please be advised that I wish to ammend and clarify my Financial Disclosure Statement for 1988.

PART II. INCOME

B. Unearned Income --NONE

PART III. HOLDINGS

Identity	Category
Matthews Rd., Lot 424, Boardman, Ohio (wife)	C

PART IV. TRANSACTIONS

SOLD--(lot) 6908 So. Range Rd., Salem, Oh (wife) July 27, 1987	C

Respectfully,

James A. Traficant, Jr.
Ohio - 17th District

8 9 0 7 0 2 3 0 9 0 3

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Bob Traxler
(Full Name)

2366 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

89 MAY 15 AM 9 59

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8th State Michigan

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA x

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attachment			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional Federal C. U.	Interest	B
Saginaw Postal Federal Credit Union	Interest	A
State Farm Life Insurance	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __x__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Unimproved lot, Woodbluff Subdivision, Mackinac Island, Michigan (Based on purchase price 11/25/88)	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase of Unimproved Lot, Woodbluff Subdivision, Mackinac Island, Michigan	11/25/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Edgar, Jane & Dan Dewey, 2511 Judah Road, Pontiac, MI 48055 - - Land Contract	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Lockheed, McDonnell Douglas Corp., Morton Thiokol, Rockwell, TRW Electronics and Defense - equal shares of total cost for speaking engagements in Brigham City, Utah, Redondo Beach, Ca., Huntington Beach, CA., Palmdale, CA., and Sunnyvale, Ca., 11/28 - 12/1	Travel expenses from Saginaw, Michigan to Salt Lake City, Utah, to Los Angeles, California, to San Jose, California; San Francisco, California to Saginaw, Michigan. One day food/lodging in each of Ogden City, Utah, and San Francisco, California, and two days food/lodging in Los Angeles, California

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bob Traxler	5-12-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Financial Disclosure Statement for 1988 --- The Honorable Bob Traxler

Attachment to II.A. Income:

A. Source	Type	Amount	Disposition
University of Michigan (March 30)	Honorarium	$1,500	None
National Rural Water Association (4/12)	"	2,000	"
The Tobacco Institute (April 13)	"	1,000	"
Ocean Spray Cranberries, Inc. (April 19)	"	2,000	"
Atlantic Financial (May 3)	"	1,000	"
Patton, Boggs & Blow (May 17)	"	1,000	"
Morton Thiokol (November 28)	"	1,000	"
TRW Electronics & Defense (November 29)	"	2,000	"
McDonnell Douglas Corp. (November 30)	"	1,000	"
Rockwell (November 30)	"	2,000	"
Lockheed (December 1)	"	2,000	"
University of Michigan (December 14)	"	1,000	"

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

MORRIS KING UDALL
(Full Name)

235 Cannon House Ofc. Building
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

1989 MAY 26 PM 12:16
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State AZ

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,033, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached schedules & income tax return			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
see attached schedules & income tax return		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
see attached schedules & income tax return	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
see attached schedules & income tax return		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
see attached schedules & income tax return	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see attached schedules & income tax return	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	1/3 partner in SMT LIMITED PARTNERSHIP, for the ownership and acquisition of property.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Morris K Udall	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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HAND DELIVERED

DISCLOSURE STATEMENT 1988

REPRESENTATIVE MORRIS K. UDALL

1989 MAY 26 PM 12:16
CLERK
U.S. HOUSE OF REPRESENTATIVES

This statement and attached schedules are intended to provide all the required information to be contained in the Financial Disclosure Statement required by House Rules, plus certain additional supplemental information and schedules not required by House Rules, but which I am voluntarily making part of this statement.

I request that all of this information, both required and supplemental be made available on request to the public or the press.

In accordance with the above, I am attaching the following:

1. 1988 U.S. Income Tax Form 1040 and certain Schedules therefore filed by Mrs. Udall and me.
2. A list of 1988 Honoraria, showing travel reimbursements, if any, associated with each, and outside income from writer's fees, etc.
3. Stock ownership for Mrs. Udall and me as of January 1, 1988.
4. Interests in Real Estate as of January 1, 1988.
5. A list of personal property, major items.

We paid 1988 Federal income taxes in the amount of $68,166.00 and Arizona State taxes in the amount of $12,636.00.

As of December 31, 1988, I had paid from my salary into the U.S. Civil Service Retirement System a total exceeding $107,467.00.

APPEARANCES	HONORARIA	DATE CHECK REC'D	REIMBURSEMENT
Edison Electric Institute	$2,000.00	3/8/88	
Yale University	$1,000.00	4/24/88	air fare,lodging & food for self & aide
Assoc. of Business Publishers	$2,000.00	5/9/88	r/t air fare, food, lodging, self & aide
McGraw Hill	$1,500.00	5/3/88	
AZ DAILY STAR (OP ED	$ 35.00	2/17/88	
READERS DIGEST (BOOK EXCERPT)	$ 90.00	6/2/88	
TOTAL:	$6,625.00		

RoyaltiesIRS

Scripps Howard	$ 200.00	1/5/88
West Publishing	$ 157.54	2/26/88
West Publishing	$ 187.65	8/31/88
International Creative Management	$5,130.00	11/1/88
I C M	$16,205.69	6/30/88
TOTAL;	$21,880.88	

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STOCK OWNERSHIP, January 1, 1989

COMPANY	SHARES	APPROX. EQUITY VALUE
Fidelity Trend Fund	803.555	$30,077.06
Mass Investors Growth Fund	3,669.573	$29,136.40
Modern Pioneers Insurance Company	330	$0 (Company in indebenture)
Shearson Lehman Hutton Money Market Fund		$101,330.77
Perpetual American Bank	1330	$11,704.00
Valley National Bank Money Market Savings		$59,797.00

INTERESTS IN REAL ESTATE	APPROX. EQUITY VALUE
Home in McLean, VA jointly owned by Representative & Mrs. Udall, mortgage balance $13,437.00. Assessed value at $287,500.	$274,063.00
28 acres unimproved tract (vacant) near Front Royal, Virginia	$42,000.00
Limited partnership in Kingman Station, Tucson, Arizona	$13,700.00
Limited partnership in Casino Lights, Ltd., Tucson, Arizona	$33,690.00
1/2 interest, 40 acres unimproved (vacant) tract near Red Rock, Pinal County, Arizona	$22,289.00
8 acres tract in Sec. 22, T11s, R13e, (La Cholla Airpark), Tucson, Arizona (with one share of stock in Airpark Corp.)	$89,000.00
SOLD, 1/3 interest in 1 1/2 acres located on West Ina Road, Pima County, Arizona.	$71,800.00
1/3 interest in 14 acres located on Sec. 21, T13s, R16e (Tanque Verde Valley), Pima County, Arizona.	$25,000.00
20% interest in 5 3/4 acres located on 22nd at Camino Seco, Pima County, Arizona.	$60,000.00

PERSONAL PROPERTY, Major Items	APPROX EQUITY VALUE
ONE 1979 AND ONE 1984 AUTOMOBILE	$12,000.00

INTEREST IN NOTES AND MORTGAGES

Balance in miscellaneous savings & checking accounts (approximate)	$21,000.00
Certificates of Deposit with Valley National Bank in Tucson, Arizona	$151,183.00
1/3 interest in notes and mortgages on property from M/M Brill & M/M Perlman on 14 acres located in Sec. 21, T13s, R16e, Pima County, Arizona.	$ 4,004.24
20% interest in 5 3/4 acres located on 22nd at Camino Seco, Pima County, Arizona	$ 22,635.10
1/3 interest in notes and mortgages on 1 1/2 acres located on West Ina Road, Pima County, Arizona.	$ 53,400.00

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CC 1040 Department of the Treasury—Internal Revenue Service
U.S. Individual Income Tax Return 1988

For the year January–December 31, 1988, or other tax year beginning , 1988, ending , 19 . OMB No. 1545-0074

Your first name and initial (if joint return, also give spouse's name and initial) Last name

Your social security number: 527-20-9951

Spouse's social security no.: 579-32-7207

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

****** CAR-RT-SORT**CR01
SL 527-20-9951 579-32-7207 S29 30
MORRIS K & ELLA R UDALL
373 N MEYER AVE 210
TUCSON AZ 85701
701

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Presidential Election Campaign				
Do you want $1 to go to this fund?	X Yes		No	Note: Checking "Yes" will not change your tax or reduce your refund.
If joint return, does your spouse want $1 to go to this fund?	X Yes		No	

Filing Status

Check only one box.

1	☐	Single
2	☒	Married filing joint return (even if only one had income)
3	☐	Married filing separate return. Enter spouse's social security number above and full name here.
4	☐	Head of household (with qualifying person). (See page 7 of Instructions.) If the qualifying person is your child but not your dependent, enter child's name here.
5	☐	Qualifying widow(er) with dependent child (year spouse died ▶ 19). (See page 7 of Instructions.)

Exemptions

(See Instructions on page 8.)

If more than 6 dependents, see Instructions on page 8.

6a	☒	Yourself If someone (such as your parent) can claim you as a dependent, do not check box 6a. But be sure to check the box on line 33b on page 2.	No. of boxes checked on 6a and 6b	2
6b	☒	Spouse		

c Dependents: (1) Name (first, initial, and last name)	(2) Check if under age 5	(3) If age 5 or older, dependent's social security number	(4) Relationship	(5) No. of months lived in your home in 1988

No. of your children on 6c who:
- lived with you ____
- didn't live with you due to divorce or separation ____

No. of other dependents listed on 6c ____

d If your child didn't live with you but is claimed as your dependent under a pre-1985 agreement, check here ▶ ☐

e Total number of exemptions claimed

Add numbers entered on lines above ▶ 2

Income

Please attach Copy B of your Forms W-2, W-2G, and W-2P here.

If you do not have a W-2, see page 6 of Instructions.

Please attach check or money order here.

7	Wages, salaries, tips, etc. (attach Form(s) W-2) SEE STATEMENT 1	7	89,500.
8a	Taxable interest income (also attach Schedule B if over $400)	8a	48,683.
b	Tax-exempt interest income (see pg. 11) DON'T include on line 8a [8b]		
9	Dividend income (also attach Schedule B if over $400)	9	10,746.
10	Taxable refunds of state and local income taxes, if any, from worksheet on page 11 of Instructions	10	
11	Alimony received	11	
12	Business income or (loss) (attach Schedule C)	12	2,042.
13	Capital gain or (loss) (attach Schedule D)	13	137,456.
14	Capital gain distributions not reported on line 13 (see page 11)	14	
15	Other gains or (losses) (attach Form 4797)	15	
16a	Total IRA distributions [16a] 16b Taxable amount	16b	
17a	Total pensions and annuities [17a] 17b Taxable amount	17b	
18	Rents, royalties, partnerships, estates, trusts, etc. (attach Schedule E)	18	-11,317.
19	Farm income or (loss) (attach Schedule F)	19	
20	Unemployment compensation (insurance) (see page 13)	20	
21a	Social security benefits (see page 13) [21a]		
b	Taxable amount, if any, from the worksheet on page 13	21b	
22	Other income (list type and amount—see page 13)	22	
23	Add the amounts shown in the far right column for lines 7 through 22. This is your total income ▶	23	277,110.

Adjustments to Income

(See Instructions on page 13.)

24	Reimbursed employee business expenses	24			
25a	Your IRA deduction, from applicable worksheet on page 14 or 15	25a			
b	Spouse's IRA deduction, from applicable worksheet on page 14 or 15	25b			
26	Self-employed health insurance deduction, from worksheet on page 15	26			
27	Keogh retirement plan and self-employed SEP deduction	27			
28	Penalty on early withdrawal of savings	28			
29	Alimony paid (first name and social security no.)	29			
30	Add lines 24 through 29. ▶			30	0.

Adjusted Gross Income

31	Subtract line 30 from line 23. This is your adjusted gross income. If this line is less than $18,576 and a child lived with you, see "Earned Income Credit" (line 58) on page 19 of the Instructions. If you want IRS to figure your tax, see page 16 of the Instructions. ▶	31	277,110.

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527-20-9951

Form 1040 (1988) Page 2

Tax Computation	32	Amount from line 31 (adjusted gross income)			32	277,110.
	33a	Check if: ☒ You were 65 or older ☐ Blind; ☐ Spouse was 65 or older ☐ Blind. Add the number of boxes checked and enter the total here ▶	33a	1		
	b	If someone (such as your parent) can claim you as a dependent, check here ▶	33b	☐		
	c	If you are married filing a separate return and your spouse itemizes deductions, or you are a dual-status alien, see page 16 and check here ▶	33c	☐		
	34	Enter the larger of: • Your standard deduction (from page 17 of the instructions), OR • Your itemized deductions (from Schedule A, line 26). If you itemize, attach Schedule A and check here ▶ ☒			34	34,027.
	35	Subtract line 34 from line 32. Enter the result here			35	243,083.
	36	Multiply $1,950 by the total number of exemptions claimed on line 6e			36	3,900.
	37	Taxable income. Subtract line 36 from line 35. Enter the result (if less than zero, enter zero). Caution: If under age 14 and you have more than $1,000 of investment income, check here ▶ ☐ and see page 17 to see if you have to use Form 8615 to figure your tax.			37	239,183.
	38	Enter tax. Check if from: ☐ Tax Table, ☒ Tax Rate Schedules, or ☐ Form 8615			38	68,063.
	39	Additional taxes (see page 17). Check if from: ☐ Form 4970 ☐ Form 4972			39	
	40	Add lines 38 and 39. Enter the total ▶			40	68,063.
Credits (See Instructions on page 18.)	41	Credit for child and dependent care expenses (attach Form 2441)	41			
	42	Credit for the elderly or the disabled (attach Schedule R)	42			
	43	Foreign tax credit (attach Form 1116)	43			
	44	General business credit. Check if from: ☐ Form 3800, or ☐ Form (specify) ______	44			
	45	Credit for prior year minimum tax (attach Form 8801)	45			
	46	Add lines 41 through 45. Enter the total			46	
	47	Subtract line 46 from line 40. Enter the result (if less than zero, enter zero) ▶			47	68,063.
Other Taxes (Including Advance EIC Payments)	48	Self-employment tax (attach Schedule SE)			48	
	49	Alternative minimum tax (attach Form 6251)			49	0.
	50	Recapture taxes (see page 18). Check if from: ☐ Form 4255 or ☐ Form 8611			50	
	51	Social security tax on tip income not reported to employer (attach Form 4137)			51	
	52	Tax on an IRA or a qualified retirement plan (attach Form 5329)			52	
	53	Add lines 47 through 52 ▶			53	68,063.
Payments (Attach Forms W-2, W-2G, and W-2P to front)	54	Federal income tax withheld (if any is from Form(s) 1099, check ▶ ☐)	54	21,067.		
	55	1988 estimated tax payments and amount applied from 1987 return	55	20,348.		
	56	Earned income credit (see page 19)	56			
	57	Amount paid with Form 4868 (extension request)	57			
	58	Excess social security tax and RRTA tax withheld (see page 20)	58			
	59	Credit for Federal tax on fuels (attach Form 4136)	59			
	60	Regulated investment company credit (attach Form 2439)	60			
	61	Add lines 54 through 60. These are your total payments ▶			61	41,415.
Refund or Amount You Owe	62	If line 61 is larger than line 53, enter amount OVERPAID ▶			62	
	63	Amount of line 62 to be REFUNDED TO YOU ▶			63	
	64	Amount of line 62 to be applied to your 1989 estimated tax ▶	64			
	65	If line 53 is larger than line 61, enter AMOUNT YOU OWE. Attach check or money order for full amount payable to "Internal Revenue Service." Write your social security number, daytime phone number, and "1988 Form 1040" on it. Check ▶ ☒ if Form 2210 (2210F) is attached. See page 21. Penalty: $ 103.			65	26,751.

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Please Sign Here	▶ Your signature	Date	Your occupation: US CONGRESSMAN
	▶ Spouse's signature (if joint return, BOTH must sign): DECEASED	Date	Spouse's occupation: HOUSEWIFE
Paid Preparer's Use Only	Preparer's signature	Date: [illegible]	Check if self-employed ☐ / Preparer's social security no.: 494-32-2898
	Firm's name (or yours if self-employed) and address ▶ COOPERS & LYBRAND 33 N STONE, SUITE 1900 TUCSON, ARIZONA		E.I. No. 13-5218870 ZIP code 85701

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SCHEDULES A&B (Form 1040)
Department of the Treasury
Internal Revenue Service

Schedule A - Itemized Deductions

▶ Attach to Form 1040. ▶ See instructions for Schedules A and B (Form 1040).

OMB No. 1545-0074
1988
Attachment Sequence No. 07

Name(s) as shown on Form 1040: MORRIS K & ELLA B-DECEASED UDALL

Your social security number: 527-20-9951

Medical and Dental Expenses	1a	Prescription medicines and drugs, insulin, doctors, dentists, nurses, hospitals, medical insurance premiums you paid, etc.	1a	5,479.		
(Do not include expenses reimbursed or paid by others.)	b	Other (list-include hearing aids, dentures, eyeglasses, transportation and lodging, etc.) ▶	1b			
(See instructions on page 23.)	2	Add lines 1a and 1b, and enter the total here.	2	5,479.		
	3	Multiply the amount on Form 1040, line 32, by 7.5% (.075)	3	20,783.		
	4	Subtract line 3 from line 2. If zero or less, enter -0-. Total medical and dental			▶ 4	0.
Taxes You Paid	5	State and local income taxes	5	3,692.		
	6	Real estate taxes.	6	2,777.		
(See instructions on page 23.)	7	Other taxes (list-include personal property taxes) ▶ PERSONAL PROPERTY	7	228.		
	8	Add the amounts on lines 5 through 7. Enter the total here. Total taxes			▶ 8	6,697.
Interest You Paid		Note: *New rules apply to the home mortgage interest deduction. See Instructions.*				
	9a	Deductible home mortgage interest you paid to financial institutions (report deductible points on line 10)	9a			
(See instructions on page 24.)	b	Deductible home mortgage interest you paid to individuals (show that person's name and address) ▶	9b			
	10	Deductible points (See Instructions for special rules.)	10			
	11	Deductible investment interest	11	25,869.		
SEE STMT 11	12a	Personal interest you paid (see page 24) 12a 61				
	b	Multiply the amount on line 12a by 40% (.40). Enter the result.	12b	24.		
	13	Add the amounts on lines 9a through 11, and 12b. Enter the total here. Total interest			▶ 13	25,893.
Gifts to Charity	14	Contributions by cash or check. (If you gave $3,000 or more to any one organization, show to whom you gave and how much you gave.) ▶	14	655.		
(See instructions on page 25.)	15	Other than cash or check. (You must attach Form 8283 if over $500.)	15			
	16	Carryover from prior year.	16			
	17	Add the amounts on lines 14 through 16. Enter the total here. Total contributions			▶ 17	655.
Casualty and Theft Losses	18	Casualty or theft loss(es) (attach Form 4684). (See page 25 of the Instructions.)			▶ 18	
Moving Expenses	19	Moving expenses (attach Form 3903 or 3903F). (See page 26 of the Instructions.)			▶ 19	
Job Expenses and Most Other Miscellaneous Deductions	20	Unreimbursed employee expenses-job travel, union dues, job education, etc. (You MUST attach Form 2106 in some cases. See Instructions.) ▶ SEE STMT 13	20	5,170.		
(See page 26 for expenses to deduct here.)	21	Other expenses (investment, tax preparation, safe deposit box, etc.). List type and amount ▶ SEE STATEMENT 12	21	1,154.		
	22	Add the amounts on lines 20 and 21. Enter the total	22	6,324.		
	23	Multiply the amount on Form 1040, line 32, by 2% (.02). Enter the result here	23	5,542.		
	24	Subtract line 23 from line 22. Enter the result (if zero or less, enter zero)			▶ 24	782.
Other Miscellaneous Deductions	25	Other (from list on page 26 of Instructions). Enter type and amount ▶			▶ 25	
Total Itemized Deductions	26	Add the amounts on lines 4, 8, 13, 17, 18, 19, 24, and 25. Enter the total here. Then enter on Form 1040, line 34, the LARGER of this total or your standard deduction from page 17 of the Instructions			▶ 26	34,027.

For Paperwork Reduction Act Notice, see Form 1040 Instructions. 5700 SCHEDULE A (Form 1040) (1988)

26
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Schedules A&B (Form 1040) 1988 OMB No. 1545-0074 Page 2

Name(s) as shown on Form 1040 (Do not enter name and social security number if shown on Page 1)
MORRIS K & ELLA B-DECEASED UDALL

Your social security number
527-20-9951

Schedule B—Interest and Dividend Income

Attachment Sequence No. 08

Part I Interest Income

If you received more than $400 in taxable interest income, you must complete Part I and Part III and list ALL interest received. You must report all interest on Form 1040, even if you are not required to complete Part I and Part III. If you received, as a nominee, interest that actually belongs to another person, or you received or paid accrued interest on securities transferred between interest payments dates, see page 27.

(See instructions on pages 10 and 26.)

Note: If you received a Form 1099-INT or Form 1099-OID from a brokerage firm, list the firm's name as the payer and enter the total interest shown on that form.

Interest Income		Amount
1 Interest income from seller-financed mortgages. (See instructions and list name of payer.) ▶ FIRST AMERICAN BANK OF VA	1	1,125.
2 Other interest income (list name of payer) ▶	2	
FIRST AMERICAN BANK-VA 5586-0427		1,747.
LAWYERS TITLE TRUST 7431		25,953.
(J) PERPETUAL AMERICAN 89651475		65.
VALLEY NATIONAL 03131-2787		2,201.
VALLEY NATIONAL 08332-6953		3,778.
VALLEY NATIONAL 2001-5795		299.
(J) VALLEY NATIONAL 82955328		5,384.
OFF. OF FED. EMPLOYEES GROUP LIFE		35.
1ST AMERICAN BNK-VA CD 0805166		2,790.
1ST AMERICAN BANK-VA CD 0844261		1,898.
FROM PARTNERSHIPS		3,408.
3 Add the amounts on lines 1 and 2. Enter the total here and on Form 1040, line 8a ▶	3	48,683.

Part II Dividend Income

If you received more than $400 in gross dividends and/or other distributions on stock, complete Part II and Part III. You must report all taxable dividends on Form 1040, even if you are not required to complete Part II and Part III. If you received, as a nominee, dividends that actually belong to another person, see page 27.

(See instructions on pages 11 and 27.)

Note: If you received a Form 1099-DIV from a brokerage firm, list the firm's name as the payer and enter the total dividends shown on that form.

Dividend Income			Amount
4 Dividend income (list name of payer - include on this line capital gain distributions, nontaxable distributions, etc.) ▶	4		
(J) E F HUTTON			1,217.
(H) FIDELITY TREND FUND			3,182.
(H) MASS INVESTORS GROWTH STOCK FUND			10.
(J) MODERN PIONEERS LIFE INSURANCE			
SHEARSON LEHMAN HUTTON			9,943.
5 Add the amounts on line 4. Enter the total here		5	14,352.
6 Capital gain distributions. Enter here and on line 13, Schedule D.*	6	3,606.	
7 Nontaxable distributions. (See Schedule D instructions for adjustment to basis.)	7		
8 Add the amounts on lines 6 and 7. Enter the total here		8	3,606.
9 Subtract line 8 from line 5. Enter the result here and on Form 1040, line 9 ▶		9	10,746.

*If you received capital gain distributions but do not need Schedule D to report any other gains or losses, enter your capital gain distributions on Form 1040, line 14.

Part III Foreign Accounts and Foreign Trusts (See instructions on page 27.)

If you received more than $400 of interest or dividends, OR if you had a foreign account or were a grantor of, or a transferor to, a foreign trust, you must answer both questions in Part III.	Yes	No
10 At any time during the tax year, did you have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? (See page 27 of the Instructions for exceptions and filing requirements for Form TD F 90-22.1.) If "Yes," enter the name of the foreign country ▶		X
11 Were you the grantor of, or transferor to, a foreign trust which existed during the current tax year, whether or not you have any beneficial interest in it? If "Yes," you may have to file Forms 3520, 3520-A, or 926		X

For Paperwork Reduction Act Notice, see Form 1040 Instructions. 4790

Schedule B (Form 1040) 1988

27
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SCHEDULE C
(Form 1040)
Department of the Treasury
Internal Revenue Service

Profit or Loss From Business
(Sole Proprietorship)
Partnerships, Joint Ventures, Etc., Must File Form 1065.
▶ Attach to Form 1040, Form 1041, or Form 1041S. ▶ See Instructions for Schedule C (Form 1040).

OMB No. 1545-0074
1988
Attachment Sequence No. 09

Name of proprietor: MORRIS K UDALL
Social security number (SSN): 527-20-9951

A Principal business or profession, including product or service: SERVICES SPEAKER-WRITER
B Principal business code (from Part IV) ▶ 7880

C Business name and address ▶ MORRIS K UDALL
373 SOUTH MEYER
TUCSON ARIZ 85701

D Employer ID No. (Not SSN)

E Method(s) used to value closing inventory:
(1) ☐ Cost (2) ☐ Lower of cost or market (3) ☐ Other (attach explanation)

F Accounting method: (1) ☒ Cash (2) ☐ Accrual (3) ☐ Other (specify) ▶

		Yes	No
G	Was there any change in determining quantities, costs, or valuations between opening and closing inventory? (If "Yes," attach explanation.)		X
H	Are you deducting expenses for an office in your home? (If "Yes," see Instructions for specific limitations.)		X
I	Did you "materially participate" in the operation of this business during 1988? (If "No," see Instructions for limitations on losses.)	X	

J If this schedule includes a loss, credit, deduction, income, or other tax benefit relating to a tax shelter required to be registered, check here ▶ ☐
If you check this box, you MUST attach Form 8271.

Part I Income

1a	Gross receipts or sales	1a	6,500.
b	Less: Returns and allowances	1b	
c	Subtract line 1b from line 1a. Enter the result here	1c	6,500.
2	Cost of goods sold and/or operations (from Part III, line 8)	2	
3	Subtract line 2 from line 1c and enter the gross profit here	3	6,500.
4	Other income (including windfall profit tax credit or refund received in 1988)	4	
5	Add lines 3 and 4. This is the gross income ▶	5	6,500.

Part II Deductions

6	Advertising	6		23	Repairs	23	
7	Bad debts from sales or services	7		24	Supplies (not included in Part III)	24	
8	Bank service charges	8		25	Taxes	25	
9	Car and truck expenses	9		26	Travel, meals, and entertainment:		
10	Commissions	10		a	Travel	26a	
11	Depletion	11		b	Meals and entertainment	239.	
12	Depreciation and section 179 deduction from Form 4562 (not included in Part III)	12		c	Enter 20% of line 26b subject to limitations	48.	
13	Dues and publications	13		d	Subtract line 26c from 26b	26d	191.
14	Employee benefit programs	14		27	Utilities and telephone	27	1,178.
15	Freight (not included in Part III)	15	178.	28a	Wages		
16	Insurance	16		b	Jobs credit		
17	Interest:			c	Subtract line 28b from 28a	28c	
a	Mortgage (paid to banks, etc.)	17a		29	Other expenses (list type and amount):		
b	Other	17b					
18	Laundry and cleaning	18					
19	Legal and professional services	19	2,911.				
20	Office expense	20					
21	Pension and profit-sharing plans	21					
22	Rent on business property	22				29	

30	Add amounts in columns for lines 6 through 29. These are the total deductions ▶	30	4,458.
31	Net profit or (loss). Subtract line 30 from line 5. If a profit, enter here and on Form 1040, line 12, and on Schedule SE, line 2. If a loss, you MUST go on to line 32. (Fiduciaries, see Instructions.)	31	2,042.

32 If you have a loss, you MUST check the box that describes your investment in this activity
32a ☐ All is at risk.
32b ☐ Some is not at risk.

If you checked 32a, enter the loss on Form 1040, line 12, and Schedule SE, line 2. If you checked 32b, you MUST attach Form 6198.

For Paperwork Reduction Act Notice, see Form 1040 Instructions.
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28
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Schedule C (Form 1040) 1988

Page 2

Part III **Cost of Goods Sold and/or Operations (See Schedule C Instructions for Part III)**

1	Inventory at beginning of year. (If different from last year's closing inventory, attach explanation.)	1	
2	Purchases less cost of items withdrawn for personal use	2	
3	Cost of labor. (Do not include salary paid to yourself.)	3	
4	Materials and supplies	4	
5	Other costs	5	
6	Add lines 1 through 5	6	
7	Less: Inventory at end of year	7	
8	**Cost of goods sold and/or operations.** Subtract line 7 from line 6. Enter the result here and in Part I, line 2	8	

Part IV **Codes for Principal Business or Professional Activity**

Locate the major business category that best describes your activity (for example, Retail Trade, Services, etc.). Within the major category, select the activity code that identifies (or most closely identifies) the business or profession that is the principal source of your sales or receipts. Enter this 4-digit code on line B on page 1 of Schedule C. (**Note:** *If your principal source of income is from farming activities, you should file* **Schedule F** *(Form 1040), Farm Income and Expenses.*)

[illegible]

29
UDALL

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SCHEDULE D
(FORM 1040)
Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

(And Reconciliation of Forms 1099-B)

▶ Attach to Form 1040. ▶ See instructions for Schedule D (Form 1040).
For Paperwork Reduction Act Notice, see Form 1040 instructions.

OMB No. 1545-0074
1988
Attachment Sequence No. 12

Name(s) as shown on Form 1040: MORRIS K & ELLA R-DECEASED UDALL

Your social security number: 527-20-9951

1 Report here the total sales of stocks, bonds, etc., reported for 1988 to you on Form(s) 1099-B or an equivalent substitute statement(s). If this amount differs from the total of lines 2b and 9b, column (d), attach a statement explaining the difference. See the instructions for line 1 for examples 1

Part I Short-term Capital Gains and Losses – Assets Held One Year or Less (6 months or less if acquired before 1/1/88)

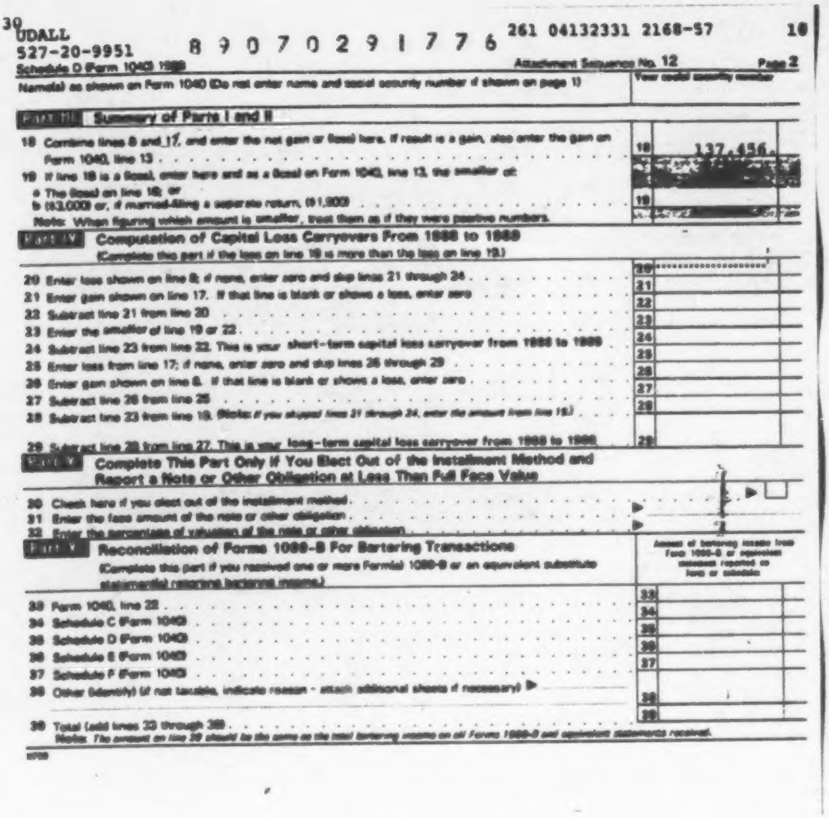
(a) Description of property (Example, 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold (Mo., day, yr.)	(d) Sales price	(e) Cost or other basis	(f) LOSS If (e) is more than (d), subtract (d) from (e)	(g) GAIN If (d) is more than (e), subtract (e) from (d)
2a Stocks, Bonds, and Other Securities (Include all Form 1099-B transactions.)						
2b Total (add column (d))		2b ▶				
2c Other Transactions (Include Real Estate Transactions from Forms 1099-B)						

3 Short-term gain from sale or exchange of your home from Form 2119, line 8b or 14	3		
4 Short-term gain from installment sales from Form 6252, line 22 or 30	4		
5 Net short-term gain or (loss) from partnerships, S corporations, and fiduciaries	5		
6 Short-term capital loss carryover	6		
7 Add all of the transactions on lines 2a and 2c and lines 3 through 6 in columns (f) and (g)	7		
8 Net short-term gain or (loss), combine columns (f) and (g) of line 7	8		

Part II Long-term Capital Gains and Losses – Assets Held More Than One Year (more than 6 months if acquired before 1/1/88)

(a)	(b)	(c)	(d)	(e)	(f)	(g)
9a Stocks, Bonds, and Other Securities (Include all Form 1099-B transactions.)						
LAWYERS TITLE & TRUST 875LIKE-KIND				36,195.		0.
9b Total (add column (d))		9b ▶				
9c Other Transactions (Include Real Estate Transactions from Forms 1099-B)						

10 Long-term gain from sale or exchange of your home from Form 2119, line 8b, 10, or 14	10		
11 Long-term gain from installment sales from Form 6252, line 22 or 30	11		5,567.
12 Net long-term gain or (loss) from partnerships, S corporations, and fiduciaries	12		
13 Capital gain distributions	13		3,606.
14 Enter gain from Form 4797, line 7 or 9	14		128,283.
15 Long-term capital loss carryover	15		
16 Add all of the transactions on lines 9a and 9c and lines 10 through 15 in columns (f) and (g)	16		137,456.
17 Net long-term gain or (loss), combine columns (f) and (g) of line 16		17	137,456.



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527-20-9951 8 9 0 7 0 2 9 1 7 7 6 261 04132331 2168-57 18

Schedule D (Form 1040) 1989 Attachment Sequence No. 12 Page 2

Name(s) as shown on Form 1040 (Do not enter name and social security number if shown on page 1)	Your social security number

Part III **Summary of Parts I and II**

18 Combine lines 8 and 17, and enter the net gain or (loss) here. If result is a gain, also enter the gain on Form 1040, line 13	18	137,456.
		[redacted]
19 If line 18 is a (loss), enter here and as a (loss) on Form 1040, line 13, the smaller of: a The (loss) on line 18; or b ($3,000) or, if married filing a separate return, ($1,500)	19	
Note: When figuring which amount is smaller, treat them as if they were positive numbers.		

Part IV **Computation of Capital Loss Carryovers From 1988 to 1989**
(Complete this part if the loss on line 18 is more than the loss on line 19.)

20 Enter loss shown on line 8; if none, enter zero and skip lines 21 through 24	20	
21 Enter gain shown on line 17. If that line is blank or shows a loss, enter zero	21	
22 Subtract line 21 from line 20	22	
23 Enter the smaller of line 19 or 22	23	
24 Subtract line 23 from line 22. This is your short-term capital loss carryover from 1988 to 1989	24	
25 Enter loss from line 17; if none, enter zero and skip lines 26 through 29	25	
26 Enter gain shown on line 8. If that line is blank or shows a loss, enter zero	26	
27 Subtract line 26 from line 25	27	
28 Subtract line 23 from line 19. (Note: *If you skipped lines 21 through 24, enter the amount from line 19.*)	28	
29 Subtract line 28 from line 27. This is your long-term capital loss carryover from 1988 to 1989	29	

Part V **Complete This Part Only If You Elect Out of the Installment Method and Report a Note or Other Obligation at Less Than Full Face Value**

30 Check here if you elect out of the installment method ▶ ☐
31 Enter the face amount of the note or other obligation ▶
32 Enter the percentage of valuation of the note or other obligation ▶

Part VI **Reconciliation of Forms 1099-B For Bartering Transactions**
(Complete this part if you received one or more Form(s) 1099-B or an equivalent substitute statement(s) reporting bartering income.)

		Amount of bartering income from Form 1099-B or equivalent statement reported on form or schedule
33 Form 1040, line 22	33	
34 Schedule C (Form 1040)	34	
35 Schedule D (Form 1040)	35	
36 Schedule E (Form 1040)	36	
37 Schedule F (Form 1040)	37	
38 Other (identify) (if not taxable, indicate reason - attach additional sheets if necessary) ▶	38	
39 Total (add lines 33 through 38)	39	

Note: *The amount on line 39 should be the same as the total bartering income on all Forms 1099-B and equivalent statements received.*

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SCHEDULE E
(Form 1040)
Department of the Treasury
Internal Revenue Service

Supplemental Income Schedule

(From rents, royalties, partnerships, estates, trusts, REMICs, etc.)
▶ Attach to Form 1040, Form 1041, or Form 1041S.
▶ See Instructions for Schedule E (Form 1040).

OMB No. 1545-0074
1988
Attachment Sequence No. 13

Name(s) as shown on return: MORRIS K & ELLA-R-DECEASED UDALL

Your social security number: 527-20-3951

Part I Rental and Royalty Income or Loss **Caution:** Your rental loss may be limited.

1 In the space provided below, show the kind and location of each rental property.

3 For each rental real estate property listed in item 1, did you actively participate in its operation during the tax year?

2 For each rental property listed, did you or a member of your family use it for personal purposes more than the greater of 14 days or 10% of the total days rented at fair rental value during the tax year?

		3 Yes	3 No	2 Yes	2 No
A	SEE STATEMENT 5				
B					
C					

Rental and Royalty Income		Properties A	Properties B	Properties C		Totals (Add columns A, B, and C)
4 Rents received	4				4	
5 Royalties received	5	345.			5	345.
SEE STMT 5						
Rental and Royalty Expenses						
6 Advertising	6					
7 Auto and travel	7					
8 Cleaning and maintenance	8					
9 Commissions	9					
10 Insurance	10					
11 Legal and other professional fees	11					
12 Mortgage interest paid to banks, etc.	12				12	
13 Other interest	13					
14 Repairs	14					
15 Supplies	15					
16 Taxes	16					
17 Utilities	17					
18 Wages and salaries	18					
19 Other (list) ▶	19					
20 Total expenses other than depreciation and depletion. Add lines 6 through 19	20				20	
21 Depreciation expense, or depletion	21				21	
22 Total. Add lines 20 and 21	22					
23 Income or (loss) from rental or royalty properties. Subtract line 22 from line 4 (rental) or 5 (royalties)	23	345.				
24 Deductible rental loss	24					

25 **Profits.** Add rental and royalty profits from line 23, and enter the total profits here	25	345.
26 **Losses.** Add royalty losses from line 23 and rental losses from line 24, and enter the total (losses) here	26	
27 Combine amounts on lines 25 and 26, and enter the net profit or (loss) here	27	
28 Net farm rental profit or (loss) from Form 4835. (Also complete Part VI, line 43.)	28	
29 Total rental or royalty income or loss. Combine amounts on lines 27 and 28, and enter the total here. If Parts II, III, IV and V on page 2 do not apply to you, enter the amount from line 29 on Form 1040, line 18. Otherwise, include the amount from line 29 in line 42 on page 2 of Schedule E	29	345.

For Paperwork Reduction Act Notice, see Form 1040 Instructions.

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527-20-9951
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Schedule E (Form 1040) 1988 Attachment Sequence No. 13 Page 2

Name(s) as shown on return. (Do not enter name and social security number if shown on page 1.)	Your social security number
MORRIS K & ELLA R-DECEASED UDALL	527-20-9951

Part II Income or Loss From Partnerships and S Corporations

If you report a loss from an at-risk activity, you MUST check either column (e) or (f) to describe your investment in the activity. See instructions.
If you check column (f), you must attach Form 6198.

	(a) Name	(b) Enter P for partnership; S for S corporation	(c) Check if foreign partnership	(d) Employer identification number	Investment At Risk? (e) All is at risk	(f) Some is not at risk
A	SEE STATEMENT 6	P			X	
B						
C						
D						
E						
F						
G						

	Passive Income and Loss		Nonpassive Income and Loss		
	(g) Passive loss allowed from Form 8582	(h) Passive income from Schedule K-1	(i) Nonpassive loss from Schedule K-1	(j) Section 179 deduction (See instructions for limits)	(k) Nonpassive income from Schedule K-1
A	13,134.				1,472.
B					
C					
D					
E					
F					
G					
30a Totals					1,472.
b Totals	13,134.				

31	Add amounts in columns (h) and (k), line 30a. Enter total income here	31	1,472.
32	Add amounts in columns (g), (i), and (j), line 30b. Enter total here	32	-13,134.
33	Total partnership and S corporation income or (loss). Combine amounts on lines 31 and 32. Enter the total here and include in line 42 below	33	-11,662.

Part III Income or Loss From Estates and Trusts

	(a) Name	(b) Employer identification number
A		
B		

	Passive Income and Loss		Nonpassive Income and Loss	
	(c) Passive deduction or loss allowed from Form 8582	(d) Passive income from Schedule K-1	(e) Deduction or loss from Schedule K-1	(f) Other income from Schedule K-1
A				
B				
34a Totals				
b Totals				

35	Add amounts in columns (d) and (f), line 34a. Enter total income here	35	
36	Add amounts in columns (c) and (e), line 34b. Enter total here	36	
37	Total estate and trust income or (loss). Combine amounts on lines 35 and 36. Enter the total here and include in line 42 below	37	

Part IV Income or Loss From Real Estate Mortgage Investment Conduits (REMICs)-Residual Holder

(a) Name	(b) Employer identification number	(c) Excess inclusion from Schedules Q, line 2c	(d) Taxable income (net loss) from Schedules Q, line 1b	(e) Income from Schedules Q, line 3b

38	Combine amounts in columns (d) and (e) only. Enter the total here and include in line 42 below	38	

Part V Windfall Profit Tax Summary

39	Windfall profit tax credit or refund received in 1988	39	
40	Windfall profit tax withheld in 1988	40	
41	Combine amounts on lines 39 and 40. Enter the total here and include in line 42 below	41	

Part VI Summary

42	TOTAL income or (loss). Combine lines 29, 33, 37, 38, and 41. Enter total here and on Form 1040, line 18 ▶			42	-11,317.
43	Farmers and fishermen: Enter your share of GROSS FARMING AND FISHING INCOME applicable to Parts I, II, and III	43			

For Paperwork Reduction Act Notice, see Form 1040 Instructions. Schedule E (Form 1040) 1988

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Form **4797**

Department of the Treasury
Internal Revenue Service

Sales of Business Property

(Also, Involuntary Conversions and Recapture Amounts Under Sections 179 and 280F)

▶ Attach to your tax return. See Separate Instructions.

OMB No. 1545-0184

1988

Attachment Sequence No. 27

Name(s) as shown on return: MORRIS K & ELLA R-DECEASED UDALL

Identifying number: 527-20-9951

Part I Sales or Exchanges of Property Used in a Trade or Business and Involuntary Conversions From Other Than Casualty and Theft – Property Held More Than 1 Year (More Than 6 Months If Acquired Before 1/1/88)

1 Enter here the gross proceeds from the sale or exchange of real estate reported to you for 1988 on Form(s) 1099-S (or an equivalent statement) that you will be including on lines 2 or 10 (column d), or on line 20. (Form 1099-S is a Statement for Recipients of Proceeds From Real Estate Transactions.) 1

(a) Description of property	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Gross sales price	(e) Depreciation allowed (or allowable) since acquisition	(f) Cost or other basis, plus improvements and expense of sale	(g) LOSS ((f) minus the sum of (d) and (e))	(h) GAIN ((d) plus (e) minus (f))
2							
OTHER SECTION 1231 GAIN OR LOSS FROM PARTNERSHIPS							
				SEE STATEMENT 6			128,283.

	(g)	(h)
3 Gain, if any, from Form 4684, Section B, line 21		
4 Section 1231 gain from installment sales from Form 6252, line 22 or 30		
5 Gain, if any, from Part III, line 32, from other than casualty and theft		
6 Add lines 2 through 5 in columns (g) and (h)		128,283.
7 Combine columns (g) and (h) of line 6. Enter gain or (loss) here, and on the appropriate line as follows (partnerships see the Instructions for your line references):		128,283.

If line 7 is zero or a loss, enter the amount on line 11 below and skip lines 8 and 9. (S corporations enter the loss on Schedule K (Form 1120S), line 5.) If line 7 is a gain and you did not have any prior year section 1231 losses, or they were recaptured in an earlier year, enter the gain as a long-term capital gain on Schedule D and skip lines 8, 9, and 12 below.

8 Nonrecaptured net section 1231 losses from prior years

9 Subtract line 8 from line 7. If zero or less, enter zero

If line 9 is zero, enter the amount from line 7 on line 12 below. If line 9 is more than zero, enter the amount from line 8 on line 12 below, and enter the amount from line 9 as a long-term capital gain on Schedule D. See Specific Instructions for line 9.

Part II Ordinary Gains and Losses

(a) Description of property	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Gross sales price	(e) Depreciation allowed (or allowable) since acquisition	(f) Cost or other basis, plus improvements and expense of sale	(g) LOSS ((f) minus the sum of (d) and (e))	(h) GAIN ((d) plus (e) minus (f))
10 Ordinary gains and losses not included on lines 11 through 16 (include property held 1 year or less (6 months or less if acquired before 1/1/88)):							

11 Loss, if any, from line 7

12 Gain, if any, from line 7, or amount from line 8 if applicable

13 Gain, if any, from line 31, Part III

14 Net gain or (loss) from Form 4684, Section B, lines 13 and 20a

15 Ordinary gain from installment sales from Form 6252, line(s) 21 and/or 29

16 Recapture of section 179 deduction for partners and S corporation shareholders from property dispositions by partnerships and S corporations

17 Add lines 10 through 16 in columns (g) and (h)

18 Combine columns (g) and (h) of line 17. Enter gain or (loss) here, and on the appropriate line as follows:

a For all except individual returns: Enter the gain or (loss) from line 18, on the return being filed.

b For individual returns:

(1) If the loss on line 11 includes a loss from Form 4684, Section B, Part II, column (b)(ii), enter that part of the loss here and on line 21 of Schedule A (Form 1040). Identify as from "Form 4797, line 18b(1)"

(2) Redetermine the gain or (loss) on line 18, excluding the loss (if any) on line 18b(1). Enter here and on Form 1040, line 15.

For Paperwork Reduction Act Notice, see separate instructions.

Form 4797 (1988)

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Part III Gain From Disposition of Property Under Sections 1245, 1250, 1252, 1254, and 1255
Skip section 1252 on line 27 and in the instructions if you did not dispose of farmland or if you are a partnership.

19 Description of sections 1245, 1250, 1252, 1254, and 1255 property:	Date acquired (mo., day, yr.)	Date sold (mo., day, yr.)
A		
B		
C		
D		

Relate lines 19A through 19D to these columns ▶	Property A	Property B	Property C	Property D
20 Gross sales price				
21 Cost or other basis plus expense of sale				
22 Depreciation (or depletion) allowed (or allowable)				
23 Adjusted basis, subtract line 22 from line 21				
24 Total gain, subtract line 23 from line 20				
25 If section 1245 property:				
a Depreciation allowed (or allowable)				
b Enter smaller of line 24 or 25a				
26 If section 1250 property: If straight line depreciation was used, enter zero on line 26g unless you are a corporation subject to section 291.				
a Additional depreciation after 12/31/75				
b Applicable percentage times the smaller of line 24 or line 26a				
c Subtract line 26a from line 24. If line 24 is not more than line 26a, skip lines 26d and 26e				
d Add'l depreciation after 12/31/69 & before 1/1/76				
e Applicable percentage times the smaller of line 26c or 26d				
f Section 291 amount (for corporations only)				
g Add lines 26b, 26e, and 26f				
27 If section 1252 property:				
a Soil, water, & land clearing expenses				
b Line 27a times applicable percentage				
c Enter smaller of line 24 or 27b				
28 If section 1254 property:				
a Intangible drilling and development costs, expenditures for development of mines and other natural deposits, and mining exploration costs				
b Enter smaller of line 24 or 28a				
29 If section 1255 property:				
a Applicable percentage of payments excluded from income under section 126				
b Enter the smaller of line 24 or 29a				

Summary of Part III Gains (Complete property columns A through D through line 29b before going to line 30.)

30 Total gains for all properties (add columns A through D, line 24)	
31 Add columns A through D, lines 25b, 26g, 27c, 28b, and 29b. Enter here and in Part II, line 13. (See the instructions to Part IV if this is an installment sale.)	
32 Subtract line 31 from line 30. Enter the portion from casualty and theft on Form 4684, Section B, line 15. Enter the portion from other than casualty and theft on Form 4797, Part I, line 5	

Part IV Complete This Part Only If You Elect Out of the Installment Method and Report a Note or Other Obligation at Less Than Full Face Value

33 Check here if you elect out of the installment method ▶ ☐
34 Enter the face amount of the note or other obligation ▶
35 Enter the percentage of valuation of the note or other obligation ▶

Part V Computation of Recapture Amounts Under Sections 179 and 280F When Business Use Drops to 50% or Less (See Instructions for Part V.)

	(a) Section 179	(b) Section 280F
1 Section 179 expense deduction or section 280F recovery deductions		
2 Depreciation or recovery deductions		
3 Recapture amount. (subtract line 2 from line 1)		

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Form **6251**
Department of the Treasury
Internal Revenue Service

Alternative Minimum Tax-Individuals

▶ Attach to Form 1040 or Form 1040NR. Estates and trusts, use Form 8656.

OMB No. 1545-0227
1988
Attachment Sequence No. 32

Name(s) as shown on Form 1040: MORRIS K & ELLA R-DECEASED UDALL

Your social security number: 527-20-9951

1		Taxable income from Form 1040, line 37 (can be less than zero)			1	239,183.
2		Net operating loss deduction. (Do not enter as a negative amount.)			2	
3		Add lines 1 and 2			3	239,183.
4		Adjustments: (See line-by-line instructions before completing.)				
	a	Standard deduction from Form 1040, line 34	4a			
	b	Personal exemption amount from Form 1040, line 36	4b	3,900.		
	c	Medical and dental expense	4c			
	d	Miscellaneous itemized deductions from Schedule A, line 24	4d	782.		
	e	Taxes from Schedule A, line 8	4e	6,697.		
	f	Refund of taxes	4f			
	g	Interest from Schedule A, line 12b	4g	24.		
	h	Other interest adjustments	4h			
	i	Combine lines 4a through 4h			4i	11,403.
	j	Depreciation of property placed in service after 1986	4j			
	k	Circulation and research and experimental expenditures paid or incurred after 1986	4k			
	l	Mining exploration and development costs paid or incurred after 1986	4l			
	m	Long-term contracts entered into after 2/28/86	4m			
	n	Pollution control facilities placed in service after 1986	4n			
	o	Installment sales of certain property	4o			
	p	Adjusted gain or loss	4p			
	q	Certain loss limitations	4q			
	r	Tax shelter farm loss	4r			
	s	Passive activity loss	4s	2,545.		
	t	Beneficiaries of estates and trusts	4t			
	u	Combine lines 4j through 4t			4u	2,545.
5		Tax preference items: (See line-by-line instructions before completing.)				
	a	Appreciated property charitable deduction	5a			
	b	Tax-exempt interest from private activity bonds issued after August 7, 1986	5b			
	c	Depletion	5c			
	d	Add lines 5a through 5c			5d	
	e	Accelerated depreciation of real property placed in service before 1987	5e			
	f	Accelerated depreciation of leased personal property placed in service before 1987	5f			
	g	Amortization of certified pollution control facilities placed in service before 1987	5g			
	h	Incentive stock options	5h			
	i	Intangible drilling costs	5i			
	j	Reserves for losses on bad debts of financial institutions	5j			
	k	Add lines 5e through 5j			5k	
6		Combine lines 3, 4i, 4u, 5d, and 5k			6	253,131.
7		Alternative tax net operating loss deduction. (Do not enter more than 90% of line 6.)			7	
8		Alternative minimum taxable income (subtract line 7 from line 6). If married filing separate returns, see instructions			8	253,131.
9		Enter: $40,000 ($20,000 if married filing separately; $30,000 if single or head of household)			9	40,000.
10		Enter: $150,000 ($75,000 if married filing separately; $112,500 if single or head of household)			10	150,000.
11		Subtract line 10 from line 8. If -0- or less, enter -0- here and on line 12 and go to line 13. If this line is more than -0-, go to line 12			11	103,131.
12		Multiply line 11 by 25% (.25)			12	25,783.
13		Subtract line 12 from line 9. If -0- or less, enter -0-			13	14,217.
14		Subtract line 13 from line 8. If -0- or less, enter -0- here and on line 19. If this line is more than -0-, go to line 15			14	238,914.
15		Multiply line 14 by 21% (.21)			15	50,172.
16		Alternative minimum tax foreign tax credit			16	
17		Tentative minimum tax (subtract line 16 from line 15)			17	50,172.
18		Regular tax before credits (Form 1040, line 38) minus foreign tax credit (Form 1040, line 43)			18	68,063.
19		Alternative minimum tax (subtract line 18 from line 17). Enter on Form 1040, line 49. If -0- or less, enter -0-			19	0.

For Paperwork Reduction Act Notice, see separate instructions.

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Form **2210**
Department of the Treasury
Internal Revenue Service

Underpayment of Estimated Tax by Individuals and Fiduciaries

▶ Attach to Form 1040 or Form 1041

OMB No. 1545-0140
1988
Attachment Sequence No. 39

Name(s) as shown on tax return: MORRIS K & ELLA R-DECEASED UDALL

Identifying number: 527-20-9951

Note: In most cases, IRS can figure the penalty for you and you do not have to complete this form. See the separate instructions for more information.

Part I Figuring Your Underpayment

1 1988 tax after credits (from Form 1040, line 47 or Form 1041, Schedule G, line 4)			1	68,063.
2 Other taxes			2	
3 Add lines 1 and 2			3	68,063.
4 Earned income credit	4			
5 Credit for Federal tax on fuels	5			
6 Add lines 4 and 5			6	
7 Subtract line 6 from line 3			7	68,063.
8 Multiply line 7 by 90% (.90)	8	61,257.		
9 Withholding taxes from 1988 Form 1040, lines 54 and 58 (or Form 1041, line 24e). (Include any credit from Form 4469.)			9	21,067.
10 Subtract line 9 from line 7. If the result is less than $500, do not complete this form. You do not owe the penalty			10	46,996.
11 Enter your 1987 tax. (Caution: See instructions.)			11	42,130.
12 Enter the smaller of line 8 or line 11			12	42,130.

If line 9 is equal to or more than line 12, do not complete this form. You do not owe the penalty.

		Payment Due Dates			
		(a) Apr. 15, 1988	(b) June 15, 1988	(c) Sept. 15, 1988	(d) Jan. 15, 1989
13 Divide line 12 by four (4) and enter result in each column. However, if you use the annualized income installment method, complete the worksheet in the instructions and enter the amount from line 20 in each column of line 13. Also check this box ▶ ☐ and attach a copy of the worksheet	13	10,532.	10,532.	10,533.	10,533.
Complete lines 14 through 21 for one column before completing the next column.					
14 Estimated tax paid and tax withheld. For column (a) only, enter the amount from line 14 on line 18	14	9,258.	10,719.	10,719.	10,719.
If line 14 is equal to or more than line 13 for all payment periods, do not complete the rest of this form. However, if you used the annualized income installment method, you must attach Form 2210 and the worksheet to your return.					
15 Enter amount, if any, from line 21 of previous column	15				
16 Add lines 14 and 15	16		10,719.	10,719.	10,719.
17 Add amounts on lines 19 and 20 of the previous column and enter the result	17		1,274.	1,087.	901.
18 Enter line 16 minus line 17. If zero or less, enter zero. (For column (a) only, enter the amount from line 14.)	18	9,258.	9,445.	9,632.	9,818.
19 Remaining underpayment from previous period. If the amount on line 18 is zero, subtract line 16 from line 17 and enter the result. Otherwise, enter zero	19				
20 UNDERPAYMENT. If line 13 is larger than or equal to line 18, enter line 13 minus line 18. Then go to line 14 of next column. Otherwise, go to line 21	20	1,274.	1,087.	901.	715.
21 OVERPAYMENT. If line 18 is larger than line 13, enter line 18 minus line 13. Then go to line 14 of next column.	21				

For Paperwork Reduction Act Notice, see separate instructions.

Form 2210 (1988)

37
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Form 2210 (1988) Page 2

PART II **Figuring the Penalty**

		Payment Due Dates			
		(a) Apr. 15, 1988	(b) June 15, 1988	(c) Sept. 15, 1988	(d) Jan. 15, 1989
22 Underpayment from line 20, page 1	22	1,274.	1,087.	901.	715.
Rate Period 1 — 10% (April 16, 1988 — September 30, 1988)					
23a Computation starting date for this period	23a	Apr. 15, 1988	June 15, 1988	Sept. 15, 1988	
b Number of days FROM the date on line 23a TO the date line 22 was paid or September 30, 1988, whichever is earlier	23b	Days	Days	Days	
c Number of days on line 23b / 366 x 10% x underpayment on line 22	23c	$	$	$	
Rate Period 2 — 11% (October 1, 1988 — December 31, 1988)					
24a Computation starting date for this period	24a	Sept. 30, 1988	Sept. 30, 1988	Sept. 30, 1988	
b Number of days FROM the date on line 24a TO the date line 22 was paid or December 31, 1988, whichever is earlier	24b	Days	Days	Days	
c Number of days on line 24b / 366 x 11% x underpayment on line 22	24c	$	$	$	
Rate Period 3 — 11% (January 1, 1989 — April 15, 1989)					
25a Computation starting date for this period	25a	Dec. 31, 1988	Dec. 31, 1988	Dec. 31, 1988	Jan. 15, 1989
b Number of days FROM the date on line 25a TO the date line 22 was paid or April 15, 1989, whichever is earlier	25b	Days	Days	Days	Days
c Number of days on line 25b / 365 x 11% x underpayment on line 22	25c	$	$	$	$

26 PENALTY. (Add all amounts on lines 23c, 24c, and 25c in all columns and enter the total.) Form 1040 filers, enter the amount from line 26 in the space provided on Form 1040, line 66, and check the box on that line. Form 1041 filers, enter the amount from line 26 in the space provided on Form 1041, line 29 and check the box on that line. STMT. 9 | 26 | $ 103.

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Form **2106**
Department of the Treasury
Internal Revenue Service

Employee Business Expenses

▶ See separate instructions.
▶ Attach to Form 1040.

OMB No. 1545-0139
1988
Attachment Sequence No. 54

Your name	Social security number	Occupation in which expenses were incurred
MORRIS K UDALL	527-20-9951	US CONGRESSMAN

Part I Employee Business Expenses

			Column A Other than Meals and Entertainment	Column B Meals and Entertainment
STEP 1 Enter Your Expenses				
1	Vehicle expense from Part II, line 15 or line 22	1		
2	Parking fees, tolls, and local transportation, including train, bus, etc.	2		
3	Travel expense while away from home, including lodging, airplane, car rental, etc. Do not include meals and entertainment	3		
4	Business expenses not included in lines 1 through 3. Do not include meals and entertainment SEE STATEMENT 4	4	3,880.	
5	Meals and entertainment expenses	5		
6	Add lines 1 through 5 and enter the total expenses here Note: *If you were not reimbursed for any expenses in Step 1, skip lines 7 - 13 and enter the amount from line 6 on line 14.*	6	3,880.	
STEP 2 Figure Any Excess Reimbursements To Report in Income				
7	Reimbursements for the expenses listed in Step 1 that your employer did not report to you on Form W-2 or Form 1099 Note: *If, in both columns, line 6 is more than line 7, skip lines 8 and 9 and go to line 10. You do not have excess reimbursements.*	7		
8	Subtract line 6 from line 7. If zero or less, enter zero			
9	Add the amounts on line 8 of both columns and enter the total here. This is an excess reimbursement reportable as income. Add this amount to any amount shown on Form 1040, line 7 ▶	9		
STEP 3 Figure Fully Deductible Reimbursed Expenses				
10	Subtract line 7 from line 6. If zero or less, enter zero	10		
11	Reimbursements or allowances for the expenses in Step 1 that your employer identified to you, included on Form W-2 or Form 1099, and were not subject to withholding tax Note: *The amount entered on line 11 must be reported as income on Form 1040.*	11		
12	Enter the smaller of line 10 or line 11	12		
13	Add the amounts on line 12 of both columns and enter the total here. This is your fully deductible reimbursed expenses. Also enter the total on Form 1040, line 24 ▶	13		
STEP 4 Figure Unreimbursed Expenses To Deduct on Schedule A (Form 1040)				
14	Subtract line 12 from line 10. Note: *If both columns of line 14 are zero,* stop here.	14	3,880.	
15	Enter 20% (.20) of line 14, Column B	15		
16	Subtract line 15 from line 14	16	3,880.	
17	Add the amounts on line 16 of both columns and enter the total here. Also enter the total on Schedule A (Form 1040), line 20. (Qualified Performing Artists and handicapped employees, see instructions.) ▶	17		3,880.

For Paperwork Reduction Act Notice, see Instructions.
KFM

Form 2106 (1988)

39
UDALL 8 9 0 7 0 2 9 1 7 8 5 261 04132331 2168-57 19

Form 2106 (1988) Page 2

Part II Vehicle Expenses (Use either your actual expenses (Section C) or the standard mileage rate (Section B).)

Section A.—General Information

Section A.—General Information		Vehicle 1	Vehicle 2	Vehicle 3
1 Enter the date vehicle was placed in service	1	/ /	/ /	/ /
2 Total mileage vehicle was used during 1988	2			
3 Miles included on line 2 that vehicle was used for business	3			
4 Percent of business use (divide line 3 by line 2)	4	%	%	%
5 Average daily round trip commuting distance	5			
6 Miles included on line 2 that vehicle was used for commuting	6			
7 Other personal mileage (subtract line 6 plus line 3 from line 2)	7			

8 Do you (or your spouse) have another vehicle available for personal purposes? ☐ Yes ☐ No

9 If your employer provided you with a vehicle, is personal use during off duty hours permitted? ☐ Yes ☐ No ☐ Not applicable

10 Do you have evidence to support your deduction? ☐ Yes ☐ No. If "Yes," is the evidence written? ☐ Yes ☐ No

Section B.—Standard Mileage Rate (Do not use this section unless you own the vehicle.)

11 Enter the smaller of Part II, line 3, or 15,000 miles	11	miles
12 Subtract line 11 from Part II, line 3	12	miles
13 Multiply line 11 by 24¢ (.24) (see instructions if vehicle is fully depreciated)	13	
14 Multiply line 12 by 11¢ (.11)	14	
15 Add lines 13 and 14. Enter total here and on Part I, line 1	15	

Section C.—Actual Expenses

Section C.—Actual Expenses		Vehicle 1	Vehicle 2	Vehicle 3
16 Gasoline, oil, repairs, vehicle insurance, etc.	16			
17 Vehicle rentals	17			
18 Value of employer-provided vehicle (applies only if included on Form W-2 at 100% fair rental value)	18			
19 Add lines 16 through 18	19			
20 Multiply line 19 by the percentage on Part II, line 4	20			
21 Depreciation from Section D, column (f)	21			
22 Add lines 20 and 21. Enter total here and on Part I, line 1	22			

Section D.—Depreciation of Vehicles

(You can only claim depreciation for a vehicle you own. If you use a vehicle 50 percent or less in a trade or business, you cannot claim the Section 179 deduction and you must figure depreciation using the straight line method over 5 years. For other limitations, see Instructions.)

	Cost or other basis (a)	Basis for depreciation (Business use only) (b)	Method of figuring depreciation (c)	Depreciation deduction (d)	Section 179 expense (e)	Total column (d) + column (e) (enter in Section C, line 21) (f)
Vehicle 1						
Vehicle 2						
Vehicle 3						

[illegible]

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Form **8271** (Rev. November 1987) Department of the Treasury Internal Revenue Service

Investor Reporting of Tax Shelter Registration Number

▶ Attach to your tax return.
▶ If you received this form from a partnership, S corporation, or trust, see the instructions.

OMB No. 1545-0881
Expires 9-30-90
Attachment Sequence No. 71

Investor's name(s) as shown on return: MORRIS K & ELLA B-DECEASED UDALL

Investor's taxpayer identification number: 527-20-9951

Investor's tax year ending: 12-31-88

Part I Tax Shelter Information

	a. Tax Shelter Name	b. Tax Shelter Registration Number (11-digit number)	c. Tax Shelter Taxpayer ID Number	d. Type of Tax Shelter (see instructions)
1	KINGMAN STATION LIMITED PARTNERSHIP NETWEST DEVELOPMENT CORP- GENERAL PARTNER	86241000107	86-0553551	35
2				
3				
4				
5				

Part II Tax Shelter Benefits Claimed or Reported By Investor on Return to Which This Form 8271 Is Attached

Note: If you received any Schedules K-1 for these benefits, see the specific instructions for Part II on page 2.

	a. Gross Income, Gross Profits, and Gains	b. Deductions and Losses	c. Credits	d. Forms and Schedules Where Benefits Are Claimed or Reported
1				SEE K-1 (FORM 1065) ATTACHED
2				
3				
4				
5				

For Paperwork Reduction Act Notice, see instructions.

Form **8271** (Rev. 11-87)

41
UDALL A 9 0 7 0 2 9 1 7 8 7 261 04132331 2168-57 21

Form **4952**
Department of the Treasury
Internal Revenue Service

Investment Interest Expense Deduction

▶ See separate instructions.
▶ Attach to your tax return.

OMB No. 1545-0191
1988
Attachment Sequence No. 72

Name(s) as shown on return: MORRIS K & ELLA R-DECEASED UDALL
Social security number or employer identification number: 527-20-9951

Kind of return ▶ ☒ Individual ☐ Estate ☐ Trust

1	Interest expense on investment debts paid or accrued in 1988					1	25,869.
2	Investment income minus investment expenses	2	70,243.				
3	Phase-in adjustment from passive activities	3					
4	Net investment income. Subtract line 3 from line 2. If -0- or less, enter -0-					4	70,243.
5	Subtract line 4 from line 1. If -0- or less, enter -0- here and on line 13, and skip lines 6 through 12					5	0.
6	Enter the amount from line 1, but see the instructions			6			
7	Enter the amount from line 2, but see the instructions	7					
8a	Individuals, enter $10,000 ($5,000 if married filing separate returns)	8a					
b	Estates, enter $10,000; trusts, enter -0-	8b					
9	Add lines 7 and 8			9			
10	Subtract line 9 from line 6. If -0- or less, enter -0-			10	0.		
11	Subtract line 10 from line 5. If -0- or less, enter -0-					11	0.
12	Multiply line 11 by 60% (.6)					12	
13	Add lines 10 and 12					13	0.
14	Enter amount from line 1			14	25,869.		
15	Enter the smaller of line 5 or line 13			15	0.		
16	Subtract line 15 from line 14			16	25,869.		
17	Disallowed investment interest expense from 1987 Form 4952, line 14. If -0-, enter -0- here and on line 21 and 22, and skip lines 18 through 20			17			
18	Enter amount from line 4. If -0- or less, enter -0- here and on line 20	18	0.				
19	Enter amount from line 1	19					
20	Subtract line 19 from line 18. If -0- or less, enter -0-	20	0.				
21	Enter the smaller of line 17 or line 20			21	0.		
22	Subtract line 21 from line 17			22	0.		
23	**Disallowed investment interest expense.** Add lines 15 and 22					23	
24	**Allowed investment interest expense.** Add lines 16 and 21					24	25,869.

For Paperwork Reduction Act Notice, see page 1 of separate instructions. Form **4952** (1988)

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44
UDALL

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Form **6252**
Department of the Treasury
Internal Revenue Service

Installment Sale Income

▶ See separate instructions. ▶ Attach to your tax return.
Use a separate form for each sale or other disposition of property on the installment method.

1988
Attachment Sequence No. 79

Name(s) as shown on tax return: MORRIS K & ELLA R-DECEASED UDALL
Identifying number: 527-20-9951

A Description of the property ▶ LAND - TRUST 7431
(CAPITAL ASSET) 31.5053% INT.

B Date acquired (month, day, and year) ▶ 7/01/81
C Date sold (month, day, and year) ▶ 4/15/85
D Was property sold to a related party after May 14, 1980? ☐ Yes ☒ No
E If the answer to D is "Yes," was the property a marketable security? ☐ Yes ☐ No
If you checked "Yes" to question E, complete Part III.
If you checked "No" to question E, complete Part III for the year of sale and for 2 years after the year of sale.

Part I Figure the Gross Profit and Contract Price (Complete this part for the year of sale only.)

1 Selling price including mortgages and other debts (Do not include stated or unstated interest.) 1
2 Mortgages and other debts buyer assumed or took the property subject to, but not new mortgages the buyer got from a bank or other source 2
3 Subtract line 2 from line 1 3
4 Cost or other basis of property sold 4
5 Depreciation allowed or allowable 5
6 Subtract line 5 from line 4 (adjusted basis) 6
7 Commissions and other expenses of sale 7
8 Income recapture from Form 4797, Part III 8
9 Add lines 6, 7, and 8 9
10 Subtract line 9 from line 1. If zero or less, do not complete the rest of this form 10
11 If the property described in question A above was your main home, enter the total of lines 8 and 18 from Form 2119 here 11
12 Subtract line 11 from line 10 (gross profit) 12
13 Subtract line 9 from line 2. If zero or less, enter zero 13
14 Add line 3 and line 13 (contract price) 14

Part II Figure the Taxable Part of Installment Sale (Complete this part for the year of sale and any year you receive a payment or have certain debts you must treat as a payment on installment obligations.)

15 Divide line 12 by line 14 (gross profit percentage). (For years after the year of sale, see instructions.) 15 42.68384
16 For year of sale only — enter amount from line 13 above; otherwise, enter zero 16
17 Payments received during year. (Do not include stated or unstated interest.) 17 13,042.
18 Add lines 16 and 17 18 13,042.
19 Payments received in prior years. (Do not include stated or unstated interest.) 19 117,405.
20 Multiply line 18 by line 15 (taxable part of installment sale) 20 5,567.
21 Part of line 20 that is ordinary income under recapture rules 21
22 Subtract line 21 from line 20. Enter the result here and on Schedule D or Form 4797 22 5,567.

Part III Figure the Taxable Part of Related Party Installment Sale (Do not complete this part if you received the final installment payment this tax year.)

F Name, address, and taxpayer identifying number of related party

G Did the related party, during this tax year, resell or dispose of the property? ☐ Yes ☐ No
H If the answer to question G is "Yes," complete lines 23 through 30 below unless one of the following conditions is met (check only the box that applies).
☐ The first disposition was a sale or exchange of stock to the issuing corporation.
☐ The second disposition was an involuntary conversion where the threat of conversion occurred after the first disposition.
☐ The second disposition occurred after the death of the original seller or buyer.
☐ It can be established to the satisfaction of the Internal Revenue Service that tax avoidance was not a principal purpose for either of the dispositions. If this box is checked, attach an explanation.

23 Selling price of property sold by related party 23
24 Enter contract price from line 14 for year of first sale 24
25 Enter the smaller of line 23 or line 24 25
26 Total payments received by the end of your 1988 tax year. Add lines 18 and 19 26
27 Subtract line 26 from line 25. If line 26 is more than line 25, enter zero 27
28 Multiply line 27 by the gross profit percentage on line 15 for year of first sale 28
29 Part of line 28 that is ordinary income under recapture rules 29
30 Subtract line 29 from line 28. Enter the result here and on Schedule D or Form 4797 30

For Paperwork Reduction Act Notice, see separate instructions.

Form 6252 (1988)

43

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527-20-9951 UDALL 261 2168-57 04132331

1988 FEDERAL INCOME TAX STATEMENTS PAGE 1

STATEMENT 1 - WAGES, SALARIES, TIPS, ETC.

EMPLOYERS NAME AND ADDRESS	INCOME TAX WITHHELD	WAGES SALARIES TIPS, ETC	FICA	FICA WAGES FOR SCH SE
(H) US HOUSE OF REPRESENTATIVES	21,067	89,500	3,380	45,000
TOTAL WITHHELD, WAGES, & FICA	21,067	89,500	3,380	45,000

STATEMENT 1

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527-20-9951 UDALL 261 2168-57 04132331

1988 FEDERAL INCOME TAX STATEMENTS PAGE 2

STATEMENT 2 - GAIN ON INSTALLMENT SALES - CAPITAL ASSETS

PROPERTY DESCRIPTION PRIOR YEAR SALE

LAND - TRUST 7431
31.5853% INT.

DATE ACQUIRED 07/01/81 DATE SOLD 04/15/85

SELLING PRICE			
CASH		94,756	
NOTES		284,268	
GROSS SALES PRICE			379,024
COST OR BASIS		197,591	
EXPENSE OF SALE		19,651	
TOTAL BASIS AND EXPENSE OF SALE			217,242
TOTAL GAIN - GROSS PROFIT			161,782
CONTRACT PRICE			
CASH	94,756		
NOTES	284,268		
TOTAL CONTRACT PRICE		379,024	
GROSS PROFIT RATIO	161,782 /	379,024	42.68384 PCT
GAIN PREVIOUSLY RECOGNIZED			50,112
COLLECTIONS			
CASH		13,042	
TOTAL COLLECTIONS			13,042
GAIN RECOGNIZED THIS YEAR - LONG TERM			5,567

45

8 9 0 7 0 2 9 1 7 9 1

527-20-9951 UDALL 261 2168-57 04132331

1988 FEDERAL INCOME TAX STATEMENTS PAGE 3

STATEMENT 3 - FOOTNOTES

SALE OF CAPITAL ASSETS

THE LIKE-KIND EXCHANGE CONSISTS OF AN EXCHANGE OF THE 1/3 INTEREST IN SMT PARTNERSHIP FOR A 1/3 INTEREST IN WEST INA BUSINESS PARK. ITEM REPRESENTS A TAX FREE TRADE OF INTERESTS.

STATEMENT 4 - OTHER EMPLOYEE BUSINESS EXPENSES - FORM 2106

OTHER EXPENSES

STATEMENT OF CONGRESSIONAL	3,880	
TOTAL OTHER BUSINESS EXPENSES		3,880

STATEMENT 5 - RENT AND ROYALTY INCOME

ROYALTY INCOME	345
NET INCOME	345

```
46
                    A 9 0 7 0 2 9 1 7 9 2

527-20-9951     UDALL                         261        2168-57  04132331

   1988 FEDERAL INCOME TAX STATEMENTS                          PAGE   4

  STATEMENT  6 - INCOME FROM PARTNERSHIPS

   NAME AND ADDRESS OF PARTNERSHIP
         KINGMAN STATION LIMITED PARTNERSHIP
         NETWEST DEVELOPMENT CORP- GENERAL PARTNER
         2221 E. BROADWAY, SUITE 211
         TUCSON, ARIZONA  85719
         FED EMP I.D. NUMBER  86-0553551

                                                YES   NO
   GENERAL PARTNER                              ( )   (X)
   TRADE OR BUSINESS - MATERIAL PARTICIPATION   ( )   ( )
   RENTAL REAL ESTATE - ACTIVE PARTICIPATION    ( )   ( )

   INCOME (LOSS) - RENTAL REAL ESTATE ACTIVITY            -4,017
                                                     -----------
     TOTAL PARTNERSHIP INCOME (LOSS)                                 -4,017
                                                                ===========

     GAIN(LOSS) BEFORE LIMITATION                        -4,017
       LESS SUSPENDED LOSS CARRYOVER                      1,477
                                                 --------------
                                                                ===========
     LIMITED PASSIVE LOSS  (FROM FORM 8582)                          -5,494
                                                                ===========
   OTHER K-1 INFORMATION
     INTEREST                                                543
   TAX PREFERENCE ITEMS
     DEPRECIATION ADJUSTMENT FOR POST-1986 PROPERTY        1,068
   INVESTMENT INTEREST EXPENSE
       INVESTMENT INCOME                                     543
```

47

8 9 0 7 0 2 9 1 7 9 3

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STATEMENT  6 - INCOME FROM PARTNERSHIPS (CONTINUED)

  NAME AND ADDRESS OF PARTNERSHIP
        CASINO LIGHTS LIMITED PARTNERSHIP
        2221 EAST BROADWAY SUITE 211
        TUCSON, ARIZONA  85719
        FED EMP I.D. NUMBER  86-0610420
                                                  YES   NO
  GENERAL PARTNER                                 ( )   (X)
  TRADE OR BUSINESS - MATERIAL PARTICIPATION      ( )   (X)
  RENTAL REAL ESTATE - ACTIVE PARTICIPATION       ( )   ( )

  PASSIVE INCOME (LOSS) - TRADE OR BUSINESS                     -1
                                                       -----------
    TOTAL PARTNERSHIP INCOME (LOSS)                                          -1
                                                                    ===========
  OTHER K-1 INFORMATION
    INTEREST                                                   523
    DEDUCTIONS RELATED TO PORTFOLIO INCOME                     176
  INVESTMENT INTEREST EXPENSE
    INVESTMENT INTEREST - NONBUSINESS                          156
      INVESTMENT INCOME                                        523
      INVESTMENT EXPENSES                                      176


  NAME AND ADDRESS OF PARTNERSHIP
        WEST INA BUSINESS PARK
        1505 N. WILMOT ROAD
        TUCSON, ARIZONA 85712
        FED EMP I.D. NUMBER  86-0568414
                                                  YES   NO
  GENERAL PARTNER                                 ( )   (X)
  TRADE OR BUSINESS - MATERIAL PARTICIPATION      ( )   ( )
  RENTAL REAL ESTATE - ACTIVE PARTICIPATION       ( )   ( )

  INCOME (LOSS) - RENTAL REAL ESTATE ACTIVITY               -7,639
                                                       -----------
```

48

8 9 0 7 0 2 9 1 7 9 4

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527-20-9951     UDALL                            261        2168-57  04132331

   1988 FEDERAL INCOME TAX STATEMENTS                                PAGE   6

 STATEMENT  6 - INCOME FROM PARTNERSHIPS (CONTINUED)

     TOTAL PARTNERSHIP INCOME (LOSS)                                     -7,639
                                                                    ==========

   SCHEDULE E PORTFOLIO ITEMS
     OTHER PORTFOLIO INCOME (LOSS)                                        1,472
                                                                    ----------
       TOTAL PORTFOLIO INCOME (LOSS)                                      1,472
                                                                    ==========
   OTHER K-1 INFORMATION
     INTEREST                                                2,342
     OTHER SECTION 1231 GAIN OR LOSS                       128,283
   INVESTMENT INTEREST EXPENSE
       INVESTMENT INCOME                                     3,814


   SUMMARY OF INCOME AND K-1 INFORMATION FOR ALL PARTNERSHIPS

                                PASSIVE ACTIVITIES    NONPASSIVE ACTIVITIES
                                  LOSS      INCOME        LOSS      INCOME

 KINGMAN STATION LIMITED PARTNERSHIP
   RENTAL REAL ESTATE            5,494
 CASINO LIGHTS LIMITED PARTNERSHIP
   TRADE/BUSINESS ACTIVITY           1
 WEST INA BUSINESS PARK
   RENTAL REAL ESTATE            7,639
   NET SCH E PORTFOLIO                                                1,472
 TOTAL INCOME OR LOSS FROM ---------- ---------- ---------- ----------
 ALL PARTNERSHIPS               13,134                                1,472
                            ==========                           ==========

     OTHER K-1 INFORMATION
       INTEREST                                                        3,408
       OTHER SECTION 1231 GAIN OR LOSS                               128,283
```

STATEMENT 6 (CONTINUED)

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527-20-9951 UDALL 261 2168-57 04132331

1988 FEDERAL INCOME TAX STATEMENTS PAGE 7

STATEMENT 6 - INCOME FROM PARTNERSHIPS (CONTINUED)

SUMMARY OF INCOME AND K-1 INFORMATION FOR ALL PARTNERSHIPS

	PASSIVE ACTIVITIES		NONPASSIVE ACTIVITIES	
	LOSS	INCOME	LOSS	INCOME
DEDUCTIONS				
DEDUCTIONS RELATED TO PORTFOLIO INCOME				176
TAX PREFERENCE ITEMS				
DEPRECIATION ADJUSTMENT FOR POST-1986 PROPERTY				1,068
INVESTMENT INTEREST EXPENSE				
INVESTMENT INTEREST - NONBUSINESS				156
INVESTMENT INCOME				4,880
INVESTMENT EXPENSES				176

50

8 9 0 7 0 2 9 1 7 9 5

527-20-9951 UDALL 261 2168-57 04132331

1988 FEDERAL INCOME TAX STATEMENTS PAGE 8

STATEMENT 7 - PASSIVE ACTIVITY LOSS LIMITATIONS

OTHER PASSIVE ACTIVITIES
PRE-ENACTMENT INTERESTS

	CURRENT YEAR		PRIOR YEAR	OVERALL		
	A) INCOME	B) (LOSS)	C) UNALLOWED	D) INCOME	E) (LOSS)	
KINGMAN STATION LIMITED PARTNERSHIP		-4,017	-1,477		-5,494	PARTNERSHIP
CASINO LIGHTS LIMITED PARTNERSHIP		-1			-1	PARTNERSHIP
WEST INA BUSINESS PARK	120,644			120,644		PARTNERSHIP
TOTALS	120,644	-4,018	-1,477			

PASSIVE INCOME IS A NET GAIN, FORM 8582 IS NOT REQUIRED

51

8 9 0 7 0 2 9 1 7 9 7

527-20-9951 UDALL 261 2168-57 04132331

1988 FEDERAL INCOME TAX STATEMENTS

STATEMENT 8 - PASSIVE ACTIVITY LOSS LIMITATIONS
- ALTERNATIVE MINIMUM TAX

OTHER PASSIVE ACTIVITIES
PRE-ENACTMENT INTERESTS

	CURRENT YEAR		PRIOR YEAR	OVERALL	
	A) INCOME	B) (LOSS)	C) DISALLOWED	D) INCOME	E) (LOSS)
KINGMAN STATION LIMITED PARTNERSHIP		-2,949		ALT MIN	-2,949
CASINO LIGHTS LIMITED PARTNERSHIP		-1		ALT MIN	-1
WEST INA BUSINESS PARK	120,644			ALT MIN 120,644	
TOTALS	120,644	-2,950			

PASSIVE INCOME IS A NET GAIN, FORM 8582 IS NOT REQUIRED

STATEMENT 9 - PENALTY COMPUTATION FOR
UNDERPAYMENT OF ESTIMATED TAX

DUE DATE OF INSTALLMENT	4/15/88	6/15/88	9/15/88	1/15/89
TOTAL AMOUNT DUE	10,532	10,532	10,533	10,533
PAYMENT				
DATE	4/15/88			
AMOUNT	5,632			
REMAINING UNDERPAYMENT	4,900	10,532	10,533	10,533
PAYMENT				
DATE	4/15/88			
AMOUNT	3,626			
REMAINING UNDERPAYMENT	1,274	10,532	10,533	10,533
PAYMENT				
DATE	6/15/88	6/15/88		
AMOUNT	1,274	4,358		

1988 FEDERAL INCOME TAX STATEMENTS PAGE 10

STATEMENT 9 - PENALTY COMPUTATION FOR (CONTINUED)
UNDERPAYMENT OF ESTIMATED TAX

DUE DATE OF INSTALLMENT	4/15/88	6/15/88	9/15/88	1/15/89
NUMBER OF DAYS FROM DUE DATE OR LAST PAYMENT TO EARLIER OF PAYMENT DATE OR 9/30/88	61			
PENALTY AT 10 PERCENT	21			
REMAINING UNDERPAYMENT	0	6,174	10,533	10,533
PAYMENT				
DATE		6/15/88		
AMOUNT		5,087		
REMAINING UNDERPAYMENT	0	1,087	10,533	10,533
PAYMENT				
DATE		9/15/88	9/15/88	
AMOUNT		1,087	4,545	
NUMBER OF DAYS FROM DUE DATE OR LAST PAYMENT TO EARLIER OF PAYMENT DATE OR 9/30/88		92		
PENALTY AT 10 PERCENT		27		
REMAINING UNDERPAYMENT	0	0	5,988	10,533
PAYMENT				
DATE			9/15/88	
AMOUNT			5,087	
REMAINING UNDERPAYMENT	0	0	901	10,533
PAYMENT				
DATE			1/15/89	1/15/89
AMOUNT			901	4,731
NUMBER OF DAYS FROM DUE DATE OR LAST PAYMENT TO EARLIER OF PAYMENT DATE OR 9/30/88			15	
PENALTY AT 10 PERCENT			4	

STATEMENT 9 (CONTINUED)

53

8 9 0 7 0 2 9 1 7 9 9

527-20-9951 UDALL 261 2168-57 04132331

1988 FEDERAL INCOME TAX STATEMENTS PAGE 11

STATEMENT 9 - PENALTY COMPUTATION FOR (CONTINUED)
-UNDERPAYMENT OF ESTIMATED TAX

DUE DATE OF INSTALLMENT	4/15/88	6/15/88	9/15/88	1/15/89
NUMBER OF DAYS FROM DUE DATE OR LAST PAYMENT TO EARLIER OF PAYMENT DATE OR 12/31/88			92	
PENALTY AT 11 PERCENT			25	
NUMBER OF DAYS FROM 1/1/89 OR LAST PAYMENT TO EARLIER OF PAYMENT DATE OR 4/15/89			15	
PENALTY AT 11 PERCENT			4	
REMAINING UNDERPAYMENT	0	0	0	5,802
PAYMENT				
DATE				1/17/89
AMOUNT				5,087
NUMBER OF DAYS FROM 1/1/89 OR LAST PAYMENT TO EARLIER OF PAYMENT DATE OR 4/15/89				2
PENALTY AT 11 PERCENT				3
REMAINING UNDERPAYMENT	0	0	0	715
NUMBER OF DAYS FROM 1/1/89 OR LAST PAYMENT TO EARLIER OF PAYMENT DATE OR 4/15/89				88
PENALTY AT 11 PERCENT				19
TOTAL PENALTY PER INSTALLMENT	21	27	33	22
				103

STATEMENT 9 (CONTINUED)

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527-20-9951 UDALL 261 2168-57 04132331

1988 FEDERAL INCOME TAX STATEMENTS PAGE 12

STATEMENT 10 - INVESTMENT INTEREST

LAWYERS TITLE TRUST 7431	14,204	
LAWYERS TITLE TRUST 7536	1,974	
LAWYERS TITLE TRUST 7603	6,601	
LAWYERS TITLE-EXEL TTL 7603	2,934	
FROM PARTNERSHIPS	156	
TOTAL INVESTMENT INTEREST		25,869
DEDUCTIBLE INVESTMENT INTEREST - FORM 4952		25,869

STATEMENT 11 - PERSONAL INTEREST

CREDIT CARDS	61	
TOTAL PERSONAL INTEREST		61

STATEMENT 12 - MISCELLANEOUS DEDUCTIONS SUBJECT TO 2% AGI LIMIT

TAX PREPARATION FEES	790	
DEDUCT RE PORTFOLIO INC - PTNR	176	
TRUST & COLLECTION FEES	188	
TOTAL MISCELLANEOUS DEDUCTIONS		1,154

55

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527-20-9951 UDALL 261 2168-57 04132331

1988 FEDERAL INCOME TAX STATEMENTS PAGE 13

STATEMENT 13 - EMPLOYEE BUSINESS EXPENSES SUBJECT TO 2% AGI LIMIT

PROFESSIONAL DUES	1,290	
TOTAL MISCELLANEOUS DEDUCTIONS		1,290

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527-20-9951 UDALL 261 2168-57 04132331

1988 FEDERAL INCOME TAX STATEMENTS PAGE 14

STATEMENT 14 - DOCTORS, HOSPITALS, DRUGS, AND INSURANCE PREMIUMS

DOCTOR, DENTIST, ETC. EXPENSES		
OTHER DOCTORS,DENTISTS,NURSES,ETC	3,158	
TOTAL DOCTOR, DENTIST, ETC. EXPENSES		3,158
MEDICAL INSURANCE PREMIUMS		2,321
TOTAL DOCTORS, HOSPITALS, DRUGS,AND INS. PREMIUMS		5,479

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

MAY 11 PM 2:10

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Jolene Unsoeld
(Full Name)

1508 Longworth Bldg.
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State WA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
WA State House of Representatives (1988)	salary	$15,176.38	none
" " " " "	subsistence	9,100.00	"
" " " " "	quarterly office	2,745.82	"
" " " " "	travel-official	675.20	"
Women in Horticulture (Feb. 6, 1988)	conference speaker	400.00	"

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
WA State Employees Union - 1988	interest	A
TIAA "	interest	B
Exxon "	dividend	C
Pension (Death Benefit) "	pension	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
620 Buckthorn NW, Olympia, WA (personal residence only)	D
Exxon stock	D
TIAA annuity pension	C
CREF pension equity	C

0 1 1 7 1 2 7 4 3 4 5

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Heritage Federal Savings - mortgage on personal residence	C
Rainier Bank - Personal loan	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether these expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Frederick Stephen Upton
(Full Name)

P. O. Box 900
(Mailing Address)

ST. Joseph, Michigan 49085

MC (Office Use Only)

89 MAY 15 PM 3 21
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State Michigan

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,868, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
American Frozen Food Inst.	2-4-88	Hon.	$500	none
National Restaurant Assoc.	5-9-88	Hon.	$1,000	none
Associated Underground Contractors	10-26-88	Hon.	$1,000	none
Rich Outdoor Advertising	9-15-88	Hon.	$200	none
Dinsmore Adv. Inc.	9-15-88	Hon.	$700	none
Adams Outdoor Adv.	9-15-88	Hon.	$400	none
American [illegible]	12-16-88	Hon.	$1,000	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
AMP stock	dividend	A
Amoco stock	div.	A
Disney stock	div.	A
Gannett stock	div.	A
General Electric stock	div.	A
IBM stock	div.	A

—continued—

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
AMP stock	B
Amoco stock	C
Baker Hughes stock	A
Disney Stock	C
Engelhard stock	A
Gannett stock	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Wolverine Oil Ltd / Chester-N. Antrim well - purchase	7-13-88	C
Wolverine Oil Ltd / Tomes well (dry well) purchase	7-20-88	A
Wolverine Oil Ltd / Shelby Twp well purchase	9-20-88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
-none-	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
-none-	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National Restaurant Assoc. - legis. discussion	rdtrip air DC → Chicago; 2 nt lodging & food
S. Eastern Tourism Association w/spouse - leg. discussion	{DC → Orlando → Detroit air DC → Orlando → DC - for spouse {paid} 2 nt lodging & food
American Sugar Cane League - Tour -	rdtrip air DC → Baton Rouge; lunch

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
General Partner	Wolverine Oil / Bull well
General Partner	Wolverine Oil / El-Mac Hills well
General Partner	Wolverine Oil / Chester - N. Antrim well

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	- None -	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Fred Upton	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

TTACHED

U.S. GOVERNMENT PRINTING OFFICE

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Page ~~3 of 4~~ 5 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Fred Upton
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B	Source	Type	Category
	Pepsi stock	dividend	B
	Pfizer stock	div.	A
	Salomon stock	div.	A
	Schlumberger stock	div.	A
	Sears stock	div.	A
	Shoreline Financial stock	div.	C
	USX stock	div.	A
	Utah Power & Light stock	div.	A
	Wrigley gum stock	div.	B
	Xerox stock	div.	A
	*rental income from Virginia home	- 1 month	B
	Ameritech stock	div.	A
	AT&T stock	div.	A
	Bell Atlantic stock	div.	A
	Bell South stock	div.	A
	Mass. Investors Growth stock	div.	A
	Mass. Investors Growth stock	capital gains	A
	Mass. Investors Trust stock	div.	A
	Mass Investors Trust stock	capital gains	A
	Seligman Group Mutual Fund	div.	A
	CRF Tax exempt M.mkt.	interest	A
	Wright Patman Credit Union	interest	A
	Peoples State Bank Savings Acct	interest	A
	Whirlpool stock	div.	E
	Kiel Trusts (Family trust)	income	D
	Steve Upton Trust (Family trust)	income	C
	Webcombe Ltd. oil concessions	income	D
	First of Michigan M.mkt.	interest	A
	Lakeview Michigan School Bonds	interest	A

*- Personal residence rented to former owners
prior to my moving in.

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Page ~~4~~ 6 of ~~4~~ 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Fred Upton
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	Identity	Category
	General Electric stock	B
	Hasbro stock	B
	Hechinger stock	A
	IBM stock	C
	Pepsi stock	D
	Pfizer stock	C
	Salomon stock	B
	Schlumberger stock	A
	Scovill stock	A
	Sears stock	B
	Standard Financial Corp stock	D
	Stuart Hall stock	A
	USX stock	A
	Utah Power & Light stock	B
	Wrigley stock	F
	Xerox stock	A
	Whirlpool stock	F
	Ameritech stock	B
	AT&T stock	A
	Bell South stock	B
	Bell Atlantic stock	A
	GM-H-stock	A
	CRF Tax-Exempt Fund	D
	Mass. Investors Growth	B
	Mass. Investors Trust	B
	Seligman Group of mutual funds	C
	Peoples State Bank Savings Acct	B
	U.S. EE'S (family ownership - nil (gift))	best guess - A
	First of Michigan Fund	A
	Real trusts Composed of the following stocks and [redacted] (not listed earlier)	
	[illegible]	
	American Express stock	A
	Quaker Oats stock	B
	Kimberly Clark stock	B
	Capital Holdings Corp stock	A
	NYNEX stock	A
	Pac Tel stock	A
	J.C. Penney stock	B
	S.W. Bell stock	A
	U.S. West stock	A
	S. Texas Inv. Fed Fund	D
	Exxon stock	B
	U.S. Gov. Agency issues	C
	Stowe Upton Trust Composed of [redacted] short-term gov. bonds in addition to earlier listed stocks)	B
	Lakeview Michigan School Bonds	B
	Wolverine Oil Co. -(Best guess):	D
	Composed of:	
	Bell [redacted]	
	El-Mar Hills	
	Chester-St. Antoine	
	Shelby Twp	
	Colorado wells (12 wells)	
	Bull's	
	Stokes-Monroe 8	
	Marlow Woods	

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HAND DELIVERED

COMMITTEES
JOINT ECONOMIC
PUBLIC WORKS AND TRANSPORTATION
SMALL BUSINESS
SELECT COMMITTEE ON HUNGER
SOCIAL SECURITY CAUCUS
TRAVEL AND TOURISM CAUCUS
GREAT LAKES CAUCUS
SOPHOMORE CLASS REPUBLICAN WHIP
LYNN SACHS, STAFF DIRECTOR

Congreſs of the United States
House of Representatives
FRED UPTON
4TH DISTRICT, MICHIGAN

[illegible]
P.O. BOX 1017
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May 22, 1989

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MC

Honorable Donnald C. Anderson
Clerk of the House of
Representatives
Washington, D. C. 20515

Dear Mr. Anderson,

I would like to amend my Financial Disclosure report for 1988 as follows:

VII. REIMBURSEMENTS

Source	Brief Description
Congressional Charity Tennis Tournament	roundtrip bus travel from D.C. & 2 nights lodging in White Sulpher Springs, W.Va. with spouse
Assoc. of Michigan Insurance Companies - Speech	air travel from Detroit, MI to Traverse City, MI to D.C., meals & 1 night lodging

Please contact me if you have questions or need further information. Thank you for your assistance.

Very truly yours,

Fred Upton
Member of Congress

HAND DELIVERED 8 3 2 7 1 2 7 4 2 3 5

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

U.S. [illegible] REPRESENTATIVES
'89 MAY 10 PM 3:01

FORM A—For use by Members, officers, and employees

ITIMOUS THADDEUS VALENTINE, JR.
(Full Name)

P. O. BOX 727
(Mailing Address)

NASHVILLE, NORTH CAROLINA 27856

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2ND State N. C.

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,098, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
The Tobacco Institute-Rec'd. 1-5-88	Honorarium	$ 1,000	
Edison Electric Institute-Rec'd. 1-27-88	Honorarium	$ 250	
U.S. Tobacco Company-Rec'd. 3-18-88	Honorarium	$ 1,000	
Music Teaching (Spouse)	Self-Employed		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Planters Bank	Interest	A
Citizens Savings & Loan Association	Interest	A
Peoples Bank	Interest	A
F. B. Kennedy	Interest	A
State Employees Credit Union	Interest	A
Nashville Rental Building: one/fourth int.	Rent	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Farm: 31 acres: one/fourth int. (Nashville Township, Nash Cty. NC)	B
Vacant lot-205 Fort Street, Nashville, NC	B
Storage bldg. & Lot, one/fourth int.-W.Washington St., Nashville, NC	A
Nash County Farm-88 acres (Ferrells Township, Nash County, NC)	D
Drug Store Bldg-one/half int.-219 W.Washington St., Nashville, NC	C
Rental Bldg-one/fourth int.-217 W.Washington St., Nashville, NC	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchased - Roses Cl.B Stock (1,000 shares)	1988	B
Purchased-Rental Bldg.& Lot-one/fourth int.-Nashville,NC	1988	A
Exchanged-Connecticut Mutual Life-Pension Annuity	1988	C
Purchased-Farm(31 acres-Nash County-one/fourth int.)	1988	B
Sold-Pioneer S&L Stock (150 shares)	11-18-88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
Federal Land Bank	Nashville, NC - Mortgaged Farm Land	C
Don L. & Gerald A. Frazier	Nashville, NC - Mortgaged Farm Land	C
Peoples Bank & Trust Co.	Nashville, NC - Personal Loan	A
Planters Bank	Nashville, NC - Mortgage (1/4 int)	D
Peoples Bank	Nashville, NC - Mortgage (1/4 int)	A
Citizens S & L	Nashville, NC - Mortgage (1/4 int)	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
The Tobacco Institute (speech; expenses for self and spouse)	Roundtrip airfare; Washington D.C. to Palm Springs, CA; Hotel expenses
U.S. Tobacco (speech; expenses for self and aide)	Travel expenses; Washington, DC to West Palm Beach, FL; meals.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	None

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature:

Date: May 6, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Itimous T. Valentine, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II.B	Nashville Drug Store-one/half int.-Rent	Category C
II.B	Connecticut Mutual Life-Pension Distribution	Category D
II.B	Nash County Farm - Rent	Category A
II.B	Rental Bldg. (Law Office)-one/fourth int-Rent	Category D
II.B	Gift Gallery Rental Bldg.-one/fourth int-Rent	Category B
II.B	Merrill Lynch Bonds-Interest	Category C
II.B	Merrill Lynch CMA Funds-Dividends	Category A
II.B	Merrill Lynch Economy Fund-Dividends	Category A
II.B	Pioneer S & L - Capital Gain	Category A
II.B	IBM Corp. - Dividends	Category A
II.B	NCNB Corp. - Dividends	Category A
II.B	Eastman Kodak - Dividends	Category A
II.B	N.C. Municipal Power Bond-Interest	Category A
II.B	N.C. Eastern Power Bond - Interest	Category A
II.B	Roses Stores - Dividends	Category A
II.B	Pioneer S & L - Dividends	Category A
III.	IRA - Trans-America Life - Annuity	Category B
III.	Stock-Citizens Savings & Loan (500 shares)	Category A
III.	Rental bldg.-Post Office - one/fourth int.	
	203 S. Barnes St., Nashville, NC	Category D
III.	Gift Gallery Rental Bldg.-one/fourth int.	
	213 Washington Street, Nashville, NC	Category C
III.	IRA - Pioneer II - Annuity	Category C
III.	Two Vacant Lots-Simbyln St., Nashville, NC	Category C
III.	Precious Metal Investment	Category B
III.	Stock - NCNB Corp. (400 shares)	Category B
III.	Stock - Federal Land Bank (503 shares)	Category A
III.	Stock - IBM Corp. (38 shares)	Category A
III.	Stock - Eastman Kodak (105 shares)	Category B
III.	Merrill Lynch New Econ Fund (276 shares)	Category B
III.	Merrill Lynch Ready Asset Fund (4,034 shares)	Category A
III.	North Carolina Power Agency Bonds - 3 bonds	Category B
III.	Merrill Lynch CIP 251 STMPS Fund (903 shares)	Category C
III.	Merrill Lynch Flagshp NC Fund (9.3 shares)	Category A
III.	Stock - Roses Corp. (1,000 shares)	Category B
IV.	Exchanged-Note Receivable (Sale of Property)	
	1988	Category B

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"Amended"

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

ITIMOUS THADDEUS VALENTINE, JR.
(Full Name)

P. O. BOX 727
(Mailing Address)

NASHVILLE, NORTH CAROLINA 27856

✓ MC (Office Use Only)

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

1989 JUN -8 AM 10 29

RECEIVED
OFFICE OF RECORDS & REGISTRATION

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2ND State N. C.

☐ Officer or Employee—Employing Office ______

☑ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
The Tobacco Institute-Rec'd. 1-5-88	Honorarium	$ 1,000	
Edison Electric Institute-Rec'd. 1-27-88	Honorarium	$ 250	
U.S. Tobacco Company-Rec'd. 3-18-88	Honorarium	$ 1,000	
Music Teaching (Spouse)	Self-Employed		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Planters Bank	Interest	A
Citizens Savings & Loan Association	Interest	A
Peoples Bank	Interest	A
F. B. Kennedy	Interest	A
State Employees Credit Union	Interest	A
Nashville Rental Building: one/fourth int.	Rent	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(f)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Farm: 31 acres; one/fourth int.(Nashville Township,Nash Cty, NC)	B
Vacant lot-205 Fort Street, Nashville, NC	B
Storage bldg. & Lot.,one/fourth int.-W.Washington St.,Nashville,NC	A
Nash County Farm-88 acres(Ferrells Township, Nash County,NC)	D
Drug Store Bldg-one/half int.-219 W.Washington St., Nashville,NC	C
Rental Bldg-one/fourth int.-217 W.Washington St., Nashville, NC	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 16.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchased - Roses Cl.B Stock (1,000 shares)	1988	B
Purchased-Rental Bldg.& Lot-one/fourth int.-Nashville,NC	1988	A
Exchanged-Connecticut Mutual Life-Pension Annuity	1988	C
Purchased-Farm(31 acres-Nash County-one/fourth int.)	1988	B
Sold-Pioneer S&L Stock (150 shares)	11-18-88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 18.

IDENTITY		CATEGORY
Federal Land Bank	Nashville, NC - Mortgaged Farm Land	C
Don L. & Gerald A. Frazier	Nashville, NC - Mortgaged Farm Land	C
Peoples Bank & Trust Co.	Nashville, NC - Personal Loan	A
Planters Bank	Nashville, NC - Mortgage (1/4 int)	D
Peoples Bank	Nashville, NC - Mortgage (1/4 int)	A
Citizens S & L	Nashville, NC - Mortgage (1/4 int)	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
The Tobacco Institute (speech; expenses for self and spouse)	Roundtrip airfare; Washington D.C. to Palm Springs, CA; Hotel expenses -Four day, Three Night trip
U.S. Tobacco (speech; expenses for self and aide)	Travel expenses; Washington, DC to West Palm Beach, FL; meals-Three day two night trip.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	None

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	June 7, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Itimous T. Valentine, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II.B	Nashville Drug Store-one/half int.-Rent	Category C
II.B	Connecticut Mutual Life-Pension Distribution	Category D
II.B	Nash County Farm - Rent	Category A
II.B	Rental Bldg. (Law Office)-one/fourth int-Rent	Category D
II.B	Gift Gallery Rental Bldg.-one/fourth int-Rent	Category B
II.B	Merrill Lynch New Economy Fund-Interest	Category C
II.B	Merrill Lynch CBA Funds-Dividends	Category A
II.B	Merrill Lynch Economy Fund-Dividends	Category A
II.B	Pioneer S & L - Capital Gain	Category A
II.B	IBM Corp. - Dividends	Category A
II.B	NCNB Corp. - Dividends	Category A
II.B	Eastman Kodak - Dividends	Category A
II.B	N.C. Municipal Power Bond-Interest	Category A
II.B	N.C. Eastern Power Bond - Interest	Category A
II.B	Roses Stores - Dividends	Category A
II.B	Pioneer S & L - Dividends	Category A
III.	IRA - Trans-America Life - Annuity	Category B
III.	Stock-Citizens Savings & Loan (500 shares)	Category A
III.	Rental bldg.- Law Office (Former Post Office) one/fourth int.	
	203 S. Barnes St., Nashville, NC	Category D
III.	Gift Gallery Rental Bldg.-one/fourth int.	
	213 Washington Street, Nashville, NC	Category C
III.	IRA - Pioneer II - Annuity	Category C
III.	Two Vacant Lots-Simbyln St., Nashville, NC	Category C
III.	Precious Metal Investment	Category B
III.	Stock - NCNB Corp. (400 shares)	Category B
III.	Stock - Federal Land Bank (503 shares)	Category A
III.	Stock - IBM Corp. (38 shares)	Category A
III.	Stock - Eastman Kodak (105 shares)	Category B
III.	Merrill Lynch New Econ Fund (276 shares)	Category B
III.	Merrill Lynch CBA Fund (4,034) shares)	Category A
III.	North Carolina Municipal Power - 2 bonds	Category B
III.	Merrill Lynch CIF 251 STMPS Fund (47 shares)	Category C
III.	Merrill Lynch Flagshp NC Fund (519 shares)	Category A
III.	Stock - Roses Corp. (1,000 shares)	Category B
III.	N. C. Eastern Power Bond - 1 bond	Category A
IV.	Exchanged-Note Receivable-F.B. Kennedy (Sale of	
	Property) 1988	Category B
IV.	Purchased-Pioneer S & L Stock (105 shares)	Category A
IV.	Exchanged-Federal Land Bank Stock-2,347 shares	
	applied to loan balance	Category A
IV.	Purchased-Merrill Lynch New Econ Fund-	
	21 shares	Category A
IV.	Sold-Merrill Lynch CBA (Ready Asset Fund)-1,670 shares	
	(no gain)	Category A

I. T. VALENTINE, JR.
U. S. HOUSE OF REPRESENTATIVES
1988 FINANCIAL DISCLOSURE STATEMENT

SUPPLEMENTARY INFORMATION

A. Listings for Daughter:

The 1987 Financial Disclosure statement included two items as holdings that were held by the daughter of I. T. Valentine, Jr. Since she was married during 1988, she was no longer a dependent at the end of 1988. Consequently, these items were not carried forward to 1988:

1. Stock-Pioneer II (271 shares)	Category A
2. Savings-Citizens Savings & Loan	Category D

B. Correction to shares reported in 1987:

The 1987 Financial Disclosure statement included two items of holdings for which the number of shares listed were incorrect. The Category classification of both items were reported correctly. These items have been listed for 1988 at the correct shares. The number of shares for both stocks remained unchanged from 1987 to 1988. These items were listed in 1987 as follows:

1. Merrill Lynch CIF251 STMPS Fund (908 shares)
   (should have been 47 shares)
2. Merrill Lynch Flagshp MC Fund (8.64 shares)
   (should have been 519 shares)

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I. T. VALENTINE, JR.
U. S. HOUSE OF REPRESENTATIVES
DISPOSITION OF INQUIRIES

1988 FINANCIAL DISCLOSURE STATEMENT

PART	QUESTIONS:	DISPOSITION:
III.	Holdings: Assets generating income at II-B not reported	
	1. F.B. Kennedy	Listed under transactions as exchanged note.
	2. Merrill Lynch Bonds	Listed as Merrill Lynch New Economy Fund.
	3. CBA Funds	Listed as Merrill Lynch Ready Asset Fund.
	4. N.C. Municipal Power Bond	Included in N.C. Power Agency 3 bonds. Listing added.
	5. Rental Bldg.-Law Office	Listed as Post Office.
IV.	Transactions: New/Old Holdings not shown as Acq/Sale	
	1. Stock-Pioneer II	Holding of daughter in 1988-she is no longer dependent.
	2. 150 shares Pioneer S & L	Listed as additional transaction.
	3. Federal Land Bank Stock	Part of stock applied to loan principal. Added to listing.
	4. Additional Merrill Lynch Economy Fund	New shares purchased by reinvestment. Added to listing.
	5. Sale of Ready Asset Fund SH	Sold shares of fund. Added to listing. No gain.
	6. Sale of STMPS Fund	No change from 1987. Shares not correct 1987. Category was correct 1987.
	7. Acq. of Flagship	No change from 1987. Shares not correct 1987. Category was correct 1987.
VII.	Reimbursements: Days of travel	West Palm Beach - 3 days Palm Springs - 4 days

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

GUY VANDER JAGT
(Full Name)

2409 RAYBURN HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D. C. 20515

HC (Office Use Only)

15 P 2:53

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9th State Michigan

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U. S. Telephone Association (Rec'd 2/10/88)	Honoraria	$1,000.00	None
National Venture Capital Association (Rec'd 2/12	Honoraria	$1,000.00	None
American Maritime Officers Service (rec'd 3/9/88)	Honoraria	$2,000.00	None
U. S. Telephone Association (Rec'd 3/11/88)	Honoraria	$1,000.00	None
Gas Distributors Exchange (Rec'd 3/17/88)	Honoraria	$1,000.00	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Municipal Bonds	Interest	D
Crestar Bank	Interest	A
Dominick and Dominick	Interest	A
Donald Peterson L/C	Interest	B
Equitable Life Insurance	Interest	A
Franklin Life	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Great Lakes Chemical	A
Kysor Industrial	A
Merrill Lynch Blueprint	A
Tri Continental Corporation	A
Merrill Lynch Cash	A
Merrill Lynch Cash Management	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Computer Consoles - Sold	12/16/88	A
Compaq Computer - Sold	1/12/88	B
(See Amendment to 1987 Report -- Holdings and Acquisition)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether these expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Congressional Institute, Inc. (Seminar Participant)	Roundtrip air transportation.
	DC to Houston, 3 days food/lodging
U. S. Telephone Association	Roundtrip air transportation.
	DC to Rancho Mirage, CA. Meals
	and Lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 5 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

GUY VANDER JAGT
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

Part II - INCOME - Earned

A. (continued

Source	Type	Amount	Disposition
Metropolitan Life Insurance (rec'd 3/25/88)	Honoraria	$2,000.00	None
Club Managers Association of America (Rec'd 4/26/88)	Honoraria	$1,500.00	None
National Fraternal Congress/Insurance (Rec'd 5/2/88)	Honoraria	$2,000.00	None
Metropolitan Life Insurance (Rec'd 5/2/88)	Honoraria	$2,000.00	None
Tobacco Institute (Rec'd 5/19/88)	Honoraria	$2,000.00	None
Large Public Power Association (Rec'd 5/23/88)	Honoraria	$1,000.00	None
Mutual of Omaha (Rec'd 5/26/88)	Honoraria	$2,000.00	None
American Trucking Associations (Rec'd 6/27/88)	Honoraria	$2,000.00	None
National Coal Association (Rec'd 7/19/88)	Honoraria	$4,000.00	
		2,000.00	None
		2,000.00	Charity
Massachusetts Mutual Life Insurance (Rec'd 7/20/88)	Honoraria	$2,000.00	None
Joplin, Missouri Chamber of Commerce (Rec'd 8/11/88)	Honoraria	$1,500.00	None
Valve Manufacturers Association (Rec'd 10/18/88	Honoraria	$2,000.00	
		850.00	None
		1,150.00	Charity
Blue Cross/Blue Shield Assoc. (Rec'd 10/17/88)	Honoraria	$2,000.00	Charity
National Building Material Distributors (Rec'd 12/20/88)	Honoraria	$2,000.00	Charity

Part II - INCOME - Unearned

B.

Source	Type	Category
Lake Osceola State Bank	Interest	A
Old Kent Bank of Cadillac	Interest	A
Crestar Bank	Interest	A
First of America -Manistee	Interest	A
Old Kent Bank of Cadillac	Interest	A
Office of Personnel Management	Interest	A
Great Lakes Chemical	Dividend	A
Kysor Industrial	Dividend	A
Merrill Lynch Blueprint	Dividend	C
Tri Continental Corp	Dividend	A
Merrill Lynch Cash Common Stock	Dividend	C
Merrill Lynch Cash Management	Dividend	B

III. Holdings

Wild Lands Corporation	C
Donald Peterson Land Contract	C
Offshore Logistics	A
Lifetime Dividends Plus Trust	C
Real Property: House at 615 Selma Street, Cadillac, Michigan	B
Virginia Vander Jagt Trust (dependent daughter)	E
Municipal Bonds:	
White Lake Township - Michigan Economic Development Corp.	B
Hillman Township, Michigan	B
Highland Park Bonds	B
Michigan State Housing Bonds	C
Wayne County Bonds	B

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Page 6 of 6

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Guy Vander Jagt

(Name)

Continuation Sheet

Part VII - Reimbursements *Source, Type, Amount, Category, Description or Value (As Applicable)*

Source	Brief Description
American Dental Association	Roundtrip air transportation DC to Chicago, Meals
Mechanical Contractors Association of America	Roundtrip air transportation DC to San Diego, CA, Meals and Lodging
Large Public Power Association	Roundtrip air transportation DC to Orlando, FL, Meals and Lodging
Christ Church of Oak Brook	Roundtrip air transportation DC to Oak Brook, IL, Meals and Lodging
Metropolitan Life Insurance	Roundtrip air transportation DC to Ft. Lauderdale, FL, Meals and Lodging
Metropolitan Life Insurance	Roundtrip air transportation DC to Monte Carlo, Meals and Lodging
Joplin Chamber of Commerce	Roundtrip air transportation and Meals DC to Joplin, MO, Meals
Mutual of Omaha Fund Management Co.	Roundtrip air transportation DC to Detroit, MI, Meals and Lodging
National Coal Association	Roundtrip air transportation DC - Colorado Springs, CO Meals and Lodging
Massachusetts Mutual Life Insurance	Roundtrip air transportation DC - Toronto, Canada, Meals and Lodging
Warner, Norcross & Judd	Roundtrip air transportation DC - Grand Rapids, MI, Meals and Lodging
National Building Materials Distributors Assn.	Roundtrip air transportation DC - New Orleans, LA, Meals and Lodging
American Maritime Officers Assn.	Roundtrip Airfares for Self and Spouse Washington, D.C. - Fort Lauderdale, Fla. Meals and Lodging

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HAND DELIVERED

GUY VANDER JAGT
9TH DISTRICT, MICHIGAN

2409 RAYBURN HOUSE OFFICE BUILDING
TELEPHONE (202) 225-3511

COMMITTEE
WAYS AND MEANS
SUBCOMMITTEES
TRADE
SELECT REVENUE MEASURES

ADMINISTRATIVE ASSISTANT
JAMES M. SPARLING, JR.

Congress of the United States
House of Representatives
Washington, DC 20515-2209

DISTRICT OFFICES
ROOSEVELT PARK
950 WEST NORTON AVENUE
MUSKEGON, MI 49441-4100
(616) 733-3131

31 WEST 8TH STREET
HOLLAND, MI 49423-3100
(616) 396-3040

124 NORTH DIVISION STREET
TRAVERSE CITY, MI 49684-2300
(616) 946-3032

May 22, 1989

MC

The Honorable Donnald Anderson
Clerk
U. S. House of Representatives
Washington, D. C. 20515

Dear Mr. Anderson:

In accordance with instructions received from the Committee on Standards of Official Conduct's Financial Disclosure Checklist--Form A (copy attached), the following are amendments to my 1988 Financial Disclosure Statement.

Part III - Holdings

Dominick and Dominick are shown as a holding.

Part IV - Transactions

Merrill Lynch Capital Fund inadvertantly reported as Merrill Lynch Cash Common Stock. Merrill Lynch Cash Common Stock has been omitted and Merrill Lynch Capital Fund inserted in its place both as Unearned Income and Holding.

Merrill Lynch Ready Asset was converted to Merrill Cash Management on February 10, 1987. This shows as an amendment in Transactions on 1987 Financial Disclosure Report and is also enclosed.

Texas Oil and Gas was sold in April of 1986 and amendment is being submitted to the 1986 report showing this as a transaction, and the 1987 report as deleted.

Part VII - Reimbursements

The Dates of Travel have been added.

Thank you and should you have any questions, please do not hesitate to contact me.

Sincerely,

Guy Vander Jagt

Guy Vander Jagt
Member of Congress

GVJ:kp

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EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U. S. Telephone Association (Rec'd 2/10/88)	Honoraria	$1,000.00	None
National Venture Capital Association (Rec'd 2/12	Honoraria	$1,000.00	None
American Maritime Officers Service (rec'd 3/9/88)	Honoraria	$2,000.00	None
U. S. Telephone Association (Rec'd 3/11/88)	Honoraria	$1,000.00	None
Gas Distributors Exchange (Rec'd 3/17/88)	Honoraria	$1,000.00	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Municipal Bonds	Interest	D
Crestar Bank	Interest	A
Dominick and Dominick	Interest	A
Donald Peterson L/C	Interest	B
Equitable Life Insurance	Interest	A
Franklin Life	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO _XXX_ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Great Lakes Chemical	A
Kysor Industrial	A
Merrill Lynch Blueprint	A
Tri Continental Corporation	A
[redacted] Capital Fund	A
Merrill Lynch Cash Management	A

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PART VII. includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Congressional Institute, Inc. (Seminar Participant)	Roundtrip air transportation,
3/24 - 3/27/88	DC to Houston. Food and Lodging
U. S. Telephone Association	Roundtrip air transportation,
	DC to Rancho Mirage, CA. Meals
	and Lodging - 1/29-1/30/88

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

GUY VANDER JAGT
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

Part II - INCOME - Earned

A. (continued

Source	Type	Amount	Disposition
Metropolitan Life Insurance (rec'd 3/25/88)	Honoraria	$2,000.00	None
Club Managers Association of America (Rec'd 4/26/88)	Honoraria	$1,500.00	None
National Fraternal Congress/Insurance (Rec'd 5/2/88)	Honoraria	$2,000.00	None
Metropolitan Life Insurance (Rec'd 5/2/88)	Honoraria	$2,000.00	None
Tobacco Institute (Rec'd 5/19/88)	Honoraria	$2,000.00	None
Large Public Power Association (Rec'd 5/23/88)	Honoraria	$1,000.00	None
Mutual of Omaha (Rec'd 5/26/88)	Honoraria	$2,000.00	None
American Trucking Associations (Rec'd 6/27/88)	Honoraria	$2,000.00	None
National Coal Association (Rec'd 7/19/88)	Honoraria	$4,000.00	:
		2,000.00	None
		2,000.00	Charity
Massachusetts Mutual Life Insurance (Rec'd 7/20/88)	Honoraria	$2,000.00	None
Joplin, Missouri Chamber of Commerce (Rec'd 8/11/88)	Honoraria	$1,500.00	None
Valve Manufacturers Association (Rec'd 10/18/88	Honoraria	$2,000.00	
		850.00	None
		1,150.00	Charity
Blue Cross/Blue Shield Assoc. (Rec'd 10/17/88)	Honoraria	$2,000.00	Charity
National Building Material Distributors (Rec'd 12/20/88)	Honoraria	$2,000.00	Charity

Part II - INCOME - Unearned

B.

Source	Type	Category
Lake Osceola State Bank	Interest	A
Old Kent Bank of Cadillac	Interest	A
Crestar Bank	Interest	A
First of America -Manistee	Interest	A
Old Kent Bank of Cadillac	Interest	A
Office of Personnel Management	Interest	A
Great Lakes Chemical	Dividend	A
Kysor Industrial	Dividend	A
Merrill Lynch Blueprint	Dividend	C
Tri Continental Corp	Dividend	A
Merrill Lynch Capital Fund	Dividend	C
Merrill Lynch Cash Management	Dividend	B

III. Holdings

Dominick and Dominick	A
Wild Lands Corporation	C
Donald Peterson Land Contract	C
Offshore Logistics	A
Lifetime Dividends Plus Trust	C
Real Property: House at 615 Selma Street, Cadillac, Michigan	B
Virginia Vander Jagt Trust (dependent daughter)	E
Municipal Bonds:	
White Lake Township - Michigan Economic Development Corp.	B
Hillman Township, Michigan	B
Highland Park Bonds	B
Michigan State Housing Bonds	C
Wayne County Bonds	B

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Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Guy Vander Jagt

(Name)

Continuation Sheet

Part VII - Reimbursements *Source, Type, Amount, Category, Description or Value (As Applicable)*

Source		Brief Description
American Dental Association	2/1/88	Roundtrip air transportation DC to Chicago, Meals - 2/1/88
Mechanical Contractors Association of America	2/10-2/11/88	Roundtrip air transportation DC to San Diego, CA, Meals and Lodgi
Large Public Power Association	3/5-3/6/88	Roundtrip air transportation DC to Orlando, FL, Meals and Lodging
Christ Church of Oak Brook	3/11 - 3/12/88	Roundtrip air transportation DC to Oak Brook, IL, Meals and Lodgi
Metropolitan Life Insurance	3/20 - 3/21/88	Roundtrip air transportation DC to Ft. Lauderdale, FL, Meals and Lodging
Metropolitan Life Insurance	4/15 - 4/18/88	Roundtrip air transportation DC to Monte Carlo, Meals and Lodging
Joplin Chamber of Commerce	4/22/88	Roundtrip air transportation and Mea DC to Joplin, MO, Meals
Mutual of Omaha Fund Management Co.	5/20 - 5/21/88	Roundtrip air transportation DC to Detroit, MI, Meals and Lodging
National Coal Association	6/24 - 6/25/88	Roundtrip air transportation DC - Colorado Springs, CO Meals and Lodging
Massachusetts Mutual Life Insurance	7/17 - 7/18/88	Roundtrip air transportation DC - Toronto, Canada, Meals and Lodg
Warner, Norcross & Judd	9/12 - 9/13/88	Roundtrip air transportation DC - Grand Rapids, MI, Meals and Lod
National Building Materials Distributors Assn.	10/28 -10/30/88	Roundtrip air transportation DC - New Orleans, LA, Meals and Lodg
American Maritime Officers Assn.	12/26 - 2/2/89	Roundtrip Airfares for Self and Spous Washington, D.C. - Fort Lauderdale, F Meals and Lodging
American Maritime Officers Service	2/26 - 2/28/88	Roundtrip Air transportation - DC - Florida, Meals and Lodging

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

MAY 15 3:51

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

BRUCE F. VENTO
(Full Name)

2304 RHOB
(Mailing Address)

WDC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State MN.

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1988 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(ATTACHED)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
WRIGHT PATMAN C.F.CU.	INTEREST	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
WRIGHT PATMAN CFCU	C
RIGGS NATIONAL BANK IRA	B
ANNUITY ACCT STATE MINNESOTA	C
ANNUITY ACCT FEDERAL THRIFT PLAN	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependant children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all other *gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE.		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
TCF BANKING & SAVING F.A. MPLS, MN	AIR TRANS TWO DAYS LODGE FLORIDA.
MINNESOTA ASSOC. CREDIT UNIONS	R.TRIP TRAVEL BRAINERD MN
SECURITY INDUSTRY ASSOCIATION	AIR TRANS ONE DAY LODGE FLORIDA.
YOSEMITE PARK & CURRY INC	THREE DAY LODGING & FOOD YOSEMITE CALIF

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1976 - PRESENT	MPLS SPECIAL SCHOOL DISTRICT #1	LEAVE OF ABSENCE WITHOUT COMPENSATION

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bruce F. Vento	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

BRUCE F. VENTO
(Name)

Continuation Sheet

Part
II-A

	Source, Type, Amount, Category, Description or Value (As Applicable)	
1/19/88	NATIONAL WILDLIFE FEDERATION 1412 16th St N.W. WDC 20036 ARTICLE	250.00
2/12/88	MN. BANKERS ASSOC. 700 PEAVY BLDG MPLS, MN SPEAK HONORARIUM	2000.00
2/20/88	TCF BANKING & SAVINGS F.A. MPLS, MN SPEAK HONORARIUM	1000.00
3/8/88	NATIONAL BANKERS ASSOC. 122 C STREET WDC HONORARIA	500.00
3/14/88	SECURITY IND ASSOCIATION 120 BROADWAY NY NY HONORARIA	2000.00
4/26/88	SALOMON } SEMINAR BROTHERS } HONORARIA	1000.00
5/12/88	WASHINGTON PIPELINE LETTER WDC SPEAK	1000.00
7/7/88	FEDERATED INVESTORS INC PITTSBURGH, PA. SPEAK	2000.00
8/1/88	MILLER & SCHROEDER FINANCIAL MPLS, MN HONORARIA	2000.00
9/9/88	MN. ASSOC. CREDIT UNIONS ST PAUL, MN HONORARIA	500.00
10/[illegible]/88	NAT'L ASSOCIATION OF C.U. SERVICE ORGANIZATIONS HONORARIA	500.00
10/21/88	INDEPENDENT BANKERS OF MN BLOOMINGTON, MN SPEAK	500.00
10/21/88	IBAA IND BANKERS OF AMER. ASSOC. SPEAK	500.00
11/18/88	BANK HOLDING COMPANIES OF MN. - ANOKA - SPEAK	300.00
11/30/88	SECURITY IND ASSOCIATION N.Y., N.Y HONORARIA	2000.00
12/12/88	FEDERAL HOME LOAN MORTGAGE CORP. WDC	2000.00

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 MAY 15 PM 3:00

FORM A—For use by Members, officers, and employees

Peter J. Visclosky
(Full Name)
420 Cannon House Office Building
(Mailing Address)
Washington, D.C. 20515

Mc (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State Indiana

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Edison Electric Institute (Visclosky)	Honoraria	$ 250.00	4-27-88
National Restaurant Association "	Honoraria	$1,000.00	5-21-88
National Coal Association "	Honoraria	$ 500.00	6-30-88
Institute for Public Advocacy (O'Keefe)	Wages		
National Institutes of Health (O'Keefe)	Per Diems		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Peoples Federal Savings & Loan Association	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Environmental Joint Venture, Development of approximately	A
one undeveloped acre for commercial office building in	
Merrillville, IN. (3% interest) Visclosky	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Electronic Industries Association (Captiva, Florida)	Roundtrip airfare, lodging, meals & transporation expenses for Member & spouse. 4-4-88 to 4-8-88. (Visclosky & O'Keefe)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
(Visclosky) Board of Directors	The Potato Museum, 704 North Carolina Avenue, SE, Wash. DC 20003
(Visclosky) Trustee	Peter J. Visclosky Foundation, P.O. Box 10847 Merrillville, IN 46411
(Visclosky) Settler	John Daniel Visclosky Minority Trust, P.O. Box 10847, Merrillville, IN 46411

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date 5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Harold L. Volkmer
(Full Name)

2411 Rayburn Bldg.
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

1989 MAY -4 PM 2:22
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9 State Missouri

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
4/25/88 Morton Thiokil, Inc., Arlington, VA	Honorarium	$1,000	
5/29/88 Nat'l Assn. of Letter Carriers Branch 343, St. Louis, MO	"	$1,000	
11/1/88 Nat'l Assn. of Letter Carriers Branch 343, St. Louis, MO	"	$1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Farmers & Merchants Bank, Hannibal, MO	Interest	A
Citizen Discount & Investment, Hannibal, MO	"	A
Hannibal Mutual Loan & Bldg. Assn., Hannibal, MO	"	A
Prudential Ins. Co. of America	"	A
First American Bank of Virginia, Arlington, VA	"	A
Apartment House, Hannibal, MO	Rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
House, 719 Country Club Dr., Hannibal, MO	D
Apartment House, 1015 Bird, Hannibal, MO	C
CD & Savings Account, Farmers & Merchants Bank, Hannibal, MO	B
Savings Account, Citizen Discount & Investment Corp., Hannibal, MO	B
Savings Certificate, Hannibal Mutual Loan & Bldg. Assn., Hannibal	A
IRA Accounts, Certificate of Deposit, Marion Mutual County Mutual Loan & Bldg., Hannibal, MO	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Farmers & Merchants Bank, Hannibal, MO	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National Rifle Assn. of America Washington, DC	Air Travel;meals & lodging for self & wife to attend NRA convention in Orlando, FL April, 1988
Morton Thiokol, Arlington, VA	Air Travel, Meals, lodging for fact-finding trip and meetings at Brigham City, Utah

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

0 9 0 7 0 2 1 3 2 5 7

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §705 and 18 U.S.C. §1001).

Signature	Date
Harold L Volkmer	May 4, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

INSIDE MAIL

Page ____ of ____

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1989 MAY -4 PM 2:22
CLERK OF THE HOUSE
U.S. HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Harold L. Volkmer
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
III Holdings	Savings Account, First American Bank of Virginia, Arlington, VA Category B
	Civil Service Retirement Fund - Members Contribution Category C
	Four Thousand (4000) ounces of Silver Category C
VI Gifts	National Agri Chemical Assn., Washington, DC Meals, lodging for self & wife to participate in annual meeting.

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Barbara F. Vucanovich
(Full Name)

206 Cannon H.O.B.
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District #2 State Nevada

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Spouse's Accounting Service	Self-employment	Not	reportable
U.S. House of Reps. M.C.s Bilirakis & Saiki	Spouse's salary	Not	reportable
Chicago Merchantile Exchange 2/5/88	Honoraria	$ 500.00	
Chicago Board of Trade 2/5/88	Honoraria	500.00	
National Restaurant Assn. 5/23/88	Honoraria	1,000.00	
Nevada First Bank 10/3/88	Honoraria	750.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Schedule Attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Schedule Attached	

8 9 7 7 0 2 1 2 2 0 3

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Schedule Attached		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Schedule Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Barbara F. Vucanovich	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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Page 1 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Barbara F. Vucanovich, M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
IIB	UNEARNED INCOME	
	Dividends	
	American Brands	B
	Ameritech	A
	American Telephone & Telegraph	A
	Apache Petroleum	A
	Bell Atlantic	A
	Bell South	A
	El Paso Electric	B
	Ford Motor Company	B
	General Electric	A
	General Motors	A
	Hidden Valley Properties	A
	IBM	A
	Kansas Power & Light	C
	Nynex	A
	Pacific Gas & Electric	A
	Pacific Telesis	A
	Santa Fe - Southern Pacific	E
	Southern California Edison	B
	Southwestern Bell	A
	U.S. West	A
	Valley Bank of Nevada	A
	Sears Tax Exempt Investment Trust	C
	Dean Witter Reynolds Tax Exempt Securities	C
	Interest	
	Henderson General Obligation Bonds	C
	Lenexa Kansas Multiple Family Housing Bonds	B
	Modesto, Calif. Multiple Family Housing Bonds	A
	Moorpark, Calif. Single Family Housing Bonds	A
	Nevada General Obligation University Bonds	A
	Nevada Housing Division Bonds	B
	Orange, Calif. Apartment Dev. Bonds	B
	S.E. Texas Housing Bonds	A
	Solano, Calif. General Obligation Bonds	A
	Washoe County Airport Authority Bonds	B
	First Interstate Bank	C
	Valley Bank of Nevada	A
	Dean Witter Reynolds IIA's	B
	Dean Witter Reynolds AAA's	B
	Congressional Federal Credit Union	A
	California Alternate Energy Bonds	C
	California Water Revenue Bonds	A
	Chevron Corporation Bonds	A
	Clark County Highway Improvement Bonds	A
	Equitable Life Assurance Company	A
	Berkley Union Housing Bonds	A
	Allstate Municipal Income Trust	A
	Allstate Municipal Income Trust #2	A

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Page 2 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Barbara F. Vucanovich, M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
IIB (Cont)	UNEARNED INCOME	
	Other Income	
	Aircraft Rental	C
	Fox and Carskadon Investment Trusts	A
	Public Storage Partners III	A
	Sale of Guild Mark Industries Stock	D
III	HOLDINGS	
	Stocks & Bonds	
	American Brands	C
	Ameritech	B
	American Telephone & Telegraph	C
	Bell Atlantic	B
	Bell South	B
	El Paso Electric	C
	Ford Motor Company	C
	General Electric	C
	General Motors	C
	General Motors Class E	A
	Guild Mark Industries	A
	Hidden Valley	A
	IBM	C
	Kansas Power and Light	C
	Nypex	B
	Pacific Gas and Electric	B
	Pacific Telesis	B
	Santa Fe - Southern Pacific	C
	Santa Fe - Southern Pacific Debentures	A
	Southern California Edison	C
	Southwestern Bell	B
	U.S. West	B
	Valley Bank of Nevada	C
	California Alternate Energy	C
	California Water Revenue	B
	Chevron Corporation	A
	Clark County Highway Improvement Bonds	B
	Clark County Airport Bonds	B
	Henderson General Obligation Bonds	C
	Lenexa, Kansas, Multiple Family Housing Bonds	C
	Modesto, Calif., Multiple Family Housing Bonds	B
	Moorpark, Calif., Single Family Housing Bonds	B
	Nevada General Obligation University Bonds	B
	Nevada Housing Division Bonds	C
	Orange, Calif., Apartment Development Bonds	C
	S.E. Texas Housing Bonds	A
	Solano, Calif., General Obligation Bonds	C
	Washoe County Airport Authority Bonds	C
	U.S. Government Series E Bonds	C
	Berkley Union Housing Bonds	B

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Page 3 of 4

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Barbara F. Vucanovich, M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III (Cont)	HOLDINGS (Continued)	
	Others	
	First Interstate Bank Money Markets	C
	Valley Bank of Nevada Money Markets	B
	Dean Witter Reynolds Liquid Asset Accounts	C
	Dean Witter Reynolds AAA Accounts	C
	First Federal Savings & Loan Accounts	A
	Dean Witter Commodity Partners	A
	Fox & Carskadon #12	B
	Fox & Carskadon #13	A
	Fox & Carskadon #14	A
	Unimproved Land Winnemucca, NV--2 lots 8/81	C
	Unimproved Land Frenchman's Lake, Calif--6 acres 8/80	B
	Cessna Aircraft C-182R	C
	Sears Tax Exempt Investment Trust	D
	Dean Witter Tax Exempt Securities, Inc.	D
	Public Storage Partners	B
	Congressional Federal Credit Union	A
	Aircraft Hangar - Reno, NV	C
	Allstate Municipal Income Trust	B
	Allstate Municipal Income Trust #2	B
	Allstate Municipal Income Opportunity Trust	B
IV	TRANSACTIONS	
	Guild Mark Industries Stock Sold 12/88	C
	Pacific Gas and Electric Purchased Stock 8/88	3
	Lenexa, Kansas, Housing Bonds called 7/88	C
	Moorpark, Calif., Housing Bonds Called 4/88	B
	South East Texas Housing Bonds called 12/88	A
	Clark County, Nevada, Airport Bonds purchased 7/88	B
	Allstate Municipal Income Trust Pruchased 1/88	A
	Allstate Municipal Income Trust #2 purchased 5/88	B
	Dean Witter Commodity Partners Sold 6/88	A
	Allstate Municipal Income Opportunity Trust purchased 9/88	B

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Page 4 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Barbara F. Vucanovich, M.C.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VII	Congressional Institute, Inc. - Round trip airfare Washington, D.C., St. Louis, Missouri. One night's lodging and meals for speech, January 31-February 1, 1988
	Congressional Institute, Inc. - Round trip air transportation from Washington, D.C., to Houston, Texas, March 24-26, 1988. Room and meals for self and spouse to attend conference.
	Resettlement Trust Fund for the People of Bikini provided round trip transportation from Washington D.C., to the Marshall Islands, meals, and lodging for spouse. April 4-11, 1988.
	Chicago Mercantile Exchange and Chicago Board of Trade - Round trip air fare for self and spouse from Washington, D.C., to Chicago, Ill., to visit Chicago Mercantile Exchange and Chicago Board of Trade. 2/5/88
	Young Womens Christian Association - Round trip air fare from Washington, D.C., to Phoenix, Arizona, for speech and meeting 4/15/88.
	National Restaurant Association - Round trip air fare from Washington, D.C., to Chicago, Illinois, for self and spouse. Two nights lodging and meals to attend legislative issues discussions. May 20-22, 1988.
	Anti Defamation League - round trip air fare from Washington, D.C. to Israel for self and spouse. November 13-22, 1988. Eight nights lodging and meals for orientation tour.

GPO 1987 75-046 (m)

89070282333

Barbara F. Vucanovich
2nd District, Nevada

Committee on Interior
And Insular Affairs

Committee on House
Administration

Congressional Travel and
Tourism Caucus

Environmental and Energy
Study Conference

Congress of the United States
House of Representatives
Washington, D.C. 20515

312 Cannon Building
Washington, D.C. 20515
(202) 225-6155

Federal Building
300 Booth Street, Suite 3038
Reno, Nevada 89509
(702) 784-5003

401 Railroad Street, #307
Elko, Nevada 89801
(702) 738-4064

Post Office Box A
2800 Civic Center Drive
North Las Vegas, NV 89030
(702) 399-3555

May 15, 1989

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MC

89 MAY 15 PM 5 12
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

Chairman Julian C. Dixon
Committee on Standards of Official Conduct
1036 Longworth H.O.B.
Washington, D.C. 20515

Dear Mr. Chairman:

The following should be added to the reimbursements portion of my 1988 Financial Disclosure Statement:

> "Angst Corporation provided transportation Washington, D.C.-Islip, New York, one night's lodging and meals for speech to Republican Women's Group, 9/9-10/88."

Sincerely,

Barbara F. Vucanovich

Barbara F. Vucanovich
Member of Congress

BFV/ec

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

MAY 11 PM 3 23

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Doug Walgren
(Full Name)

2241 Rayburn Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 18th State Penna

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

	A. SOURCE	TYPE	AMOUNT	DISPOSITION
	Cassidy & Assoc - Spouse Income	Earned	N/A	---
2/1	Browning Ferris Industries	Honoraria	$1,000	---
2/4	Intl Assoc of Personnel Women	Honoraria	$ 500	---
2/9	Council for Advancement & Support of Education	Honoraria	$ 400	---
2/22	Assoc of Community College Trustees	Honoraria	$1,000	---

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Ski Ltd	Dividend	A
Vanguard Fund	Interest	A
Putnam Daily Dividend Fund	Dividend	A
Putnam Growth Fund	Dividend	B
Putnam Health Science	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Vanguard World International Fund	C
Vanguard World US Fund	B
Putnam Fund for Growth & Income	C
Texaco	C
Vanguard Money Market Reserve Fund - Prime	C
Putnam Daily Dividend Fund	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Vanguard World International Fund Exchanged for Vanguard Money Market Reserves - Prime	10/31/88	C
Vanguard World US Fund Exchanged for Vanguard Money Market Reserves - Prime	10/31/88	B
Putnam Fund for Growth & Income Exchanged for Putnam Daily Daily Dividend Fund	11/7/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Congressional Federal Credit Union	C

VI. GIFTS

GENERAL GUIDSLINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Browning Ferris Industries	Roundtrip Air Fare Between Washington & Houston, Texas; Overnight Lodging.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Doug Walgren	11 May 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Honorable Doug Walgren, M.C.
(Name)

Continuation Sheet

Part		Source, Type, Amount, Category, Description or Value (As Applicable)			Disposition
II Income:					
3/4	Source	American Association of Marriage & Family Therapy	Honoraria	$1,000	---
3/7	Source	Brookings Institution	Honoraria	$ 300	---
4/11	Source	American College of Emergency Physicians	Honoraria	$1,000	---
4/17	Source	Brookings Institution	Honoraria	$ 500	---
4/19	Source	National Association State University Land Grant	Honoraria	$ 750	---
5/17	Source	American Psychological Association	Honoraria	$ 500	---
6/1	Source	Gas Distributors Exchange	Honoraria	$1,000	---
11/19	Source	Northern Group Services	Honoraria	$ 625	---
11/30	Source	American Association of Community & Junior Colleges	Honoraria	$ 500	---
12/6	Source	Council Scientific Society Presidents	Honoraria	$ 500	---

PART III: HOLDINGS:

Identity	Fidelity Freedom Fund	Category: A
Identity	Fidelity Magellan Fund	Category: A
Identity	Morgan Growth Fund	Category: A
Identity	Putnam Health Sciences Fund	Category: A
Identity	Ski Ltd	Category: B
Identity	Vanguard Money Market Prime	Category: B
Identity	Fidelity Cash Reserves	Category: A
Identity	Putnam Daily Dividend Fund	Category: A

PART IV: TRANSACTIONS

	Date:	Category:
Texaco Stock Sold	4/5/88	C
Putnam Health Science Exchanged for Putnam Daily Dividend Fund	11/7/88	A
Fidelity Magellan Fund Exchanged for Fidelity Cash Reserves	11/7/88	A
Morgan Growth Fund Exchanged for Vanguard Money Market Reserve - Prime	11/7/88	B

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Robert Smith Walker
(Full Name)

2445 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

1989 MAY -3 AM 10:17
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 16 State Pennsylvania

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
School District of Lancaster (PA) - spouse	salary		
Brookings Institution 3/2/88	honoraria	$300	
Eastern Auto Auction Association 5/28/88	honoraria	$250	
Brookings Institution 6/4/88	honoraria	$300	
CoalPAC 6/9/88	honoraria	$500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Helen Kingsbury estate - spouse	inheritance	C
Fulton Bank	interest	A
Dominick & Dominick	interest	A
Shearson Lehman	interest	B
Exxon Corporation	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Fulton Bank Savings Account	B
Fulton Bank Certificate of Deposit	B
Dominick & Dominick Account (Municipal Bond)	B
Shearson Lehman Account	C
Exxon Corporation Stock	A
General Electric Stock	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold CD BankPlus Savings, Houston, Texas	2/18/88	C
(Shearson Lehman Account)		
Bought CD Rochester Community Savings Bank	2/22/88	C
(Shearson Lehman Account)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Congressional Institute, Inc. (seminar participant)	roundtrip air transportation Washington, D.C. to Houston, TX, 3 days food/lodging
Space Business Roundtable (speaker)	roundtrip air transportation - Washington D.C. to New York City, overnight food/ lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Rbt S. Walker	5/2/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert S. Walker
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II	Alan Freed Associates	6/23/88	honoraria	$250
	General Electric (RCA)	6/24/88	honoraria	$1000
III	Bell Atlantic Stock		category A	
	Chevron Stock		category A	
	Hershey Stock		category A	
VII	Brookings Institution (speaker)		roundtrip auto expenses from Lancaster, PA to Williamsburg, VA 2 days food/lodging	

GPO: [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

James T. Walsh
(Full Name)

517 Robinsau Road
(Mailing Address)

Syracuse, New York 13207

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 27 State New York

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NYNEX Systems Marketing	Salary	27,787	
NYNEX Business Info Systems	Salary	3,581	
City of Syracuse	Salary	18,000	
NYNEX ESOP	ESOP Dist.	5,370	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Norstar Bank	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Park Avenue Associates Partnership - 23% interest in rental property - 197 East Genesee Street, Skaneateles, New York	C
NYNEX Corp. Employee Savings Plan - Common Stock	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NYNEX Corp - Savings Plan Distribution	1/15/88 Approx.	A
NYNEX ESOP Distribution	5/31/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Solvay Bank - Mortgage Loan to Park Avenue Associates Partnership - 25% Interest	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
General Partner	Park Avenue Associates, Syracuse, New York
Director	Erie Canal Museum, Syracuse, New York

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	New York Retirement System	Pension Plan Interest
	NYNEX Retirement Plan	Pension Plan Interest

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
James T. Walsh	5/10/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Wesley W. Watkins
(Full Name)

2348 Rayburn House Office Bldg.
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

89 MAY 15 AM 10 17
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State OK

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,036, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attachment			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Condominium, 1000 N. Starr Drive, Stillwater, OK	rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _x_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
IRA, First National Bank & Trust Co., Stillwater, OK	C
CD, Citizens Bank & Trust Co., Ada, OK	E
Savings Account, Citizens Bank & Trust Co., Ada, OK	B
Commercial Property, Craddock Road (see attachment for legal description)	D
Wall Street Trust Bonds (DMT Bonds), Edward D. Jones Co.	E
Rental Property, 1000 N. Starr Drive, Stillwater, OK	D
CD, Home Federal Savings & Loan, Ada, OK	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of Residence, 310 E. Capitol, Washington, DC Unit D	8-1-88	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First National Bank & Trust Co., Stillwater, OK Mortgage 1000 N. Starr Drive, Stillwater, OK	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Oklahoma State University	Season Football Tickets	$180.00
University of Oklahoma	Season Football Tickets	180.00

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attachment	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

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U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

WESLEY WADE WATKINS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II	Touro College speaking fee 1-10-88	Honoraria	$ 500.00
	Assoc of General Contractors, speaking fee 1-21-88	Honoraria	1,000.00
	Oklahoma Library Assoc. speaking fee 3-11-88	Honoraria	500.00
	Oklahoma Education Assoc., speaking fee 4-08-88	to Charity	250.00
	Tobacco Institute, speaking fees 5-3-88	to Charity	1,000.00
	Food Marketing Inst. speaking fees 6-21-88	Honoraria	1,000.00
	Am Assoc of Crop Insurers, speaking fee 7-5-88	Honoraria	1,000.00
	Nat. Coal Assoc. speaking fees 8-12-88	Honoraria	500.00
	Ok Good Roads & Streets speaking fee 10-13-88	Honoraria	500.00
	Nat. Stripper Well Assn. speaking fee 10-16-88	Honoraria	500.00
	Spouse - Oklahoma Girls State 5-88 speaking fee	Honoraria	1,000.00
	Spouse- Salary East Central Oklahoma University	Salary	
III	Condominium, 1000 N. Starr Drive, Stillwater,OK		D
	Commercial property as follows: (Contiguous lands as shown on attachment)		
	1117 Craddock Road, Ada, OK		D
	Herring Southside addition, city of Ada, OK		
	10 x 50 ft of Lot 11 & all of Lot 12		
	All of Lot 6 & 7, part of Lot 8, part of Lots 10 & 11, all of Lot 12		
	S. 45 ft of West ½ of Lot 42; S. 45ft of Lots 43 & 44		
	S. 45 ft of East 20 ft & S. 35ft of West 30 ft, Lot 45		
	S. 35 ft of Lot 46		
	W. 5 ft of East 14 ft of Lot 47		
	Section 336, Pontotoc County, Ada, OK as follows:		
	Part of SW¼ of Lot 4		
	Part of SW¼ of Lot 4 (different parcel)		
	Part S. ½ of Lot 4		
	Lands in Southern Acres, Lot 3 Block 1		
VII	1-10-88 Soochow University, Taipei, Taiwan	Round trip airfare food & lodging wife & self	
	7-5-88 Am Assoc of Crop Insurers for speech	airfare OKC/OMA/DCA	
	10-16-88 Nat Stripper Well Assn for speech	Airfare OKC/New Orl/OKC & 1 night lodging self & spouse	
	10-17-88 East Central Oklahoma Building Auth Third District Industrial Development Trust Bd.	Airfare OKC/ORD	
	11-30-88 Same for industrial prospect meetings	Airfare DCA/Newark/DCA 1 night lodging	

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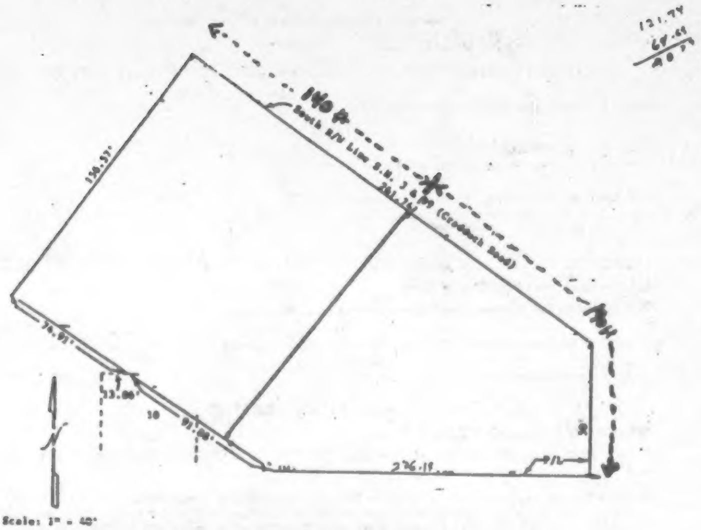

PLAT SHOWING WES WATKINS PROPERTY
SOUTH OF CRADDOCK ROAD
ADA, OKLAHOMA

TRACT 1: A part of the Southeast ¼ of the Southeast ¼ of Lot 4, Section 3, Township 3 North, Range 6 East, Pontotoc County, Oklahoma, and more particularly described as follows:

Beginning at the Southwest corner of said Lot 4, thence East and along the South line of said Lot 4 a distance of 432.25 feet; thence North and along the East line of Lucas Subdivision a distance of 463.2 feet and to the South right-of-way line of Oklahoma State Highway No. 3 & 99 (Craddock Road); thence Southeasterly and along said South right-of-way a distance of 346.00 feet for a point and place of beginning; thence in a Southwesterly direction and at an angle to the right of 89 degrees and 31 minutes a distance of 130.37 feet; thence in a Southeasterly direction and at an angle to the left of 92 degrees and 20 minutes a distance of 74.91 feet to a point on the North line of Herring Southside Addition, said point being 13.86 feet East of the Northwest corner of Lot 10 of said Herring Southside Addition; thence East along said North line a distance of 226.14 feet to the Northeast corner of Lot 12, Herring Southside Addition; thence North along the East line of said Lot 12, extended, a distance of 18.43 feet to the South right-of-way line of State Highway No. 3 & 99; thence Northwesterly along said South right-of-way line a distance of 261.74 feet to the point of beginning. Containing 0.643 acres, more or less.

TRACT 2: All of Lot 12, and a part of Lots 10 and 11, Herring Southside Addition, a Subdivision of the N½ SW¼ SW¼ of Section 3, Township 3 North, Range 6 East, Pontotoc County, Oklahoma, more particularly described as follows:

Beginning at a point on the North line of said Lot 10, said point being 13.86 feet East of the Northwest corner of said Lot 10; thence Southeasterly a distance of 91.80 feet to a point 10.0 feet West of the Southwest corner of Lot 12; thence East a distance of 10.0 feet to the Southwest corner of said Lot 12; thence North a distance of 50.0 feet to the Northeast corner of said Lot 11; thence West along the North line of said Lots 10 & 11 a distance of 86.14 feet to the point of beginning. Containing 0.227 acre, more or less.

Prepared by:

A.B. Davi

Date: 4/3/83
For: Wes Watkins

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Henry Arnold Waxman
(Full Name)

2418 Rayburn Building, Washington, D.C. 20515
(Mailing Address)

MC (Office Use Only)

U.S. HOUSE OF REPRESENTATIVES
[illegible] MAY -9 PM 4:32

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 24 State CA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

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- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

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EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Nat'l Legislative Conference on Health - Nat'l Retail Merchants Association 1/19/88	honorarium	2000	
Softgel Association - Burson-Marsteller 1/7/88	honorarium	2000	
Blue Cross Blue Shield Conference on Health Issues 2/1/88	honorarium	2000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001–$2,500; C—$2,501–$5,000; D—$5,001–$15,000; E—$15,001–$50,000; F—$50,001–$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Maryland Federal S & L	interest	B
Heritage International	interest	A
Dallas Office Group	re[illegible] on sale	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001–$15,000; C—$15,001–$50,000; D—$50,001–$100,000; E—$100,001–$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO _XX_ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Maryland Federal Savings and Loan	C
Keough	C
IRA	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Dallas Office Group - sale	1988	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE		BRIEF DESCRIPTION
Nat'l Invitational Health Policy Conference-Kaiser	10/30/87	travel and lodging
Mt. Zion Hospital & Medical Center 11/2/87		lodging
Generic Drug Conference - L.F. Rothchild 11/10/87		travel and lodging
Hastings Center on Laws, Medicine and Ethics 11/23/87		travel
American College of Emergency Physicians 11/1/87		travel

continued on Page Two Insert VII

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §705 and 18 U.S.C. §1001).

Signature	Date
Henry A Waxman	May 9, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

1988 Honoraria $71,750

1988 Charitable Contributions $45,229

Outside Income $26,521

Insert II
Ethics in Government Act -
VII Reimbursements - continuation

Bear Sterns 1/29/88 Miami travel and lodging
University of California 2/11/88 travel and lodging
Morgan Stanley 5/27/88 travel and lodging
Goldman Sachs 5/31/98 travel and lodging
National Association Chain Drug Stores 8/29/88 travel and lodging
Irvine Company 8/26/88 travel and lodging

Date reflects date of travel

INSERT ONE

CONGRESSMAN HENRY A. WAXMAN
ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT FOR 1988

Continuation - II Income

Medical Group Management Association Annual Conference 1/7/88 honorarium $5000
National Invitational Health Policy Conference - Kaiser 1/29/88 honorarium $2000
Schools of Public Health $1000 directed to charity
Mt. Zion Hospital and Medical Center 1/88 honorarium $2000
American College of Emergency Physicians Scientific Assembly 1/88 honorarium $2000
American Association of Clinical Pathologists -- Forum for Health Care Planning 1/88 honorarium $2000
Generic Drug Conference L. F. Rothchild 1/88 honorarium $5000
Hastings Center on Laws, Medicine and Ethics 1/88 honorarium $2000
Merck and Company 2/88 honorarium $2000
Scott-Levin Association 1/88 honorarium $3000
Florida Health Care Conference -Bear Sterns April 88 honorarium $2000
Food and Drug Administration/Proprietary Association/Symposium on Self-care/Self Medication in America's Future 2/88 honorarium $2000
Federal Legislative Conference of National Association of Chain Drug Stores 4/88 honorarium $2500
University of So. California Acid Rain Conference 2/88 honorarium $2000
National Assoc. of Community Health Centers 2/88 honorarium $800
American Podiatric Medical Association Leadership Conference 3/88 honorarium $5000
National Wholesale Druggists Association Strategic Issues/Legislative Conference 6/88 honorarium $3150
Helene Fuld Health Trust 5/88 honorarium $2000
Grocery Manufacturers Association Technical Committee: Food Safety, Food Labeling Regulatory Issues 5/88 honorarium $5000
Federated Ambulatory Surgery Association Annual Meeting 5/88 honorarium $3000
Outpatient Ophthalmic Surgery Society Annual Meeting 5/88 honorarium $4000
Morgan Stanley 6/88 honorarium $750
Goldman Sachs 6/88 honorarium $750
Irvine Company 8/88 honorarium $2000
National Association of Chain Drug Stores Annual Pharmaceutical Conference 8/88 honorarium $4500
Los Angeles Times (op-ed) 8/88 honorarium $150
Los Angeles Times (op-ed) 11/88 honorarium $150

Date Reflects Date of Honoraria

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2418 Rayburn House Office Building
Washington, DC 20515-0524
(202) 225-3976

District Office:
8425 West Third Street
Suite 400
Los Angeles, CA 90048-4183
(213) 651-1040

Congress of the United States
House of Representatives
Washington, DC 20515-0524
HENRY A. WAXMAN
24th District, California

Committees:
Energy and Commerce
Chairman, Subcommittee on
Health and the Environment
Government Operations
Select Committee on Aging
Philip M. Schiliro
Administrative Assistant

HAND DELIVERED

U.S. HOUSE OF REPRESENTATIVES
1989 MAY 10 AM 10:57

May 10, 1989

✓
MC

Honorable Julian Dixon
Chairman
House Committee on Standards of
Official Conduct
1039 Longworth Building
Washington, D.C. 20515

Dear Mr. Chairman:

This letter is to inform you of a change I have made in my 1988 Ethics in Government Act. I would like to have the attached sheet totaling the amount of my 1988 honoria and charity replace the sheet there now.

Thank you for your cooperation.

Sincerely,

Henry A. Waxman
Henry A. Waxman
Member of Congress

HAW:nlm
Enclosure

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Amended 5/10/89

1988 Honoraria $71,750

1988 Charitable Contributions $45,729

Outside Income $26,021

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HAND DELIVERED

2418 Rayburn House Office Building
Washington, DC 20515-0524
(202) 225-3976

District Office:
8425 West Third Street
Suite 400
Los Angeles, CA 90048-4183
(213) 651-1040

Congress of the United States
House of Representatives
Washington, DC 20515-0524
HENRY A. WAXMAN
24th District, California

Committees:
Energy and Commerce
Chairman, Subcommittee on Health and the Environment
Government Operations
Select Committee on Aging

Philip M. Schiliro
Administrative Assistant

May 17, 1989

✓
MC

Honorable Julian Dixon
Chairman
House Ethics Committee
1039 Longworth Building
Washington, D.C. 20515

Dear Mr. Chairman:

I am sending this letter to clarify the questions you raised regarding my Financial Disclosure Statement.

First of all, the charitable donations of honoraria in excess of the limits applied both to the total amount for the year as well as any excess per speech. Thus, while I received honoraria for some speeches in excess of the $2000 limit, I donated the excess, and in most cases, the entire amount, to charity.

Secondly, you point out that the income reported in Part II-B was not sufficiently identified and, in some cases, not also listed as a holding in Part III. My accounts at Maryland Federal Savings and Loan and Heritage International Bank were savings accounts which produced the unearned income reported. I did not list Heritage International Bank because the deposits were less than $5000 as of the end of the year and I thought were thereby excluded from the Part III reporting requirement. If I'm incorrect in that assumption, I hereby amend Part III to add my savings acount at Heritage International Bank. The return on the sale for Dallas Office Group was for an installment payment for the sale of my interest in in a limited estate partnership.

Lastly, you asked for a further description of the reimbursements reported in Part VII. Each of the reimbursements was for travel and/or lodging in connection with a speech. The reimbursements from Kaiser and Mt. Zion hospitals were for two speeches in the San Francisco Bay area on both sides of a weekend. I received travel reimbursement from one group and no more than two days lodging from each. The reimbursement for travel and lodging for a generic drug conference from L.F. Rothchild was for a meeting in New York where I spent one evening at a hotel. The reimbursements for the Hastings speech, the American College of Emergency

Physicians, Bear Sterns, and the University of Southern California were for travel to White Plains, (New York), San Francisco, Miami and Los Angeles respectively, for either one or two night stays. The Morgan Stanley and Goldman Sachs speeches were in New York and the Chain Drug Store and Irvine speeches were both in Southern California.

If you need further information, please let me know.

Sincerely,

Henry A Waxman

Henry A. Waxman
Member of Congress

HAW:nlm

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

MAY 15 PM 5:43

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

John Vincent Weber
(Full Name)

106 Cannon House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 2nd State Minnesota

☐ Officer or Employee—Employing Office ________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA X____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts: such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Mid-America Dairymen, Inc.	2/1/88	Honoraria	1,000.00	
Dow Chemical USA	5/20/88	Honoraria	2,000.00	
Alan L. Freed Associates, Inc.	7/6/88	Honoraria	250.00	
Heritage Foundation	9/21/88	Honoraria	300.00	
Brookings Institute	9/22/88	Honoraria	400.00	

*see attached

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Iowa Resources	Dividend	A
Wright Patman Congressional Federal Credit Union	Interest	B
Office of Personnel Management-Civil Service Ret.	Interest	C
2138 Dream Drive, N. Mankato, MN	Rental	D
MWT Properties, Mankato, MN	Rental	Gross-D;Net-A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See. Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA X____.

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Iowa Resources	Common Stock	A
NICOR	Common Stock	A
Ownership of Property (100% interest) in North Mankato, MN-Duplex (½)		
Located at 2138 Dream Drive in Northridge Estates		D
Partnership of Property (50% interest) in Pine Lake, MN, Cass County		
Lot 4, Block 2		B

*see attached

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage owed to Minnesota Valley Savings Bank, Mankato, MN. for N. Mankato property	C
Mortgage owed to D.W. Jones, Inc., Walker, MN. for Cass County property	B
Mortgage owed to Wells Federal and Loan, Wells, MN. for Mankato property (M&T Properties)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

R93/1232323

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Mid-America Dairymen, Inc.-Roundtrip airfare from Washington, DC, to Kansas City, MO, and one night lodging, for speaking engagement 2/2/88	
Illinois Ambassadors-Roundtrip airfare from Washington, DC, to Chicago, IL, and one night lodging, for speaking engagement 6/13/88	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	Conservative Opportunity Society
Board of Directors	American Conservative Union

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date 5/15/

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Vincent Weber
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II. A	Ashland Oil, Inc.	9/23/88	Honoraria	2,000.00
	Illinois Ambassadors	6/15/88	Honoraria	1,000.00
	The National PAC	11/11/88	Honoraria	2,000.00
	Ecolab, Inc.	12/9/88	Honoraria	1,500.00
	Brookings Institute	12/13/88	Honoraria	400.00
	Associated Equipment Distributors	12/20/88	Honoraria	750.00
III.	Wright Patman Federal Credit Union-Savings Account		Category-C	
	Partnership in Property (33% interest) in North Mankato, MN -Duplex(½) Located at 2140 Dream Drive in Northridge Estates		Category-C	

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Theodore S. Weiss
(Full Name)

2467 Rayburn H.O.B.
(Mailing Address)

Washington, D.C. 20515

Mc (Office Use Only)

MAY -5 10 35

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 17 State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,297, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Wife, New York State	Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Citibank - Wife - Savings Account	Interest	A
Wright Patman Congressional Fed. Cr. Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
New York City Pension fund (non-interest bearing account covering pre 1977 salary as New York City Council Member	B
Interest in a New York City Co-op Apartment (formerly personal residence)	C

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Simon Wiesenthal Center	round trip air fare, food, lodging and transportation in Miami for a speaking engagement

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Americans for Democratic Action

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. 701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Theodore S Weiss	May 3, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Wayne Curtis Weldon
(Full Name)

49 Weathervane Road
(Mailing Address)

Aston, Pennsylvania 19014

MC (Office Use Only)

'89 MAY 12 PM 4:23

U.S. H[illegible] REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7th State Pennsylvania

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,098, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001–$2,500; C—$2,501–$5,000; D—$5,001–$15,000; E—$15,001–$50,000; F—$50,001–$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Franklin Mint Federal Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001–$15,000; C—$15,001–$50,000; D—$50,001–$100,000; E—$100,001–$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
700 Ach Street, SE, Washington, DC - Duplex	E
Indemnity Management Corporation - Sub-Chapter S Corporation	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Franklin Mint Federal Credit Union - Duplex	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	See attached.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1/87	Cigna Insurance Company	Approved leave of absence with no pay or benefits.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Wayne Curtis (Curt) Weldon	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Wayne Curtis Weldon
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II	Income		
	A. Source	Type	Amount
	Crozer - Chester Medical Center (Spouse)	Salary	
	Keep America Beautiful, Inc. (12/8/88)	Honorarium	$2,000
	G.E. Aerospace (4/5/88)	Honorarium	1,000
	RCA (3/31/88)	Honorarium	1,000
	ISC Defense Systems, Inc. (3/14/88)	Honorarium	2,000
	Indemnity Management Corporation	S-Corp Inc.	737
VIII	Positions		
	Director - Sacred Heart Medical Center		
	Chairman - Delaware County Partnership for Economic Development		
	Director - Marcus Hook Community Development Corporation		
	Director - For the People, Inc.		
	Director - Delaware County Port Corporation		
	Director - Neumann College Development Fund		
VII	Reimbursements		
	SOURCE	BREIF DESCRIPTION	
	International Society of	transportation	
	Fire Service Instructors (ISFS)	food	
	Key note speaker	lodging	
	Cincinnati, OH - 2 days		
	Int Assoc of Fire Chiefs	transportation	
	Key note for "American Burning" Conf.	food, lodging	
	Williamsburg, VA - 2 days		
	TX State Firemen & Fire Marshalls Assoc	transportation	
	Key note speaker at Annual Conf	food, lodging	
	Beaumont, TX - 2 days		
	Int. Society of Fire Service Instructors	transportation	
	Key note speaker at Regional Conf	food, lodging	
	Boston, MA - 2 days		
	South Carolina State Fire Instructors	transportation	
	Speaker at Southeastern Fire School	food, lodging	
	Columbia, SC - 2 days		
	National Fire Protection Assoc	transportation	
	Key note speaker at convention	food, lodging	
	Nashville, TN - 2 days		

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Alan D. Wheat
(Full Name)

1204 Longworth House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

[illegible] MAY 11 [illegible] 4:53

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State Missouri

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. [redacted] 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Martin Luther King, Jr. Committee, Gainesville, FL	3/88 & 6/88	honorarium	$1500	none
Florida Power & Light	March, 1988	honorarium	$1000	none
Kelso Company	March, 1988	honorarium	$1175	none
Central State Univ Alumni	April, 1988	honorarium	$1000	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
1251 South Carolina, Washington, DC	rent	D
3219 Lockridge, Kansas City, MO	rent	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
1251 S. Carolina, Washington, DC	(residential property)	E
3219 Lockridge, Kansas City, MO	(residential property)	C
Navistar	(IRA) (stocks)	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
4000-4014 Warwick, Kansas City, MO	(sold interest in)	Dec. '88	D
Mylan Labs stocks	(purchase)	5/4/88	A
Payless stocks	(sale)	5/4/88	A
Walmart stocks	(sale)	10/7/88	A
Mylan Labs stocks	(sale)	10/7/88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Blue Valley Savings & Loan	C
Citicorp Savings	D
American Home Funding	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Lynx Golf	for participation in golf tournament	$ 250

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE		BRIEF DESCRIPTION
Florida Power & Light	March '88	travel, lodging & food; Florida
Kelso Company	March '88	travel, lodging & food; California
Soochow University	May '88	travel, lodging & food; Taiwan
Black Archives of Mid-America	June '88	transportation for daughter; Kansas City

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Alan D. Wheat
(Name)

Continuation Sheet

Part		Source, Type, Amount, Category, Description or Value (As Applicable)				
II	Income	Pegasus Assn, Ltd. NYC	May 1988	honorarium	$1000	none
		InterCity Maintenance Co.	May 1988	honorarium	$ 500	none

HAND DELIVERED 8 9 0 7 0 2 9 0 9 3 0

ALAN WHEAT
5TH DISTRICT, MISSOURI

MEMBER
COMMITTEE ON
RULES
SELECT COMMITTEE ON
CHILDREN, YOUTH,
AND FAMILIES

Congress of the United States
House of Representatives
Washington, DC 20515-2505

1204 LONGWORTH BUILDING
WASHINGTON, DC 20515-2505
(202) 225-4535

811 GRAND AVENUE, #935
KANSAS CITY, MO 64106-1997
842-4545

301 WEST LEXINGTON, #221
INDEPENDENCE, MO 64050-3724
833-4545

May 18, 1989

MC

The Honorable Julian C. Dixon
Chairman
Committee on Standards of Official Conduct
Suite HT-2, U.S. Capitol
Washington, DC 20515

Dear Mr. Chairman:

Enclosed you will find my 1988 Financial Disclosure Statement pursuant to the Ethics in Government Act of 1978 (2 U.S.C. Section 701-709) as amended in response to your letter of May 15, 1989.

The additional information you required has been supplied for Parts IV, V, VI and VII. I hope this clarifies all deficiencies which were identified upon review of the Statement.

Upon my further examination of the Financial Disclosure Statement I found that Part V overstated the category of liability owed to Citicorp Savings and American Home Funding. The overvaluation of the liability occurred as a result of my failure to exclude that portion of the mortgage which is secured by my personal residence.

The original reporting error occurred in 1986 when 1251 South Carolina Avenue, S.E., Washington, DC was purchased. Fifty percent of the property is held for the production of rental income and is secured by mortgages held by the above referenced institutions. Part V 1986, Part V 1987, and Part V 1988 are amended to include the liability with the appropriate category.

With regard to Part V 1987, an additional entry has been made, Metro North State Bank, to reflect the liability which offsets the ten percent partnership interest purchased in 4000-4014 Warwick, Kansas City, Missouri. As originally submitted, Part IV 1988 reflects that the ten percent interest in the partnership and the resultant liability was sold. A more appropriate description is that the interest in the partnership was relinquished to the remaining partners.

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The Honorable Julian Dixon
Page 2
May 18, 1989

Part V 1984 and Part V 1985 also require amendment. Real property at 3219 Lockridge, Kansas City, Missouri was converted from my personal residence to rental property in 1984. Property at 4349 Aldine Street, St. Louis, Missouri was acquired in 1984. Both properties were listed as holdings during 1984 and 1985, and since they were subject to mortgages, both should have been carried in 1984 and 1985 as liabilities. In addition, the St. Louis property has been added to Part V 1986, Part V 1987, and Part V 1988. The mortgage was repaid in 1988.

Sincerely,

Alan Wheat
Member of Congress

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IV. TRANSACTIONS

Amendment to 1988

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
4000-4014 Warwick, Kansas City, MO		
(real property) (relinquished interest in)	12/88	D
4349 Aldine St., St. Louis, MO		
(real property) (gift to charitable organization)	4/28/88	A

V. LIABILITIES

GENERAL GUIDELINES:

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For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Blue Valley Federal Savings & Loan (mortgage)	C
Citicorp Savings (mortgage)	C
American Home Funding (mortgage)	D
(mortgage formerly held by Investors Savings)	
Pulaski Savings & Loan (mortgage)	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

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For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 *or more* in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Black Archives of Mid-America June '88	transportation for daughter to and
	from Kansas City, MO

B. The source, a brief description, and value of *all other gifts* aggregating $100 *or more* in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Lynx Golf	Golf bag and 8 clubs for	
	participation in benefit tournament	$250

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

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For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE		BRIEF DESCRIPTION
Enron Corp	Feb. '88	travel to Houston, TX (1 day)
For the People Foundation	Feb. '88	lodging, food; Houston (2 days)
Florida Power & Light	March '88	travel, lodging & food; Florida (3 days)
Kelso Company	March '88	travel, lodging & food; California (3 days
Soochow University	May 1988	travel, lodging & food; Taiwan (6 days)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

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POSITION	NAME OF ORGANIZATION

IX. AGREEMENTS

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A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

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DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 18, 1988

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

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1036 Longworth House Office Building
Washington, D.C. 20515

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U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Robert R. Whittaker
(Full Name)

2436 Rayburn HOB
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

12 2 24

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State KS

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Brotherhood of Maintenance of Way, 3/2/88	Honorarium	$2,000	
BFI Waste Systems, 3/24/88	"	1,000	
Chicago & NorthWestern Transportation Co., 6/13/88	"	2,000	
National Assn. of Broadcasters, 5/5/88	"	1,500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY	
6966 N. Fairfax Dr., Arlington, VA, Note	Interest	D	
Country Club Apartments, Augusta, KS	Gross Rent	F	NET RENT D
1315 W. 3rd, Chanute, KS	Gross Rent	C	NET RENT A
Varner rental, Augusta, KS	Gross Rent	D	NET RENT A
Home, 1303 Money Ave., Augusta, KS	Gross Rent	C	NET RENT B
House, Emporia, KS in minor children's names	Gross Rent	C	NET RENT B
4-Plex, 203-9 Columbia, Augusta, KS	Gross Rent	D	NET RENT C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
House at 1315 W. 3rd, Chanute, KS	C
1/2 duplex at 6966 N. Fairfax Dr., Arl., VA. Note	E
Country Club Apartments, 700 Stadium Dr., Augusta, KS	F
80 acres pasture land near Andover, KS	E
Country Hills Estates, Inc., 4 Arnold Dr., Augusta, KS	F
Note held from Carl Lesley	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase balance of stock of Prairie Enterprises of Kansas, Inc.	5-1-88	C
Sale of 6966 N. Fairfax Dr.	1-26-88	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage, 1315 W. 3rd, Chanute, KS-Bank of Commerce, Chanute	C
Mortgage, 913 Michelle, Emporia, KS, house in children's names-VA loan held by Capitol Federal S&L	C
Mortgage, Country Club Apartments, Eureka Federal S&L	E
2nd Mortgage, Country Club Apartments - K.Scholfield	D
2nd Mortgage, Country Club Apartments - R. Criss	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
- Congressional Institute, Inc. (seminar participant) (self & family)	One-way air transportation, DC to Houston, 3 days food/lodging
- Chicago & NorthWestern Transportation Co.	Round trip airfare Wichita-Portland-DC, food/lodging one night.
- American Heart Assn. (self & spouse)	one-way airfare Wichita-DC for spouse; lodging one night.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Country Hills Estates, Inc. development corp. (family owned)
Vice President	Country Hills Builders, Inc. construction corp. (family owned)
Sec.-Treasurer	Prairie Enterprises of Kansas Inc. development corp. (family owned

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert B. Whittaker	3/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Robert R. Whittaker
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
IIA	American Optometric Assn., 7/11/88	Honorarium	$1,000
IIA	National Cable Television Assn., 5/24/88	"	1,500
IIA	American Optometric Assn., 6/7/88	"	500
IIA	Delaware Otsego Corp., 7/6/88	"	2,000
IIA	Conrail, 6/14/88	"	1,500
IIA	American Nurses Assn., 10/3/88	"	500
IIA	Safe Buildings Alliance, 6/15/88	"	1,500
IIA	National Assn. of Broadcasters, 6/27/88	"	1,000
IIA	American Hospital Assn., 7/25/88	"	1,000
IIA	Allergan, 7/19/88	"	1,500
IIA	Kansas City Southern, 9/19/88	"	1,000
IIA	Sandoz, Inc., 9/13/88	"	500
IIA	Grocery Manufacturers of America, 9/20/88	"	2,000
IIA	The Fertilizer Institute, 10/10/88	"	2,000
IIB	Augusta Industrial Bonds	Dividend	B
IIB	Prairie State Bank	Dividend	A
IIB	Robert Gruver, note held	Interest	A
IIB	Installment sale of land	Interest	D
IIB	Installment sale of land	Capital Gains	D
IIB	Carl Lesley, note held	Interest	B
IIB	Income Interest, Sovran Bank, Arlington, VA		A
IIB	Income Interest, Prairie State Bank, Augusta, KS		A
IIB	Income Interest, EF Hutton Account		A
IIB	Income Interest, Fidelity Magellan Account		A
IIB	Income Interest, Country Hills Estates, Inc.		B
IIB	Income Interest, Country Hills Builders, Inc.		A
IIB	Income Interest, Prairie Enterprises of Kansas, Inc.		A
III	EF Hutton Account		B
III	Note Held, Installment sale of land		E
III	Home, 1303 Money Ave., Augusta, KS		B
III	Note Held from Robert Gruver		B
III	Varner Rental, 3000 N. Ohio, Augusta, KS		D
III	80 Acres pasture, Augusta, KS		E
III	House at 913 Michelle, Emporia, KS, owned by minor children		C
III	Country Hills Builders, Inc., 4 Arnold Dr., Augusta, KS		B
III	Bank Accounts - Sovran Bank, Arlington, VA		B
	Prairie State Bank, Augusta, KS		B
	Rose Hill State Bank, Rose Hill, KS		B
III	Stock, Prairie State Bank		B
III	Prairie Enterprises of KS, Inc., 4 Arnold Dr., Augusta		C
III	Augusta Industrial Bonds		B
III	Four-plex rental, 203-209 Columbia, Augusta, KS		C
III	Fidelity Magellan Account		B
V	Mortgage on duplex unit in Arlington, VA -Sovran Bank, Arl.VA		D
V	Notes payable to Prairie State Bank, Augusta, KS		C
V	Note payable to 1st National Bank, El Dorado, KS		C
V	Note payable to Sovran Bank, Arlington, VA		C
V	Note Payable to Augusta Bank & Trust, Augusta, KS		A
V	Country Hills Estates, Inc. - loan outstanding		E
V	H.Williams, land contract note		E
V	Mortgage - Varner Rental - Railroad S&L, Newton, KS		C
V	Country Hills Builders, Inc. - loans outstanding		E
V	Mortgage on four-plex rental unit		C
V	Prairie Enterprises of Kansas, Inc. - loans outstanding		D

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Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Robert R. Whittaker
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII	SOURCE	BRIEF DESCRIPTION
VII	Nat. Assn. of Broadcasters (self and spouse)	RT airfare Wichita to Los Vegas for self; lodging/food 2 nights for self and spouse.
VII	American Optometric Assn.	lodging for one night, in DC
VII	National Cable TV Assn.	Round trip airfare Wichita to Los Angeles (no lodging expenses)
VII	Delaware Otsego Corp. (self and spouse)	RT airfare DC and Wichita to Albany; meals/lodging for 2 nights
VII	Conrail	lodging/meal expenses for one night in Philadelphia
VII	American Hospital Assn.	one way air fare Wichita to K.C.;
VII	Allergan	RT airfare K.C.-Irvine, CA-Wichita; meals/lodging for one night
VII	Kansas City Southern	one-way air fare Wichita to K.C.
VII	Grocery Manufacturers of America Inc. (self and spouse)	RT airfare D.C. and Wichita to Charleston; lodging, meals for 2 nights
VII	The Fertilizer Institute	RT airfare Wichita to Phoenix; lodging for one night.

UNITED STATES HOUSE OF REPRESENTATIVES

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U.S. HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Jamie L. Whitten
(Full Name)

2314 Rayburn Building
(Mailing Address)

Washington, D. C. 20515

MC

(Office Use Only)

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U.S. HOUSE OF REPRESENTATIVES
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Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State Mississippi

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed, identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES X NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
American Trucking Assn., Inc., 3/18/88	Hon.	2,000.00	None
Pharmaceutical Mfgs. Assn., 5/20/88	"	1,000.00	"
Natl. Pork Producers Council, 10/4/88	"	1,000.00	"

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Credit Union	Interest	D
Dept. Treasury Bonds	"	D
United Southern Bank	"	~~C~~ A gsw
Sunbarst Bank	"	B
Equitable Life	"	A
Office of Personnel Management	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES __X__ NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Morgan Furniture Bldg., Oxford, Ms.	C
Locker Room Bldg., Oxford, Ms.	D
3 store bldgs., Oxford, Ms.	D
Oxford Eagle Bldg., Oxford, Ms.	C
Farmland, Charleston, Ms.	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
~~NONE~~		
Lot in Charleston, Ms. sold	8/19/88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jamie L. Whitten Jamie L. Whitten	May 10, 1989 April 19, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Jamie L. Whitten
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B	Allied Signal	Dividend	A
	Mfgrs. Hanover Trust, Pullman Co.	"	A
	Morgan Furniture bldg., Oxford	Rent	C
	Locker Room bldg., Oxford	"	B
	Store bldg., Oxford	"	B
	Store bldg., Oxford	"	C
	Oxford Eagle bldg., Oxford	"	C
	Farmland, Charleston, Ms.	"	C
	Wife's income:		
	Wright Patman Credit Union	Interest	A
	Sunburst Bank, Charleston	"	A
	Pepsico, Inc.	Dividend	A
	1st Natl. Holding Co., Oxford	"	A
	Aetna Life	"	A
	Farmland, Lee County, Ms.	Rent	A
III	House, Charleston, Ms.		C
	Allied Signal stock		A
	Signal Co.-Henley Group		A
	Tallahatchie County, Ms. Bank stock		A
	Mfgrs. Hanover Trust Co., Pullman stock		A
	Treasury Notes		B
	Wright Patman Credit Union		E
	Series HH bonds		E
	U.S. Savings bonds		B
	Wife's holdings:		
	Pepsico stock		A
	1/2 interest in 145 acre farm, Lee Cty., Ms.		B
	1/3 interest in land, Lee Cty., Ms.		A
	1st Natl. Holding Co. stock		B
	Wright Patman Credit Union		B
	Sunburst Bank, Charleston, Ms.		B

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Pat Williams
(Full Name)

2457 Rayburn
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

'89 MAY 15 PM 3 08

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State Montana

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- **Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.**
- **Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,028, as of May 15, 1989.**
- **Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.**

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. ***Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.***

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Assn of Urban Universities/Natl Assn Student Financial Aid Officers (1-10-88)	Honorarium	$1000	
Am. Assn of Community & Junior Colleges (1-13-88)	Honorarium	$ 500	
Natl School Boards Assn (1-31-88)	Honorarium	$ 500	
Brookings Institute (2-22-88)	Honorarium	$ 500	
(continued)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Federal Credit Union Savings	Interest	C
First American, Maryland	Interest	A
Helena Abstract & Title Co., Helena, MT	Interest	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Savings -- Wright Patman Credit Union and First American	D
Savings and Custodial Account for Minor Children	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page [illegible].

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Mitchell Sweet & Associates - speech, Tempe, AZ	airfare, lodging & meals mbr & spouse
CA Student Loan Assn - speech, Palm Springs, CA	airfare, lodging & meals
Connell Rice & Sugar - speech, Westfield, NJ	airfare, lodging & meals mbr & spouse

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Pat Williams	5/12/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Pat Williams
(Name)

Continuation Sheet

Part — Source, Type, Amount, Category, Description or Value (As Applicable)

II. INCOME EARNED

SOURCE	TYPE	AMOUNT	DISPOSITION
Natl Assn of Independent Colleges & Univs. (2-2-88)	Honorarium	$1000	
Natl Assn of Private Industry Councils (3-1-88)	Honorarium	$ 500	
Council for Advancement & Support of Education (2-22-88)	Honorarium	$ 750	
Fiber, Fabric & Apparel Coalition for Trade (2-9-88)	Honorarium	$2000	
Assn of Community College Trustees (2-22-88)	Honorarium	$1000	
Consumer Bankers Assn (3-14-88)	Honorarium	$1000	
Am. School Food Service Assn (2-29-88)	Honorarium	$1250	
Graduate & Professional Financial Aid Cncl (3-1-88)	Honorarium	$1000	
Natl Assn Trade & Technical Schools (3-10-88)	Honorarium	$2000	
Mitchell Sweet & Associates (4-15-88)	Honorarium	$2000	
California Student Loan Assn (3-31-88)	Honorarium	$2000	
Natl Assn Student Financial Aid Admins. (3-30-88)	Honorarium	$ 500	
Natl Technological Universities (8-4-88)	Honorarium	$1000	
Assn of Educational Opportunity Program Personnel (4-24-88)	Honorarium	$ 800	
National Restaurant Assn (5-2-88)	Honorarium	$1000	
Cncl of Scientific Society Presidents (5-13-88)	Honorarium	$ 200	
Southern Company (8-2-88)	Honorarium	$2000	
Connell Rice & Sugar Co. (8-8-88)	Honorarium	$2000	
ASARCO (6-3-88)	Honorarium	$1000	Charity
Natl Retail Merchants (9-22-88)	Honorarium	$2000	Charity
College Administrators Network (12-15-88)	Honorarium	$2000	
University of Montana/Continuing Educ. (12-12-88)	Honorarium	$ 200	
American University (7-31-88)	Honorarium	$ 100	
Peace Links (1/88-12/88)	Salary/Spouse		

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PAT WILLIAMS
MONTANA, WESTERN DISTRICT

MAJORITY DEPUTY WHIP
COMMITTEES:
STEERING AND POLICY
EDUCATION AND LABOR
CHAIRMAN:
POSTSECONDARY EDUCATION
SUBCOMMITTEES:
ELEMENTARY, SECONDARY AND VOCATIONAL EDUCATION
EMPLOYMENT OPPORTUNITIES
LABOR STANDARDS
INTERIOR
SUBCOMMITTEE:
NATIONAL PARKS AND PUBLIC LANDS

Congress of the United States
House of Representatives
Washington, DC 20515

2457 RAYBURN BUILDING
WASHINGTON, DC 20515
(202) 225-3211
DISTRICT OFFICES:
BUTTE
(406) 723-4404
FINLEN COMPLEX
59701
HELENA
(406) 443-7878
32 N. LAST CHANCE GULCH
59601
MISSOULA
(406) 549-5550
302 W. BROADWAY
59802

HAND DELIVERED

June 5, 1989

1989 JUN -9 PM 1:46
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES
OFFICE OF RECORDS & REGISTRATION

✓
MC

Office of the Clerk
Committee on Standards of Official Conduct
HT-2 Capitol
Washington, DC 20515

Dear Sir:

I write to amend the following sections of my Financial Disclosure Statement, submitted for the year 1988:

Part II-B, Helena Abstract & Title Company (note receivable from sale of house) -- should also be included under Part III, Category C. This reference should also be included for the years 1987 and 1986.

Part V -- None

Part VI-A -- None

Part VI-B -- None

My thanks for your attention to this matter.

Best regards.

Sincerely,

Pat

Pat Williams

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[illegible] UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A–For use by Members, officers, and employees

Charles Wilson
(Full Name)

2256 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

89 MAY 15 PM 2 18
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District 2 State Texas

☐ Officer or Employee–Employing Office ________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
E-Systems	Honorarium	1,000	None
General Dynamics	Honorarium	2,000	None
LTV	Honorarium	2,000	None
Marquardt	Honorarium	2,000	None
McDonnell Douglas Helicopter	Honorarium	2,000	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Office of Personnel Management	Interest	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA _X_

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Southside National Bank - Common Stock	A
Pine Tree Resources	E

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Pine Tree Resources - Sold	4/7/88	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Citizens State Bank	B
NCNB Texas (formerly RepublicBank)	C
Silsbee State Bank	B
Diboll State Bank	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
U.S. Navy (speech)	Round trip air fare - Washington/ San Diego
Television & Film Productions (interview)	Round trip air fare - Washington/ London
Garrett Corporation (briefing/demo)	One way air fare - Houston/ Phoenix; hotel; meals (2 days) One way air fare - Phoenix/L.A.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	Kennedy Center for the Performing Arts
Board of Directors	U.S. Naval Academy

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Charlie Wilson	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Charles Wilson
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II	Northrop Corporation	Honorarium	2,000	None
	Bell Helicopter Textron, Inc.	Honorarium	2,000	None
	McDonnell Douglas Corporation	Honorarium	2,000	None
	Texas Instruments, Inc.	Honorarium	1,000	None
	Lockheed-California Company	Honorarium	2,000	None
	Enserch Corporation	Honorarium	1,000	None
	Gundle Lining Systems, Inc.	Honorarium	1,500	None
	DRS, Inc.	Honorarium	2,000	None
	Baltimore Association of Pakistani Doctors	Honorarium	2,000	None
	Rockwell International	Honorarium	1,000	None
	Employees Retirement System	Pension	16,141.40	
VII	Aerojet General/General Dynamics/ McDonnell Douglas/Rockwell/ Northrop/Lockheed/Marquardt (briefings/plant tours/demos	Transportation; hotel; meals (8 days)		

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CHARLES WILSON
2D DISTRICT, TEXAS

COMMITTEES
APPROPRIATIONS
INTELLIGENCE

HAND DELIVERED

Congreſs of the United States
House of Representatives
Washington, DC 20515

US HOUSE OF REPRESENTATIVES
[illegible] 10 [illegible] 3:22

May 18, 1989

The Honorable Donnald Anderson
Clerk
U.S. House of Representatives
H-105 Capitol
Washington, D.C. 20515

✓
HC

Dear Mr. Anderson:

It has been brought to my attention that due to an oversight the dates of receipt for Honoraria reported on my Financial Disclosure Statement were not indicated. This letter is to serve as an amendment to Part II, Section A, of that statement.

2/01/88	E-Systems	Honorarium	1,000	None
2/01/88	General Dynamics	Honorarium	2,000	None
2/01/88	LTV	Honorarium	2,000	None
2/01/88	Marquardt	Honorarium	2,000	None
2/01/88	McDonnell Douglas Helicopter	Honorarium	2,000	None
2/01/88	Northrop Corporation	Honorarium	2,000	None
2/05/88	Bell Helicopter Textron, Inc.	Honorarium	2,000	None
2/05/88	McDonnell Douglas Corp.	Honorarium	2,000	None
2/12/88	Texas Instruments	Honorarium	1,000	None
2/18/88	Lockheed-California Company	Honorarium	2,000	None
6/01/88	Enserch Corporation	Honorarium	1,000	None
7/01/88	Gundle Lining Systems	Honorarium	1,500	None
8/09/88	DRS, Inc.	Honorarium	2,000	None
9/26/88	Baltimore Association of Pakistani Doctors	Honorarium	2,000	None
11/29/88	Rockwell International	Honorarium	1,000	None

Sincerely,

Charles Wilson

Charles Wilson

CW:ast

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Robert E. Wise, Jr.
(Full Name)
1421 Longworth Building
(Mailing Address)
Washington. D.C. 20515

HC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State West Virginia

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

*: Federal income tax forms attached.

Robert E. Wise, Jr. 0 7 0 2 **II. INCOME** 3 9 1 1988

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. House of Representatives (spouse)	Salary		
American Public Transit Association	honoraria	$1,000	
Mike Masaoka Associates, Inc.	"	1,000	
National Coal Association	"	500	

NOTE: All honoraria not assigned to charity were, after having been received by Bob, distributed to charitable organizations.

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman FCU	interest	B
Delaware Fund	dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Knightsbridge Corp. (an S. Corp.) (spouse)	A
IRA Mutual Fund, Pioneer Group Inc.	B
IRA Mutual Fund, Pioneer Group Inc. (spouse)	B
Delaware Fund	B
Personal savings accounts (Wright Patman FCU), aggregated	B

Robert E. Wise, Jr. 2 0 7 2 2 3 1 5 8 2 1938

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wright Patman FCU	B
Wright Patman FCU (spouse)	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

Robert E. Wise, Jr. 7 7 7 2 3 1 5 3 3 1988

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	West Virginia First, Inc.
Ex officio member, Board of Directors	National Institute of Chemical Studies
Ex officio member, Board of Directors	Regional Contracting Assistance Center, Inc.
President, Treasurer	Knightsbridge Corp. (an S corp.) (spouse)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert E. Wise, Jr.	May 12, 19[illegible]

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Form 1040 Department of the Treasury—Internal Revenue Service U.S. Individual Income Tax Return 1988

For the year Jan.–Dec. 31, 1988, or other tax year beginning , 1988, ending , 19 OMB No. 1545-0074

Label

Use IRS label. Otherwise, please print or type.

Your first name and initial (if joint return, also give spouse's name and initial) Last name: Robert E & Sandra C. WISE

Your social security number: 233 78 6863

Present home address (number, street, and apt. no. or rural route). (If a P.O. Box, see page 6 of Instructions.): 101 Gabe Road

Spouse's social security number: 474 50 2621

City, town or post office, state, and ZIP code: Clendenin, WV 25045

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Presidential Election Campaign

Do you want $1 to go to this fund? ☒ Yes [redacted] ☐ No

If joint return, does your spouse want $1 to go to this fund? ☒ Yes ☐ No

Note: Checking "Yes" will not change your tax or reduce your refund.

Filing Status

Check only one box.

1 ☐ Single

2 ☑ Married filing joint return (even if only one had income)

3 ☐ Married filing separate return. Enter spouse's social security no. above and full name here. ____

4 ☐ Head of household (with qualifying person). (See page 7 of Instructions.) If the qualifying person is your child but not your dependent, enter child's name here. ____

5 ☐ Qualifying widow(er) with dependent child (year spouse died ▶ 19). (See page 7 of Instructions.)

Exemptions

(See Instructions on page 8.)

6a ☑ Yourself If someone (such as your parent) can claim you as a dependent, do not check box 6a. But be sure to check the box on line 33b on page 2.

b ☑ Spouse

No. of boxes checked on 6a and 6b: 2

c Dependents:

(1) Name (first, initial, and last name)	(2) Check if under age 5	(3) If age 5 or older, dependent's social security number	(4) Relationship	(5) No. of months lived in your home in 1988
Robert W. Wise	✓		son	12

If more than 6 dependents, see Instructions on page 8.

No. of your children on 6c who:
- lived with you: 1
- didn't live with you due to divorce or separation: ____

No. of other dependents listed on 6c: ____

d If your child didn't live with you but is claimed as your dependent under a pre-1985 agreement, check here ▶ ☐

e Total number of exemptions claimed

Add numbers entered on lines above ▶ 3

Income

Please attach Copy B of your Forms W-2, W-2G, and W-2P here.

If you do not have a W-2, see page 6 of Instructions.

Please attach check or money order here.

7	Wages, salaries, tips, etc. *(attach Form(s) W-2)*	7	161831
8a	Taxable interest income *(also attach Schedule B if over $400)*	8a	1505
b	Tax-exempt interest income (see page 11). DON'T include on line 8a 8b		
9	Dividend income *(also attach Schedule B if over $400)*	9	68
10	Taxable refunds of state and local income taxes, if any, from worksheet on page 11 of Instructions	10	248
11	Alimony received	11	
12	Business income or (loss) *(attach Schedule C)*	12	
13	Capital gain or (loss) *(attach Schedule D)*	13	
14	Capital gain distributions not reported on line 13 (see page 11)	14	
15	Other gains or (losses) *(attach Form 4797)*	15	
16a	Total IRA distributions 16a	16b Taxable amount (see page 11)	16b	
17a	Total pensions and annuities 17a	17b Taxable amount (see page 12)	17b	
18	Rents, royalties, partnerships, estates, trusts, etc. *(attach Schedule E)*	18	(25)
19	Farm income or (loss) *(attach Schedule F)*	19	
20	Unemployment compensation (insurance) (see page 13)	20	
21a	Social security benefits (see page 13) 21a		
b	Taxable amount, if any, from the worksheet on page 13	21b	
22	Other income (list type and amount—see page 13) Honoraria; R/E tax refund	22	2760
23	Add the amounts shown in the far right column for lines 7 through 22. This is your **total income** ▶	23	166396

Adjustments to Income

(See Instructions on page 13.)

24	Reimbursed employee business expenses from Form 2106, line 13	24	148		
25a	Your IRA deduction, from applicable worksheet on page 14 or 15	25a			
b	Spouse's IRA deduction, from applicable worksheet on page 14 or 15	25b			
26	Self-employed health insurance deduction, from worksheet on page 15	26			
27	Keogh retirement plan and self-employed SEP deduction	27			
28	Penalty on early withdrawal of savings	28			
29	Alimony paid (recipient's last name ____ and social security no. ____)	29			
30	Add lines 24 through 29. These are your **total adjustments** ▶			30	148

Adjusted Gross Income

31	Subtract line 30 from line 23. This is your **adjusted gross income**. *If this line is less than $18,576 and a child lived with you, see "Earned Income Credit" (line 56) on page 19 of the Instructions. If you want IRS to figure your tax, see page 16 of the Instructions.* ▶	31	166248

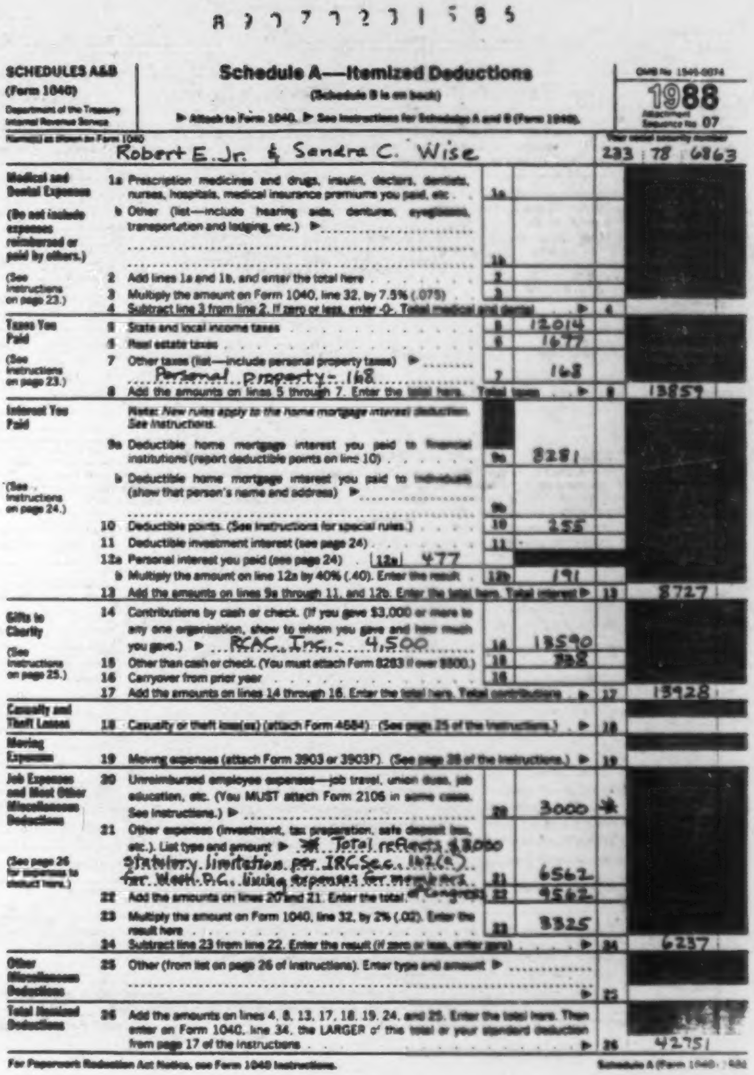
Form 1040 (1988) R E A 9 O W 9E 2 3 1 5 8 5 233-78-6863 Page 2

	32	Amount from line 31 (adjusted gross income)		32 166248
Tax Computation	33a	Check if: ☐ You were 65 or older ☐ Blind; ☐ Spouse was 65 or older ☐ Blind. Add the number of boxes checked and enter the total here ▶ 33a		
	b	If someone (such as your parent) can claim you as a dependent, check here ▶ 33b ☐		
	c	If you are married filing a separate return and your spouse itemizes deductions, or you are a dual-status alien, see page 16 and check here ▶ 33c ☐		
	34	Enter the larger of: • Your standard deduction (from page 17 of the instructions), OR • Your itemized deductions (from Schedule A, line 26). If you itemize, attach Schedule A and check here ▶ ☒		34 42751
	35	Subtract line 34 from line 32. Enter the result here		35 123 497
	36	Multiply $1,950 by the total number of exemptions claimed on line 6e		36 5,850
	37	Taxable income. Subtract line 36 from line 35. Enter the result (if less than zero, enter zero)		37 117,647
		Caution: If under age 14 and you have more than $1,000 of investment income, check here ▶ ☐ and see page 17 to see if you have to use Form 8615 to figure your tax.		
	38	Enter tax. Check if from: ☐ Tax Table, ☒ Tax Rate Schedules, or ☐ Form 8615		38 31361
	39	Additional taxes (see page 17). Check if from: ☐ Form 4970 ☐ Form 4972		39
	40	Add lines 38 and 39. Enter the total ▶		40 31361
Credits (See Instructions on page 18.)	41	Credit for child and dependent care expenses *(attach Form 2441)*	41 480	
	42	Credit for the elderly or the disabled *(attach Schedule R)*	42	
	43	Foreign tax credit *(attach Form 1116)*	43	
	44	General business credit. Check if from: ☐ Form 3800 or ☐ Form (specify) ______	44	
	45	Credit for prior year minimum tax *(attach Form 8801)*	45	
	46	Add lines 41 through 45. Enter the total		46 480
	47	Subtract line 46 from line 40. Enter the result (if less than zero, enter zero) ▶		47 30881
Other Taxes (Including Advance EIC Payments)	48	Self-employment tax *(attach Schedule SE)*		48
	49	Alternative minimum tax *(attach Form 6251)*		49
	50	Recapture taxes (see page 18). Check if from: ☐ Form 4255 ☐ Form 8611		50
	51	Social security tax on tip income not reported to employer *(attach Form 4137)*		51
	52	Tax on an IRA or a qualified retirement plan *(attach Form 5329)*		52
	53	Add lines 47 through 52. This is your total tax ▶		53 30881
Payments Attach Forms W-2, W-2G, and W-2P to front.	54	Federal income tax withheld (if any is from Form(s) 1099, check ▶ ☐)	54 36,427	
	55	1988 estimated tax payments and amount applied from 1987 return	55	
	56	Earned income credit (see page 19)	56	
	57	Amount paid with Form 4868 (extension request)	57	
	58	Excess social security tax and RRTA tax withheld (see page 20)	58	
	59	Credit for Federal tax on fuels *(attach Form 4136)*	59	
	60	Regulated investment company credit *(attach Form 2439)*	60	
	61	Add lines 54 through 60. These are your total payments ▶		61 36,427
Refund or Amount You Owe	62	If line 61 is larger than line 53, enter amount **OVERPAID** ▶		62 5546
	63	Amount of line 62 to be **REFUNDED TO YOU** ▶		63 5546
	64	Amount of line 62 to be applied to your 1989 estimated tax ▶	64	
	65	If line 53 is larger than line 61, enter **AMOUNT YOU OWE.** Attach check or money order for full amount payable to "Internal Revenue Service." Write your social security number, daytime phone number, and "1988 Form 1040" on it. Check ▶ ☐ if Form 2210 (2210F) is attached. See page 21. Penalty: $		65

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Please Sign Here	Your signature ▶ Robert E Wise Jr	Date 5/12/89	Your occupation Member - U.S. House of Reps
	Spouse's signature (if joint return, BOTH must sign) ▶ Sandra Casber Wise	Date 5/14/89	Spouse's occupation U.S. House of Rep - Staff
Paid Preparer's Use Only	Preparer's signature ▶	Date 5-12-89	Check if self-employed ☒ Preparer's social security no. 205 34 9306
	Firm's name (or yours if self-employed) and address ▶ TERESA P. CHEGWIDDEN, CPA P.O. BOX 2852 CHARLESTON, W.V. 25330		E.I. No. 55 0633379 ZIP code

★U.S. GOVERNMENT 1988 E.I. 43-0787287



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SCHEDULES A&B
(Form 1040)
Department of the Treasury
Internal Revenue Service

Schedule A—Itemized Deductions

(Schedule B is on back)

▶ Attach to Form 1040. ▶ See Instructions for Schedules A and B (Form 1040).

OMB No. 1545-0074
1988
Attachment Sequence No. 07

Name(s) as shown on Form 1040: Robert E. Jr. & Sandra C. Wise

Your social security number: 233 78 6863

Medical and Dental Expenses (Do not include expenses reimbursed or paid by others.) (See instructions on page 23.)	1a	Prescription medicines and drugs, insulin, doctors, dentists, nurses, hospitals, medical insurance premiums you paid, etc.	1a			
	b	Other (list—include hearing aids, dentures, eyeglasses, transportation and lodging, etc.) ▶	1b			
	2	Add lines 1a and 1b, and enter the total here	2			
	3	Multiply the amount on Form 1040, line 32, by 7.5% (.075)	3			
	4	Subtract line 3 from line 2. If zero or less, enter -0-. Total medical and dental ▶			4	
Taxes You Paid (See instructions on page 23.)	5	State and local income taxes	5	12014		
	6	Real estate taxes	6	1677		
	7	Other taxes (list—include personal property taxes) ▶ Personal property - 168	7	168		
	8	Add the amounts on lines 5 through 7. Enter the total here. Total taxes ▶			8	13859
Interest You Paid (See instructions on page 24.)		*Note: New rules apply to the home mortgage interest deduction. See Instructions.*				
	9a	Deductible home mortgage interest you paid to financial institutions (report deductible points on line 10)	9a	8281		
	b	Deductible home mortgage interest you paid to individuals (show that person's name and address) ▶	9b			
	10	Deductible points. (See instructions for special rules.)	10	255		
	11	Deductible investment interest (see page 24)	11			
	12a	Personal interest you paid (see page 24) 12a 477				
	b	Multiply the amount on line 12a by 40% (.40). Enter the result	12b	191		
	13	Add the amounts on lines 9a through 11, and 12b. Enter the total here. Total interest ▶			13	8727
Gifts to Charity (See instructions on page 25.)	14	Contributions by cash or check. (If you gave $3,000 or more to any one organization, show to whom you gave and how much you gave.) ▶ RCAC, Inc. - 4,500	14	13590		
	15	Other than cash or check. (You must attach Form 8283 if over $500.)	15	338		
	16	Carryover from prior year	16			
	17	Add the amounts on lines 14 through 16. Enter the total here. Total contributions ▶			17	13928
Casualty and Theft Losses	18	Casualty or theft loss(es) (attach Form 4684). (See page 25 of the Instructions.) ▶			18	
Moving Expenses	19	Moving expenses (attach Form 3903 or 3903F). (See page 26 of the Instructions.) ▶			19	
Job Expenses and Most Other Miscellaneous Deductions (See page 26 for expenses to deduct here.)	20	Unreimbursed employee expenses—job travel, union dues, job education, etc. (You MUST attach Form 2106 in some cases. See Instructions.) ▶	20	3000 ✱		
	21	Other expenses (investment, tax preparation, safe deposit box, etc.). List type and amount ▶ ✱ Total reflects $3000 statutory limitation per IRC Sec. 162(a) for Wash. D.C. living expenses for members of Congress	21	6562		
	22	Add the amounts on lines 20 and 21. Enter the total.	22	9562		
	23	Multiply the amount on Form 1040, line 32, by 2% (.02). Enter the result here	23	3325		
	24	Subtract line 23 from line 22. Enter the result (if zero or less, enter zero) ▶			24	6237
Other Miscellaneous Deductions	25	Other (from list on page 26 of instructions). Enter type and amount ▶			25	
Total Itemized Deductions	26	Add the amounts on lines 4, 8, 13, 17, 18, 19, 24, and 25. Enter the total here. Then enter on Form 1040, line 34, the LARGER of this total or your standard deduction from page 17 of the Instructions ▶			26	42751

For Paperwork Reduction Act Notice, see Form 1040 Instructions.

Schedule A (Form 1040) 1988

0 9 7 7 1 2 9 1 5 8 7

Schedules A&B (Form 1040) 1989 | OMB No. 1545-0074 | Page 2

Name(s) as shown on Form 1040. (Do not enter name and social security number if shown on other side.)
Robert E. & Sandra C. Wise

Your social security number
233 | 78 | 6863

Schedule B—Interest and Dividend Income

Attachment Sequence No. 08

Part I Interest Income

(See Instructions on pages 10 and 26.)

If you received more than $400 in taxable interest income, you must complete Part I and Part III and list ALL interest received. You must report all interest on Form 1040, even if you are not required to complete Part I and Part III. If you received, as a nominee, interest that actually belongs to another person, or you received or paid accrued interest on securities transferred between interest payment dates, see page 27.

Note: If you received a Form 1099-INT or Form 1099-OID from a brokerage firm, list the firm's name as the payer and enter the total interest shown on that form.

Interest Income		Amount
1 Interest income from seller-financed mortgages. (See Instructions and list name of payer.) ▶	1	
2 Other interest income (list name of payer) ▶	2	
~~Dundalk~~ Nicholls rent escrow account		67
Denton earnest money account		50
Wright Patman FCU 62491		1,030
68892		190
62497		16
U.S. Treasury		9
Salary Give-back account		113
3 Add the amounts on lines 1 and 2. Enter the total here and on Form 1040, line 8a. ▶	3	1505

Part II Dividend Income

(See Instructions on pages 11 and 27.)

If you received more than $400 in gross dividends and/or other distributions on stock, complete Part II and Part III. You must report all taxable dividends on Form 1040, even if you are not required to complete Part II and Part III. If you received, as a nominee, dividends that actually belong to another person, see page 27.

Note: If you received a Form 1099-DIV from a brokerage firm, list the firm's name as the payer and enter the total dividends shown on that form.

Dividend Income			Amount
4 Dividend income (list name of payer—include on this line capital gain distributions, nontaxable distributions, etc.) ▶		4	
5 Add the amounts on line 4. Enter the total here		5	
6 Capital gain distributions. Enter here and on line 13, Schedule D.*	6		
7 Nontaxable distributions. (See Schedule D Instructions for adjustment to basis.)	7		
8 Add the amounts on lines 6 and 7. Enter the total here		8	
9 Subtract line 8 from line 5. Enter the result here and on Form 1040, line 9 ▶		9	

*If you received capital gain distributions but do not need Schedule D to report any other gains or losses, enter your capital gain distributions on Form 1040, line 14.

Part III Foreign Accounts and Foreign Trusts

(See Instructions on page 27.)

If you received more than $400 of interest or dividends, OR if you had a foreign account or were a grantor of, or a transferor to, a foreign trust, you must answer both questions in Part III.	Yes	No
10 At any time during the tax year, did you have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? (See page 27 of the Instructions for exceptions and filing requirements for Form TD F 90-22.1.)		X
If "Yes," enter the name of the foreign country ▶		
11 Were you the grantor of, or transferor to, a foreign trust which existed during the current tax year, whether or not you have any beneficial interest in it? If "Yes," you may have to file Form 3520, 3520-A, or 926		X

For Paperwork Reduction Act Notice, see Form 1040 Instructions. | Schedule B (Form 1040) 1989

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SCHEDULE E
(Form 1040)
Department of the Treasury
Internal Revenue Service

Supplemental Income Schedule

(From rents, royalties, partnerships, estates, trusts, REMICs, etc.)
▶ Attach to Form 1040, Form 1041, or Form 1041S.
▶ See Instructions for Schedule E (Form 1040).

OMB No. 1545-0074
1988
Attachment Sequence No. 13

Name(s) as shown on return: Robert E. & Sandra C. Wise

Your social security number: 233 78 6863

Part I Rental and Royalty Income or Loss **Caution:** *Your rental loss may be limited. See Instructions.*

1 In the space provided below, show the kind and location of each rental property.	2 For each rental property listed, did you or a member of your family use it for personal purposes for more than the greater of 14 days or 10% of the total days rented at fair rental value during the tax year? Yes	No	3 For each rental real estate property listed in item 1, did you actively participate in its operation during the tax year? (See Instructions.)	Yes	No
Property A ▶			Property A ▶		
Property B ▶			Property B ▶		
Property C ▶			Property C ▶		

Rental and Royalty Income		Properties A	B	C		Totals (Add columns A, B and C)
4 Rents received					4	
5 Royalties received					5	
Rental and Royalty Expenses						
6 Advertising	6					
7 Auto and travel	7					
8 Cleaning and maintenance	8					
9 Commissions	9					
10 Insurance	10					
11 Legal and other professional fees	11					
12 Mortgage interest paid to banks, etc. (see Instructions)	12				12	
13 Other interest	13					
14 Repairs	14					
15 Supplies	15					
16 Taxes (Do not include windfall profit tax here. See Part V, line 40.)	16					
17 Utilities	17					
18 Wages and salaries	18					
19 Other (list) ▶	19					
20 Total expenses other than depreciation and depletion. Add lines 6 through 19.	20				20	
21 Depreciation expense (see Instructions), or depletion (see Pub. 535)	21				21	
22 Total. Add lines 20 and 21.	22					
23 Income or (loss) from rental or royalty properties. Subtract line 22 from line 4 (rents) or 5 (royalties)	23					
24 Deductible rental loss. **Caution:** *Your rental loss on line 23 may be limited. See Instructions to determine if you must file Form 8582, Passive Activity Loss Limitations*	24					
25 **Profits.** Add rental and royalty profits from line 23. Enter the total profits here					25	
26 **Losses.** Add royalty losses from line 23 and rental losses from line 24. Enter the total (losses) here					26	( )
27 Combine amounts on lines 25 and 26. Enter the net profit or (loss) here					27	
28 Net farm rental profit or loss from Form 4835. (Also complete Part VI, line 43.)					28	
29 Total rental or royalty income or (loss). Combine amounts on lines 27 and 28. Enter the total here. If Parts II, III, IV, and V on page 2 do not apply to you, enter the amount from line 29 on Form 1040, line 18. Otherwise, include the amount from line 29 in line 42 on page 2 of Schedule E					29	NONE

For Paperwork Reduction Act Notice, see Form 1040 Instructions.

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8 9 7 7 0 2 9 1 5 8 9

Schedule E (Form 1040) 1988 Attachment Sequence No. 13 Page 2

Name(s) as shown on return. (Do not enter name and social security number if shown on other side.)
Robert E. & Sandra C. Wise

Your social security number 233 : 78 : 6863

Part II Income or Loss From Partnerships and S Corporations

If you report a loss from an at-risk activity, you MUST check either column (e) or (f) to describe your investment in the activity. See Instructions. If you check column (f), you must attach Form 6198.

	(a) Name	(b) Enter P for partnership; S for S corporation	(c) Check if foreign partnership	(d) Employer identification number	Investment At Risk? (e) All is at risk	(f) Some is not at risk
A	Knightsbridge Corp.	S		52-1274306	X	
B						
C						
D						
E						

	Passive Income and Loss		Nonpassive Income and Loss		
	(g) Passive loss allowed from Form 8582	(h) Passive income from Schedule K-1	(i) Nonpassive loss from Schedule K-1	(j) Section 179 deduction (see instructions for limits)	(k) Nonpassive income from Schedule K-1
A			25		
B					
C					
D					
E					
30a Totals					
b Totals			25		

31	Add amounts in columns (h) and (k), line 30a. Enter total income here	31	
32	Add amounts in columns (g), (i), and (j), line 30b. Enter total here	32	(25)
33	Total partnership and S corporation income or (loss). Combine amounts on lines 31 and 32. Enter the total here and include in line 42 below	33	(25)

Part III Income or Loss From Estates and Trusts

	(a) Name	(b) Employer identification number
A		
B		
C		

	Passive Income and Loss		Nonpassive Income and Loss	
	(c) Passive deduction or loss allowed from Form 8582	(d) Passive income from Schedule K-1	(e) Deduction or loss from Schedule K-1	(f) Other income from Schedule K-1
A				
B				
C				
34a Totals				
b Totals				

35	Add amounts in columns (d) and (f), line 34a. Enter total income here	35	
36	Add amounts in columns (c) and (e), line 34b. Enter total here	36	( )
37	Total estate and trust income or (loss). Combine amounts on lines 35 and 36. Enter the total here and include in line 42 below	37	

Part IV Income or Loss From Real Estate Mortgage Investment Conduits (REMICs)—Residual Holder

(a) Name	(b) Employer identification number	(c) Excess inclusion from Schedules Q, line 2c (see instructions)	(d) Taxable income (net loss) from Schedules Q, line 1b	(e) Income from Schedules Q, line 3b

38	Combine amounts in columns (d) and (e) only. Enter the total here and include in line 42 below	38	

Part V Windfall Profit Tax Summary

39	Windfall profit tax credit or refund received in 1988 (see Instructions)	39	
40	Windfall profit tax withheld in 1988 (see Instructions)	40	( )
41	Combine amounts on lines 39 and 40. Enter the total here and include in line 42 below	41	

Part VI Summary

42	TOTAL income or (loss). Combine amounts on lines 29, 33, 37, 38, and 41. Enter the total here and on Form 1040, line 18 ▶	42	(25)
43	Farmers and fishermen: Enter your share of GROSS FARMING AND FISHING INCOME applicable to Parts I, II, and III (see Instructions)	43	

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Form **2106**
Department of the Treasury
Internal Revenue Service

Employee Business Expenses

▶ See separate instructions.
▶ Attach to Form 1040.

OMB No. 1545-0139
1988
Attachment Sequence No. 54

Your name: Robert E. Wise, Jr.
Social security number: 233 : 78 : 6863
Occupation in which expenses were incurred: U.S. Congressman

Part I **Employee Business Expenses**

		Column A Other than Meals and Entertainment		Column B Meals and Entertainment
STEP 1 Enter Your Expenses				
1 Vehicle expense from Part II, line 15 or line 22	1	1,790		
2 Parking fees, tolls, and local transportation, including train, bus, etc.	2	-o-		
3 Travel expense while away from home, including lodging, airplane, car rental, etc. Do not include meals and entertainment	3	155		
4 Business expenses not included in lines 1 through 3. Do not include meals and entertainment	4	1,351		
5 Meals and entertainment expenses. (See Instructions.)	5			161
6 Add lines 1 through 5 and enter the total expenses here	6	3,296		161

Note: *If you were not reimbursed for any expenses in Step 1, skip lines 7–13 and enter the amount from line 6 on line 14.*

		Column A		Column B
STEP 2 Figure Any Excess Reimbursements To Report in Income				
7 Reimbursements for the expenses listed in Step 1 that your employer did not report to you on Form W-2 or Form 1099	7			
Note: *If, in both columns, line 6 is more than line 7, skip lines 8 and 9 and go to line 10. You do not have excess reimbursements.* 8 Subtract line 6 from line 7. If zero or less, enter zero	8			
9 Add the amounts on line 8 of both columns and enter the total here. This is an excess reimbursement reportable as income. Add this amount to any amount shown on Form 1040, line 7 ▶			9	
STEP 3 Figure Fully Deductible Reimbursed Expenses				
10 Subtract line 7 from line 6. If zero or less, enter zero	10	3,296		161
11 Reimbursements or allowances for the expenses in Step 1 that your employer identified to you, included on Form W-2 or Form 1099, and were not subject to withholding tax	11	148		-o-
Note: *The amount entered on line 11 must be reported as income on Form 1040.* 12 Enter the smaller of line 10 or line 11	12	148		-o-
13 Add the amounts on line 12 of both columns and enter the total here. This is your fully deductible reimbursed expenses. Also enter the total on Form 1040, line 24 ▶			13	148
STEP 4 Figure Unreimbursed Expenses To Deduct on Schedule A (Form 1040)				
14 Subtract line 12 from line 10 **Note:** *If both columns of line 14 are zero, stop here.*	14	3,148		161
15 Enter 20% (.20) of line 14, Column B	15			32
16 Subtract line 15 from line 14	16	3,148		129
17 Add the amounts on line 16 of both columns and enter the total here. Also enter the total on Schedule A (Form 1040), line 20. (Qualified Performing Artists and handicapped employees, see Instructions.) ▶			17	3,277

For Paperwork Reduction Act Notice, see Instructions.

Form **2106** (1988)

0 9 7 7 0 2 3 1 5 9 1

Form **2106**
Department of the Treasury
Internal Revenue Service

Employee Business Expenses

▶ See separate instructions.
▶ Attach to Form 1040.

OMB No. 1545-0139
1988
Attachment
Sequence No. 54

Your name Sandra C. Wise	Social security number 474 : 50 : 2621	Occupation in which expenses were incurred U.S. House of Representatives attorney

[illegible] **Employee Business Expenses**

		Column A Other than Meals and Entertainment	Column B Meals and Entertainment
STEP 1 Enter Your Expenses			
1 Vehicle expense from Part II, line 15 or line 22	1		
2 Parking fees, tolls, and local transportation, including train, bus, etc. . .	2	7	
3 Travel expense while away from home, including lodging, airplane, car rental, etc. Do not include meals and entertainment	3		
4 Business expenses not included in lines 1 through 3. Do not include meals and entertainment	4	260	
5 Meals and entertainment expenses. (See instructions.)	5		
6 Add lines 1 through 5 and enter the total expenses here	6	267.	

Note: *If you were not reimbursed for any expenses in Step 1, skip lines 7–13 and enter the amount from line 6 on line 14.*

STEP 2 Figure Any Excess Reimbursements To Report in Income			
7 Reimbursements for the expenses listed in Step 1 that your employer did not report to you on Form W-2 or Form 1099	7		
Note: *If, in both columns, line 6 is more than line 7, skip lines 8 and 9 and go to line 10. You do not have excess reimbursements.*			
8 Subtract line 6 from line 7. If zero or less, enter zero	8		
9 Add the amounts on line 8 of both columns and enter the total here. This is an excess reimbursement reportable as income. Add this amount to any amount shown on Form 1040, line 7 . . . . . . ▶	9		
STEP 3 Figure Fully Deductible Reimbursed Expenses			
10 Subtract line 7 from line 6. If zero or less, enter zero	10		
11 Reimbursements or allowances for the expenses in Step 1 that your employer identified to you, included on Form W-2 or Form 1099, and were not subject to withholding tax	11		
Note: *The amount entered on line 11 must be reported as income on Form 1040.*			
12 Enter the smaller of line 10 or line 11	12		
13 Add the amounts on line 12 of both columns and enter the total here. This is your fully deductible reimbursed expenses. Also enter the total on Form 1040, line 24 . . . . ▶	13		
STEP 4 Figure Unreimbursed Expenses To Deduct on Schedule A (Form 1040)			
14 Subtract line 12 from line 10	14	267	
Note: *If both columns of line 14 are zero, stop here.*			
15 Enter 20% (.20) of line 14, Column B	15		
16 Subtract line 15 from line 14	16	267	
17 Add the amounts on line 16 of both columns and enter the total here. Also enter the total on Schedule A (Form 1040), line 20. (Qualified Performing Artists and handicapped employees, see instructions.) ▶	17		267

For Paperwork Reduction Act Notice, see Instructions.

Form **2106** (1988)

8 7 7 7 7 2 3 1 5 9 2

Form **2441**

Department of the Treasury
Internal Revenue Service

Credit for Child and Dependent Care Expenses

▶ Attach to Form 1040.
▶ See instructions below.

OMB No. 1545-0068

1988

Attachment Sequence No. 23

Name(s) as shown on Form 1040: Robert E. & Sandra C. Wise

Your social security number: 233 : 78 : 6863

Note: *If you paid cash wages of $50 or more in a calendar quarter to an individual for services performed in your home, you must file an employment tax return. Get Form 942 for details.*

1	Enter number of qualifying persons who were cared for in 1988. (See instructions for definition of qualifying persons.) ▶ *Caution: To qualify, the person(s) must have shared the same home with you in 1988.*	1	1
2	Enter the amount of qualified expenses you incurred and actually paid in 1988 for the care of the qualifying person. (See What Are Qualified Expenses? in the instructions.) Do not enter more than $2,400 ($4,800 if you paid for the care of two or more qualifying persons)	2	2,400
3a	You must enter your earned income on line 3a. See line 3 instructions for definition of earned income	3a	87,673
b	If you are married, filing a joint return for 1988, you must enter your spouse's earned income on line 3b. (If spouse is a full-time student or is disabled, see the line 3 instructions for amount to enter.)	3b	76,658
c	If you are married filing a joint return, compare the amounts on lines 3a and 3b, and enter the smaller of the two amounts on line 3c	3c	76,658
4	• If you were unmarried at the end of 1988, compare the amounts on lines 2 and 3a, and enter the smaller of the two amounts on line 4. • If you are married filing a joint return, compare the amounts on lines 2 and 3c, and enter the smaller of the two amounts on line 4.	4	2,400
5	Enter decimal amount from table below that applies to the adjusted gross income on Form 1040, line 31	5	× .20

If line 31 is: Over—	But not over—	Decimal amount is:	If line 31 is: Over—	But not over—	Decimal amount is:
$0	10,000	.30	$20,000	22,000	.24
10,000	12,000	.29	22,000	24,000	.23
12,000	14,000	.28	24,000	26,000	.22
14,000	16,000	.27	26,000	28,000	.21
16,000	18,000	.26	28,000		.20
18,000	20,000	.25			

6	Multiply the amount on line 4 by the decimal amount on line 5, and enter the result	6	480
7	Multiply any child and dependent care expenses for 1987 that you paid in 1988 by the percentage that applies to the adjusted gross income on your 1987 Form 1040, line 31, or Form 1040A, line 13. Enter the result. (See line 7 instructions for the required statement.)	7	-0-
8	Add amounts on lines 6 and 7. See the worksheet in the instructions for line 8 for the amount of credit you can claim	8	480

General Instructions

Paperwork Reduction Act Notice.—We ask for this information to carry out the Internal Revenue laws of the United States. We need it to ensure that taxpayers are complying with these laws and to allow us to figure and collect the right amount of tax. You are required to give us this information.

The time needed to complete and file this form will vary depending on individual circumstances. The estimated average time is:

Recordkeeping	20 minutes
Learning about the law or the form	11 minutes
Preparing the form	16 minutes
Copying, assembling, and sending the form to IRS	17 minutes

If you have comments concerning the accuracy of these time estimates or suggestions for making this form more simple, we would be happy to hear from you. You can write to either IRS or the Office of Management and Budget at the addresses listed in the instructions of Form 1040.

What Is the Child and Dependent Care Expenses Credit?

You may be able to take this credit if you paid someone to care for your child or other qualifying person so you could work or look for work in 1988. The credit may be as much as $720 ($1,440 if two or more qualifying persons were cared for).

Additional information.—For more details, please get Pub. 503, Child and Dependent Care Credit.

Who Is a Qualifying Person?

A qualifying person is:

- Any person under age 15 whom you claim as a dependent (but see Children of divorced or separated parents).
- Your disabled spouse who is mentally or physically unable to care for himself or herself.
- Any disabled person who is mentally or physically unable to care for himself or herself and whom you claim as a dependent, or could claim as a dependent except that he or she had income of $1,950 or more.

Children of divorced or separated parents.—If you were divorced, legally separated, or lived apart from your spouse during the last 6 months of 1988, you may be able to claim the credit even if your child is not your dependent. If your child is not your dependent, he or she is a qualifying person if all five of the following apply:

1. You had custody of the child for the longer period during the year; and
2. The child received over half of his or her support from one or both of the parents; and
3. The child was in the custody of one or both of the parents over half of the year; and
4. The child was under age 15, or was physically or mentally unable to care for himself or herself; and
5. The child is not your dependent because—

a. As the custodial parent, you have signed Form 8332, or a similar statement, agreeing not to claim the child's exemption for 1988; or

b. You were divorced or separated before 1985 and your divorce decree or written agreement states that the other parent can claim the child's exemption, and the other parent provides at least $600 in child support during the year. **Note:** *This rule does not apply if your decree or agreement was changed after 1984 to specify that the other parent cannot claim the child's exemption.*

Who May Take the Credit?

To claim the credit, all five of the following must apply:

(Continued on back)

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Form **4868**
Department of the Treasury
Internal Revenue Service

Application for Automatic Extension of Time To File U.S. Individual Income Tax Return

OMB No. 1545-0188

1988

Please Type or Print

Your first name and initial (if joint return, also give spouse's name and initial): Robert E & Sandra C.
Last name: Wise
Your social security number: 233 78 6863
Present home address (number, street, and apt. no. or rural route). (If you have a P.O. box, see the instructions.): 101 Gabe Road
Spouse's social security no.: 474 50 2621
City, town or post office, state, and ZIP code: Clendenin, WV 25045

If you or your spouse expect to file a gift tax return (Form 709 or 709-A) for 1988, generally due by April 17, 1989, see the instructions and check here . . . Yourself ▶ ☐ Spouse ▶ ☐

Note: *File this form with the Internal Revenue Service Center where you are required to file your income tax return, and pay any amount(s) you owe. This is not an extension of time for payment of tax.*

I request an automatic 4-month extension of time to August 15, 1989, to file Form 1040A or Form 1040 for the calendar year 1988 (or if a fiscal year Form 1040 to ...., 19 ...... , for the tax year ending ...., 19 ......).

1	Total tax liability for 1988. You MUST enter an amount on line 1. (You may estimate this amount.) If you do not expect to owe tax, enter zero (–0–)	1	32,000	00
2	Federal income tax withheld	2	36,427	41
3	1988 estimated tax payments (include 1987 overpayment allowed as a credit)	3		
4	Other payments and credits you expect to show on Form 1040A or Form 1040	4		
5	Add lines 2, 3, and 4	5	36,427	41
6	BALANCE DUE (subtract line 5 from line 1). *In order to obtain this extension, you MUST pay in full the balance due with this form.* (If line 5 is more than line 1, enter zero (–0–) ▶	6	–0–	

Note: *Complete line 7a and/or 7b if you expect to owe gift or generation-skipping transfer (GST) tax. Do not include income tax on these lines (See the instructions.)*

7	Enter the amount of gift or generation-skipping transfer (GST) tax that:			
a	You are paying with this form ▶	7a		
b	Your spouse is paying with this form ▶	7b		

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete; and, if prepared by someone other than the taxpayer, that I am authorized to prepare this form.

Signature of taxpayer ▶ Date ▶ 4/10/89

Signature of spouse ▶ Sandra [illegible] Wise Date ▶ 4/10/89

(If filing jointly, BOTH must sign even if only one had income)

Signature of preparer other than taxpayer ▶ Date ▶

General Instructions

Paperwork Reduction Act Notice.— We ask for this information to carry out the Internal Revenue laws of the United States. We need it to ensure that taxpayers are complying with these laws and to allow us to figure and collect the right amount of tax. You are required to give us this information.

The time needed to complete and file this form will vary depending on individual circumstances. The estimated average time is: Recordkeeping, 26 minutes; Learning about the law or the form, 11 minutes; Preparing the form, 18 minutes; and Copying, assembling, and sending the form to IRS, 20 minutes.

If you have comments concerning the accuracy of these time estimates or suggestions for making this form more simple, we would be happy to hear from you. You can write to the Internal Revenue Service, Washington, DC 20224, Attention: IRS Reports Clearance Officer, TR:FP; or the Office of Management and Budget, Paperwork Reduction Project, Washington, DC 20503.

Purpose

Use Form 4868 to ask for 4 more months to file Form 1040A or Form 1040. You do not have to give a reason why you are asking for the extension.

To get the extra time you MUST:

1. Fill in Form 4868 correctly, AND
2. File it by the due date of your return, AND
3. Pay ALL of the tax shown on line 6.

We will contact you only if your request is denied.

If you already had 2 extra months to file because you were "out of the country" (see page 2) when your return was due, then you can only get 2 more months using this form.

Do not file Form 4868 if you want IRS to figure your tax, or are under a court order to file your return by the regular due date.

Note: *An extension to file your 1988 calendar year income tax return also extends time to file a gift tax return (Form 709 or 709-A) for 1988.*

If these 4 months do not give you enough time to file your return, you can later ask for more time. But you'll have to give a good reason, and it must be approved by IRS. To ask for the extra time, you must:

1. File Form 2688, Application for Additional Extension of Time To File U.S. Individual Income Tax Return, OR
2. Explain your reason in a letter. Mail it to the address under Where To File.

File Form 4868 before you file Form 2688 or write a letter asking for more time. Only in cases of undue hardship will we approve your request for more time without first receiving Form 4868. If you need this extra time, ask for it early so that you can still file your return on time if your request is not approved.

8 9 3 7 0 2 9 2 2 0 3

INSIDE MAIL

BOB WISE
3D DISTRICT, WEST VIRGINIA

COMMITTEES:
COMMITTEE ON THE BUDGET
SELECT COMMITTEE ON AGING
GOVERNMENT OPERATIONS
CHAIRMAN, SUBCOMMITTEE
ON GOVERNMENT INFORMATION,
JUSTICE, AND AGRICULTURE

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE:
1421 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-2711
DISTRICT OFFICE:
107 PENNSYLVANIA AVENUE
CHARLESTON, WV 25302
(304) 342-7170

1989 JUN -1 AM 10:26
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
OFFICE OF RECORDS & REGISTRATION

May 31, 1989

MC ✓

The Honorable Donnald K. Anderson
H-105, US Capitol
Washington, D.C. 20515

Dear Mr. Anderson:

I am writing to request your permission to amend my Financial Disclosure Statement for 1988. I inadvertently omitted a trip I took in April of 1988 with the Japan Society Inc.

The Japan Society Inc. is a non-profit organization promoting cultural and educational understanding between the United States and Japan. The Japan Society Inc. does not use any public funds.

I participated in the US/Japan Parliamentary Exchange Program on April 5-10, 1988. They provided roundtrip transportation from Washington, DC to Tokyo, Japan, a trip to Kyoto, Japan, and my food and lodging. Prior to taking the trip, my office issued a press release offering complete information about the agenda and how the trip was financed. Please note the enclosed press release.

I thank you for the opportunity to amend my Financial Disclosure Statement for 1988. I apologize for the inconvenience and hope that you find this submission complete. If there are any questions or concerns, please call me.

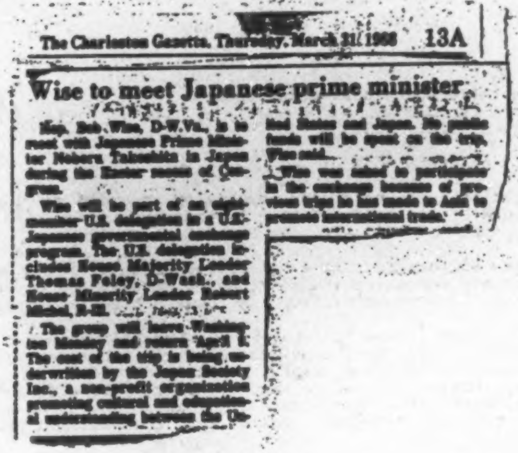
Very truly yours,

Bob Wise

Bob Wise
U.S. Representative

BW:sab
Enclosure

INSIDE MAIL 8 9 0 7 0 2 9 2 2 0 1



The Charleston Gazette, Thursday, March 31, 1988 13A

Wise to meet Japanese prime minister

Rep. Bob Wise, D-W.Va., is to meet with Japanese Prime Minister Noboru Takeshita in Japan during the Easter recess of Congress.

Wise will be part of an eight-member U.S. delegation in a U.S.-Japanese governmental exchange program. The U.S. delegation includes House Majority Leader Thomas Foley, D-Wash., and House Minority Leader Robert Michel, R-Ill.

The group will leave Washington Monday and return April 1. The cost of the trip is being underwritten by the Japan Society Inc., a non-profit organization promoting cultural and educational understanding between the United States and Japan. No public funds will be spent on the trip, Wise said.

Wise was asked to participate in the exchange because of previous trips he has made to Asia to promote international trade.

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

[illegible] MAY 12 [illegible] 35

FORM A—For use by Members, officers, and employees

Frank R. Wolf
(Full Name)
1453 Hunter Mill Road
(Mailing Address)
Vienna, Virginia 22182

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10 State VA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Chinese American Society 3/26	honorarium	1,000.	none
Blue Cross/Blue Shield Association 4/18	"	1,000.	none
The Washington Campus 10/17	"	500.	none
Grocery Manufacturers of America 12/16	"	1,000.	charity

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of each income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Franklin Funds	dividends	C
Putnam Fund	"	A
Mass. Savings Bank Insurance Council	annuities	A
Equitable Life Assurance Society	life insurance	A
U.S. Treasury	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Franklin Equity Fund	B
Franklin Income Fund	C
12.5 acres property - Loudoun County, Virginia (sold - see transactions)	E

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
12.5 acres land Loudoun County, VA - sold	1/2/88	E
Putnam Fund - shares sold	1/14/88	A
Putnam Fund - shares sold	12/23/88	A
Franklin Fund - shares sold	8/12/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

8 9 3 7 1 2 3 3 5 7 3

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Former Members of Congress	air fare D.C. to Amsterdam
Human Rights & International Cooperation Conference	3 days food & lodging in Amsterdam
Christian Solidarity International - human rights fact finding trip	roundtrip air fare D.C.-Moscow; 6 days food/lodging. self & spouse

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	Telecommunications Exchange for the Deaf (spouse)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Frank R. Wolf	5/12/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Howard E. Wolpe
(Full Name)

818 Seymour
(Mailing Address)

Lansing, Michigan 48917

MC (Office Use Only)

U.S. HOUSE OF REPRESENTATIVES
89 APR 19 AM 9 09

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State Michigan

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,086, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
E.I. Du Ponti De Nemours & Co.	Honoraria	1,000	None
Stanford University	Honoraria	1,500	None
Wabash College	Honoraria	750	None
U.C.L.A.	Honoraria	500	None
Carnige Endowment	Honoraria	400	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union	Interest	C
Old Kent Bank	Interest	A
818 Seymour, Lansing, Michigan	Rent (net of expense)	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
818 Seymour, Frame Dwelling	C
Congressional Federal Credit Union	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage - 818 Seymour, Lansing, Michigan	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
E. I. DuPonti De Nemours & Co.	Travel reimbursement (speaking engagement)
Stanford University	Travel reimbursement (speaking engagement)
Wabash College	Travel reimbursement (speaking engagement)
U.C.L.A.	Travel reimbursement (speaking engagement)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
Pre-1978	Western Michigan University	Annuity payable at retirement based on 5½ years of service - Value - Category A

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date 4/13/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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SCV&L
SIEGFRIED, CRANDALL, VOS & LEWIS, P.C.
CERTIFIED PUBLIC ACCOUNTANTS
[illegible] KALAMAZOO, MICHIGAN [illegible]

HAND DELIVERED

OFFICE OF RECORDS & REGISTRATION
1989 JUN -2 PM 3:28
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

May 31, 1989

MC ✓

U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

To Whom It May Concern:

Per your letter of April 26, 1989, the Financial Disclosure Statement is being amended by this letter.

PART II INCOME
A. Earned income - Date honoraria received:

E. I. DuPonti De Nemours & Co.	December 28, 1988
Stanford University	February 24, 1988
Wabash College	June 22, 1988
U.C.L.A.	February 26, 1988
Carnige Endowment	December 6, 1988

B. Unearned income - Gross rent received - C

PART III HOLDINGS - OLD KENT BANK - A

PART V LIABILITIES - Holder of mortgage is Capitol Federal Savings Bank, P.O. Box 19159, Lansing, Michigan 48901

PART VIII REIMBURSEMENTS
E. I. DuPonti De Nemours & Co. provided round-trip airfare between Lansing, Michigan and Dover, Delaware on November 17, 1988, for a speech on waste management. Paid no other expenses.

Wabash College provided round-trip airfare between Lansing, Michigan and Crawfordsville, Indiana (through Chicago, Illinois) on April 24, 1988, for a speech on South Africa. Paid no other expenses.

Stanford University and U.C.L.A. provided round-trip airfare between Lansing, Michigan and San Francisco, California (each college paid half of the airfare) for a speech on January 17, 1988 at Stanford University and a speech on January 18, 1988 at U.C.L.A. Both speeches were on South Africa. Paid no other expenses.

Very truly yours,

Howard Wolpe
Howard E. Wolpe

/dlv

8 9 3 7 3 2 4 2 3 2 1

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

James C. Wright, Jr.
(Full Name)

H-204, U.S. Capitol
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

MAY 15 PM 5:53

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 12 State Texas

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Statement attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Statement attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(f)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES __X__ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Statement attached	

8 9 0 7 0 2 8 2 3 2 9

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Lodge at Granbury, Hood County Texas (partial interest) transferred	12/14/88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Republic Bank of Mineral Wells (Note satisfied during 1988)	D
Congressional Federal Credit Union (Note satisfied during 1988)	A
Springhill Lake Investors Corp. (Partnership note, satisfied during 1988)	B
Hallightco, Inc. (Note satisfied during 1988)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Statement attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James C. Wright, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II.A	Earned Income	
	Honoraria (See below)	$ 30,000.00
	U.S. Air Force (Retirement Pay) •	6,456.10
	U.S. Civil Service Retirement Plan (Refund)	28,622.63
	Spousal Income:	
	Pacific Institute, Inc.	
	Civil Service Administration (Annuity)	
	Social Security (Retirement)	
	Pioneer Services Corp. (IRA)	

Honoraria:

01/07	Alcohol Beverage Legislative Council	$ 2,000.00
01/13	The Tobacco Institute	2,000.00
01/16	Patrick Media Group	1,000.00
01/16	Gannett Outdoor	1,000.00
01/18	TRW, Inc.	2,000.00
02/29	Oklahoma State University	2,000.00
03/07	Interstate Natural Gas Association	2,000.00
03/14	American Public Transit Association	2,000.00
04/12	National Beer Wholesalers	2,000.00
05/19	American Society of Association Executives	2,000.00
06/18	Alpase, Inc.	2,000.00
07/12	World Gas Conference	2,000.00
10/04	American Nuclear Energy Council	2,000.00
11/11	Distilled Spirits Council	2,000.00
11/21	Securities Industry Association	2,000.00
11/22	Campbell - Raupe	2,000.00
01/03	Actors' Fund	0
04/16	Actors' Fund	0

Part			
II.B	Unearned Income		
	Southeastern Resources	oil/gas well royalty	D
	Central Texas Cable TV	stock sale	A
	Springhill Lake Investors Corp.	partnership inc.	C
	North Carolina National Bank (at Ridgelea)	interest	B

A93/0282332

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James C. Wright, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III.	Holdings	
	Van Buren, Arkansas (real estate - 57 acres)	B
	North Carolina National Bank (formerly Republic Bank of Ridgelea) (Certificate of Deposit)	B
	Springhill Lake Investors Corp. (one-half interest in real estate, Prince Georges County, Maryland)	C
	Lodge at Granbury (part interest in lodge, Hood County, Texas)	B
	Jewell Enterprises (stock)	A
VII.	Reimbursements	
	Oklahoma State University (speech) - airfare, Fort Worth, Texas to Tulsa, Oklahoma and return; food and lodging	
	Campbell - Baupe (speech) - airfare, Washington, D.C. to Bermuda and return; food and lodging	
	Actors' Fund (speech) - airfare, Washington, D.C. to New York, New York and return; food and lodging	

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UNITED STATES HOUSE OF REPRESENTATIVES

[illegible]

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Ronald L. Wyden
(Full Name)

2452 Rayburn House Ofc. Bldg.
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

MAY 15 PM 3:40

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State Oregon

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,098, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
list attached	honoraria	$26,750	net accepted
"	"	1,000	to charity
Spouse (Laurie Wyden) income: Writer, public relations			
Source: Dominion Hospital	fees		
Health Technology Associates	"		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Spouse: Laurie Wyden Trust	Rents	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO XX NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Spouse: Laurie Wyden Trust	
49% interest in Oseran Investment...real property at	
2337 NW 30th Street and 2740 NW 22nd Place, Portland	
21% interest in Foster-Oseran...real property at 817-821 2nd Ave.,	
Portland; and 1320, 1360, and 1370 Hill St., El Cajon, CA.	
Rental House: 2814 NE Knott Street, Portland	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather then exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
List attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ron Wyden	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
[illegible] Longworth House Office Building
Washington, D.C. [illegible]

Hon. Ron Wyden -- 1988 Financial Disclosure

Section II, Earned Income

Source	Amount	Date Recd.
American Ambulance Association	$ 500	6-21
American Clg. of Obstetricians & Gynecol.	750	7-15
American International Group, Inc.	2,000	3-28
American Medical Peer Review Association	1,000	6-6
American Paper Institute	500	5-27
American Trucking Associations, Inc.	1,000	4-7
Association of American Railroads	500	5-2
Avon Products, Inc.	1,000	6-13
Casualty & Property 12	500	7-28
Chicago Board of Trade	500	1-22
Chicago Board Options Exchange	1,000	3-3
Chicago Mercantile Exchange	500	1-22
College of American Pathologists ---Donated to charity	(1,000)	
Gaymar Industries, Inc.	1,000	6-14
Griffin, Johnson & Associates	1,000	3-16
Grocery Manufacturers Association	2,000	3-3
Institute of Internal Auditors	2,000	5-3
Interstate Natural Gas Assn. of America	1,000	3-23
National Assn. Medical Equipment Suppliers	2,000	9-26
National Coalition for Cancer Research	1,000	1-26
National Hydropower Association	1,000	4-21
National Society of Public Accountants	1,000	6-23
O'Connor & Hannan	1,000	4-19
P/SL Foundation	1,500	8-2
Public Securities Association	1,000	3-28
Union for Experimenting Colleges & Univs.	500	4-5
United States Telephone Association	1,000	3-11
	$ 26,750	Received
	1,000	Donated to Charity

Section VII, Reimbursements

Source	Brief Description
American High Seas Fisheries Association	Airfare (Moscow-Khabarovsk-Leningrad)
American International Group	Airfare (DC-NY-DC) & 2 nights lodging
American Physical Therapy Assn.	Airfare (DC-Las Vegas-DC)
Chicago Board of Trade	Airfare (Portland-Chicago-DC) & 2 nights lodging
Chicago Board Options Exchange	Airfare (DC-Chicago-DC)
Grocery Manufacturers Assn.	Airfare (DC-Miami-DC)
Jewish Federation of Portland	Airfare for RW & wife, DC-NY-Moscow-Leningrad (wife only via train)-DC & 8 nights lodging & meals.
PacifiCare Health Systems	Airfare (Portland-Long Beach-DC)
P/SL Foundation	Airfare (Atlanta-Denver-Portland) & 1 day rental car.
United Hospital Fund	Airfare (DC-NY-DC) & 1 night lodging.
University of Minnesota Ctr. for Biomedical Ethics	Airfare (DC-Minneapolis-DC)

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 MAY 15 AM 3 49

FORM A—For use by Members, officers, and employees

Chalmers Pangburn Wylie
(Full Name)

2310 Rayburn House Office Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 15 State Ohio

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES____ NO__X__ NA____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Nat'l Assn. of Homebuilders (1/16/88)	Honorarium	$2,000.00	
Chicago Option Exchange (3/21/88)	"	1,000.00	
Nat'l Assn. of Realtors (5/6/88)	"	2,000.00	
Nat'l Council of Savings Institutions (5/8/88)	"	2,000.00	
Mortgage Bankers Assn. (5/15/88)	"	2,000.00	

*see continuation sheet

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Prudential Life Insurance Company	Interest	A
Interest from retirement account	"	E
Certificate of Deposit	"	D
Mutual of New York	"	A
258 E. Jeffrey Place, Columbus, OH	"	C (net loss)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Residence 258 E. Jeffrey Place, Columbus, OH	C
Residence 3430 Galt Ocean Drive, Ft. Lauderdale, FL	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Ameritrust - Mortgage Personal Residence	E
Citizens Federal - Mortgage for rental property (Ohio)	C
Huntington National Bank - Personal Auto Loan	B
Bank Ohio National BanK - Personal Auto Loan	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Nat'l Assn. of Homebuilders	Travel to Dallas, Texas
Chicago Option Exchange	Travel to Chicago, Illinois
Nat'l Council of Savings Institutions	Travel to Toronto, Canada
Nat'l Operations & Automation Conference (DataServe)	Travel to Atlanta, Georgia
Building Owners & Managers Assn(BOMA)	Travel to Cincinnati, Ohio

*see continuation sheet

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §705 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Chalmers Pangburn Wylie
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
IIA	Source	Type	Amount	Disposition
	Nat'l Operation & Automation Conference (DataServe) (5/23/88)	Honorarium	$2,000.00	
	Banking Administration Institute(5/24/88)	"	1,000.00	
	Institutional Investors (6/3/88)	"	2,000.00	
	Building Owners & Managers Assn (BOMA) in Cincinnati (6/20/88)	"	1,500.00	
	White Castle (8/2/88)	"	250.00	
	Home Builders at Kohler, Wisconsin (8/30/88)	"	2,000.00	
	Institute of Internat'l Bankers-Berlin(9/26/88)	"	2,000.00	
	Building Owners & Managers Assn (BOMA) in Columbus (10/8/88)	"	1,500.00	
	Independent Insurance Agents/Cincinnati (10/24/88)	"	2,000.00	
	Nat'l Assn. of Realtors/San Francisco(11/11/88)	"	2,000.00	

VII.	Source	Brief Description
	Home Builders at Kohler, Wisconsin	Travel to Kohler, Wisconsin
	Independent Insurance Agents	Travel to Cincinnati, Ohio
	Nat'l Assn. of Realtors	Travel to San Francisco
	Securities Industry Assn.	Travel to Boca Raton, Florida

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—*For use by Members, officers, and employees*

SIDNEY R. YATES
(Full Name)

2234 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

MAY 15 9 17

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9 State Illinois

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 19[illegible]or a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or bef[illegible]y 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compens[illegible]ual to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NONE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001–$2,500; C—$2,501–$5,000; D—$5,001–$15,000; E—$15,001–$50,000; F—$50,001–$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Aon Corporation	Dividend	A
Hubbel, Inc.	Dividend	B
IBM	Dividend	B
Lehman Corp.	Dividend	A
Social Security	Pension	$13,167.00
		continued

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001–$15,000; C—$15,001–$50,000; D—$50,001–$100,000; E—$100,001–$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Aon Corporation, common	B
Hubbel Inc. Class B common	D
IBM, common	C
Lehman Corporation, common	A
Hong Lobe., Class B common	A
	continued

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
Boston, MA PHA	bought	1-15-88	B
New York City PHA	bought	3-04-88	C
New York City PHA	bought	3-04-88	B
New York City PHA	bought	3-21-88	C

continued

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	see Real Estate Partnerships

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Sidney R. Yates	5/10/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 5 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

SIDNEY R. YATES
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II.B	UNEARNED INCOME - continued			Type	Category
	Charlotte, NC PHA	due	2-01-88	Interest	A
	Boston, MA PHA	"	4-01-88	Interest	A
	National Capitol, DC PHA	"	5-01-88	Interest	A
	Cincinnati, OH PHA	"	7-01-88	Interest	A
	Los Angeles, CA PHA	"	11-01-88	Interest	A
	New York City, NY PHA	"	1-01-89	Interest	A
	Oklahoma City, OK PHA	"	5-01-89	Interest	A
	State of Texas PHA	"	6-01-89	Interest	A
	Hoboken, NJ PHA	"	9-01-89	Interest	A
	El Paso, CO Bond	"	12-01-89	Interest	C
	Birmingham, AL PHA	"	5-01-90	Interest	B
	Monroe, LA PHA	"	6-01-90	Interest	B
	Boaz, AL PHA	"	6-01-90	Interest	A
	San Francisco, CA PHA	"	8-01-90	Interest	A
	Duluth, MN PHA	"	9-01-90	Interest	B
	Granite City, IL PHA	"	12-01-90	Interest	A
	Steubenville, OH PHA	"	1-01-91	Interest	E
	North Carolina State Bond	"	1-01-91	Interest	B
	Knoxville, TN PHA	"	2-01-91	Interest	A
	Hartford, CN Bond	"	3-01-91	Interest	A
	Wilmington, DE PHA	"	4-01-91	Interest	A
	Tulsa, OK PHA	"	5-01-91	Interest	B
	Ennis, TX PHA	"	6-01-91	Interest	A
	Detroit, MI PHA	"	6-01-91	Interest	A
	Chicago, IL PHA	"	6-01-91	Interest	A
	Joliet, IL PHA	"	7-01-91	Interest	A
	Reno, NV Bond	"	7-01-91	Interest	C
	Blytheville, AR PHA	"	9-01-91	Interest	B
	Galveston, TX PHA	"	10-01-91	Interest	D
	Honolulu, HW Bond	"	10-01-91	Interest	D
	Columbus, MS PHA	"	11-01-91	Interest	A
	Springfield, IL PHA	"	12-01-91	Interest	A
	Chattanooga, TN PHA	"	12-01-91	Interest	A
	Kinston, NC PHA	"	12-01-91	Interest	A
	Intermountain, UT Bond	"	1-01-92	Interest	D
	New York City, NY PHA	"	1-01-92	Interest	A
	New York City, NY PHA	"	1-01-92	Interest	A
	New York City, NY PHA	"	1-01-92	Interest	A
	Jacksonville, FL PHA	"	2-01-92	Interest	A
	Decatur, AL PHA	"	3-01-92	Interest	C
	Philadelphia, PA PHA	"	4-01-92	Interest	A
	Cook County, IL PHA	"	4-01-92	Interest	B
	Boston, MA PHA	"	4-01-92	Interest	A
	Oklahoma City, OK PHA	"	5-01-92	Interest	C
	Providence, RI PHA	"	6-01-92	Interest	A
	St. Louis, MO PHA	"	8-01-92	Interest	A
	Minneapolis, MN PHA	"	9-01-92	Interest	B
	Kansas City, MO PHA	"	12-01-92	Interest	A
	New York City, NY PHA	"	1-01-93	Interest	A
	Springfield, MA PHA	"	2-01-93	Interest	A
	Philadelphia, PA PHA	"	4-01-93	Interest	A
	Boston, MA PHA	"	4-01-93	Interest	A
	Nashville, TN PHA	"	8-01-93	Interest	A
	South Bend, IN PHA	"	8-01-93	Interest	A
	Kansas City, MO PHA	"	12-01-96	Interest	C
	Detroit, MI PHA	"	6-01-99	Interest	D
	Waco, TX PHA	"	12-01-01	Interest	D
	Baltimore, MD PHA	"	7-01-90	Interest	B

continued

8 9 1 7 1 2 3 0 9 1 3

Page 6 of 9

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

SIDNEY R. YATES
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	Type	Category
II.B.	UNEARNED INCOME - continued	Type	Category
	Vanguard Muni Bond Fund, Money Market	Interest	E
	Vanguard Muni Bond Fund, Intermediate	Interest	D
	General Electric Commercial Paper 5/20/88-6/20/88	Interest	A
	General Motors Commercial Paper 12/16/87-1/15/88	Interest	A
	Certificate of Deposit 12/02/87 - 1/04/88	Interest	A
	Certificate of Deposit 10/20/87 - 1/18/88	Interest	B
	Certificate of Deposit 10/21/87 - 1/19/88	Interest	B
	Certificate of Deposit 1/27/88 - 2/26/88	Interest	A
	Certificate of Deposit 1/04/88 - 2/03/88	Interest	A
	Certificate of Deposit 1/19/88 - 2/18/88	Interest	A
	Certificate of Deposit 1/27/88 - 2/26/88	Interest	A
	Certificate of Deposit 2/03/88 - 3/04/88	Interest	A
	Certificate of Deposit 2/18/88 - 3/21/88	Interest	A
	Certificate of Deposit 2/26/88 - 3/28/88	Interest	A
	Certificate of Deposit 3/04/88 - 4/04/88	Interest	A
	Certificate of Deposit 3/31/88 - 4/15/88	Interest	A
	Certificate of Deposit 3/02/88 - 4/20/88	Interest	A
	Certificate of Deposit 4/16/88 - 5/16/88	Interest	A
	Certificate of Deposit 4/20/88 - 5/20/88	Interest	A
	Certificate of Deposit 5/16/88 - 6/15/88	Interest	A
	Certificate of Deposit 6/15/88 - 7/15/88	Interest	A
	Certificate of Deposit 7/15/88 - 8/15/88	Interest	A
	Certificate of Deposit 8/16/88 - 9/15/88	Interest	A
	Certificate of Deposit 6/20/88 - 9/19/88	Interest	B
	Certificate of Deposit 9/15/88 - 10/17/88	Interest	A
	Certificate of Deposit 10/17/88 - 11/16/88	Interest	A
	Certificate of Deposit 9/19/88 - 11/18/88	Interest	A
	Certificate of Deposit 11/18/88 - 12/02/88	Interest	A
	Certificate of Deposit 11/02/88 - 12/02/88	Interest	A
	Certificate of Deposit 11/18/88 - 12/19/88	Interest	A
	Dixie Associates	Partnership Distribution	F
	University Park	Partnership Distribution	A
	Watson & Taylor Ltd. I	Partnership Distribution	A
	Boston, MA PHA due 3-01-88	Capital Gain	A
	National Capitol, DC PHA " 5-01-88	Capital Gain	A
	Cincinnati, OH PHA " 7-01-88	Capital Gain	A
	Los Angeles, CA PHA " 11-01-88	Capital Gain	A
	Bank Accounts	Interest	B

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Page 7 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

SIDNEY R. YATES
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value
(As Applicable)

III.	HOLDINGS - continued			Category
	Charlotte, NC PHA	due	2-01-88	C
	Boston, MA PHA	"	4-01-88	C
	National Capitol, DC PHA	"	5-01-88	C
	Cincinnati, OH PHA	"	7-01-88	B
	Los Angeles, CA PHA	"	11-01-88	B
	New York City, NY PHA	"	1-01-89	B
	Oklahoma City, OK PHA	"	5-01-89	B
	State of Texas PHA	"	6-01-89	B
	East Baton Rouge, LA PHA	"	8-01-89	C
	Hoboken, NJ PHA	"	9-01-89	B
	El Paso County, CO Bond	"	12-01-89	C
	Birmingham, AL PHA	"	5-01-90	C
	Monroe, LA PHA	"	6-01-90	D
	Boaz, AL PHA	"	6-01-90	C
	San Francisco, CA PHA	"	8-01-90	B
	Duluth, MN PHA	"	9-01-90	C
	Granite City, IL PHA	"	12-01-90	C
	Steubenville, OH PHA	"	1-01-91	C
	North Carolina State Bond	"	1-01-91	B
	Knoxville, TN PHA	"	2-01-91	C
	Hartford, CN Bond	"	3-01-91	B
	Wilmington, DE PHA	"	4-01-91	B
	Tulsa, OK PHA	"	5-01-91	C
	Ennis, TX PHA	"	6-01-91	B
	Detroit, MI PHA	"	6-01-91	C
	Chicago, IL PHA	"	6-01-91	C
	Joliet, IL PHA	"	7-01-91	C
	Reno, NV Bond	"	7-01-91	C
	Blytheville, AR PHA	"	9-01-91	C
	Galveston, TX PHA	"	10-01-91	D
	Honolulu, HW PHA	"	10-01-91	D
	Columbus, MS PHA	"	11-01-91	C
	Springfield, IL PHA	"	12-01-91	C
	Chattanooga, TN PHA	"	12-01-91	C
	Kinston, NC PHA	"	12-01-91	C
	Intermountain, UT Bond	"	1-01-92	D
	New York City, NY PHA	"	1-01-92	C
	New York City, NY PHA	"	1-01-92	C
	New York City, NY PHA	"	1-01-92	B
	Jacksonville, FL PHA	"	2-01-92	B
	Decatur, AL PHA	"	3-01-92	C
	Philadelphia, PA PHA	"	4-01-92	A
	Cook County, IL PHA	"	4-01-92	C
	Boston, MA PHA	"	4-01-92	B
	Oklahoma City, OK PHA	"	5-01-92	C
	Providence, RI PHA	"	6-01-92	C
	St. Louis, MO PHA	"	8-01-92	B
	Minneapolis, MN PHA	"	9-01-92	C
	Kansas City, MO PHA	"	12-01-92	B
	New York City, NY PHA	"	1-01-93	C
	Springfield, MA PHA	"	2-01-93	B
	Philadelphia, PA PHA	"	4-01-93	B
	Boston, MA PHA	"	4-01-93	C
	Nashville, TN PHA	"	8-01-93	C
	South Bend, IN PHA	"	8-01-93	C
	Kansas City, MO PHA	"	12-01-96	D
	Detroit, MI PHA	"	6-01-99	D
	Waco, TX PHA	"	12-01-01	D

continued

8 0 0 7 0 2 3 0 9 1 5

Page 8 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

SIDNEY R. YATES
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
III.	HOLDINGS - continued		Category
	Baltimore, MD PHA	due 7-01-90	C
	East Baton Rouge, LA PHA	" 8-01-89	D
	Vanguard Muni Bond Fund, Money Market		F
	Vanguard Muni Bond Fund, Intermediate		E
	General Electirc Commercial Paper	5/20/88-6/20/88	D
	General Motors Commercial Paper	12/16/87-1/15/88	C
	Certificate of Deposit	12/02/87 - 1/04/88	D
	Certificate of Deposit	10/20/87 - 1/18/88	D
	Certificate of Deposit	1/18/88 - 1/19/88	D
	Certificate of Deposit	10/21/87 - 1/19/88	D
	Certificate of Deposit	1/27/88 - 2/26/88	D
	Certificate of Deposit	1/04/88 - 2/03/88	D
	Certificate of Deposit	1/19/88 - 2/18/88	D
	Certificate of Deposit	1/27/88 - 2/26/88	D
	Certificate of Deposit	2/03/88 - 3/04/88	D
	Certificate of Deposit	2/18/88 - 3/21/88	D
	Certificate of Deposit	2/26/88 - 3/28/88	D
	Certificate of Deposit	3/04/88 - 4/04/88	D
	Certificate of Deposit	3/31/88 - 4/15/88	D
	Certificate of Deposit	3/02/88 - 4/20/88	D
	Certificate of Deposit	4/16/88 - 5/16/88	D
	Certificate of Deposit	4/20/88 - 5/20/88	D
	Certificate of Deposit	5/16/88 - 6/15/88	D
	Certificate of Deposit	6/15/88 - 7/15/88	D
	Certificate of Deposit	7/15/88 - 8/15/88	D
	Certificate of Deposit	8/16/88 - 9/15/88	D
	Certificate of Deposit	6/20/88 - 9/19/88	D
	Certificate of Deposit	9/15/88 - 10/17/88	D
	Certificate of Deposit	10/17/88 - 11/16/88	D
	Certificate of Deposit	9/19/88 - 11/18/88	D
	Certificate of Deposit	11/18/88 - 12/02/88	C
	Certificate of Deposit	11/02/88 - 12/02/88	C
	Certificate of Deposit	11/18/88 - 12/19/88	D
	Certificate of Deposit	12/02/88 - 1/03/89	D
	Certificate of Deposit	12/19/88 - 1/18/89	D
	Real Estate Partnerships:		
	Two Shopping Centers:		F
	a) Allied Stores Shopping Center, 19.539 acres		
	Shelbyville Waterson Expressway		
	St. Mathews, KY.		
	b) K-Mart Shopping Plaza, 20.10 acres		
	Dixie Highway, Upper Hunters Trace, KY.		
	University Park, Apartment Building		C
	1517 Secor, Toledo, OH.		
	Prudential-Bache/Watson & Taylor, Ltd.-I		C
	Development and operation of warehouse facilities.		
	Bank Accounts		C

As short term obligations matured, funds received were reemployed in most instances for similar short term obligations.

8 9 0 7 1 2 3 0 3 1 5

Page 9 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

SIDNEY R. YATES
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
IV.	TRANSACTIONS - continued			Category
	Granite City, IL PHA	bought	3-28-88	C
	Boston, MA PHA	bought	3-29-88	C
	Springfield, MA PHA	bought	3-29-88	B
	Kansas City, MO PHA	bought	4-05-88	D
	Knoxville, TN PHA	bought	4-22-88	C
	East Baton Rouge, LA PHA	bought	7-22-88	C
	East Baton Rouge, LA PHA	bought	9-15-88	D
	Charlotte, NC PHA	sold	2-01-88	C
	Boston, MA PHA	sold	4-01-88	C
	National Capitol, DC PHA	sold	5-01-88	C
	Cincinnati, OH PHA	sold	7-01-88	B
	Los Angeles, CA PHA	sold	11-01-88	B
	GECC Commercial Paper bought 5-20-88	sold	6-20-88	D
	GMCC Commercial Paper bought 12-16-87	sold	1-15-88	C
	Certificate of Deposit bought 12-02-87	sold	1-04-88	D
	Certificate of Deposit bought 10-20-87	sold	1-18-88	D
	Certificate of Deposit bought 1-18-88	sold	1-19-88	D
	Certificate of Deposit bought 10-21-87	sold	1-19-88	D
	Certificate of Deposit bought 1-27-88	sold	2-26-88	D
	Certificate of Deposit bought 1-04-88	sold	2-03-88	D
	Certificate of Deposit bought 1-19-88	sold	2-18-88	D
	Certificate of Deposit bought 1-27-88	sold	2-26-88	D
	Certificate of Deposit bought 2-03-88	sold	3-04-88	D
	Certificate of Deposit bought 2-18-88	sold	3-21-88	D
	Certificate of Deposit bought 2-26-88	sold	3-28-88	D
	Certificate of Deposit bought 3-04-88	sold	4-04-88	D
	Certificate of Deposit bought 3-31-88	sold	4-15-88	D
	Certificate of Deposit bought 3-02-88	sold	4-20-88	D
	Certificate of Deposit bought 4-16-88	sold	5-16-88	D
	Certificate of Deposit bought 4-20-88	sold	5-20-88	D
	Certificate of Deposit bought 5-16-88	sold	6-15-88	D
	Certificate of Deposit bought 6-15-88	sold	7-15-88	D
	Certificate of Deposit bought 7-15-88	sold	8-15-88	D
	Certificate of Deposit bought 8-16-88	sold	9-15-88	D
	Certificate of Deposit bought 6-20-88	sold	9-19-88	D
	Certificate of Deposit bought 9-15-88	sold	10-17-88	D
	Certificate of Deposit bought 10-17-88	sold	11-16-88	D
	Certificate of Deposit bought 9-19-88	sold	11-18-88	D
	Certificate of Deposit bought 11-18-88	sold	12-02-88	C
	Certificate of Deposit bought 11-02-88	sold	12-02-88	C
	Certificate of Deposit bought 11-18-88	sold	12-19-88	D
	Certificate of Deposit bought 12-02-88	sold	1-03-89	D
	Certificate of Deposit bought 12-19-88	sold	1-18-89	D
	IBM common shares acquired through dividend reinvestment			A
	Vanguard Muni Bond Fund, Money Market	sold	12-19-88	A
	Vanguard Muni Bond Fund, Money Market	bought	1-25-88	C

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

ADELINE H. YATES
(Full Name)

2234 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

(Office Use Only)

'89 MAY 15 AM 9 18

Check the appropriate box and fill in the blanks.

☐ Member of the U.S. House of Representatives—District _____ State __________

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

X Spouse

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NONE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Acorn Fund, common	Dividend	B
Atlantic Richfield, 2.8 cum. pref.	Dividend	A
Coca Cola, common	Dividend	A
Ford Motor, common	Dividend	B
Occidental Petroleum, common	Dividend	A
Peoples Energy, common	Dividend	A

continued

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence pr[illegible]es rental income. The cash value of a life insurance policy need not be reported. The reporting individual [illegible] only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Acorn Fund, common	C
Atlantic Richfield, 2.8 cum. pref.	C
Coca Cola, common	C
Ford Motor, common	B
Odetics, common	A
Peoples Energy, common	B

continued

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
Wichita Falls, TX PHA	bought	5-20-88	C
Indianapolis, IN PHA	bought	7-01-88	C
Cook County, IL PHA	bought	7-01-88	C
Columbus, OH PHA	bought	12-02-88	C
Rome, GA PHA	bought	12-02-88	D

continued

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	see Real Estate Partnerships

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Adeline H. Yates	5/10/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 5 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ADELINE H. YATES
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			Type	Category
II.B.	UNEARNED INCOME - continued				
	TRW, common			Dividend	A
	Transamerica, common			Dividend	A
	United Insurance, common			Dividend	A
	Savannah, GA PHA	due	4-01-88	Interest	A
	Flint, MI Bond	"	7-01-88	Interest	C
	Americus, GA PHA	"	8-01-88	Interest	C
	New York, NY PHA	"	11-01-88	Interest	B
	Steubenville, OH PHA	"	1-01-89	Interest	A
	Chicago, IL PHA	"	6-01-89	Interest	B
	Navajo Indians PHA	"	9-01-89	Interest	A
	Rome, GA PHA	"	11-01-89	Interest	B
	Gainesville, FL PHA	"	2-01-90	Interest	A
	Jacksonville, FL PHA	"	2-01-90	Interest	B
	Johnson County, IL PHA	"	4-01-90	Interest	A
	Atlanta, GA PHA	"	5-01-90	Interest	C
	Tacoma, WA PHA	"	6-01-90	Interest	A
	New York City, NY PHA	"	6-01-90	Interest	A
	El Paso, TX PHA	"	7-01-90	Interest	B
	Baltimore, MD PHA	"	7-01-90	Interest	B
	Los Angeles, CA PHA	"	11-01-90	Interest	A
	Chicago, IL PHA	"	6-01-91	Interest	A
	Chicago, IL PHA	"	6-01-91	Interest	A
	Decatur, AL PHA	"	3-01-92	Interest	B
	Cordele, GA PHA	"	9-01-92	Interest	A
	Indiadapolis, IN PHA	"	12-01-92	Interest	A
	Cook County, IL PHA	"	12-01-92	Interest	A
	New York City, NY PHA	"	1-01-93	Interest	A
	Wichita Falls, TX PHA	"	4-01-93	Interest	A
	Bridgeport, CO PHA	"	8-01-93	Interest	A
	Pinellas County, FL PHA	"	11-01-93	Interest	A
	Salt River, AZ Bond	"	1-01-94	Interest	A
	Illinois State Bond	"	5-01-95	Interest	B
	Phoenix, AZ PHA	"	8-01-98	Interest	A
	Minneapolis, MN PHA	"	1-01-01	Interest	C
	Yolo County, CA PHA	"	6-01-02	Interest	A
	State of New York Bond	"	11-01-03	Interest	B
	Clark County, NV Bond	"	7-01-12	Interest	D
	Vanguard Muni Bond Fund, Money Market			Interest	C
	Vanguard Muni Bond Fund, Intermediate			Interest	D
	U.S. Treasury Bill	11/19/87 -	5/19/88	Interest	C
	Certificate of Deposit	1/27/88 -	2/26/88	Interest	A
	Certificate of Deposit	1/27/88 -	2/26/88	Interest	A
	Certificate of Deposit	2/26/88 -	3/28/88	Interest	A
	Certificate of Deposit	3/28/88 -	4/27/88	Interest	A
	Certificate of Deposit	4/05/88 -	5/05/88	Interest	A
	Certificate of Deposit	5/02/88 -	6/01/88	Interest	A
	Certificate of Deposit	5/20/88 -	6/20/88	Interest	A
	Certificate of Deposit	6-01-88 -	7/01/88	Interest	A
	Certificate of Deposit	7-01-88 -	8/30/88	Interest	B
	Certificate of Deposit	8/02/88 -	9/01/88	Interest	A
	Certificate of Deposit	6/20/88 -	9/19/88	Interest	B
	Certificate of Deposit	9/01/88 -	10/03/88	Interest	A
	Certificate of Deposit	8/30/88 -	10/31/88	Interest	B
	Certificate of Deposit	9/19/88 -	11/18/88	Interest	B
	Certificate of Deposit	10/03/88 -	12/02/88	Interest	B
	Certificate of Deposit	11/03/88 -	12/05/88	Interest	A
	Certificate of Deposit	11/18/88 -	12/19/88	Interest	A
	Certificate of Deposit	11/31/88 -	12/30/88	Interest	B

continued

Page 6 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ADELINE H. YATES
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		Type	Category
II.B.	UNEARNED INCOME - continued		Type	Category
	Savannah, GA PHA	due 4-01-88	Capital Gain	A
	Americus, GA PHA	" 8-01-88	Capital Gain	C
	Acorn Fund		Capital Gain	C
	Alpha I		Partnership Distribution	B
	Den View Liquidating Trust		Partnership Distribution	A
	Palm Springs Spa		Partnership Distribution	B
	Bank Accounts		Interest	B
	Social Security		Pension	$6,047.00
	Henderson Manor Return of Investment from Liquidated Company			D

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Page 7 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ADELINE H. YATES
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
III.	HOLDINGS - continued			Category
	TRW, common			A
	TRW, 4.40 pref.			A
	Transamerica, common			A
	Toreador Royalty, common			A
	Unicos, common			B
	Occidental Petroleum, common			B
	Savannah, GA PHA		due 4-01-88	C
	Flint, MI Bond		" 7-01-88	C
	Americus, GA PHA		" 8-01-88	D
	New York, NY PHA		" 11-01-88	C
	Steubenville, OH PHA		" 1-01-89	C
	Chicago, IL PHA		" 6-01-89	C
	Navajo Indians PHA		" 9-01-89	B
	Rome, GA PHA		" 11-01-89	C
	Gainesville, FL PHA		" 2-01-90	B
	Jacksonville, FL PHA		" 2-01-90	C
	Johnson County, IL PHA		" 4-01-90	B
	Atlanta, GA PHA		" 5-01-90	C
	Tacoma, WA PHA		" 6-01-90	B
	New York City PHA		" 6-01-90	B
	El Paso, TX PHA		" 7-01-90	C
	Baltimore, MD PHA		" 7-01-90	C
	Los Angelse, CA PHA		" 11-01-90	C
	Chicago, IL PHA		" 6-01-91	C
	Chicago, IL PHA		" 6-01-91	B
	Decatur, AL PHA		" 3-01-92	C
	Cordele, GA PHA		" 9-01-92	C
	Indianapolis, IN PHA		" 12-01-92	C
	Cook County, IL PHA		" 12-01-92	C
	New York City, PHA		" 1-01-93	C
	Wichita Falls, TX PHA		" 4-01-93	C
	Bridgeport, CO PHA		" 8-01-93	B
	Pinellas County, FLA PHA		" 11-01-93	B
	Salt River, AZ Bond		" 1-01-94	A
	Illinois State Bond		" 5-01-95	C
	Phoenix, AZ PHA		" 8-01-98	B
	Minneapolis, MN PHA		" 1-01-01	D
	Yolo County, CA PHA		" 6-01-02	B
	State of New York Bond		" 11-01-03	C
	Clark County, NV Bond		" 7-01-12	C
	U.S. Treasury Bill	11/19/87 - 5/19/88		D
	Certificate of Deposit	1/27/88 - 2/26/88		D
	Certificate of Deposit	1/27/88 - 2/26/88		D
	Certificate of Deposit	2/26/88 - 3/28/88		D
	Certificate of Deposit	3/28/88 - 4/27/88		D
	Certificate of Deposit	4/05/88 - 5/05/88		C
	Certificate of Deposit	5/02/88 - 6/01/88		D
	Certificate of Deposit	5/20/88 - 6/20/88		D
	Certificate of Deposit	6-01-88 - 7/01/88		D
	Certificate of Deposit	7-01-88 - 8/30/88		D
	Certificate of Deposit	8/02/88 - 9/01/88		D
	Certificate of Deposit	6/20/88 - 9/19/88		D
	Certificate of Deposit	9/01/88 - 10/03/88		D
	Certificate of Deposit	8/30/88 - 10/31/88		D
	Certificate of Deposit	9/19/88 - 11/18/88		D
	Certificate of Deposit	10/03/88 - 12/02/88		D
	Certificate of Deposit	11/03/88 - 12/05/88		D
	Certificate of Deposit	11/18/88 - 12/19/88		D

continued

Page 8 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ADELINE H. YATES
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
	HOLDINGS - continued	Category
	Certficate of Deposit 11/31/88 - 12/30/88	D
	Certificate of Deposit 12/05/88 - 1/04/89	D
	Certificate of Deposit 12/30/88 - 1/17/89	D
	Certificate of Deposit 12/19/88 - 1/18/89	D
	Vanguard Muni Bond Fund, Money Market	D
	Vanguard Muni Bond Fund, Intermediate	D
	Real Estate Partnerships:	
	Alpha I Theatre Partnership, Motion Picture Theatre, Louisville, KY.	B
	Palm Springs Properties, Spa, Indian Avenue and Tahquitz Drive, Palm Springs, CA.	B
	Bank Accounts	C

As short term obligations matured, funds received were reemployed in most instances for similar short term obligations.

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Page 9 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ADELINE H. YATES
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)					
IV.	TRANSACTIONS - continued					Category
	Savannah, GA PHA			sold	4-01-88	C
	Flint, MI Bond			sold	7-01-88	C
	Americus, GA PHA			sold	8-01-88	D
	New York, NY PHA			sold	11-01-88	C
	U.S. Treasury Bill	bought	11/19/87	sold	5/19/88	D
	Certificate of Deposit	bought	1/27/88	sold	2/26/88	D
	Certificate of Deposit	bought	1/27/88	sold	2/26/88	D
	Certificate of Deposit	bought	2/26/88	sold	3/28/88	D
	Certificate of Deposit	bought	3/28/88	sold	4/27/88	D
	Certificate of Deposit	bought	4/05/88	sold	5/05/88	C
	Certificate of Deposit	bought	5/02/88	sold	6/01/88	D
	Certificate of Deposit	bought	5/20/88	sold	6/20/88	D
	Certificate of Deposit	bought	6/01/88	sold	7/01/88	D
	Certificate of Deposit	bought	7/01/88	sold	8/30/88	D
	Certificate of Deposit	bought	8/02/88	sold	9/01/88	D
	Certificate of Deposit	bought	6/20/88	sold	9/19/88	D
	Certificate of Deposit	bought	9/01/88	sold	10/03/88	D
	Certificate of Deposit	bought	8/30/88	sold	10/31/88	D
	Certificate of Deposit	bought	9/19/88	sold	11/18/88	D
	Certificate of Deposit	bought	10/03/88	sold	12/02/88	D
	Certificate of Deposit	bought	11/03/88	sold	12/05/88	D
	Certificate of Deposit	bought	11/18/88	sold	12/19/88	D
	Certificate of Deposit	bought	11/31/88	sold	12/30/88	D
	Certificate of Deposit	bought	12/05/88			D
	Certificate of Deposit	bought	12/19/88			D
	Certificate of Deposit	bought	12/30/88			D
	Acorn Fund, shares acquired through dividend reinvestment					A

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HAND DELIVERED

SIDNEY R. YATES
[illegible]

Congress of the United States
House of Representatives
Washington, DC 20515

May 23, 1989

[illegible] MAY 23 [illegible] 1:13
U.S. [illegible] TATIVES

Mr. Donnald Anderson
Clerk of the House
of Representatives
Office of Records and Registration
Room 1036 Longworth HOB
Washington, D.C. 20515

HC ✓

Dear Mr. Anderson:

Although I disclosed receipt of Social Security earnings in my 1988 financial disclosure report, I inadvertently reported them as unearned income rather than earned income.

Accordingly, please amend my 1988 disclosure report as follows under EARNED INCOME:

Year	A. Source	Type	Amount
1988	Social Security	Pension Benefit	$13,167.00

I would like to point out that Social Security pension benefits are not counted against outside income.

I regret the error.

Sincerely yours,

Sidney R. Yates

SIDNEY R. YATES
Member of Congress

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SIDNEY R. YATES
9th District, Illinois

COMMITTEE
APPROPRIATIONS

Chairman, Interior and Related Agencies

Congress of the United States
House of Representatives
Washington, DC 20515

[illegible]

INSIDE MAIL

May 23, 1989

RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 MAY 24 PM 2:15
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

✓
MC

Mr. Donnald Anderson
Clerk of the House
of Representatives
Office of Records and Registration
Room 1036 Longworth HOB
Washington, D.C. 20515

Dear Mr. Anderson:

Although I disclosed receipt of Social Security earnings in my 1988 financial disclosure report, I inadvertently reported them as unearned income rather than earned income.

Accordingly, please amend my 1988 disclosure report as follows under EARNED INCOME:

Year	A. Source	Type	Amount
1988	Social Security	Pension Benefit	$6,047.00

I would like to point out that Social Security pension benefits are not counted against outside income.

I regret the error.

Sincerely yours,

Adeline H. Yates

Adeline H. Yates

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Gus Yatron
(Full Name)

1908 Hessian Road
(Mailing Address)

Reading, PA 19602

MC (Office Use Only)

89 MAY 12 AM 9:47

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6th State PA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XX ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide; and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
4/28/88	Atlantic Financial	Honorarium	$1000	None
6/6/88	Chocolate Manufacturers Assn.	"	$1000	None
6/6/88	National Confectioners Assn	"	$1000	None
	Commonwealth of Pennsylvania	Pension	$7609	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Meridan Bank	Interest	C
New York Life	"	A
Prudential Insurance Co	"	A
National Bank of Boyertown	"	A
Great Valley Savings	"	B
Chase Bank of Maryland	"	D
Penn Savings Bank	"	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Savings Bonds	E
Gold Maple Leafs - Canadian Coins	B
Savings Account - Meridan Bank	D
Money Market Certificates - Chase Bank of MD	D
Stock - El Dorado Systems (Spouse)	A
Stock - Consolidated Gold Standard Resources Inc. (Spouse)	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Penn Mortgage Company - Mortgage of House - 24 Muirfield Drive Reading, PA	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 12.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Gus Yatron	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Gus Yatron
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	House - 24 Muirfield Drive, Reading, PA	D
	House - 139 N. 8th St., Reading, PA	C

8 9 3 7 0 2 9 0 8 9 6

GUS YATRON
6TH DISTRICT, PENNSYLVANIA

REPLY IF ANY TO

☐ Room 623
Abraham Lincoln Motor Inn
P.O. Box 770
Reading, PA 19603-0770
Phone: (215) 375-4573

☐ American Bank Building
Pottsville, PA 17901-2838
Phone: (717) 622-4212

☐ 2267 Rayburn House Office Building
Washington, DC 20515-3806
Phone: (202) 225-5546

Congress of the United States
House of Representatives
Washington, DC 20515-3806

MEMBER
COMMITTEE ON
FOREIGN AFFAIRS

CHAIRMAN
SUBCOMMITTEE ON
HUMAN RIGHTS AND
INTERNATIONAL ORGANIZATIONS

SUBCOMMITTEE ON
INTERNATIONAL OPERATIONS

COMMITTEE ON POST OFFICE
AND CIVIL SERVICE

SUBCOMMITTEE ON
HUMAN RESOURCES

SUBCOMMITTEE ON
INVESTIGATIONS

May 18, 1989

HAND DELIVERED

1989 MAY 18 AM 10:29
U.S. HOUSE OF REPRESENTATIVES

MC

Honorable Julian C. Dixon
Chairman
Committee on Standards of Official Conduct
House of Representatives
Washington, D. C. 20515

Dear Mr. Chairman:

In response to your letter of May 15, 1989 concerning my Financial Disclosure Statement, I am pleased to furnish the following additional information.

On page two, due to an inadvertent clerical error, the trust holdings box was not checked and should have been marked in the NO Box.

In Section III, Holdings, the following should have been included in the list previously filed:

IDENTITY	CATEGORY
National Bank of Boyertown - CD	A
Great Valley Savings - CD	B
Penn Savings Bank - CD	A

Please note that Chase Bank of Maryland was identified in my initial filing in Category D of money certificates.

Your assistance in amending my timely filed report will be warmly and sincerely appreciated.

With best regards, I remain,

Sincerely,

Gus Yatron

GUS YATRON
Member of Congress

GY/js

8 9 2 7 1 2 3 1 3 7 3

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

C. W. BILL YOUNG
(Full Name)

2407 RAYBURN HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D. C. 20515

MC (Office Use Only)

MAY 15 PM 3: 13

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8 State FLORIDA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,036, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE CONTINUATION SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
RAYMOND D. FRENCH	INTEREST FROM MORTGAGE	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
MORTGAGE - RAYMOND D. FRENCH	C
WRIGHT/PATMAN CREDIT UNION - SAVINGS	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
FIRST FLORIDA BANK	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date 15 MAY 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

C. W. BILL YOUNG
(Name)

SPEAKING ENGAGEMENTS:

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
1-20-88	RCA, SYRACUSE, NEW YORK SPEAKING ENGAGEMENT	$2,000
1-22-88	GENERAL ELECTRIC, UTICA SYRACUSE, NEW YORK SPEAKING ENGAGEMENT	$2,000
1-6-88	TEXTRON, NEW ORLEANS SPEAKING ENGAGEMENT	$2,000
1-29-88	AVONDALE, NEW ORLEANS SPEAKING ENGAGEMENT	$1,000
1-11-88	CUBIC, SAN DIEGO SPEAKING ENGAGEMENT	$2,000
1-12-88	AEROJET, LA JOLLA, CALIFORNIA SPEAKING ENGAGEMENT	$2,000
1-13-88	MARGUARDT, VAN NUYS, CALIFORNIA SPEAKING ENGAGEMENT	$2,000
1-28-88	NORTHRUP, LOS ANGELES, CALIFORNIA SPEAKING ENGAGEMENT	$2,000
2-2-88	McDONALD DOUGLAS LOS ANGELES, CALIFORNIA SPEAKING ENGAGEMENT	$2,000
2-5-88	HUGHES, LOS ANGELES SPEAKING ENGAGEMENT	$2,000
2-12-88	TRW, SAN DIEGO, CALIFORNIA SPEAKING ENGAGEMENT	$2,000
2-22-88	LOCKHEED, PALMDALE, CALIFORNIA SPEAKING ENGAGEMENT	$2,000
3-4-88	LTV, DALLAS, TEXAS SPEAKING ENGAGEMENT	$2,000
3-23-88	BELL-TEXTRON, FT. WORTH, TEXAS SPEAKING ENGAGEMENT	$1,500
3-28-88	LOW OBERVABLE SYMPOSIUM, LOCKHEED, ATLANTA GA., SPEAKING ENGAGEMENT	$2,000
6-24-88	RAYTHEON, BOSTON, MASS. SPEAKING ENGAGEMENT	$1,000
10-10-88	AEROSPACE INDUSTRIES, KEY LARGO, FL SPEAKING ENGAGEMENT	$2,000
	CONGRESSIONAL INSTITUTE, INC. SEMINAR PARTICIPANT ROUNDTRIP AIR TRANSPORTATION, DC TO HOUSTON, TEXAS 3 DAYS FOOD/LODGING.	$426.00

APPROPRIATE TRAVEL, FOOD, LODGING, WERE PROVIDED FOR ABOVE SPEAKING ENGAGEMENTS BY THE SPONSORING ASSOCIATIONS OR CORPORATIONS.

NOTE: $5,500 OF SPEAKING ENGAGEMENTS EARNED IN 1988 WAS DONATED TO CHARITABLE ORGANIZATIONS.

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

89 MAY 15 PM 3:45

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

DON YOUNG
(Full Name)

2331 RAYBURN HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District A-L State ALASKA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X____ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
HONORARIA - SEE ATTACHED SCHEDULE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
PUTNAM GROWTH FUND	DIVIDEND	B
STATE FARM LIFE INSURANCE COMPANY	INTEREST	A
ALASKA PERMANENT FUND	DIVIDEND	B
NEW YORK LIFE INSURANCE COMPANY	INTEREST	A
DOYON LTD.	DIVIDEND	A
NATIONAL BANK OF ALASKA	INTEREST	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
PUTNAM GROWTH FUND	C
STATE FARM LIFE INSURANCE COMPANY	B
NEW YORK LIFE INSURANCE COMPANY	C
WRIGHT PATTMAN CONG. FEDERAL CREDIT UNION (IRA)	B
WRIGHT PATTMAN CONG. FEDERAL CREDIT UNION (SEP)	C

8 9 0 7 0 2 3 2 4 4 7

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
PUTNAM GROWTH FUND	QUARTERLY	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
CRESTAR BANK	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

8 9 3 7 0 2 8 2 1 4 8

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
BP AMERICA	AIR FARE, MEALS, LODGING
SEALAND CORPORATION	AIR FARE, MEALS, LODGING
TOTEM OCEAN TRAILER EXPRESS	AIR FARE, MEALS, LODGING
U.S. TUNA FOUNDATION	AIR FARE, MEALS, LODGING
AFL/CIO, BOARD OF DIRECTORS	AIR FARE, MEALS, LODGING

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Don Young	MAY 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

89070282449

CONGRESSMAN DON YOUNG, HONORARIA, 1988

1/4/88	KONIAG INC.	D.C.	SPEECH	1,500.00
1/22/88	SEALAND	SEATTLE	SPEECH+ A.F.+ EXPENSES	2,000.00
1/29/88	U.S. TUNA FOUNDATION	SAN DIEGO	SPEECH+ A.F. + EXPENSES	2,000.00
2/11/88	AFL/CIO, BOARD OF DIRECTORS	FLORIDA	SPEECH+ A.F. + EXPENSES	1,000.00
2/19/88	COOK INLET REGION INCORPORATED	ANCHORAGE	SPEECH	2,000.00
3/10/88	AM.NUC. ENERGY COUNCIL	D.C.	SPEECH	300.00
3/15/88	NATL. PARK CONCESSIONERS	D.C.	SPEECH	1,000.00
3/16/88	NATIONAL RURAL ELECTRIC ASSOCIATION	D.C.	SPEECH	500.00
4/4/88	MARK AIR	ANCHORAGE	SPEECH	2,000.00
4/8/88	SEA ALASKA	JUNEAU	SPEECH	2,000.00
5/2/88	CROWLEY MARITIME	SEATTLE	SPEECH	1,000.00
5/6/88	PRECISION TUNE	MARIOTT HOTEL, DULLES	SPEECH	1,000.00
6/6/88	INTERTRIBAL TIMBER COUNCIL,	FAIRBANKS	SPEECH	1,500.00
6/17/88	BP AMERICA	CLEVELAND	SPEECH+ A.F. + EXPENSES	2,000.00
6/24/88	SEA-LAND CORP.	JACKSONVILLE	SPEECH+ A.F. + EXPENSES	1,861.00
10/22/88	ALASKA RESOURCE ANALYSTS, INC.	D.C.	SPEECH	500.00
11/11/88	GENERAL COMMUNICATION, INCORPORATED	ANCHORAGE	SPEECH	2,000.00
12/12/88	TOTEM OCEAN TRAILER EXPRESS	SEATTLE	SPEECH+ A.F.+ EXPENSES	2,000.00
12/29/88	BIRCH, HORTON, BITTNER, PESTINGER & ANDERSON, P.C.	D.C.	SPEECH	500.00
			TOTAL	26,661.00

DON YOUNG
Congressman for All Alaska

WASHINGTON OFFICE
2331 Rayburn Building
Telephone 202/225-5765

COMMITTEES:
INTERIOR AND INSULAR AFFAIRS
MERCHANT MARINE AND FISHERIES
POST OFFICE AND CIVIL SERVICE

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House of Representatives
Washington, D.C. 20515

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501 Federal Building
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Telephone 907/225-6880

Rt. 1, Box 1635
Kenai, Alaska 99611

Box 177
Kodiak, Alaska 99615

P.O. Box 1080
Nome, Alaska 99762

INSIDE MAIL 89070291
1989 MAY 25 AM 10:27
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

1989 MAY 25 AM 10:27
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

May 18, 1989

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MC

The Honorable Donnald K. Anderson
Clerk of the House of Representatives
H-105, The Capitol
Washington, D.C. 20515

Dear Mr. Anderson:

Please accept the following as amendments to my Financial Disclosure Statement for 1988:

* II. INCOME, B. SOURCE: please note that the "Alaska Permanent Fund" is paid to all Alaska residents.

* III. HOLDINGS: add on line six; "100 shares voting stock, Doyon Ltd., Fairbanks, AK; 50 shares non-negotiable non-voting stock, Doyon Ltd."

* V. LIABILITIES: Crestar Bank was a mortgage loan

* VII. REIMBURSEMENTS: Add the number of days and locations after the following sources of reimbursements -

"BP America" - one day in Cleveland, Ohio.
"Sealand Corporation" - two days in Jacksonville, Florida.
"Totem Ocean Trailer Express" - two days in Seattle, Washington.
"U.S. Tuna Foundation",- two days in San Diego, California
"AFL/CIO" - one day in Fort Lauderdale, Florida

Thank you very much for your attention to this matter.

Sincerely,

Don Young

DON YOUNG
Congressman for all Alaska

DY:nps